

PHENIX Decadal Plan

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for the PHENIX Collaboration

(this talk available at

<http://www.phenix.bnl.gov/phenix/WWW/publish/zajc/sp/presentations/DecadalPlan/PacDec03.pdf>)



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12 Countries; 57 Institutions; 460 Participants*

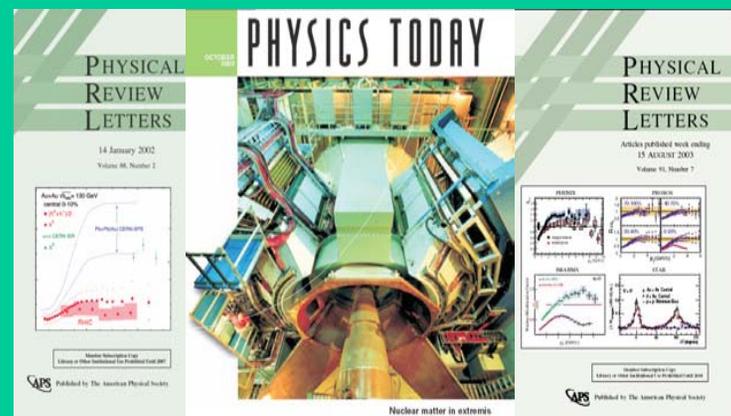
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*as of July 2002



PHENIX The Immediate "Problem"

- How to fit
 - 150+ pages
 - 60+ figures
 - 10+ tables
 - 160+ referencesinto one 45 minute talk?
- Not to mention PHENIX Beam Use Proposal
 - 30+ pages
 - Explicit run requests for RHIC Run-4 to Run-8
- Not to mention the problem of planning discovery physics for next 10 years...



A. Do nothing (maintain status quo)

- ❑ PHENIX would have a vital and interesting research program for the next decade
- ❑ (for the next next decade as well)
- ❑ Summary of the Executive Summary:

“There’s obviously 10 years of physics to do at RHIC”
(A. Caldwell, 03-Dec-03)

B. Significantly increase RHIC luminosity

- ❑ Yes! (RHIC-II)
- ❑ Extends PHENIX reach to truly rare probes

C. Install targeted upgrades to PHENIX

- ❑ Yes! (ongoing)
- ❑ Greatly extends PHENIX sensitivities in various channels (even without extended reach provided by RHIC-II)

D. Do B and C

- ❑ Ideal!
- ❑ A truly compelling program of broadest possible scope

- The PHENIX Collaboration has developed a plan for the detailed investigation of quantum chromodynamics in the next decade. The demonstrated capabilities of the PHENIX experiment to measure rare processes in hadronic, leptonic and photonic channels, in combination with RHIC's unparalleled flexibility as a hadronic collider, provides a physics program of extraordinary breadth and depth. A superlative set of measurements to elucidate the states of both hot and cold nuclear matter, and to measure the spin structure of the proton has been identified. The components of this plan include
 - **Definitive measurements that will establish the nature of the matter created in nucleus+nucleus collisions, that will determine if the description of such matter as a quark-gluon plasma is appropriate, and that will quantify both the equilibrium and non-equilibrium features of the produced medium.**
 - **Precision measurements of the gluon structure of the proton, and of the spin structure of the gluon and sea-quark distributions of the proton via polarized proton+proton collisions.**
 - **Determination of the gluon distribution in cold nuclear matter using proton+nucleus collisions.**

- Each of these fundamental fields of investigation will be addressed through a program of correlated measurements in some or all of the following channels:
 - - Particle production at high transverse momentum, studied via single particle inclusive measurements of identified charged and neutral hadrons, multi-particle correlations and jet production.
 - Direct photon, photon+jet and virtual photon production.
 - Light and heavy vector mesons.
 - Heavy flavor production.

- A portion of this program is achievable using the present capabilities of PHENIX experimental apparatus, but the physics reach is considerably extended and the program made even more compelling by a proposed set of upgrades which include
 - ❑ An aerogel and time-of-flight system to provide complete $\pi/K/p$ separation for momenta up to 10-GeV/c.
 - ❑ A vertex detector to detect displaced vertices from the decay of mesons containing charm or bottom quarks.
 - ❑ A hadron-blind detector to detect and track electrons near the vertex.
 - ❑ A micro-TPC to extend the range of PHENIX tracking in azimuth and pseudo-rapidity.
 - ❑ A muon trigger upgrade to preserve sensitivity at the highest projected RHIC luminosities.
 - ❑ A forward calorimeter to provide photon+jet studies over a wide kinematic range.

• Conclusions:

□ ~All goals accomplished

◆ *As permitted by available integrated luminosity*

◆ *For Au+Au (d+Au) only*

□ Much remains

◆ *Truly rare probes in Au+Au*

◆ *Species scans*

◆ *Energy variation*

□ Spin!

□ Proton-nucleus!

Table 3.1: Physics Variables to be Measured by the PHENIX Experiment

| Quantity to be Measured | Category* | Physics Objective |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| $e^+e^-, \mu^+\mu^-$ | | |
| <ul style="list-style-type: none"> • $\rho \rightarrow \mu^+\mu^- / \rho \rightarrow \pi\pi, d\sigma/dp_\perp$ • $\omega \rightarrow e^+e^- / \omega \rightarrow \pi\pi, d\sigma/dp_\perp$ • ϕ-meson's width and $m_{\phi \rightarrow e^+e^-}$ ✓ $\phi \rightarrow e^+e^- / \phi \rightarrow K^+K^-$ • ϕ-meson yield (e^+e^-) ✓ $J/\psi \rightarrow e^+e^-, \mu^+\mu^-$ • $\psi' \rightarrow \mu^+\mu^-$ • $\Upsilon, \rightarrow \mu^+\mu^-$ • $1 < m_T(l^+l^-) < 3 \text{ GeV}$ (rate and shape) • $m_{l^+l^-} > 3 \text{ GeV} \rightarrow \mu^+\mu^-$ • $\sigma \rightarrow \pi\pi, e^+e^-, \gamma\gamma$ | <ul style="list-style-type: none"> BCD QGP QGP ES QGP, QCD ES, QGP QCD QGP QGP | <ul style="list-style-type: none"> Basic dynamics (T, τ, etc.) for a hot gas, transverse flow, etc. Mass shift due to chiral transition (C.T.) [2] Branching ratio change due to C.T. [3] Strangeness production ($gg \rightarrow ss$) Yield suppression and the distortion of p_T spectra due to Debye screening in deconfinement transition (D.T.) [4] Thermal radiation of hot gas, and effects of QGP [5, 6, 7] A-dependence of Drell-Yan, and thermal $\mu^+\mu^-$ [5, 6, 7, 8] Mass shift, narrow width due to C.T. [2] |
| $e\mu$ coincidence | | |
| • $e\mu, e(p_T > 1 \text{ GeV}/c)$ | QCD, QGP | $c\bar{c}$ background, charm cross section [9] |
| Photons | | |
| <ul style="list-style-type: none"> • $0.5 < p_T < 3 \text{ GeV}/c \gamma$ (rate and shape) ✓ $p_T > 3 \text{ GeV}/c \gamma$ • π^0, η spectroscopy • $N(\pi^0)/N(\pi^+ + \pi^-)$ fluctuations • High $p_T \pi^0, \eta$ from jet | <ul style="list-style-type: none"> ES, QGP QCD BCD QGP QGP | <ul style="list-style-type: none"> Thermal radiation of hot gas, and effect of QGP [6, 7] A-dependence of QCD γ Basic dynamics of hot gas, strangeness in η Isospin correlations and fluctuations [10, 11] Reduced dE/dx of quarks in QGP [12] |
| Charged Hadrons | | |
| <ul style="list-style-type: none"> ✓ p_T spectra for $\pi^\pm, K^\pm, p, \bar{p}$ • $\phi \rightarrow K^+K^-$ • K/π ratios • $\pi\pi + KK$ HBT • Antinuclei • high p_T hadrons from jet | <ul style="list-style-type: none"> BCD QGP ES, QGP ES BCD QGP QGP QGP | <ul style="list-style-type: none"> Basic dynamics, flow, T, baryon density, stopping power, etc. Possible second rise of $\langle p_T \rangle$ [13] Branching ratio, mass width [3, 14] Strangeness production Evolution of the collision, R_\perp Long hadronization time ($R_{out} \gg R_{side}$) [15] High baryon susceptibility due to C.T.? [16] Reduced dE/dx of quarks in QGP [12] |
| Global | | |
| <ul style="list-style-type: none"> • N_{tot} (total multiplicity) • $dN/d\eta, d^2N/d\eta d\phi, dE_T/d\eta$ | <ul style="list-style-type: none"> BCD BCD QGP | <ul style="list-style-type: none"> Centrality of the collision Local energy density, entropy Fluctuations, droplet sizes [17] |

* BCD = Basic collisions dynamics.

ES = Thermodynamics at early stages.

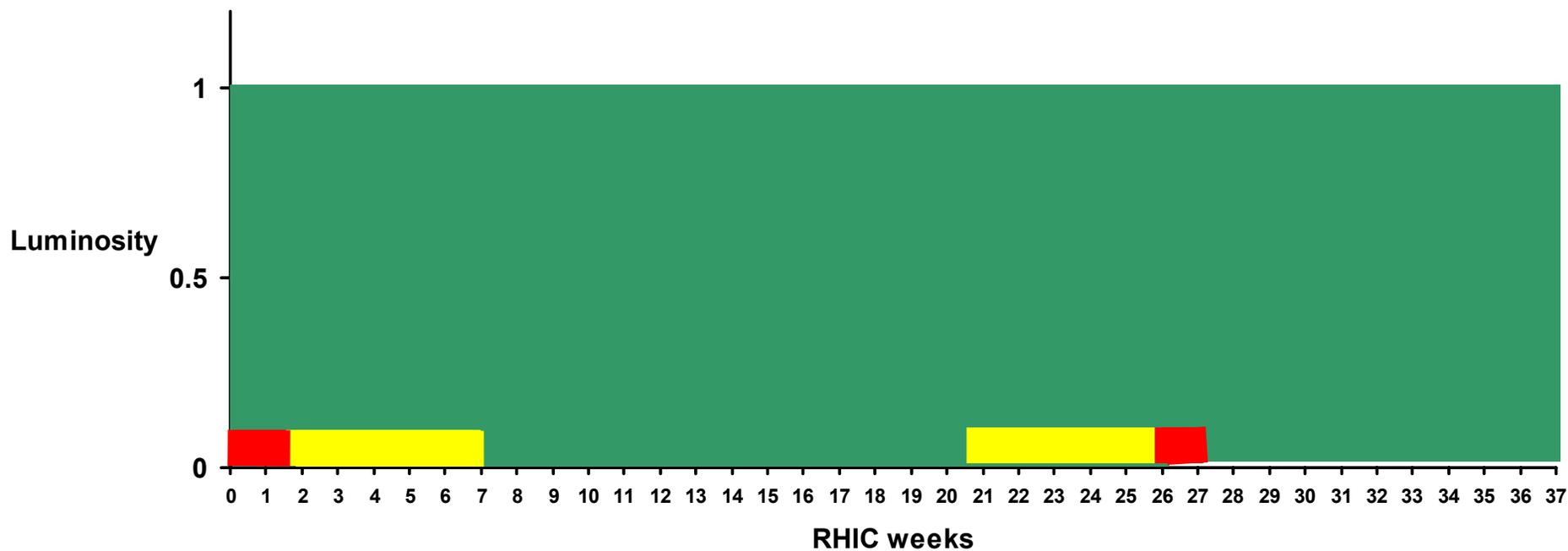
QGP = Effect of QGP phase transition.

QCD = Study of basic QCD processes.

- The exciting physics is at the (nb to pb) level
- ➔ The exciting physics requires
 - ➔ Large integrated luminosities
 - ➔ Time
 - ➔ Higher luminosity
 - ➔ Machine development
- The exciting physics spans (at least) 3 programs
- Each is suffering at 27 weeks per year
 - Example 1: J/Ψ in Au+Au
 - Example 2: A_{LL}
 - Example 3: Gluon shadowing

- The machine achievements in the first 3 years of RHIC operations have been *spectacular*:
 - 3 different colliding species (p-p, p-Au, Au-Au)
 - 3.5 energies for Au-Au (19, 56, 130, 200) GeV
 - First ever polarized hadron collider
 - Design luminosity for Au-Au
 - (Etc.)
- Physics has been produced at “all” cross-sections:
 - Heavy ions
 - ◆ barn: $dN_{ch}/d\eta$ vs N_{part} [PRL 86, 3500 \(2001\)](#)
 - ◆ mb : $v_2(p_T)$ [nucl-ex/0305013](#) (to appear in PRL)
 - ◆ μb : $R_{AA}(p_T)$ [PRL 88, 022301 \(2002\)](#)
 - ◆ nb : J/Ψ (limit) [nucl-ex/0305030](#) (to appear in PRC)
 - Spin
 - ◆ Life (for A_{LL}) begins at \sim inverse pb
 - ◆ A start from Run-3? (0.35 pb^{-1})
- Future output of the program
 - Depends *crucially* on developing large integrated luminosities
 - Adversely affected by original 37 weeks \rightarrow 27 weeks per year
 - Enhanced by proposed program of upgrades

- A long time ago
in a place far far away...
- *“37 weeks of operations”*



- To be sure, a crucial control for A+A measurements
- But an intrinsically valuable program in its own right:
- Executive Summary: **“Determination of the gluon distribution in cold nuclear matter using proton+nucleus collisions.”**
- Together, lead to a broad program:
 - **Gluon shadowing over broad kinematic range (J/Ψ and Y’s, γ’s, γ+jet)**
 - **Heavy quark production, propagation in a cold nucleus (high p_T single leptons)**
 - **Cronin effects, fragmentation function modification, parton energy loss (high p_T hadrons, jet+jet, γ+jet)**
 - **Correlation of all of the above with precision measurements of “centrality”.**
- An essential component in our decadal planning
 - **Driven in part by success of Run-3 d+Au**
 - **Difficult to accommodate in near term**
 - **Will need to periodically revisit as**
 - ◆ Higher luminosities become available
 - ◆ Other systems and/or energies require comparison data

- 2.7 nb⁻¹ d+Au

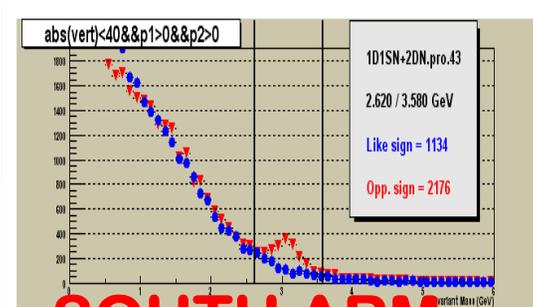
- Provides clear J/ Ψ signals
- With modest discrimination power to test shadowing models

- A clear need for

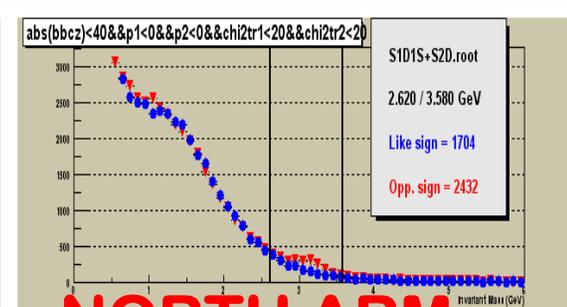
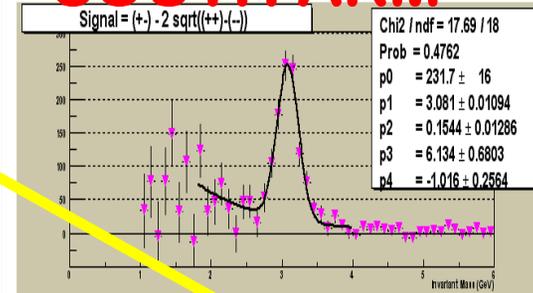
- 20 nb⁻¹ : shadowing
- 200 nb⁻¹ : Ψ' , Drell-Yan
- 2000 nb⁻¹ : Y 's

We were not able to accommodate these runs in our 5-year planning exercise

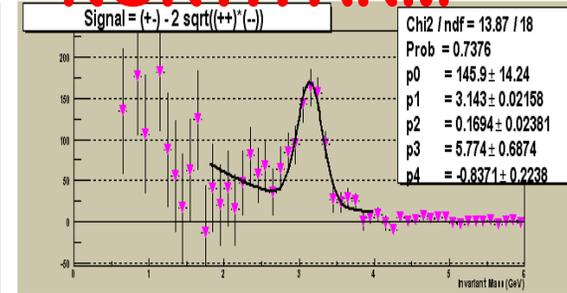
- A high priority item in our decadal planning



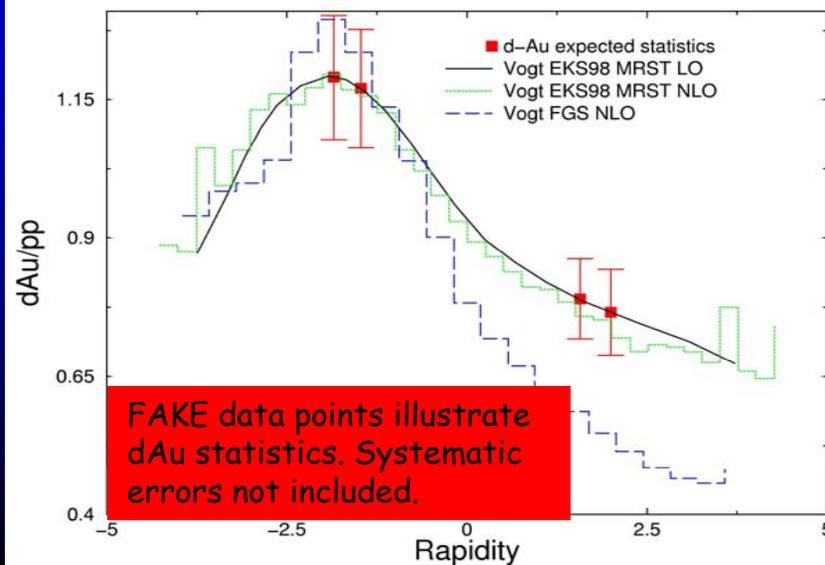
SOUTH ARM

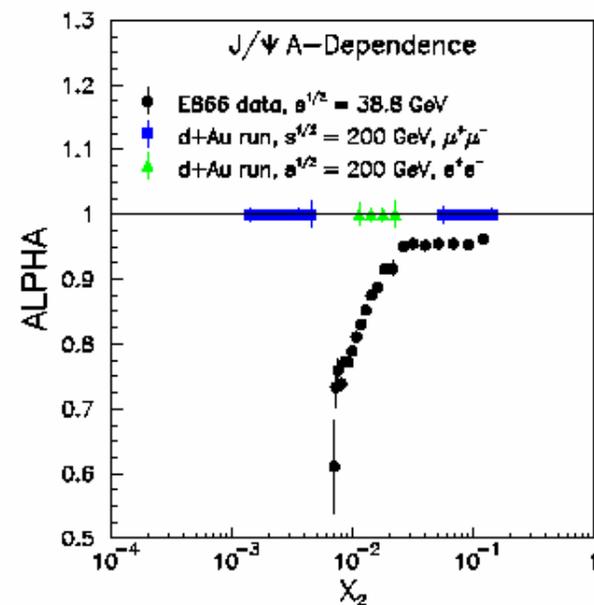
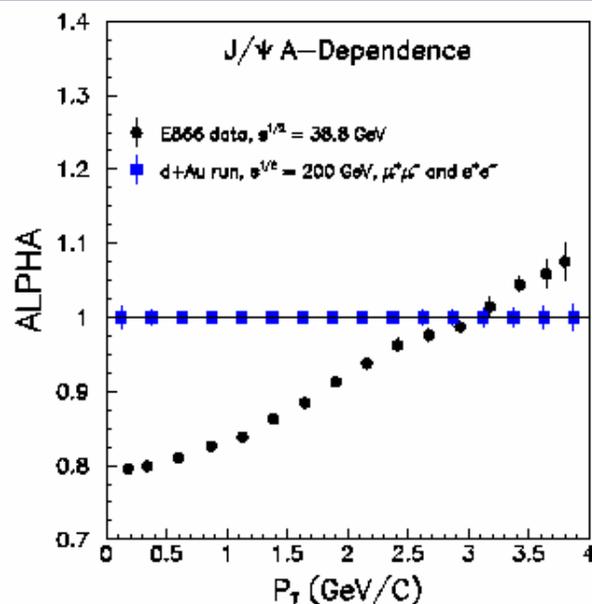
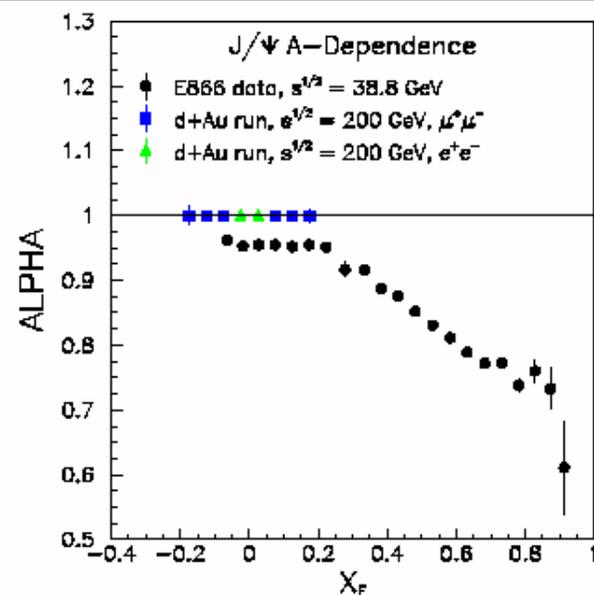


NORTH ARM



Expected PHENIX d-Au Statistics & Vogt CEM with only shadowing





- What that first 20 nb^{-1} delivers
 - Extensive measurements
 - ◆ at higher \sqrt{s}
 - ◆ lower x_2
- Then the next $200 \text{ nb}^{-1} \dots$

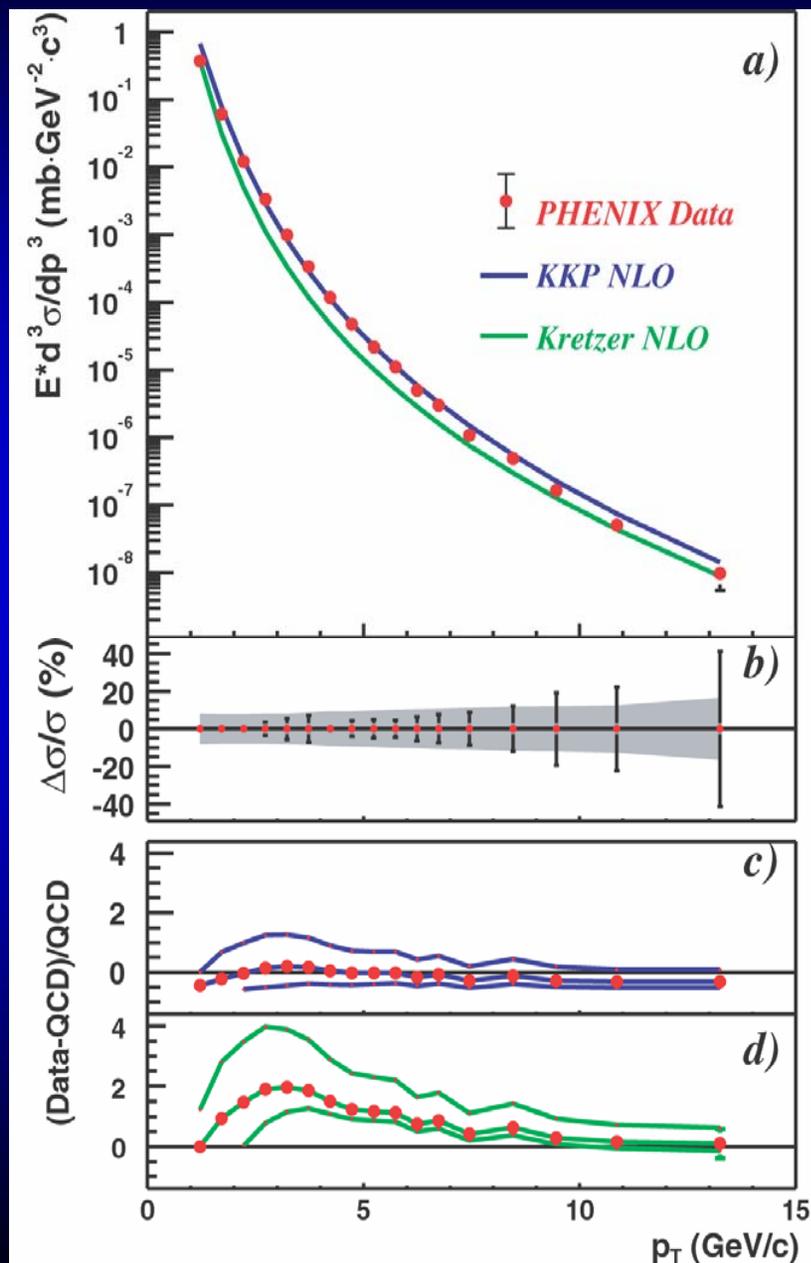
Table 2.10: Estimated physics yields for d+Au collisions for several processes with various integrated luminosities. For the lower rate process such as the Υ this illustrates the much larger luminosities needed in order to reach this physics.

| $f \mathcal{L} dt$ | Process | North Muons | South Muons | Electrons |
|-----------------------|-----------------------------------|-------------|-------------|----------------------------|
| 20 nb^{-1} | J/ψ | 8.3k | 6.4k | 3.3k |
| 200 nb^{-1} | Ψ' | 1650 | 1280 | 660 |
| 200 nb^{-1} | Υ | 47 | 40 | 56 |
| 200 nb^{-1} | Drell-Yan ($M > 4 \text{ GeV}$) | 4.9k | 3.8k | 1k ($M > 3 \text{ GeV}$) |
| 200 nb^{-1} | DD ($M > 1.6 \text{ GeV}$) | 25k | 20k | |
| 200 nb^{-1} | $D \rightarrow \mu X$ | 2B | 2B | |
| 200 nb^{-1} | $B \rightarrow \mu X$ | 5M | 5M | |

- Executive Summary: “Precision measurements of the gluon structure of the proton, and of the spin structure of the gluon and sea-quark distributions of the proton via polarized proton+proton collisions.”■
- An integral part of our program, our collaboration, our experiment, our future
- Original desiderata:
 - $\sqrt{s} = 200 \text{ GeV}$: 320 pb^{-1} , $\langle P \rangle = 70\%$
 - $\sqrt{s} = 500 \text{ GeV}$: 800 pb^{-1} , $\langle P \rangle = 70\%$
- Presently
 - $\sqrt{s} = 200 \text{ GeV}$: 0.35 pb^{-1} , $\langle P \rangle = 27\%$
 - Room for “substantial” improvement in $P^4 L$

PHENIX Our First "Spin" Publication

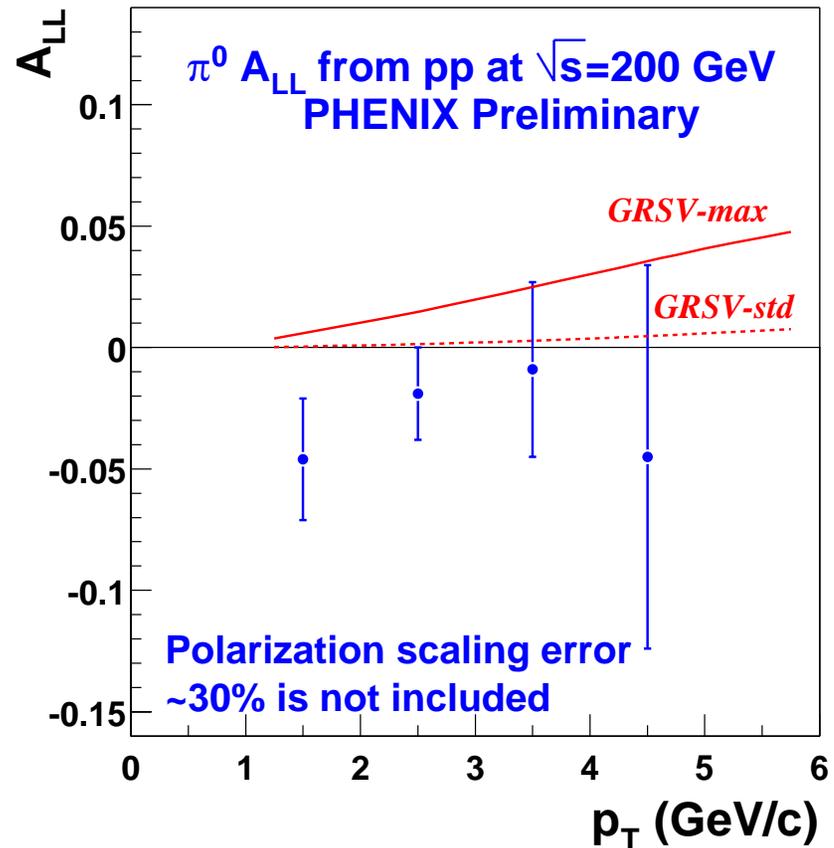
- "Midrapidity Neutral Pion Production in Proton-Proton Collisions at $\sqrt{s} = 200$ GeV", accepted for publication in PRL on 19 September 2003, hep-ex/0304038
 - ☞ *Important confirmation of of theoretical foundations for spin program*
 - ☐ Results consistent with pQCD calculation
 - ☐ Favors a larger gluon-to-pion FF (KKP)
 - ☐ *Provides confidence for proceeding with spin measurements via hadronic channels*
- Run3 results reproduce Run2 results
 - ☐ Confirm the Run-3 data reliability and consistency
 - ☐ Run3 data reaches even higher p_T 's; results will be finalized soon



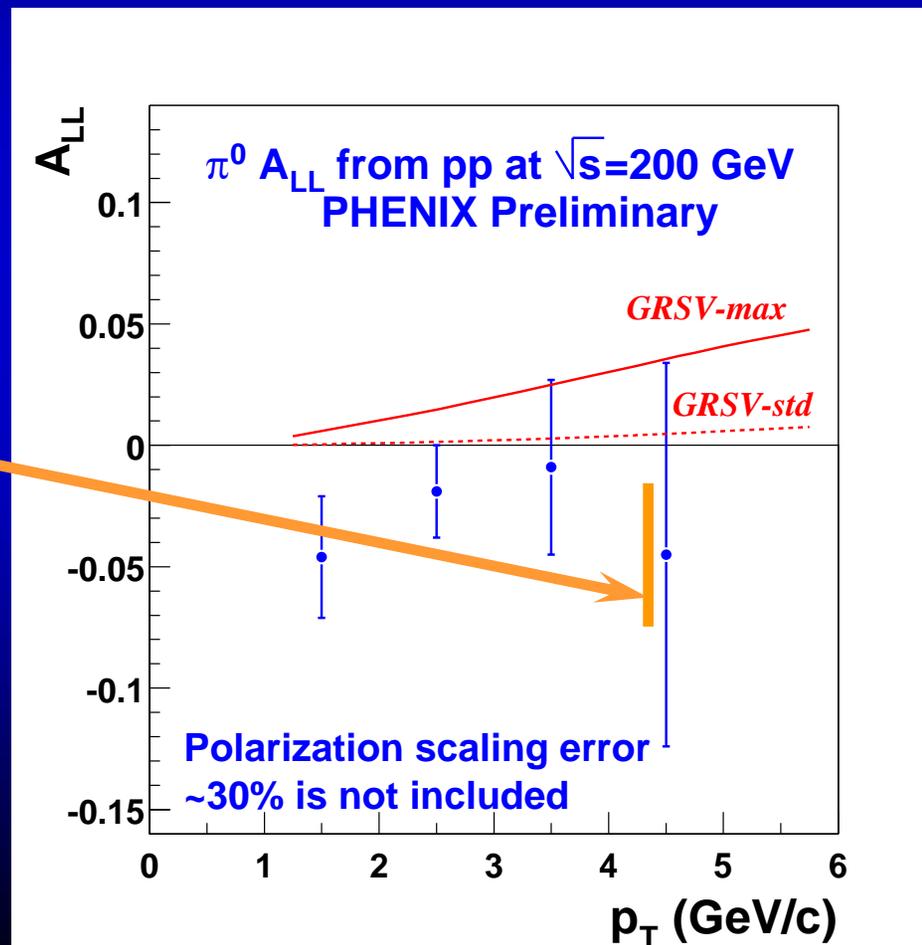
PHENIX Towards Our First "Real" Spin Publication

- Presented at Dubna spin conference (Sep-03)
- Extensive (ongoing) study of systematics
 - Bunch shuffling, background studies, A_L checks, ...
 - Relative luminosity precision $\sim 2.5 \times 10^{-4}$
 - ➔ Contribution to $A_{LL} < 0.2\%$
 - ➔ Dominated by statistical errors from 0.22 pb^{-1} sample
- *A very important proof-of-principle for spin program!*

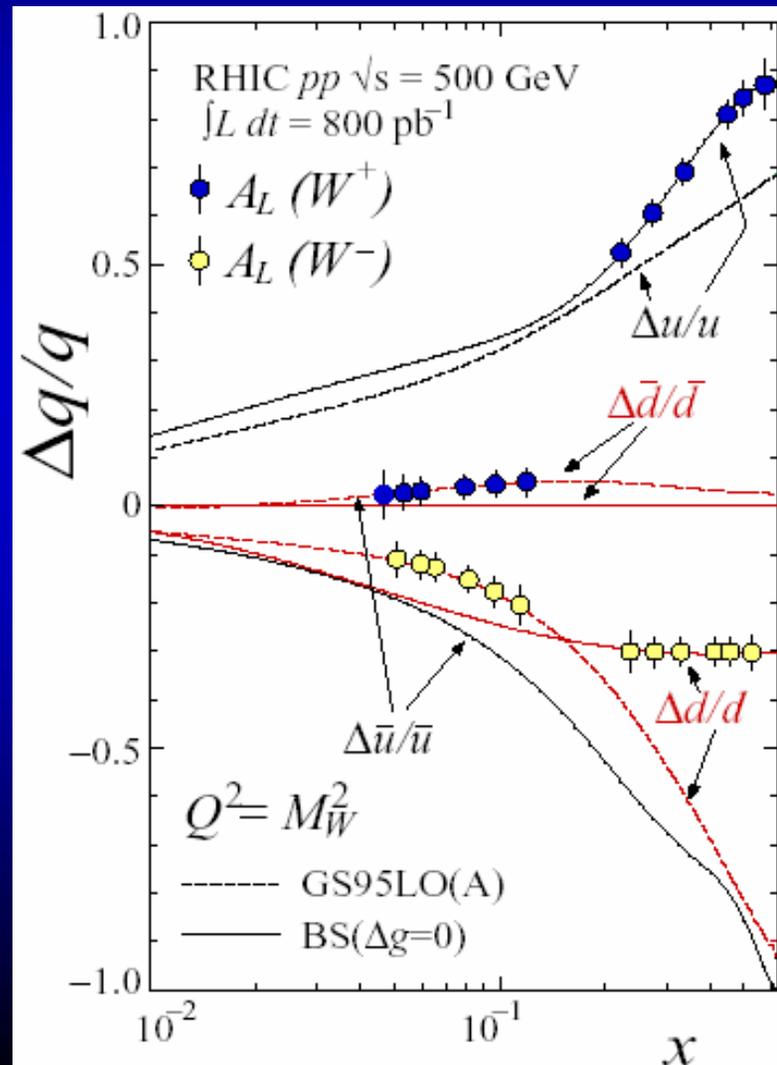
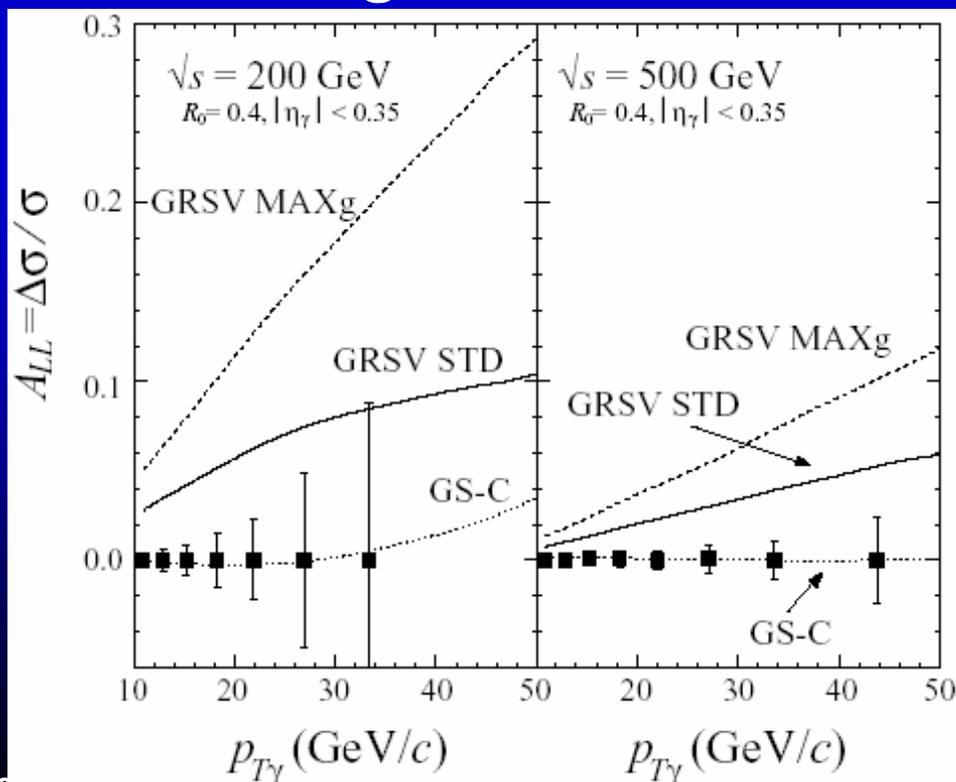
Calculations: B.Jäger *et al.*, PRD67, 054005 (2003)



- Run-3 Preliminary result based on
 - $\langle P \rangle = 27\%$
 - 0.35 pb^{-1} recorded
- For future projections:
- Run-4 (37 weeks only)
 - $\langle P \rangle = 40\%$
 - 0.5 pb^{-1} recorded
 - Factor 2.8 improvement in statistical error
- Run-5 (27 weeks scenario)
 - $\langle P \rangle = 50\%$
 - 1.2 pb^{-1} recorded
 - Factor 6.8 improvement in statistical error



- Given sufficient time/resources/successes to increase
 - Luminosity
 - Polarization
 - Absolute polarimetry
 - Energy
- Outstanding



What Will It Take?

- A dedicated program of machine development
- A commitment to increase RHIC running time

| Run | # of weeks | P_B | \sqrt{s} (GeV) | $\int \mathcal{L} dt$ (pb^{-1}) | physics | remarks |
|---------|------------|-------|---------------------|-----------------------------------------------|-------------------------------------------------------------------------------------------------------------|-----------------------|
| Run-1 | (3) | - | - | - | One ring commissioned | |
| Run-2 | (5)+3 | 0.15 | 200 | 0.15 | $\sigma(\pi^0, J/\psi); \mathcal{A}_N(\pi)$ | |
| Run-3 | (3)+5 | 0.27 | 200 | 0.35 | First $\mathcal{A}_{LL}(\pi^0)$ | |
| Run-4 | (5)+0 | 0.50 | 200 | - | Machine/PHENIX development towards high \mathcal{L} and P_B | new AGS warm snake |
| Run-5 | 5-10 | 0.50 | 200 | 3-10 | $\Delta g/g$ with $\mathcal{A}_{LL}(\pi^0)$ | new AGS cold snake |
| Run-6/7 | 19 | 0.70 | 200 | 158 | $\Delta g/g$ with $\mathcal{A}_{LL}(\gamma, \gamma$ $+ jet, c/b, J/\psi)$ | Si-VTX detector |
| Run-8/9 | 19-29 | 0.70 | 500 | 540-966 | $\Delta g/g$ with $\mathcal{A}_{LL}(\gamma)$ and $\Delta \bar{q}/\bar{q}$ with $\mathcal{A}_L(W^\pm)$ | W-trigger |

A decade of only 27 weeks per year severely jeopardizes the spin program (the entire program)

Table 2.6: Summary of the PHENIX Spin goals for the upcoming several years. For the “# of weeks”, the number in parenthesis shows the beam weeks required for commissioning. All future physics topics presented in the table involve longitudinal polarization; there is ongoing discussion regarding transverse polarization.

- **Executive Summary:** “Definitive measurements that will establish the nature of the matter created in nucleus+nucleus collisions, that will determine if the description of such matter as a quark-gluon plasma is appropriate, and that will quantify both the equilibrium and non-equilibrium features of the produced medium.”
- *This remains a very challenging task*
- Will require measurement of
 - Particle production at high transverse momentum, studied via single particle inclusive measurements of identified charged and neutral hadrons, multi-particle correlations and jet production.
 - Direct photon, photon+jet and virtual photon production.
 - Light and heavy vector mesons.
 - Heavy flavor production.
- Dominated by rare probes
 - ➔ *This program (too) is luminosity limited*
 - ➔ *This program (too) is compromised by 27 weeks/year*

- A quest to develop *highest possible integrated luminosity* in full energy Au+Au running

- To eliminate statistical ambiguity in many production channels

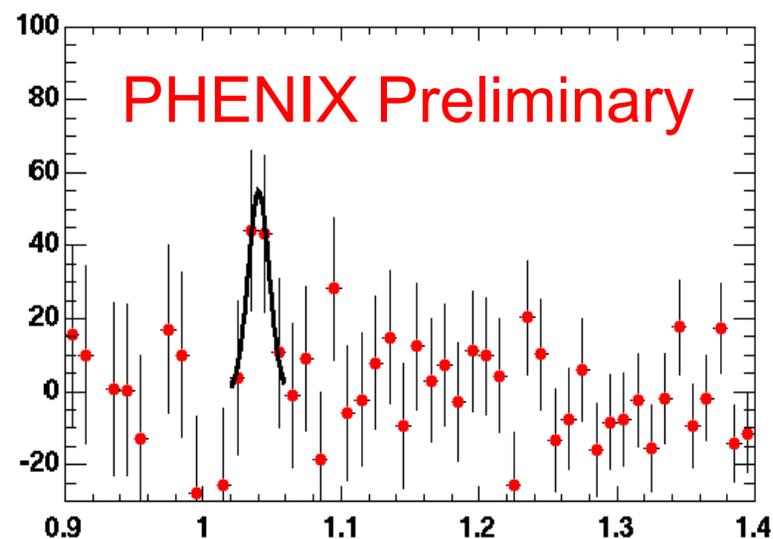
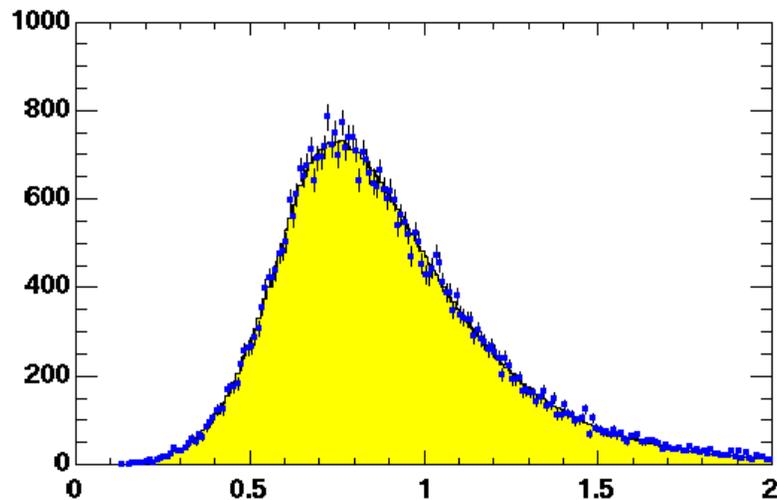
- Example: $\Phi \rightarrow e^+e^-$

- ◆ Run-2

$$\text{Signal} = 101 \pm 47 \text{ (stat)}_{-20}^{+56} \text{ (sys)}$$

- ◆ Run-4

- x10-15 yield
- Improved S/B



- A quest to develop *highest possible integrated luminosity* in full energy Au+Au running

- To eliminate statistical ambiguity in many production channels
- Example: Direct photons

◆ Run-2

- Statistics limited at $\sim 4 \text{ GeV}/c$

◆ Run-4

- Extend this to $\sim 10 \text{ GeV}/c$

HIGH-ENERGY PHOTONS FROM PASSAGE OF JETS THROUGH QUARK GLUON PLASMA.

by R. J. Fries, B. Muller and D. K. Srivastava,

Phys. Rev. Lett. 90:132301, 2003

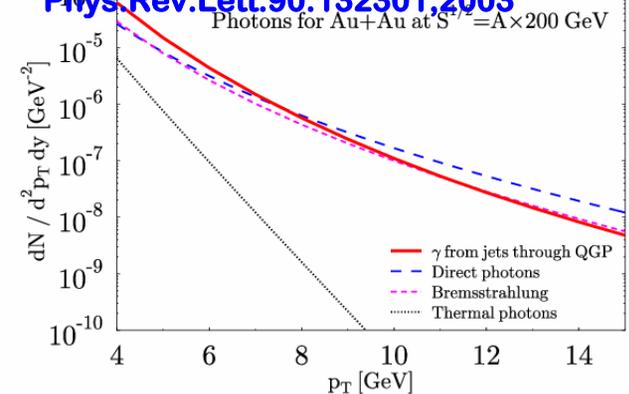
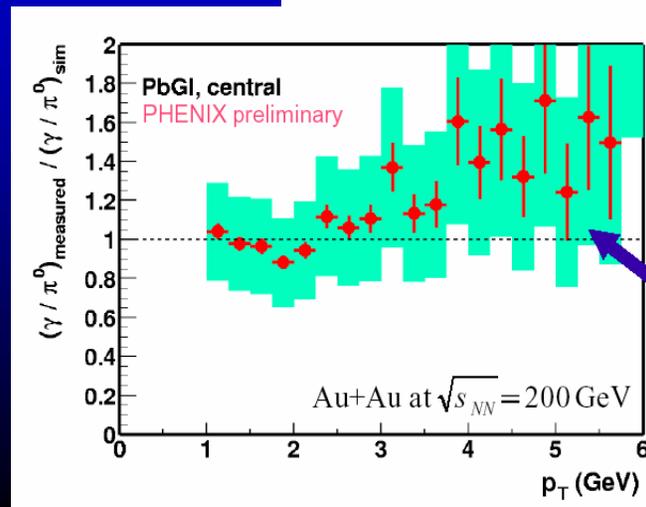
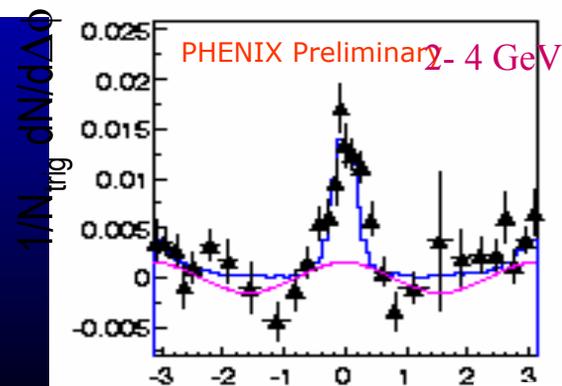
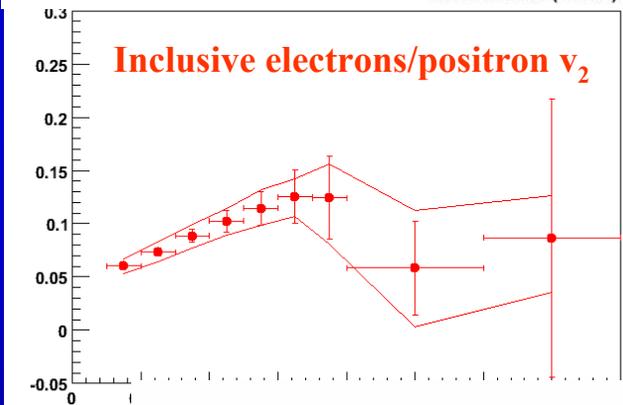
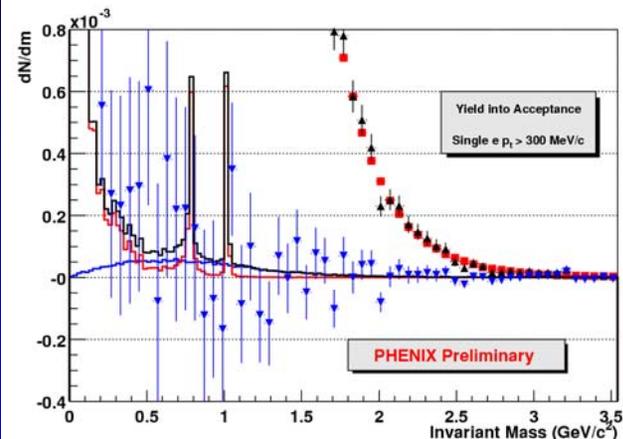


FIG. 1: Spectrum $dN/d^2 p_{\perp} dy$ of photons at $y = 0$ for central collision of gold nuclei at $\sqrt{s_{NN}} = 200 \text{ GeV}$ at RHIC. We show the photons from jets interacting with the medium (solid line), direct hard photons (long dashed), bremsstrahlung photons (short dashed) and thermal photons (dotted).



- A quest to develop *highest possible integrated luminosity* in full energy Au+Au running

- To eliminate statistical ambiguity in many production channels
- Other examples:
 - ◆ Low-mass pairs
 - ◆ Charm flow
 - ◆ “Jet” correlations



- A quest to develop *highest possible integrated luminosity* in full energy Au+Au running

- To eliminate statistical ambiguity in many production channels
 - Example: J/Ψ production
 - ◆ 27 week scenario:
 - $2.6\sigma (e^+e^-)$
 - $3.2\sigma (\mu^+\mu^-)$
- (in 0-20% centrality bin)

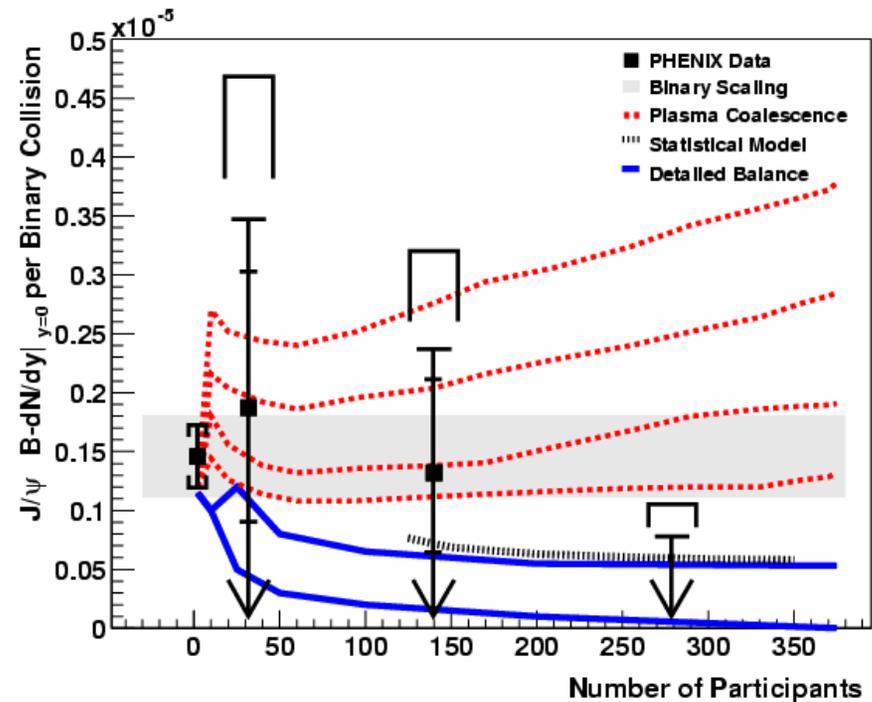


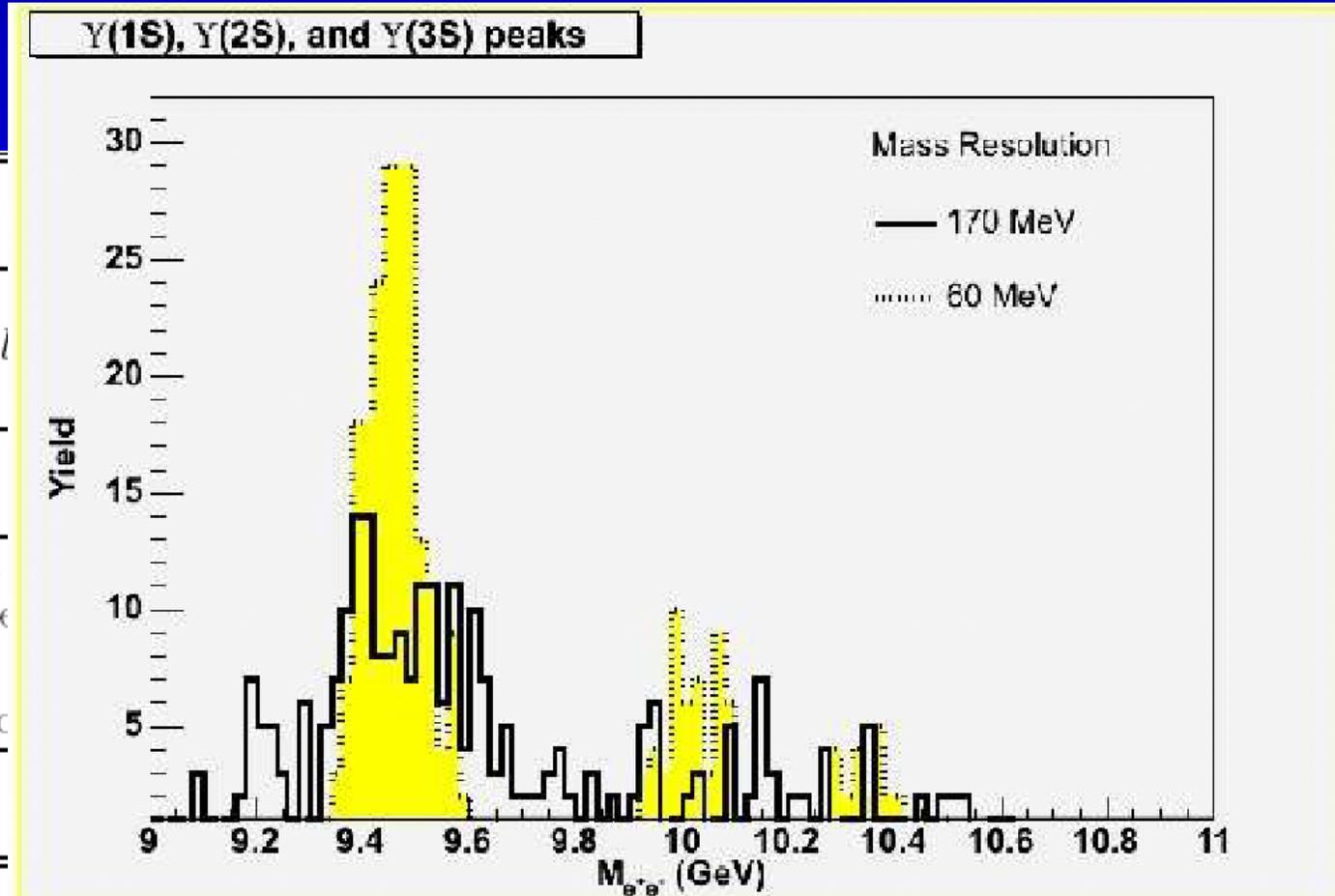
FIG. 6: (Color online) The J/ψ invariant yield per binary collision is shown from proton-proton reactions and three exclusive centrality ranges of Au-Au reactions all at $\sqrt{s_{NN}} = 200$ GeV. The lowest curve is a calculation including “normal” nuclear absorption in addition to substantial absorption in a high temperature quark-gluon plasma [16]. The curve above this is including backward reactions that recreate J/ψ . The statistical model [17] result is shown as a dotted curve for mid-central to central collisions just above that. The four highest dashed curves are from the plasma coalescence model [15] for a temperature parameter of $T = 400$ MeV and charm rapidity widths of $\Delta y = 1.0, 2.0, 3.0, 4.0$, from the highest to the lowest curve respectively.

- The PHENIX Beam Use Proposal anticipates $\sim 120 \mu\text{b}^{-1}$ (recorded)
- A primary goal of this effort is a definitive measurement of J/Ψ yields
- Recognized by PAC:
 - “The highest priority for heavy ions is a substantial running period of Au+Au at the highest RHIC energy of 200 A-GeV. It is important to integrate sufficient luminosity to open up the channel of heavy quarkonia studies for experimental and theoretical investigation. Measurements of the quarkonium channels are needed to characterize the system created and to complete our baseline program of exploring novel features of dense QCD matter such as the quark-gluon plasma. In addition, high quality measurements of low cross section processes will provide crucial constraints on the nature of the dense medium created.
- The $120 \mu\text{b}^{-1}$ is *a very significant reduction* from our long-standing request for $300 \mu\text{b}^{-1}$.
Driven by
 - (In part) revised C-A D guidance
 - (In part) desire to include 5 weeks of critically needed beam development for spin
- This too has been recognized by the PAC:
 - “We recommend that a benchmark of 300 inverse microbarns delivered luminosity be set for the Au-Au running period. We urge the laboratory to be flexible in time allocation so that a significant J/Ψ signal in central Au+Au collisions is observed.”

- Definitive measurements require integrated luminosities well in excess of our Run-4 projections
- Of course would like measurements with similar p_T or x_T reach in lighter systems, lower energy

| Topic | Signals | p_T (GeV/c) | \sim Lum (μb^{-1}) |
|-----------------------------------------------|---------------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------|
| hadron suppression | single π^0 (energy loss, flow, pQCD recovered) | 17 | 300 |
| modification of known E_{jet} (energy loss) | γ - charged/neutral correlations | 7 GeV γ | 300 |
| | | 7 GeV γ | 300 |
| | | 10 GeV γ | 1000 |
| jet modification (back-to-back jets) | charged-charged and neutral-charged 2 hadron correlations | > 5 GeV leading hadron > 7 GeV leading hadron | 300 3000 |
| in-medium fragmentation function | identified hadron correlations ≥ 2 particles detected | 3-4 GeV leading hadron + 2-3 GeV partner > 4 GeV leading hadron (requires aerogel) | 300 > 300 |

- Definitive measurements require integrated luminosities in excess of our Run-4 projections
- Of course would like measurements with similar p_T or x_T reach in lighter systems, lower energy



Topic

open charm
(energy loss, $\sigma(c\bar{c})$, f_L)

open beauty
(energy loss, $\sigma(b\bar{b})$)

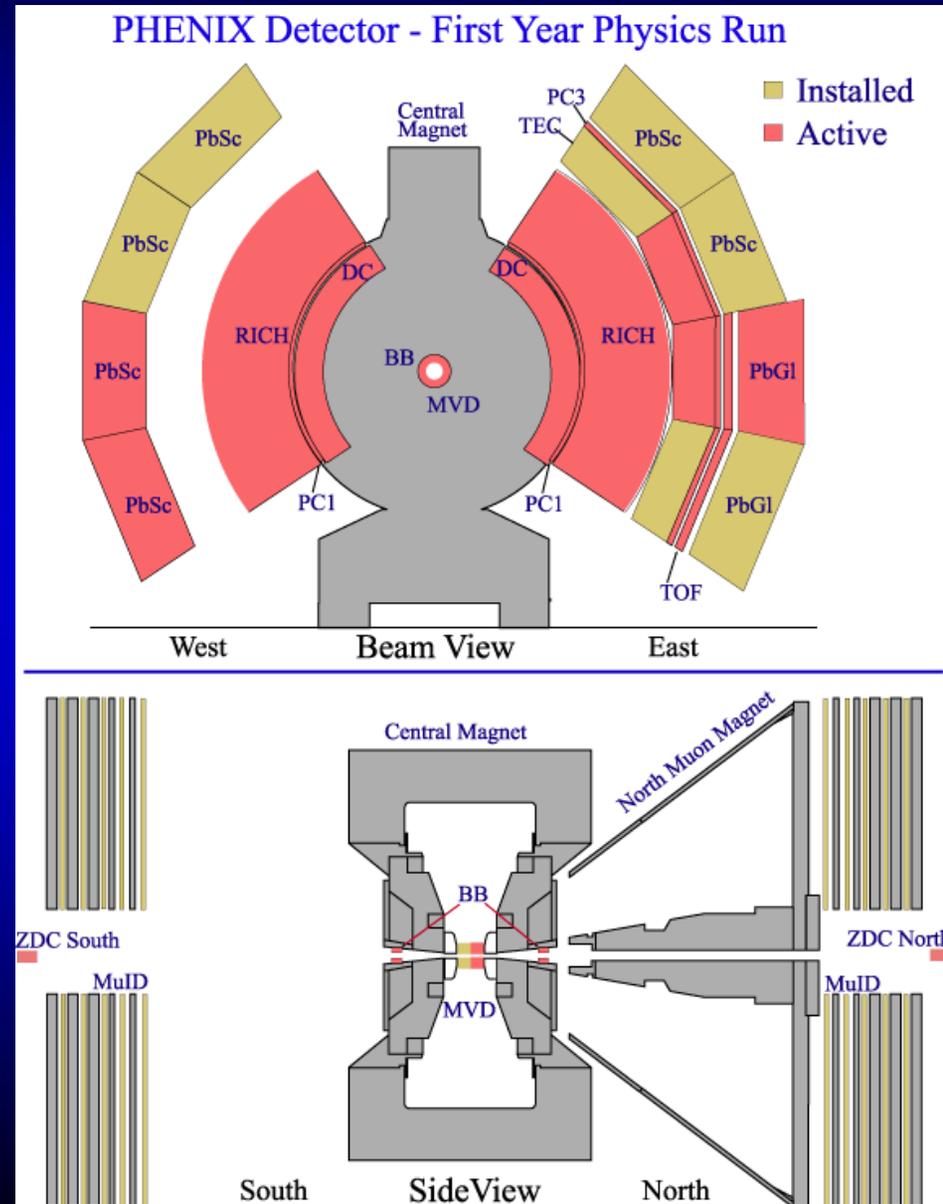
Prompt charmonium
(suppression, coalescence)

Charmonium background
Bottomonium

- **Executive Summary:** “A portion of this program is achievable using the present capabilities of PHENIX experimental apparatus, but the physics reach is considerably extended and the program made even more compelling by a proposed set of upgrades which include”
 - ❑ **An aerogel and time-of-flight system to provide complete $\pi/K/p$ separation for momenta up to 10-GeV/c. (First portion installed, ready for Run-4)**
 - ❑ **A vertex detector to detect displaced vertices from the decay of mesons containing charm or bottom quarks. (Proposal submitted to DOE)**
 - ❑ **A hadron-blind detector to detect and track electrons near the vertex. (Active R&D program)**
 - ❑ **A micro-TPC to extend the range of PHENIX tracking in azimuth and pseudo-rapidity. (Active R&D program)**
 - ❑ **A muon trigger upgrade to preserve sensitivity at the highest projected RHIC luminosities. (Proposal to NSF)**
 - ❑ **A forward calorimeter to provide photon+jet studies over a wide kinematic range. (Proposal to NSF)**

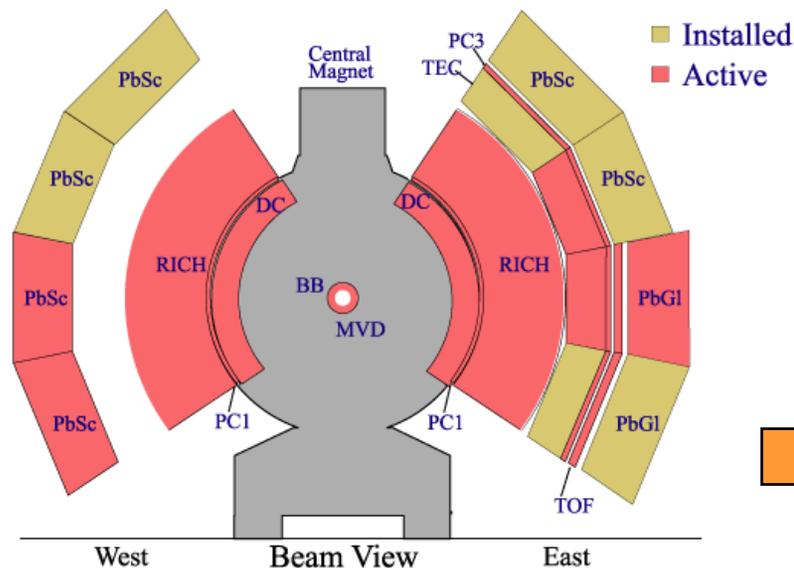
- Upgrades to existing apparatus are a key component of our Decadal Plan
- The PHENIX Upgrades strategy
 - Leverages > \$100M investment in PHENIX
 - Takes advantage of already extensive PHENIX experience with
 - ◆ Significant year-by-year upgrades
 - ◆ High speed DAQ
 - Runs (1, 2, 3, 4): (20, 40, 80, 160) MB/s
 - Front End:
 - Sub-system electronics, Data Collection Modules designed for x10 Au+Au “Blue Book” luminosity
 - Back end:
 - Event Builder, Level-2 (+3?) trigger system commodity based, “upgradeable” via Moore’s law
 - ◆ Physics-sensitive parallel triggers

- Two central arms
 - Mechanically ~complete
 - Roughly half of aperture instrumented
- Global detectors
 - Zero-degree Calorimeters (ZDCs)
 - Beam-Beam Counters (BBCs)
 - Multiplicity and Vertex Detector (MVD, engineering run)



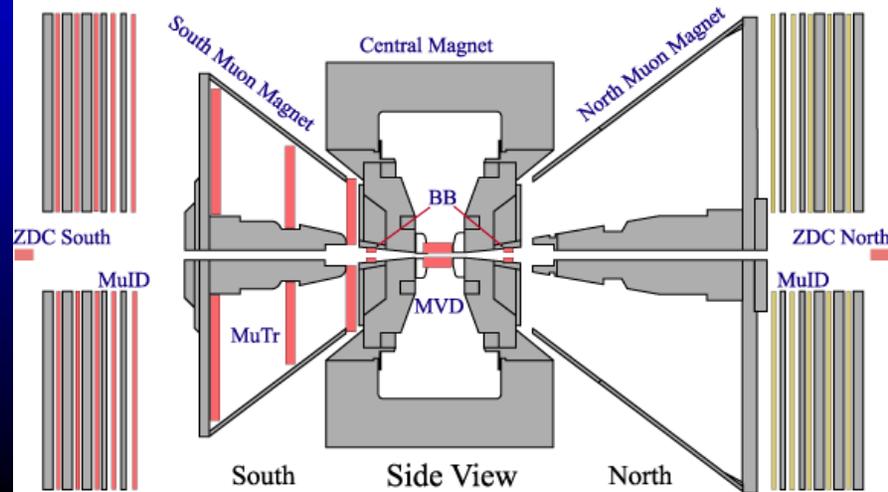
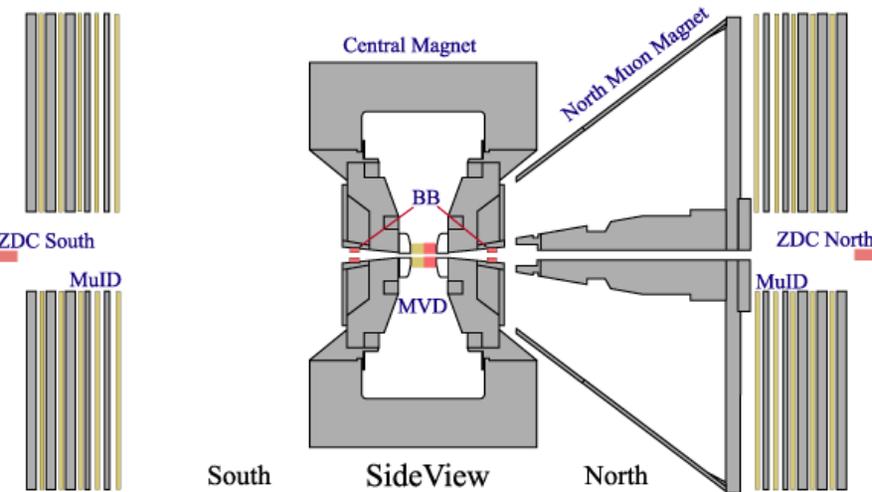
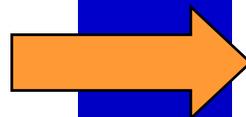
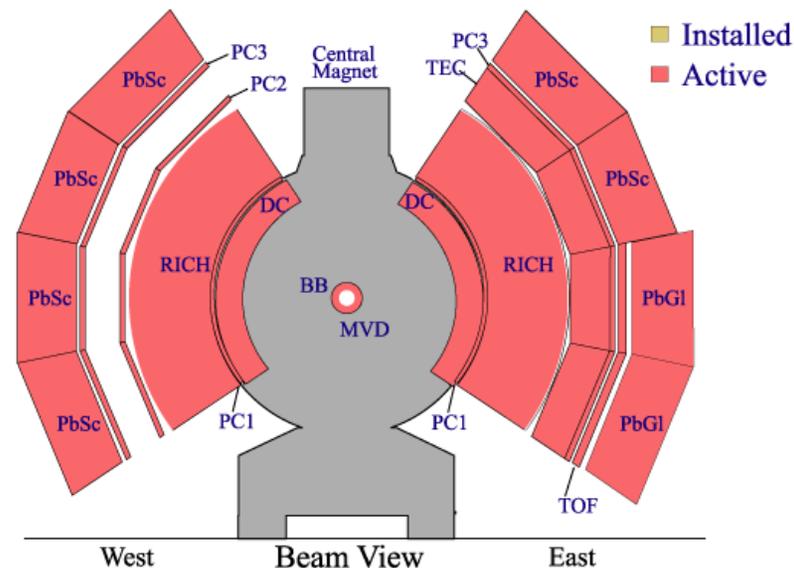
Run-1 (2000)

PHENIX Detector - First Year Physics Run

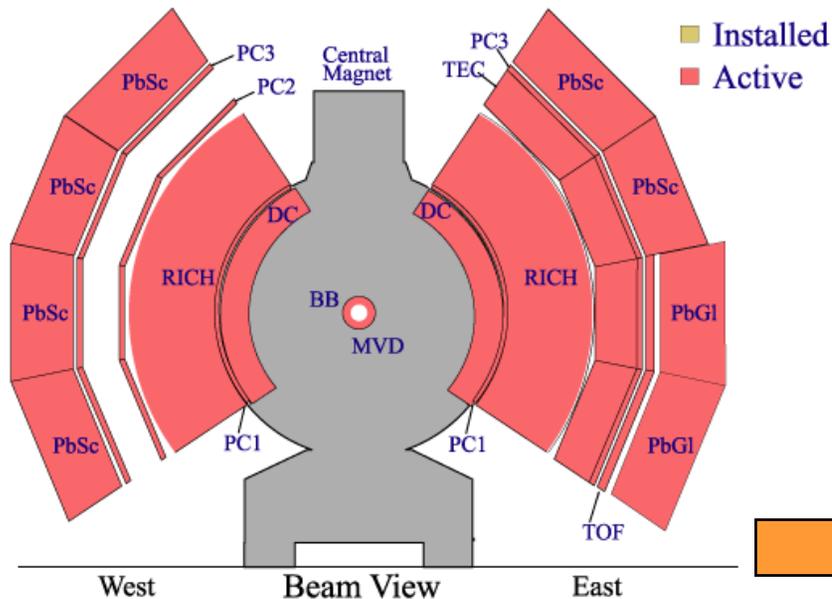


Run-2 (2001-2)

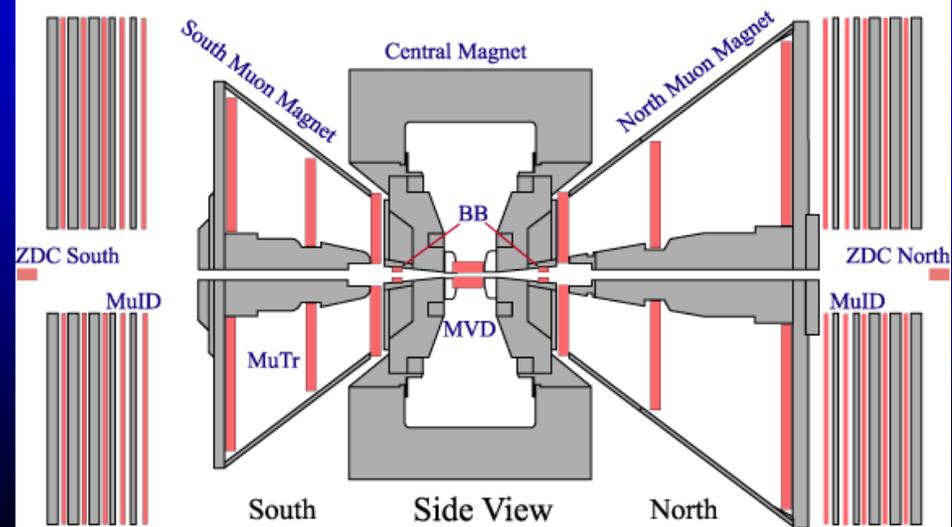
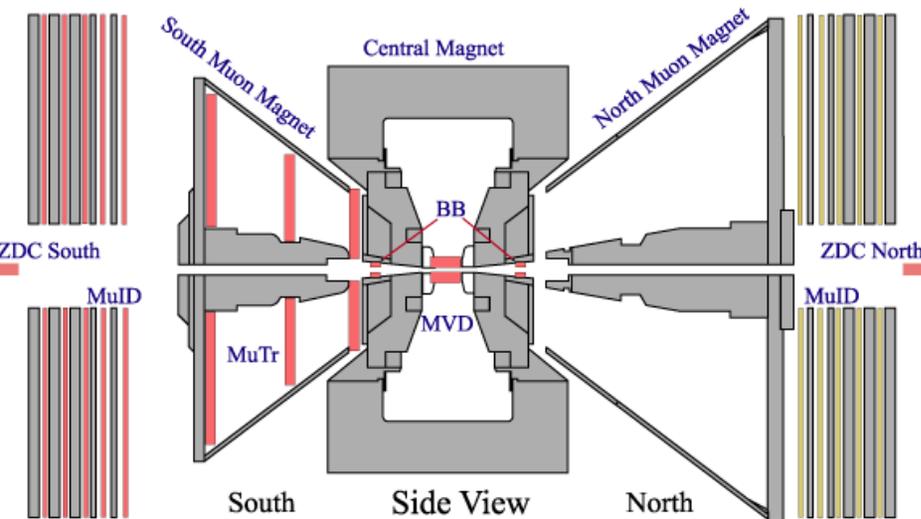
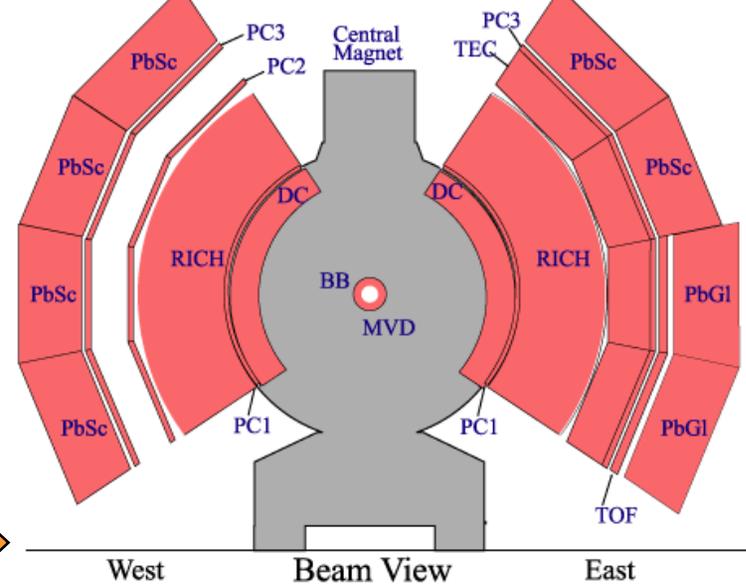
PHENIX Detector - Second Year Physics Run



PHENIX Detector - Second Year Physics Run



PHENIX Detector



Central Arm Tracking

- Drift Chamber
- Pad Chambers
- Time Expansion Chamber

Muon Arm Tracking

- Muon Tracker: North Muon Tracker

Calorimetry

- PbGI
- PbSc

Particle Id

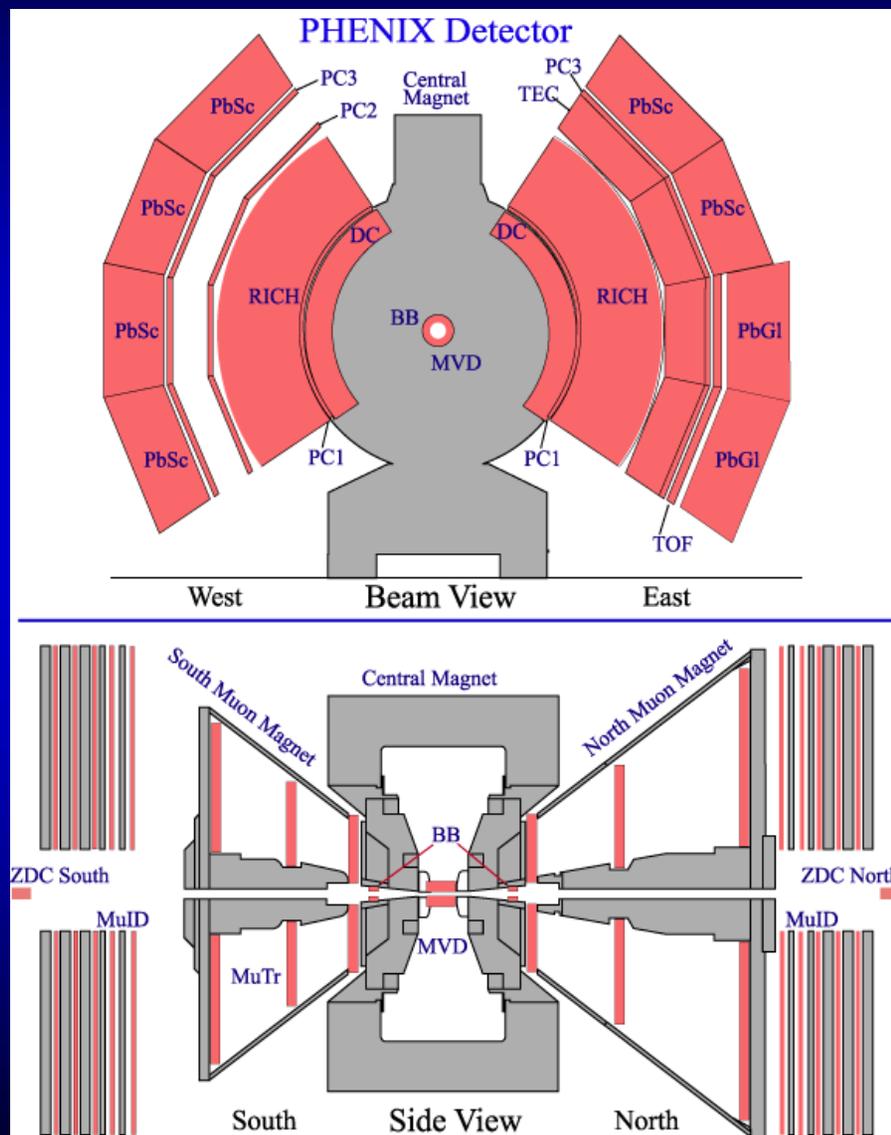
- Muon Identifier: North Muon Identifier

- RICH
- TOF
- TEC

Global Detectors

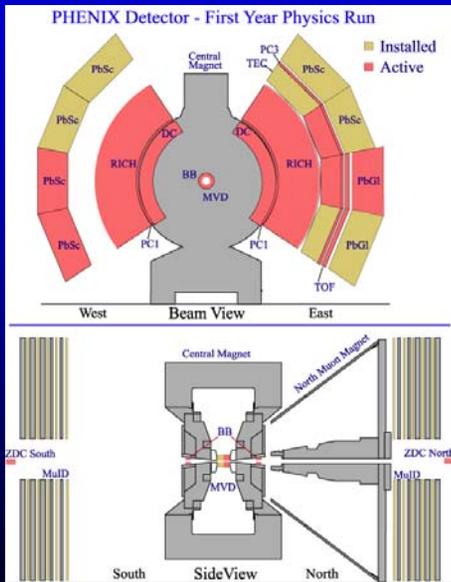
- BBC
- ZDC/SMD Local Polarimeter
- Forward Hadron Calorimeters
- NTC
- MVD

Online Calibration and Production

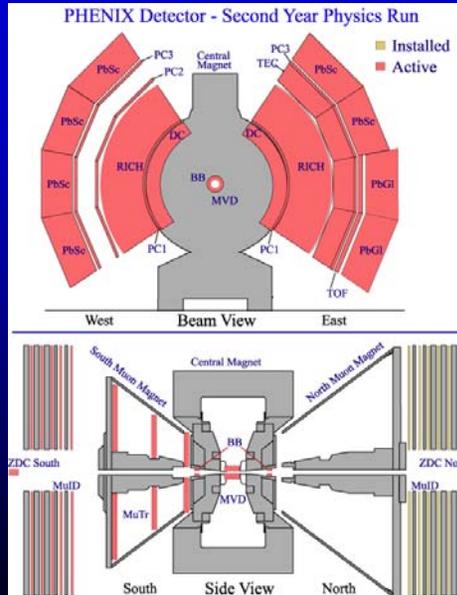


| Run | Year | Species | $s^{1/2}$ [GeV] | $\int L dt$ | N_{tot} | p-p Equivalent | Data Size |
|-----|-----------|---------|-----------------|-----------------|-----------|----------------|-----------|
| 01 | 2000 | Au-Au | 130 | $1 \mu b^{-1}$ | 10M | $0.04 pb^{-1}$ | 3 TB |
| 02 | 2001/2002 | Au-Au | 200 | $24 \mu b^{-1}$ | 170M | $1.0 pb^{-1}$ | 10 TB |
| | | p-p | 200 | $0.15 pb^{-1}$ | 3.7G | $0.15 pb^{-1}$ | 20 TB |
| 03 | 2002/2003 | d-Au | 200 | $2.74 nb^{-1}$ | 5.5G | $1.1 pb^{-1}$ | 46 TB |
| | | p-p | 200 | $0.35 pb^{-1}$ | 6.6G | $0.35 pb^{-1}$ | 35 TB |

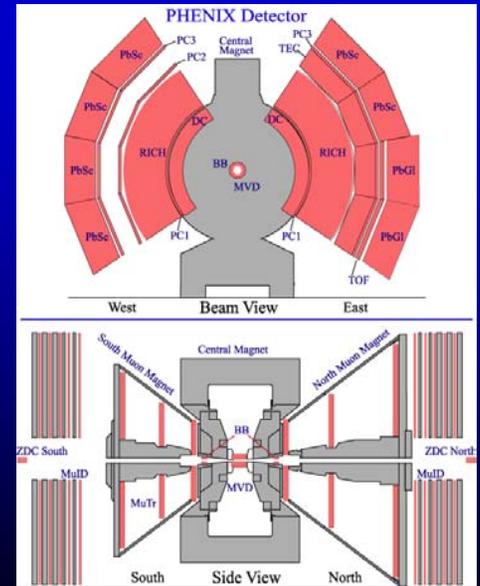
Run-1

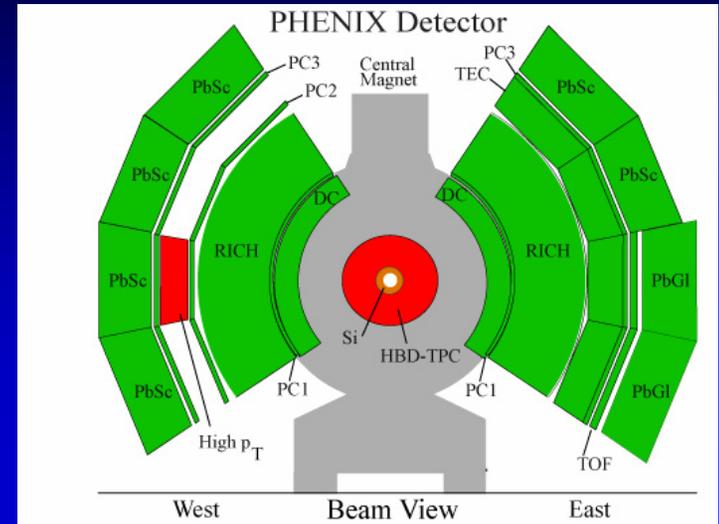


Run-2

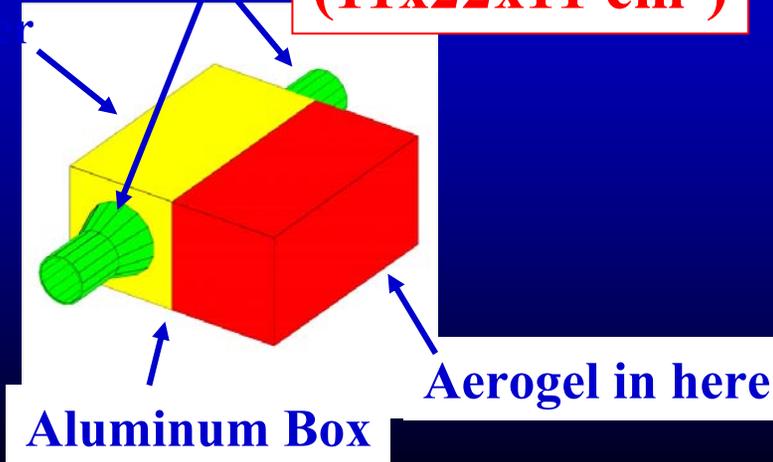


Run-3





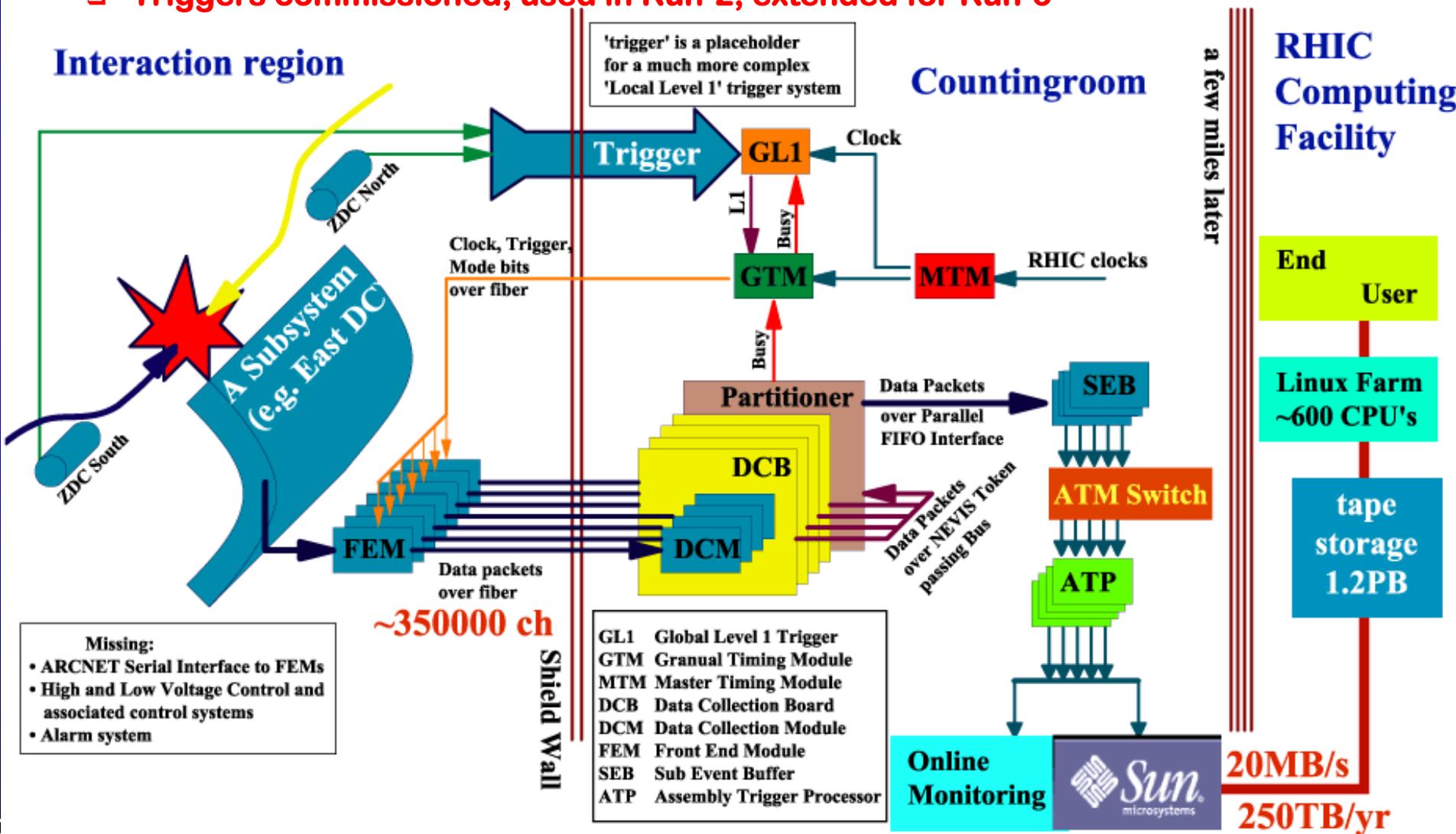
**Aerogel Cell
(11x22x11 cm³)**



- The Aerogel detector is a threshold Cerenkov counter
- Aerogel is a very low density, SiO₂ – based solid
- Aerogel has index of refr. between gases & liquids.
- Ident. charged particles in a range inaccessible with other technologies.

PHENIX has made a *major* effort to

- Design and build a system capable of extracting all physics at \geq design luminosity
- Triggers commissioned, used in Run-2, extended for Run-3



PHENIX (Existing) PHENIX DAQ

- A high BW system that smoothly accommodates additional sub-systems

Run Control for Big Owned by steve

File Options Mode

Download Don't click this button

Stop Configured BB LLI Status North Glink South Glink

Pause

Run Number: 78367
 Data Taking Mode: Production
 Run Control State: Run Started
 Outstanding Granule Count: 0
 Time In Run: 0:01:44
 Data Path: none
 Data File Directory: /a/junkdata
 Data File Name: none
 Buffer Box: phnxbox4.phenix.bnl.gov
 Granule State: GTM.MUID.S Started

Run Control Log

Issuing command: scaler read activate
 Issuing command: start
 Issuing command: stop
 Issuing command: scaler read deactivate
 Issuing command: set runtime junk
 Issuing command: scaler read activate
 Issuing command: start

Data Flow →

| Granule Names | GTM Status | | | | DCM Status | | | | Name | #Events | Event Size | Data Rate | Buff Usage | Read error | Busy | UR | Name | #Events | #L2Accept | #Read Err | Assem Rate | Ave Data Rate | ATP OK | ET OK | EBC Status | EBC.O |
|---------------|------------|-----|------|----|------------|------|-------|--------------------|--------|----------|--------------|-----------|------------|------------|------|---------|------|---------|-----------|-----------|------------|---------------|--------|----------------|------------|-------|
| | L1 | Run | Busy | OK | L1 | Busy | Glink | OK | | | | | | | | | | | | | | | | | | |
| 147903 | OK | Run | OK | OK | OK | OK | OK | SEB.BB.0 | 150160 | 1.952 KB | 4.167 MB/s | 0.898 | 0 | OK | OK | ATP.0 | 6900 | 0 | 0 | 83.562/s | 5.459 MB/s | OK | OK | EBC OK | 0.000 | |
| 7903 | OK | Run | OK | OK | OK | OK | OK | SEB.DC.0 | 150600 | 0.592 KB | 1.309 MB/s | 0.898 | 0 | OK | OK | ATP.1 | 6456 | 0 | 0 | 78.554/s | 5.077 MB/s | OK | OK | #Recieved | 149993 | |
| 7903 | OK | Run | OK | OK | OK | OK | OK | SEB.DC.W.0 | 150432 | 1.501 KB | 3.697 MB/s | 0.961 | 0 | OK | OK | ATP.2 | 6865 | 0 | 0 | 83.480/s | 5.508 MB/s | OK | OK | #Assigned | 149993 | |
| 7909 | OK | Run | OK | OK | OK | OK | OK | SEB.DC.W.1 | 150406 | 0.498 KB | 1.229 MB/s | 0.961 | 0 | OK | OK | ATP.3 | 6755 | 0 | 0 | 80.137/s | 5.281 MB/s | OK | OK | #Completed | 149610 | |
| 7915 | OK | Run | OK | OK | OK | OK | OK | SEB.NCH.W.0 | 150720 | 1.962 KB | 4.250 MB/s | 0.977 | 0 | OK | OK | ATP.4 | 6808 | 0 | 0 | 83.071/s | 5.546 MB/s | OK | OK | Avg Event Rate | 1965.239/s | |
| 7921 | OK | Run | OK | OK | OK | OK | OK | SEB.NCH.W.B | 150465 | 1.888 KB | 4.224 MB/s | 0.861 | 0 | OK | OK | ATP.5 | 6725 | 0 | 0 | 81.302/s | 5.502 MB/s | OK | OK | Avg Assem Lat | 0.132 s | |
| 7932 | OK | Run | OK | OK | OK | OK | OK | SEB.EMC.W.0 | 150465 | 1.858 KB | 4.164 MB/s | 0.883 | 0 | OK | OK | ATP.6 | 6706 | 0 | 0 | 80.444/s | 5.301 MB/s | OK | OK | Avg ATP Load | 0.000 | |
| 7932 | OK | Run | OK | OK | OK | OK | OK | SEB.EMC.W.T | 150120 | 1.893 KB | 3.973 MB/s | 0.820 | 0 | OK | OK | ATP.7 | 6403 | 0 | 0 | 77.571/s | 5.126 MB/s | OK | OK | | | |
| 7932 | OK | Run | OK | OK | OK | OK | OK | SEB.DC.E.0 | 150701 | 2.510 KB | 5.378 MB/s | 0.957 | 0 | OK | OK | ATP.8 | 5787 | 0 | 0 | 70.562/s | 4.591 MB/s | OK | OK | | | |
| 7932 | OK | Run | OK | OK | OK | OK | OK | SEB.DC.E.1 | 150792 | 1.245 KB | 2.630 MB/s | 1.000 | 0 | OK | OK | ATP.9 | 6559 | 0 | 0 | 78.851/s | 5.120 MB/s | OK | OK | | | |
| 7933 | OK | Run | OK | OK | OK | OK | OK | SEB.PC.E.0 | 150372 | 4.383 KB | 10.462 MB/s | 1.000 | 0 | OK | OK | ATP.A | 6528 | 0 | 0 | 79.304/s | 5.280 MB/s | OK | OK | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.TEC.E.0 | 150160 | 3.064 KB | 6.532 MB/s | 0.898 | 0 | OK | OK | ATP.C | 6433 | 0 | 0 | 77.945/s | 5.090 MB/s | OK | OK | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.TEC.E.4 | 150812 | 2.625 KB | 5.635 MB/s | 1.000 | 0 | OK | OK | ATP.D | 6384 | 0 | 0 | 77.754/s | 5.104 MB/s | OK | OK | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.TEC.E.1 | 150427 | 3.175 KB | 7.616 MB/s | 0.990 | 0 | OK | OK | ATP.E | 6168 | 0 | 0 | 77.041/s | 5.057 MB/s | OK | OK | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.TEC.E.2 | 150400 | 2.487 KB | 6.113 MB/s | 0.488 | 0 | OK | OK | ATP.F | 6264 | 0 | 0 | 77.009/s | 5.005 MB/s | OK | OK | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.TEC.E.5 | 150820 | 1.510 KB | 3.036 MB/s | 0.906 | 0 | OK | OK | ATP.I.0 | 6260 | 0 | 0 | 75.460/s | 4.958 MB/s | OK | OK | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.TEC.E.3 | 151000 | 2.984 KB | 5.627 MB/s | 0.859 | 0 | OK | OK | ATP.I.1 | 6330 | 0 | 0 | 77.550/s | 4.994 MB/s | OK | OK | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.TOF.E.0 | 150140 | 3.424 KB | 6.513 MB/s | 0.430 | 0 | OK | OK | ATP.I.2 | 6096 | 0 | 0 | 73.479/s | 4.847 MB/s | OK | OK | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.RICH.E.0 | 150239 | 2.399 KB | 5.378 MB/s | 0.936 | 0 | OK | OK | ATP.I.5 | 6074 | 0 | 0 | 72.440/s | 4.796 MB/s | OK | OK | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.EMC.ET | 150455 | 1.478 KB | 3.163 MB/s | 0.910 | 0 | OK | OK | ATP.I.6 | 5938 | 0 | 0 | 72.162/s | 4.673 MB/s | OK | OK | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.EMC.E.B.0 | 150372 | 4.162 KB | 9.994 MB/s | 1.000 | 0 | OK | OK | ATP.I.7 | 6400 | 0 | 0 | 78.568/s | 5.093 MB/s | OK | OK | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.EMC.E.B.1 | 151051 | 5.289 KB | 9.996 MB/s | 0.881 | 0 | OK | OK | ATP.I.8 | 5687 | 0 | 0 | 69.226/s | 4.576 MB/s | OK | OK | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.MUTR.SS.ST.1.0 | 150600 | 1.197 KB | 2.641 MB/s | 0.898 | 0 | OK | OK | ATP.I.9 | 7016 | 0 | 0 | 84.710/s | 5.426 MB/s | OK | OK | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.MUTR.SS.ST.2.0 | 150372 | 0.790 KB | 1.954 MB/s | 1.000 | 0 | OK | OK | | | | | | | | | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.MUTR.SS.ST.3.0 | 150520 | 1.170 KB | 2.617 MB/s | 0.861 | 0 | OK | OK | | | | | | | | | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.MUTR.SS.ST.3.1 | 150520 | 1.145 KB | 2.543 MB/s | 0.861 | 0 | OK | OK | | | | | | | | | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.MUTR.NS.ST.1.0 | 150812 | 0.768 KB | 1.630 MB/s | 1.000 | 0 | OK | OK | | | | | | | | | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.MUTR.NS.ST.2.0 | 150761 | 1.240 KB | 2.643 MB/s | 0.980 | 0 | OK | OK | | | | | | | | | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.MUTR.NS.ST.3.0 | 150840 | 0.862 KB | 1.720 MB/s | 0.938 | 0 | OK | OK | | | | | | | | | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.MUTR.NS.ST.3.1 | 150640 | 0.985 KB | 2.175 MB/s | 0.938 | 0 | OK | OK | | | | | | | | | | | |
| 7942 | OK | Run | OK | OK | OK | OK | OK | SEB.MUID.N | 150432 | 0.816 KB | 1.972 MB/s | 0.961 | 0 | OK | OK | | | | | | | | | | | |
| 7944 | OK | Run | OK | OK | OK | OK | OK | SEB.ERT.E | 151192 | 0.412 KB | 0.786 MB/s | 1.000 | 0 | OK | OK | | | | | | | | | | | |
| 7950 | OK | Run | OK | OK | OK | OK | OK | SEB.ERT.W | 151040 | 0.412 KB | 0.788 MB/s | 0.938 | 0 | OK | OK | | | | | | | | | | | |
| 7968 | OK | Run | OK | OK | OK | OK | OK | SEB.FCAL | 150792 | 6.536 KB | 13.883 MB/s | 0.961 | 0 | OK | OK | | | | | | | | | | | |
| 7968 | OK | Run | OK | OK | OK | OK | OK | SEB.MUID.S | 150890 | 0.816 KB | 1.560 MB/s | 0.914 | 0 | OK | OK | | | | | | | | | | | |
| | | | | | | | | Sum | | 70.87 KB | 151.995 MB/s | | | | | | | | | | | | | | | |

Sub-systems

151 MB/s

Tailing /tmp/RC-BigLog

```

RCBEEExecuting Command: gettriglist
Processing command: gettriglist
In GetTriggerList
RCBEEExecute complete
  
```

PHENIX (Existing) PHENIX Triggers

- Extensive experience with running (and using) many parallel triggers to

- ▣ Preserve bandwidth

- ▣ Access rare signals (e.g., high p_T photons, electrons)

Detached Panel

Scaler Monitor

| Trig | Status | Raw | Live | Scaled | Raw Rate | Live Rate | Scaled Rate | Live Time | Live Time(RA) | Raw/Ref | Live/Ref | Scaled/Ref |
|--------------------------|----------|------------|-------------|--------|------------|------------|-------------|-----------|---------------|---------|----------|------------|
| Clock | Enabled | -868843911 | -1424211516 | 6820 | 9.383 MHz | 7.899 MHz | 18.786 Hz | 1.639 | 0.842 | 1.0000 | 1.0000 | 1.0000 |
| BBCLL1 >=1 | Enabled | 5420766 | 4544244 | 44992 | 14.669 KHz | 12.362 KHz | 122.396 Hz | 0.838 | 0.843 | 0.0016 | 0.0016 | 6.5152 |
| ZDCNS | Enabled | 3553046 | 2978694 | 5945 | 9.621 KHz | 8.098 KHz | 16.150 Hz | 0.838 | 0.842 | 0.0010 | 0.0010 | 0.8597 |
| ERT_2x2 | Disabled | 3730471 | 0 | 0 | 10.109 KHz | 0.000 Hz | 0.000 Hz | 0.000 | 0.000 | 0.0011 | 0.0000 | 0.0000 |
| ERT_2x2&BBCLL1 | Enabled | 1374115 | 1155670 | 1 | 3.714 KHz | 3.136 KHz | 0.000 Hz | 0.841 | 0.844 | 0.0004 | 0.0004 | 0.0000 |
| MUIDLL1_S_Hor&MUIDS_1D | Disabled | 0 | 0 | 0 | 0.000 Hz | 0.000 Hz | 0.000 Hz | -- | -- | 0.0000 | 0.0000 | 0.0000 |
| ERT_Gamma1&BBCLL1 | Enabled | 31272 | 26283 | 26283 | 86.577 Hz | 73.031 Hz | 73.031 Hz | 0.840 | 0.844 | 0.0000 | 0.0000 | 3.8875 |
| ERT_Gamma2 | Enabled | 11494 | 9632 | 9632 | 31.656 Hz | 27.465 Hz | 27.465 Hz | 0.838 | 0.867 | 0.0000 | 0.0000 | 1.4620 |
| ERT_Gamma2&BBCLL1 | Enabled | 9098 | 7622 | 7622 | 25.580 Hz | 22.227 Hz | 22.227 Hz | 0.838 | 0.866 | 0.0000 | 0.0000 | 1.1832 |
| MUIDLL1_S_Horizontal | Disabled | 0 | 0 | 0 | 0.000 Hz | 0.000 Hz | 0.000 Hz | -- | -- | 0.0000 | 0.0000 | 0.0000 |
| ERT_Electron&BBCLL1 | Enabled | 97065 | 81802 | 81802 | 251.030 Hz | 210.536 Hz | 210.536 Hz | 0.843 | 0.838 | 0.0000 | 0.0000 | 11.2070 |
| MUIDLL1_S_Vertical | Disabled | 0 | 0 | 0 | 0.000 Hz | 0.000 Hz | 0.000 Hz | -- | -- | 0.0000 | 0.0000 | 0.0000 |
| MUIDLL1_S_Vert&MUIDS_1D | Disabled | 0 | 0 | 0 | 0.000 Hz | 0.000 Hz | 0.000 Hz | -- | -- | 0.0000 | 0.0000 | 0.0000 |
| ERT_Phi&BBCLL1 | Enabled | 402045 | 340575 | 6677 | 1.044 KHz | 881.646 Hz | 17.254 Hz | 0.847 | 0.845 | 0.0001 | 0.0001 | 0.9184 |
| ERT_Gamma3&BBCLL1 | Enabled | 136997 | 115617 | 11561 | 372.946 Hz | 315.807 Hz | 31.533 Hz | 0.844 | 0.848 | 0.0000 | 0.0000 | 1.6785 |
| MUIDS_1D | Disabled | 2392654 | 0 | 0 | 6.591 KHz | 0.000 Hz | 0.000 Hz | 0.000 | 0.000 | 0.0007 | 0.0000 | 0.0000 |
| MUIDS_1D&BBCLL1 | Enabled | 140005 | 117087 | 10644 | 381.937 Hz | 321.240 Hz | 29.249 Hz | 0.836 | 0.840 | 0.0000 | 0.0000 | 1.5570 |
| MUIDS_1D1S*BBCLL1 | Enabled | 91982 | 76758 | 76758 | 249.172 Hz | 209.587 Hz | 209.587 Hz | 0.834 | 0.840 | 0.0000 | 0.0000 | 11.1565 |
| MUIDN_1D | Disabled | 2056883 | 0 | 0 | 5.612 KHz | 0.000 Hz | 0.000 Hz | 0.000 | 0.000 | 0.0006 | 0.0000 | 0.0000 |
| MUIDN_1D&BBCLL1 | Enabled | 43034 | 36047 | 12015 | 117.680 Hz | 99.366 Hz | 33.093 Hz | 0.838 | 0.847 | 0.0000 | 0.0000 | 1.7616 |
| BBCLL1_SyncErr | Disabled | 221976 | 0 | 0 | 8.760 Hz | 0.000 Hz | 0.000 Hz | 0.000 | 0.000 | 0.0000 | 0.0000 | 0.0000 |
| MUIDN_1D1S*BBCLL1 | Enabled | 4032 | 3370 | 3370 | 10.662 Hz | 9.418 Hz | 9.418 Hz | 0.836 | 0.879 | 0.0000 | 0.0000 | 0.5014 |
| ZDCS ZDCN | Enabled | 21769707 | 18260976 | 6086 | 59.037 KHz | 49.769 KHz | 16.569 Hz | 0.839 | 0.843 | 0.0063 | 0.0063 | 0.8820 |
| MUIDLL1_S_Ver&Hor | Disabled | 0 | 0 | 0 | 0.000 Hz | 0.000 Hz | 0.000 Hz | -- | -- | 0.0000 | 0.0000 | 0.0000 |
| NTCNSwide | Disabled | 13418995 | 0 | 0 | 36.440 KHz | 0.000 Hz | 0.000 Hz | 0.000 | 0.000 | 0.0039 | 0.0000 | 0.0000 |
| PPG(Pedestal) | Enabled | 364 | 292 | 292 | 1.017 Hz | 0.923 Hz | 0.923 Hz | 0.802 | 0.905 | 0.0000 | 0.0000 | 0.0491 |
| PPG(Test Pulse) | Enabled | 363 | 312 | 312 | 0.973 Hz | 0.791 Hz | 0.791 Hz | 0.860 | 0.850 | 0.0000 | 0.0000 | 0.0421 |
| PPG(Laser) | Enabled | 363 | 302 | 302 | 0.973 Hz | 0.695 Hz | 0.695 Hz | 0.832 | 0.700 | 0.0000 | 0.0000 | 0.0370 |
| BBCLL1 >=1 (noVertexCut) | Enabled | 11680613 | 9789626 | 3263 | 31.702 KHz | 26.711 KHz | 8.932 Hz | 0.838 | 0.843 | 0.0034 | 0.0034 | 0.4755 |

PHENIX (Existing) PHENIX Triggers

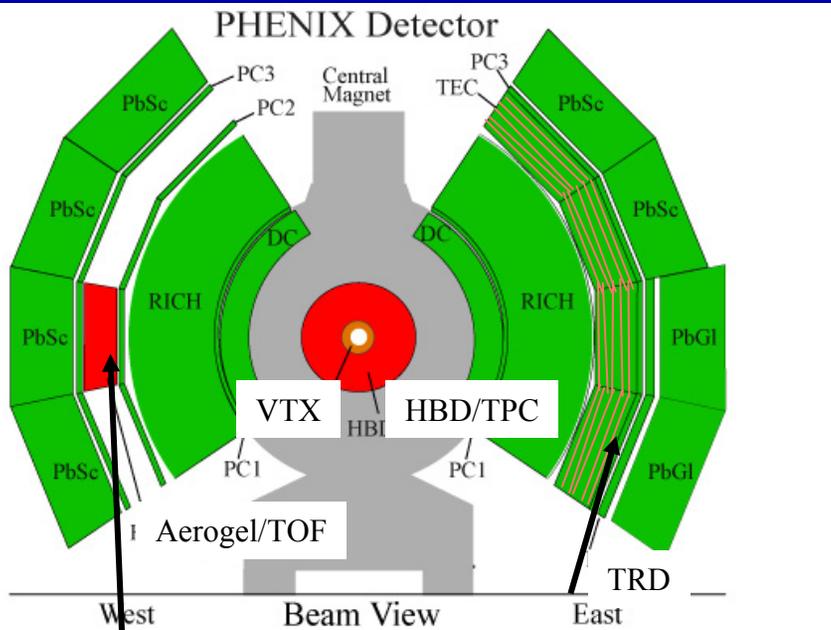
- Extensive experience with running (and using) many parallel triggers to
 - ▣ Preserve bandwidth
 - ▣ Access rare signals (e.g., high p_T photons, electrons)

| Name | Bit Mask | Scale Down | State | Raw Trigger Count | Live Trigger Count | Scaled Trigger Count |
|-------------------------------|------------|------------|----------|-------------------|--------------------|----------------------|
| Clock | 0x00000002 | 9999999 | Enabled | 2471058950 | 1312746464 | 1419 |
| BBCLL1 >=1 | 0x00000004 | 600 | Enabled | 20843242 | 19345800 | 32189 |
| ZDCNS | 0x00000008 | 1500 | Enabled | 21225805 | 19623002 | 13073 |
| MUIDS_2D&BBCLL1 | 0x00000010 | 0 | Enabled | 39723 | 36628 | 36628 |
| ERT_2x2&BBCLL1 | 0x00000020 | 999999 | Enabled | 2706996 | 2503501 | 2 |
| MUIDLL1_S_H&V&BBCLL1 | 0x00000040 | 999999 | Disabled | 90 | 0 | 0 |
| ERT_Gamma1&BBCLL1 | 0x00000080 | 0 | Enabled | 126860 | 120024 | 120024 |
| ERT_Gamma2 | 0x00000100 | 0 | Enabled | 50154 | 46646 | 46646 |
| ERT_Gamma2&BBCLL1 | 0x00000200 | 0 | Enabled | 34976 | 32547 | 32547 |
| MUIDLL1_S_Horizontal | 0x00000400 | 999999 | Disabled | 27488819 | 0 | 0 |
| ERT_Electron&BBCLL1 | 0x00000800 | 0 | Enabled | 141652 | 130904 | 130904 |
| MUIDLL1_S_Vertical | 0x00001000 | 999999 | Disabled | 2414036 | 0 | 0 |
| MUIDLL1_S_H&V&MUIDS_1D&BBCLL1 | 0x00002000 | 999999 | Disabled | 17 | 0 | 0 |
| ERT_Phi&BBCLL1 | 0x00004000 | 999999 | Enabled | 1021894 | 945115 | 0 |
| ERT_Gamma3&BBCLL1 | 0x00008000 | 999999 | Enabled | 526554 | 490405 | 0 |
| MUIDS_1D | 0x00010000 | 999999 | Disabled | 42707677 | 0 | 0 |
| MUIDS_1D&BBCLL1 | 0x00020000 | 40 | Enabled | 584401 | 541532 | 13208 |
| MUIDN_2D&BBCLL1 | 0x00040000 | 0 | Enabled | 24513 | 22688 | 22688 |
| MUIDS_1D1S*BBCLL1 | 0x00080000 | 1 | Enabled | 373585 | 346012 | 173006 |
| MUIDN_1D | 0x00100000 | 999999 | Disabled | 21446038 | 0 | 0 |
| MUIDN_1D&BBCLL1 | 0x00200000 | 8 | Enabled | 210357 | 195137 | 21681 |
| BBCLL1_SyncErr | 0x00400000 | 999999 | Disabled | 60522161 | 0 | 0 |
| MUIDN_1D1S*BBCLL1 | 0x00800000 | 0 | Enabled | 37956 | 35100 | 35100 |
| ZDCS ZDCN | 0x01000000 | 999999 | Enabled | 128154098 | 118492332 | 118 |
| MUIDLL1_S_Ver Hor | 0x02000000 | 999999 | Disabled | 29863878 | 0 | 0 |
| MUIDLL1_S_Ver&Hor | 0x04000000 | 999999 | Disabled | 38977 | 0 | 0 |
| NTCN&MUIDN_1D | 0x08000000 | 999999 | Disabled | 2307683 | 0 | 0 |

Central Arm Upgrades

- Enhanced Particle ID

- TRD (east)
- Aerogel/TOF (west)



charm/beauty:

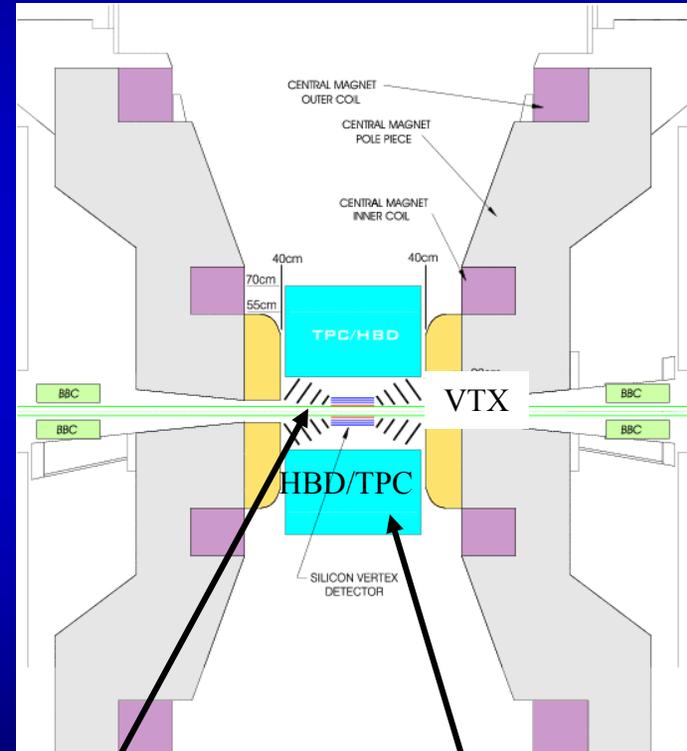
TRD e/π above 5 GeV/c

High p_T phenomena:

π , K, p separation to 10 GeV/c

- Vertex Spectrometer

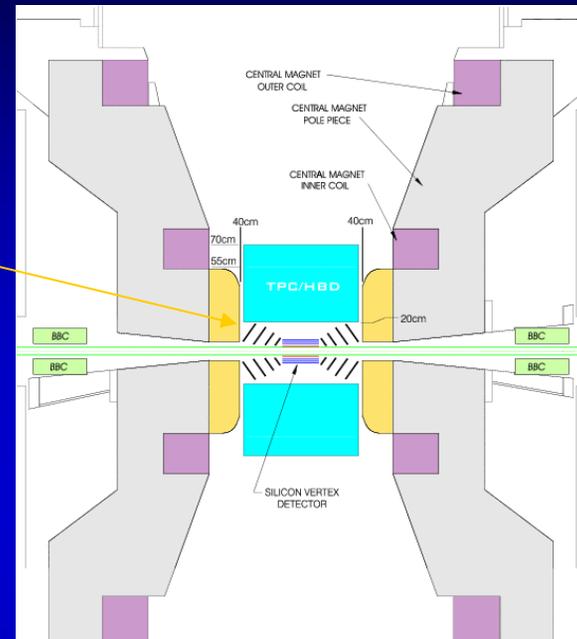
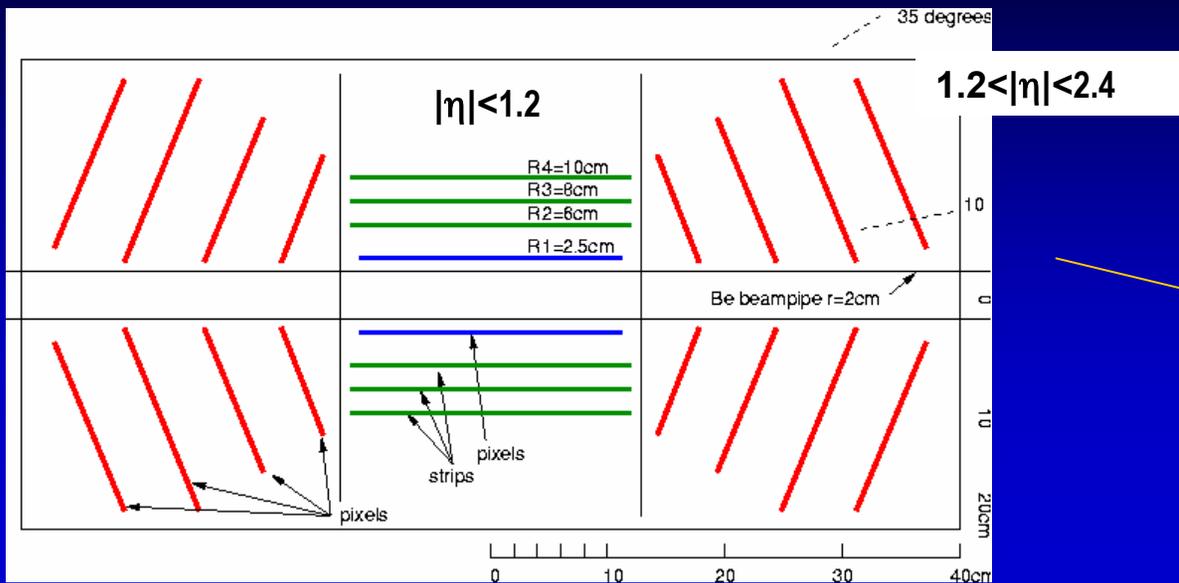
- flexible magnetic field
- VTX: silicon barrel vertex tracker
- HBD and/or TPC



charm/beauty:
displaced vertex

e^+e^- continuum:
Dalitz rejection

Silicon Vertex Tracker (VTX)



Pixel barrel (50 μm x 425 μm)

Strip barrels (80 μm x 3 cm)

Endcap (extension) (50 μm x 2 mm)

1 - 2% X_0 per layer

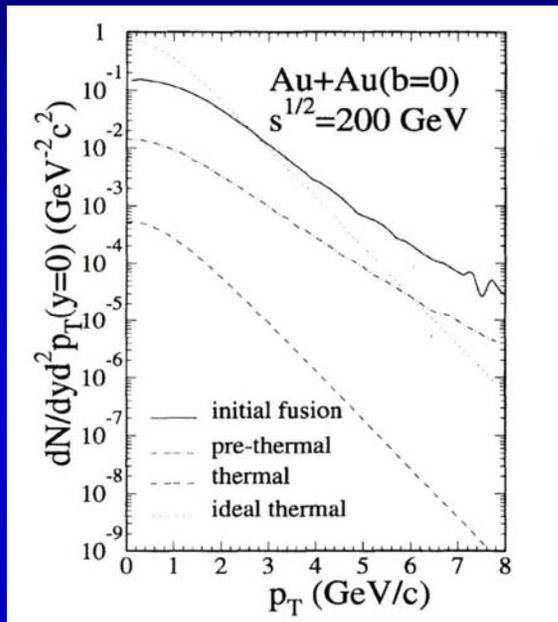
barrel resolution < 50 μm

endcap resolution < 150 μm



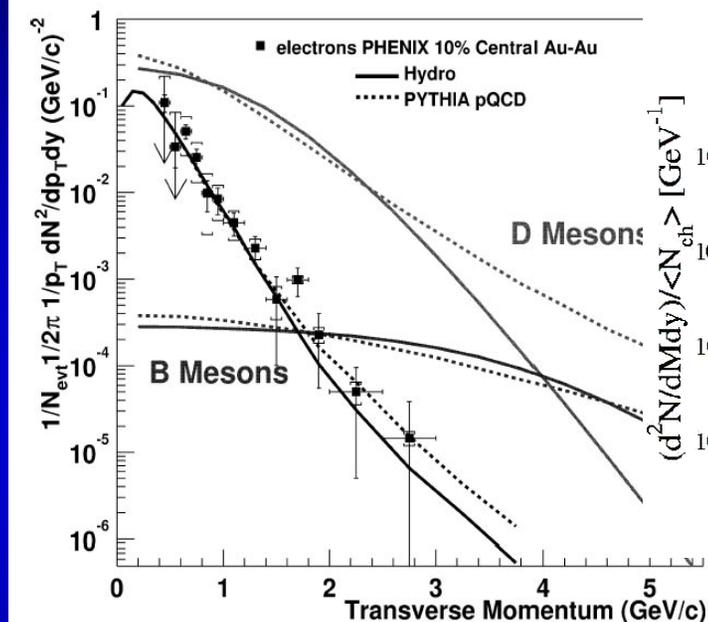
- VTX Goal: Provide key measurements so far inaccessible at PHENIX:
 - Detailed study of the hot and dense matter formed in Au+Au collisions
 - ◆ Precise measurement of charm production
 - Charm enhancement in pre-thermal stage
 - Reference for J/ψ measurement
 - ◆ Beauty measurement in Au+Au collisions
 - ◆ Flavor dependence of QCD energy loss in hot matter
 - ◆ Thermal di-lepton pairs (charm background)
 - ◆ High p_T charged particle ($p_T > 10$ GeV/c)
 - ΔG measurement in broad x range in polarized p+p collision
 - ◆ Charm/beauty production
 - ◆ γ +jet measurement
 - Gluon shadowing in broad x range
 - ◆ by heavy quark production
- These measurements
 - *Complement and enhance* the present physics program
 - *Fully exploit* existing rare event capabilities of PHENIX

Is there pre-thermal charm production?



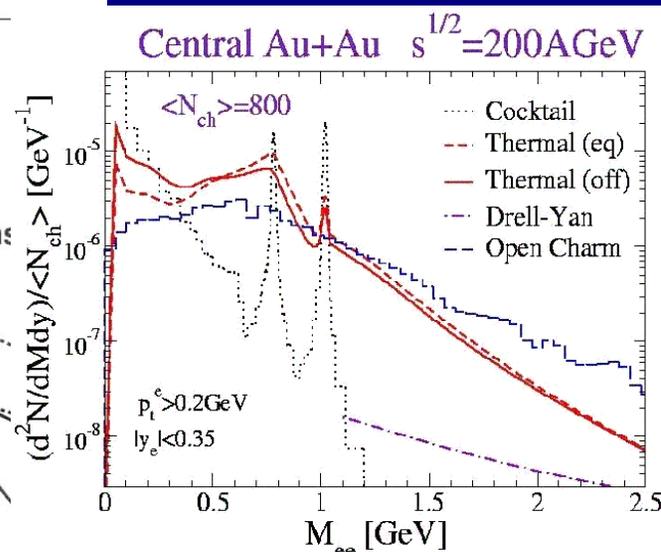
Precise charm measurement is required to detect small enhancement

Does charm flow? Does charm suffer energy loss?



Charm measurement in $p_T > 3$ GeV/c is required to see energy loss effect

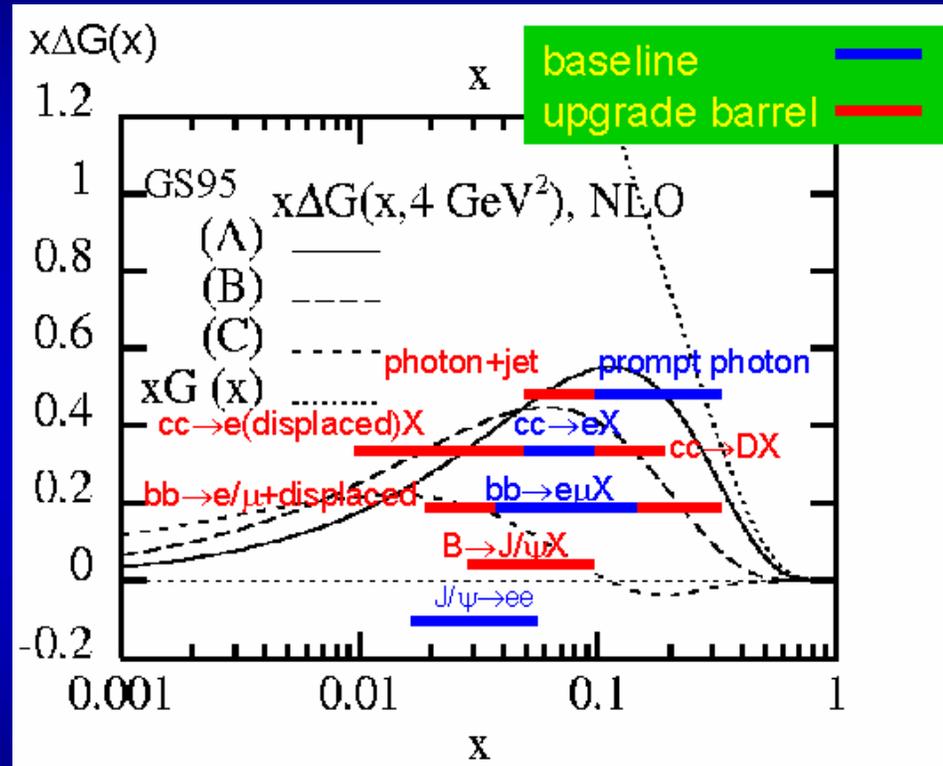
Thermal dileptons from the QGP?



Di-leptons from charm decay must be identified and subtracted to detect the thermal di-leptons from the QGP in 1-3 GeV region

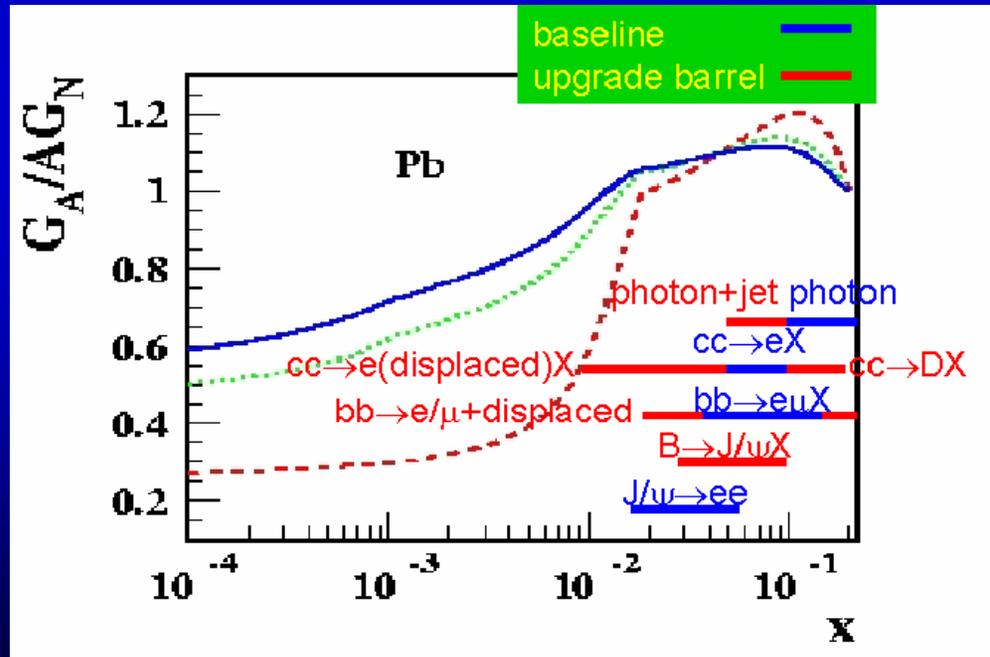
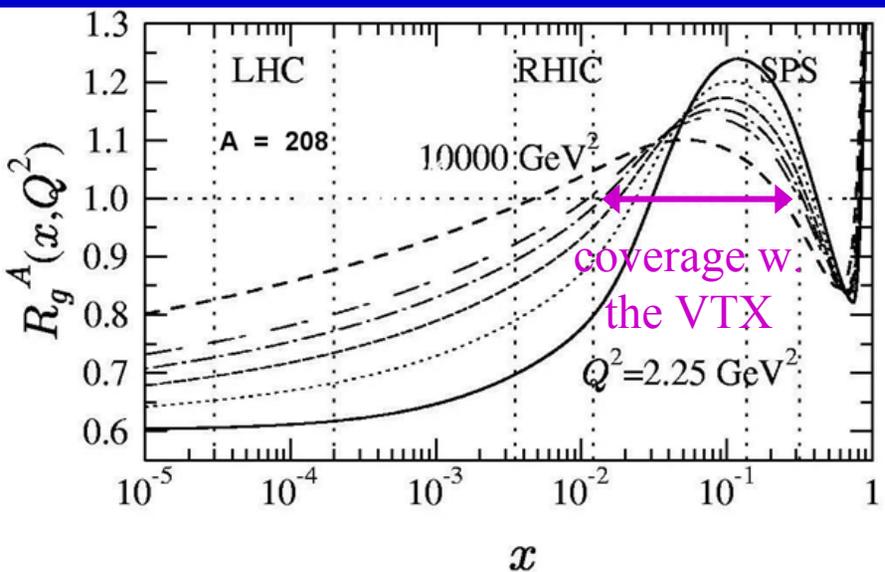
These measurements are not possible or very limited without the VTX

- Measurement of Gluon polarization by Heavy flavor production
 - $c, b \rightarrow e, \mu +$ displaced vertex
 - $B \rightarrow$ displaced J/ψ
 - $D \rightarrow K\pi$ at high p_t
- VTX measurement of displaced vertex
 - Improved S/B
→ higher sensitivity to $\Delta G(x)$
 - Much broader x coverage



VTX significantly increases the x coverage of $\Delta G(x)$ measurement

- Heavy-flavor measurement in p+A
 - Single lepton and J/Ψ with displaced vertex
- Heavy-flavor production via $g+g \rightarrow q+\bar{q}$
- Extracting gluon structure function nuclei, shadowing
 - vertex detector provides broader range in x into predicted shadowing region ($x \sim 10^{-2}$)



VTX significantly increases the x coverage of for shadowing study

Table 3 Event rate calculated for selected physics processes. The effective integrated luminosity used in the calculation is shown in Table 2. For the meaning of “no VTX” column, see the text. In both of Au+Au and p+p, the collision energy $\sqrt{s_{NN}}$ is 200 GeV per nucleon pair. The yields include the anti-particle channels. The DCA cut value for the single electron measurement is $DCA > 200 \mu$. For the lowest pT bin, the number with $DCA > 400 \mu$ is shown in parenthesis.

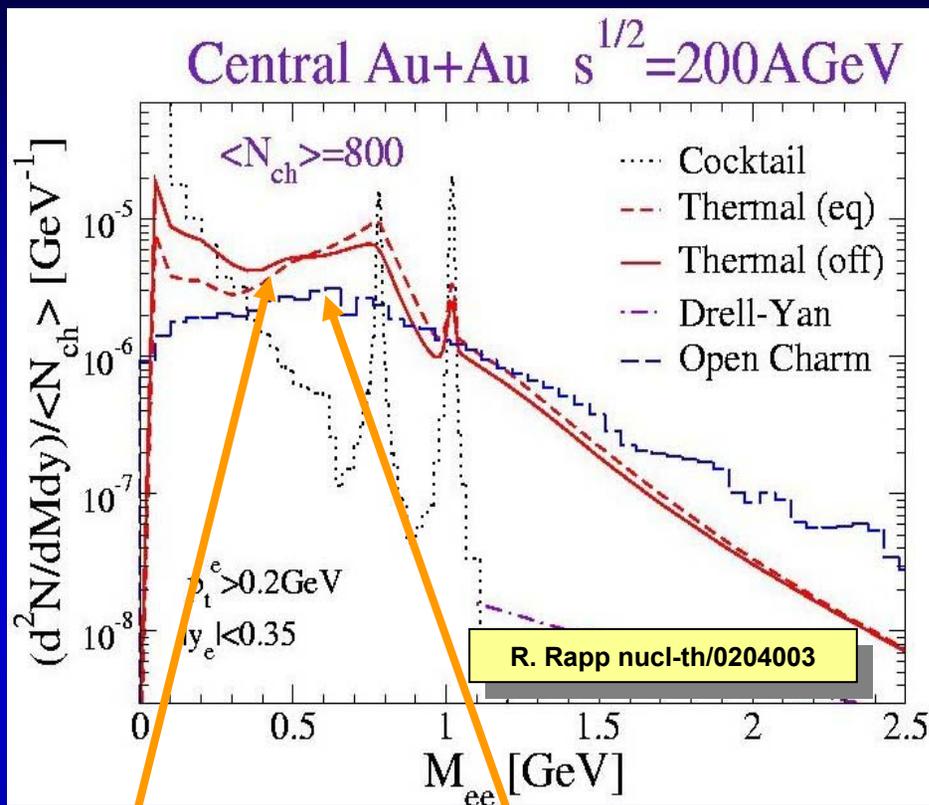
| Process | no VTX | Yield | Yield with DCA cuts |
|---------------------------------------------------------|---------|-------------------|---------------------|
| AuAu $\rightarrow c \rightarrow e$ | | | |
| 1.0 < p _T < 2.0 GeV/c | Yes | 3M | 150K (40K) |
| 2.0 < p _T < 3.0 GeV/c | Limited | 130K | 6K |
| 3.0 < p _T < 4.0 GeV/c | No | 5K | 0.3K |
| 4.0 < p _T < 5.0 GeV/c | No | 1K | 50 |
| 5.0 < p _T < 6.0 GeV/c | No | 0.2K | 10 |
| AuAu $\rightarrow b \rightarrow e$ | | | |
| 1.0 < p _T < 2.0 GeV/c | No | 200K | 50K (20K) |
| 2.0 < p _T < 3.0 GeV/c | No | 70K | 15K |
| 3.0 < p _T < 4.0 GeV/c | Limited | 17K | 3K |
| 4.0 < p _T < 5.0 GeV/c | Limited | 4K | 0.7K |
| 5.0 < p _T < 6.0 GeV/c | Limited | 1K | 0.2K |
| Au+Au $\rightarrow D \rightarrow K\pi$ | | | |
| p _T > 2 GeV/c | No | 4900 (S/B ~ 0.1%) | 1000 (S/B ~ 3%) |
| p _T > 3 GeV/c | No | 2900 (S/B ~ 1%) | 600 (S/B ~ 5%) |
| Au+Au $\rightarrow B \rightarrow J/\psi \rightarrow ee$ | No | 100 | 50 |
| pp $\rightarrow c \rightarrow e$ | | | |
| 1 < p _T < 3 GeV/c | Yes | 10M | 0.5M |
| p _T > 3 GeV/c | No | 20 K | 1K |
| pp $\rightarrow b \rightarrow e$ | | | |
| p _T > 1 GeV/c | No | 0.9M | 0.2M |
| pp $\rightarrow \gamma + \text{jets}$ | | | |
| 4 < p _T < 5 GeV/c | No | 300K | N.A. |
| 5 < p _T < 6 GeV/c | No | 150K | N.A. |
| 6 < p _T < 7 GeV/c | No | 70K | N.A. |
| 7 < p _T < 8 GeV/c | No | 40K | N.A. |
| 8 < p _T < 9 GeV/c | No | 20K | N.A. |
| 9 < p _T < 10 GeV/c | No | 12K | N.A. |
| pp $\rightarrow B \rightarrow J/\psi \rightarrow ee$ | No | 560 | 280 |

Table 4 Summary of physics measurement gained by the VTX detector. The column “without VTX” shows the present capability of PHENIX, while the measurement range with the VTX detector is shown in the column “with VTX”. If the process is not measurable, it is marked as “No”.

| Process | Without VTX | With VTX |
|-------------------------------------------------|-----------------------------------------------------|--------------------------------------------|
| $c \rightarrow e$ | $0.5 < p_T < 2.5 \text{ GeV/c}$ limited | $0.3 < p_T < 6 \text{ GeV/c}$ |
| $D \rightarrow K\pi$ (p _T > 2 GeV/c) | NO significance in Au+Au) | > 7 σ significance in central Au+Au |
| Total charm yield | ~ 20 % limited | ~ 10 % |
| (c \rightarrow e)/(b \rightarrow e) ratio | NO | ~ 1 % |
| b \rightarrow e | p _T > 3 GeV/c dependence marginal | 1 < p _T < 6 GeV/c |
| B \rightarrow J/ ψ | NO | $\Delta\sigma/\sigma \sim 10 - 15 \%$ |
| Total beauty yield | NO | ~ 10 % |
| High p _T charged | p _T \leq 10 GeV/c limited | p _T < 15 -20 GeV/c |
| $\Delta G(x)$ from c \rightarrow e | $0.03 < x < 0.08$ limited | $0.01 < x < 0.15$ |
| $\Delta G(x)$ from b \rightarrow e | NO | $0.02 < x < 0.15$ |
| $\Delta G(x)$ from g+jets | NO | $0.04 < x < 0.3$ |
| Nuclear shadowing of G(x) | $0.05 < x < 0.3$ limited | $0.01 < x < 0.3$ |

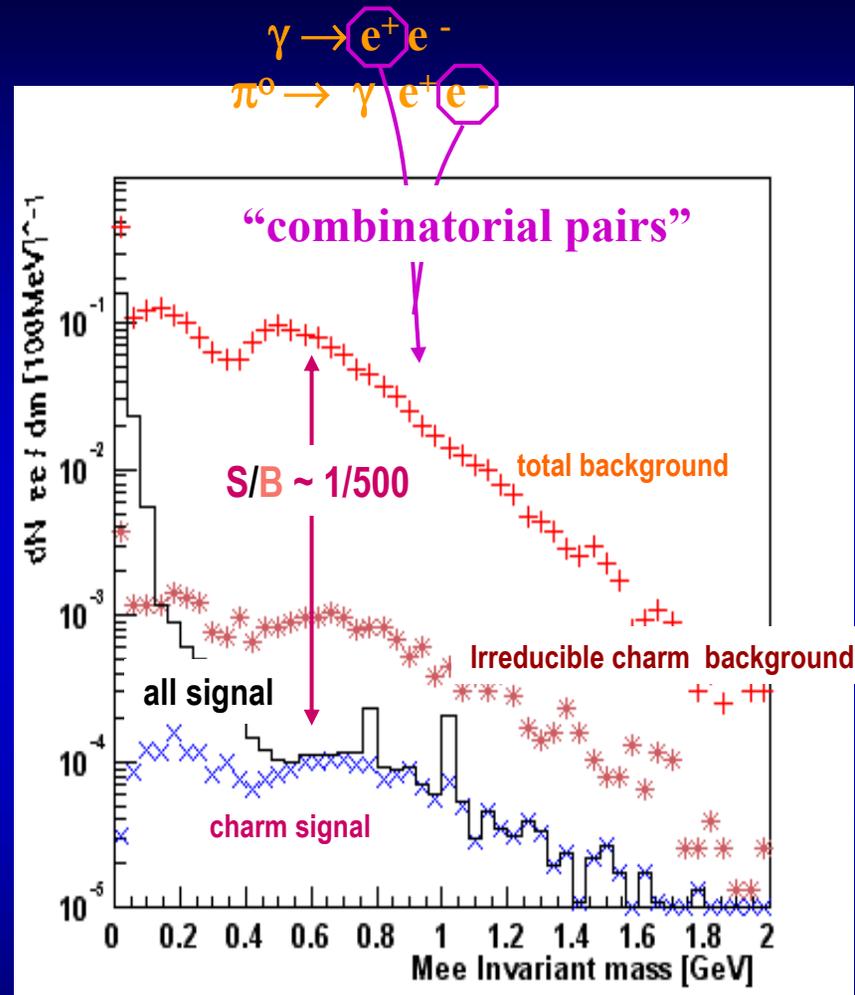
Many of these measurements are not possible or very marginal without the VTX

Low-Mass e^+e^- Pairs:



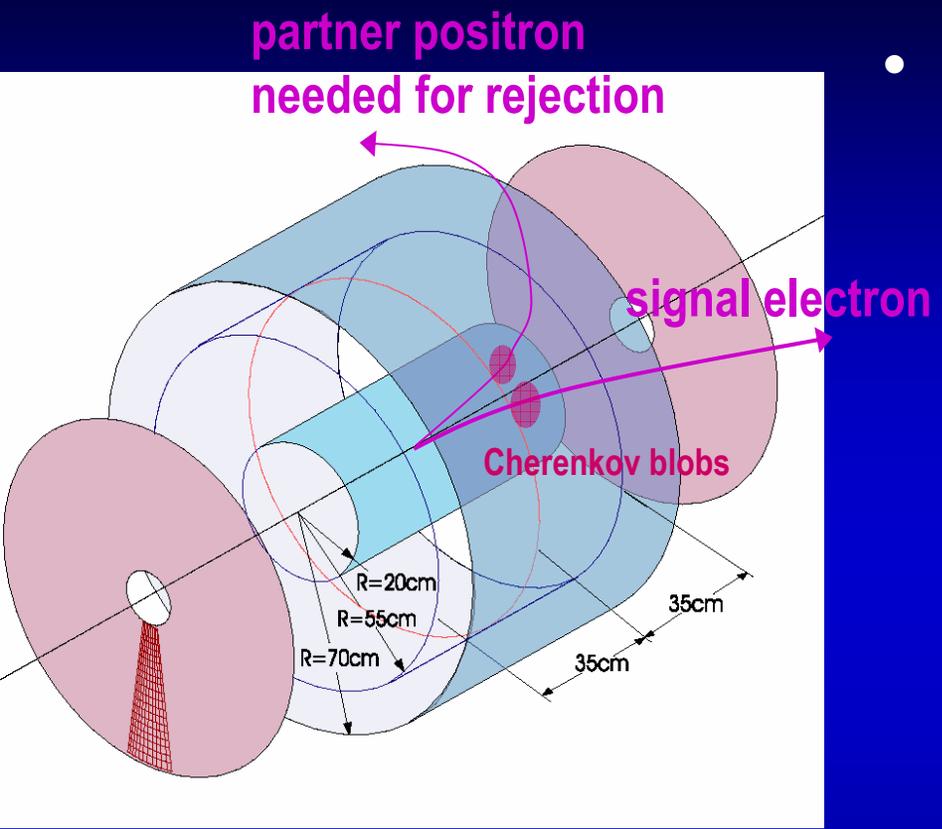
Strong enhancement of low-mass pairs persists at RHIC

Significant contribution from open charm

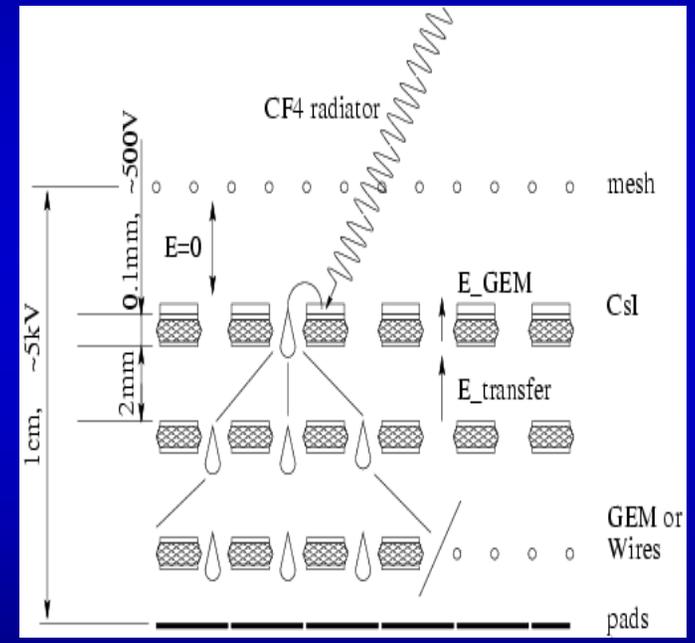


Need Dalitz rejection and accurate charm measurement

PHENIX Dalitz Rejection with a Hadron Blind Detector



- Dalitz rejection via opening angle
 - HBD is a proximity focused Cherenkov detector with ~ 50 cm radiator length
 - Provides minimal signals for charged particle



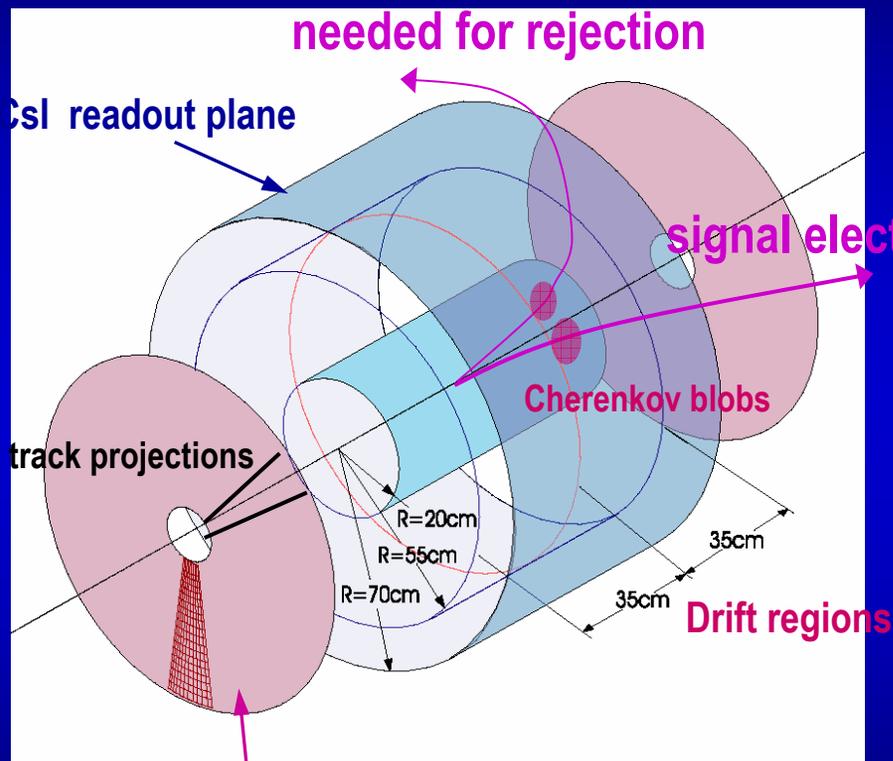
• HBD concept:

- windowless Cherenkov detector
- CF_4 as radiator and detector gas
- CsI reflective photocathode
- Triple GEM with pad readout

Bandwidth 6-11eV, $N_0 \approx 940cm^{-1}$ $N_{pe} \approx 40!$
 No photon feedback
 Low granularity, relatively low gain

$$\Delta\phi \sim 2\pi, |\eta| < 1.0$$

partner positron
needed for rejection



TPC readout plane

GEMs are used for both TPC and HBD

- Inner tracker with fast, compact TPC

- Provides large acceptance to PHENIX
- provides tracking through the central magnetic field

$$\delta p/p \sim 2\% p$$

- R&D status:

- Joined R&D with STAR and LEGS
- Working drift cell with CF_4 and GEM's

- Outlook for 2004-2006

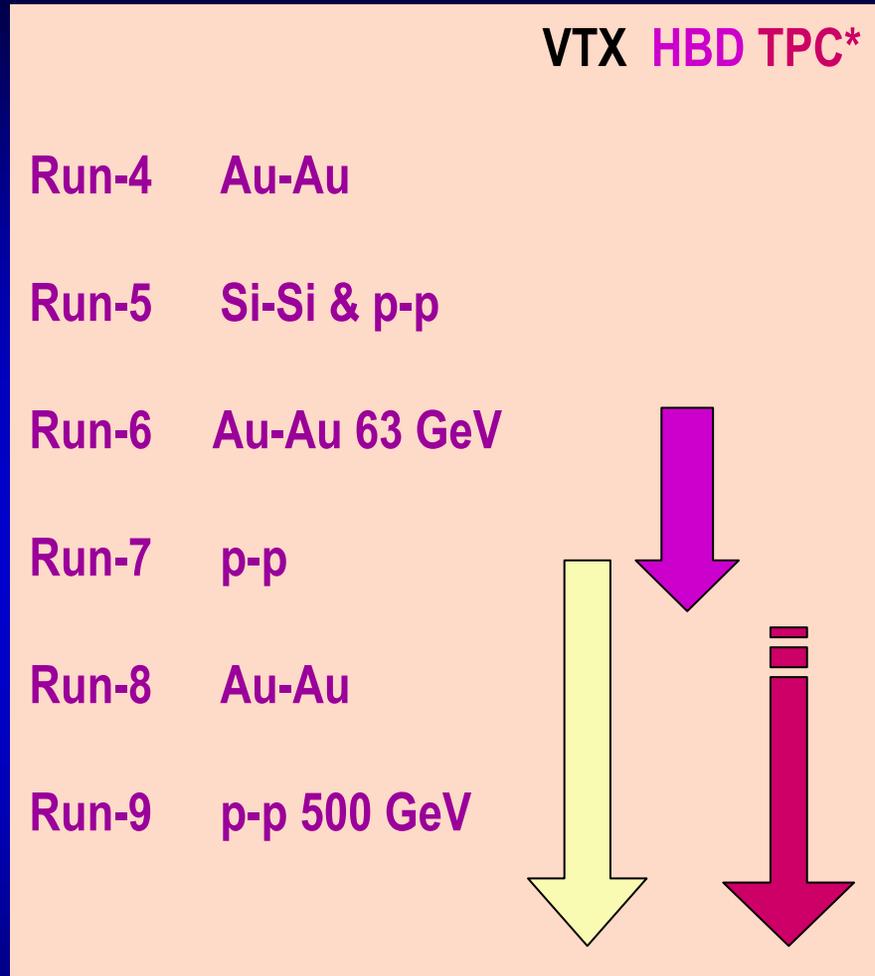
- Continue joined effort with STAR
- Build prototype TPC
- Develop readout electronics

Combination with HBD:

- Backup solution for Dalitz rejection

- Adds tracking and charge information
- More robust rejection
- Both detectors in one gas volume
- Independent R&D promising

PHENIX HBD/TPC Strategy and R&D

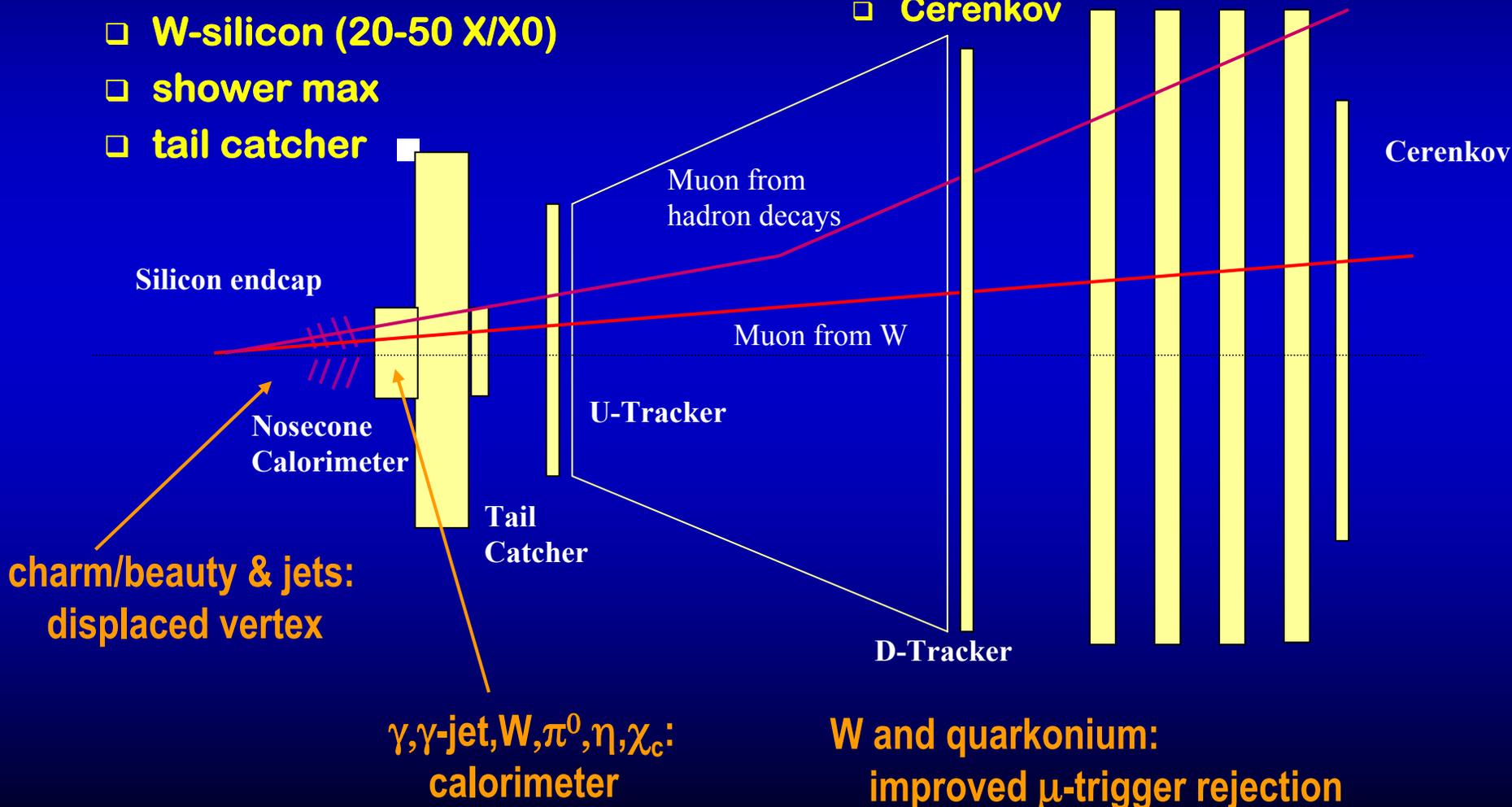


- Follow R&D for HBD and TPC independently
 - If HBD R&D successful:
 - ◆ Realize HBD within 2 years
 - ◆ use in low mass e^+e^- run
 - ◆ TPC serves as tracking detector
 - ◆ installed for non e^+e^- run
 - Else
 - ◆ Combined HBD and TPC only potential option to measure e^+e^- continuum at RHIC
- Funding through DOE (and matching contributions)
 - HBD: R&D \$250k, Constr. < \$1.5M
 - TPC: R&D \$900k, Constr. ~\$4.5M
- Participating institutions
 - BNL, Columbia U., Tokyo U., Stony Brook U., Weizmann Institute
 - + Florida Institute of Technology

*Low mass setting: +/- field configuration
 HBD alone, no VTX no TPC
 or TPC/HBD no VTX

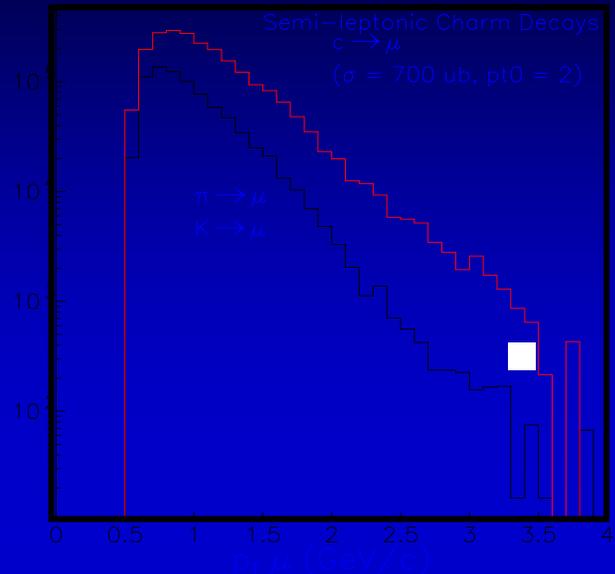
- Endcap Vertex Tracker
 - silicon pixel detectors
- Nosecone EM Calorimeter
 - W-silicon (20-50 X/X0)
 - shower max
 - tail catcher

- Muon trigger
 - U-tracker (MuTr or new)
 - D-tracker (timing with RPC's?)
 - Cerenkov



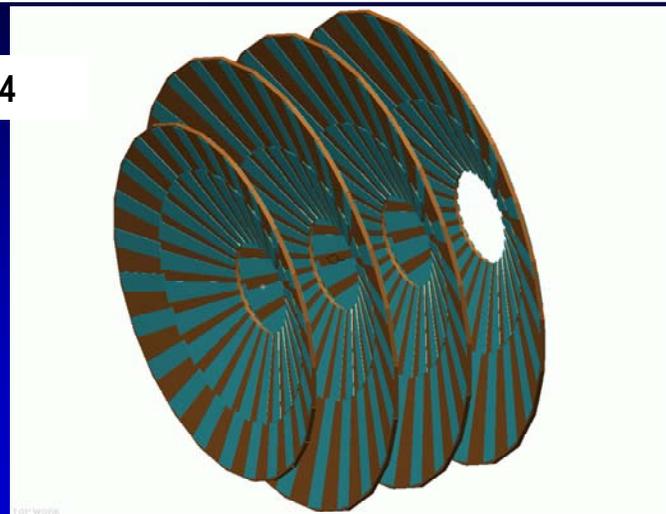
PHENIX Endcap Silicon Tracker

Open Charm: $D \rightarrow \mu + X$, $D \bar{D} \rightarrow \mu + e + X$, $D \bar{D} \rightarrow \mu^+ + \mu^- + X$

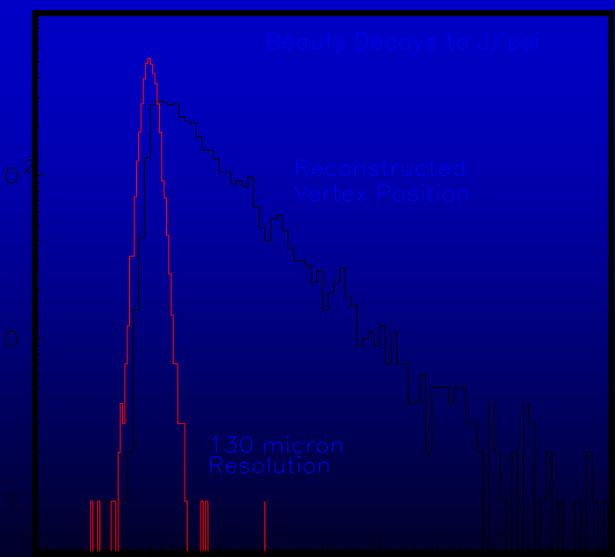


$1.2 < |\eta| < 2.4$

A-A



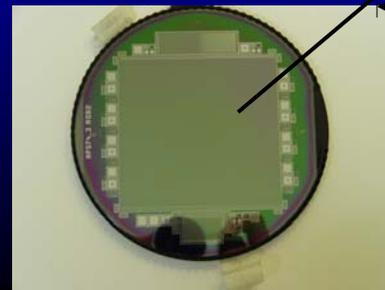
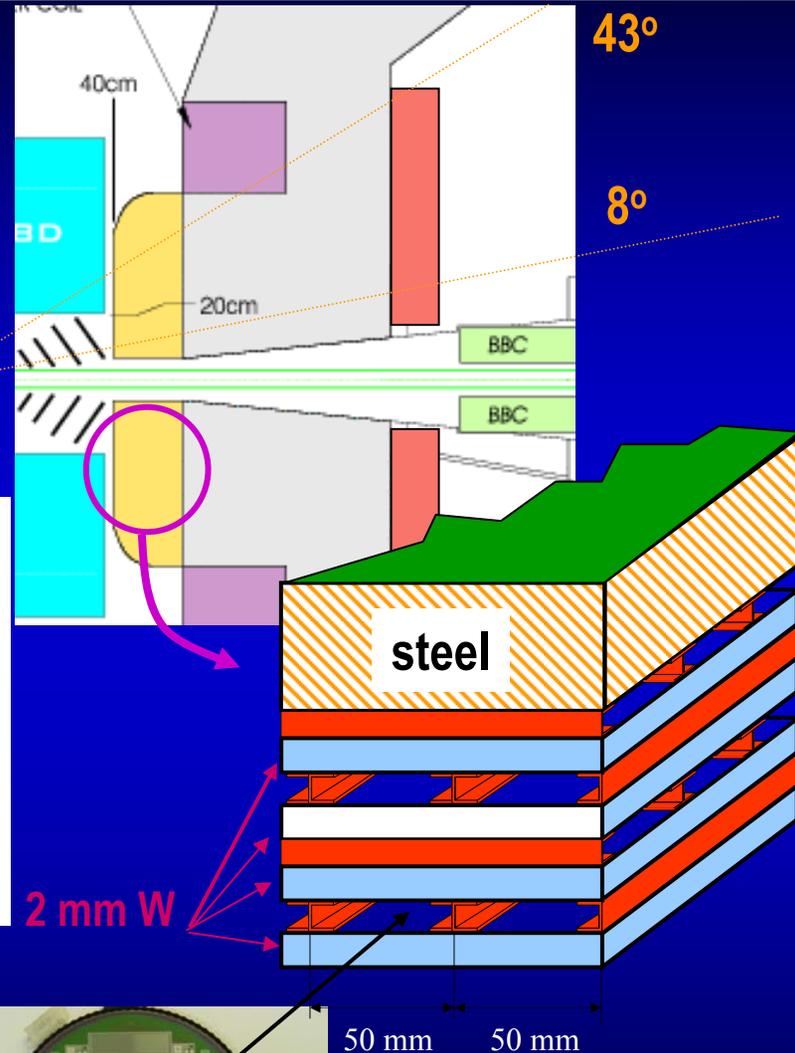
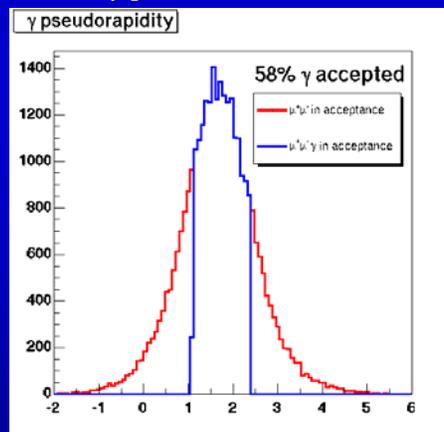
Open Beauty: $B \Rightarrow J/\psi \Rightarrow \mu^+ + \mu^-$



- Technology option:
 - “mini” strips ($\sim 0.1 \times 1 \text{ mm}^2$)
 - PHX chip daughter of the FPIX2 for BTeV
- R&D effort
 - collaboration with FNAL being initiated
 - Expect 2-3 year development
- Anticipate funding through DOE and foreign contributions
 - R&D \$900k, Construction \sim \$2-3M per endcap
- Participating institutions
 - Effort spearheaded by LANL, interest of many groups involved in silicon barrel, seeking new collaborations

- Forward physics $1.0 < \eta < 2.6$
 - Focus of recent 2 day workshop at UIUC
- Extended physics reach with NEMC
 - $\Delta q/q$ polarizations via spin dependent W-production
 - Small x -physics in d-A
 - Extended A-A program

- ◆ high p_T phenomena: π^0 and γ -jet
- ◆ χ_c in photonic decays

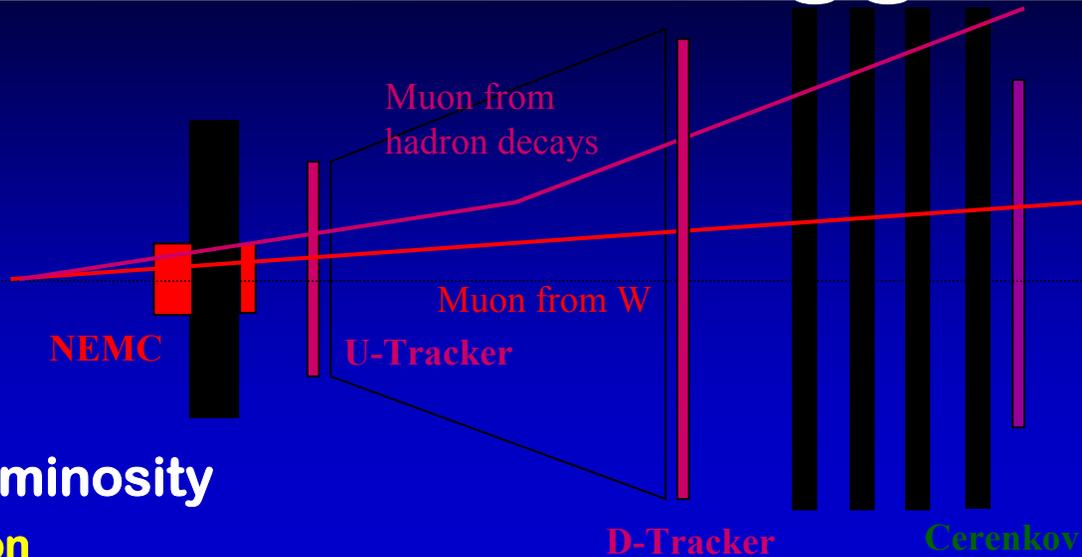


Moscow State University
silicon pad detectors $2 \times 2 \text{ cm}^2$

- Nosecone
 - EM calorimeter $\sim 45 X/X_0$
 - Tungsten w/ Silicon readout
- Tailcatcher
 - Crude Hadronic calorimeter
 - Cu or stainless 5λ

Enhanced first level muon trigger:

Topology: NEMC + muID
p-Cut: U-Tracker + muID
Timing: D-Tracker
p-threshold: Cerenkov + muID



- **First level trigger for high luminosity**

- Increased background rejection
- W production in p-p 500 GeV/c
- Upsilon production with RHIC II luminosity

- **Schedule for NEMC and μ -trigger:**

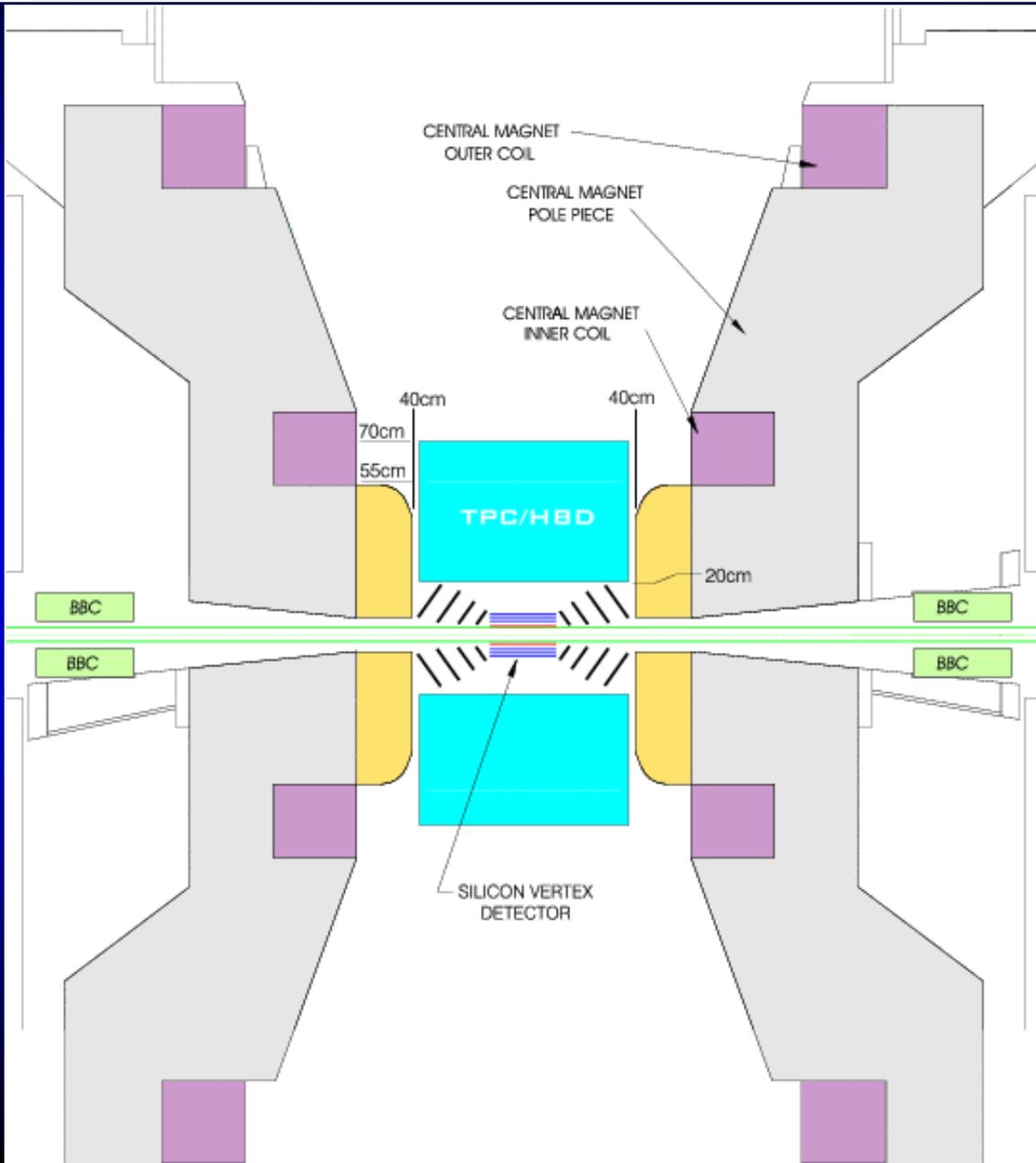
- Proposal to NSF in 2004
- Staged approach:
 - ◆ Gradual improvement of μ -trigger starting 2006
 - ◆ NEMC implemented by 2008

Seeking funding through NSF and foreign contributions

- **Participating institutions:**

- RIKEN, RBRC, Kyoto, Iowa SU, UC Riverside, U New Mexico, U Colorado, BNL, UIUC, U Tennessee, Nevis

PHENIX A (Semi)-Hermetic PHENIX



- Note: This provides (first!) ~complete mapping of ρ , ω , ϕ , J/ψ , Y states

Importance of Luminosity and Detector Upgrades

RHIC II Au-Au luminosity increase 10x (lifetime) + 2-3x (bunch length)

| Physics topic | | Run-8 (<1nb ⁻¹) | RHIC II (>10nb ⁻¹) |
|---------------------|----------------------------------------------|-------------------------------------------|---------------------------------------------------|
| High p _T | inclusive π^0 | p _T < 20 GeV | p _T > 25 GeV |
| | γ -jet | E _{γ} < 10 GeV | E _{γ} > 15 GeV TPC/VTX |
| Lepton pairs | LMR | 75000 HBD/TPC | |
| | ρ, ω, ϕ | 6000-8000 each | >50000 each |
| Charmonium | J/ ψ | 4500 | >50000 |
| | ψ' | 900 | >10000 |
| | Y | - | >800 VTX/TPC |
| Open heavy flavor | c \rightarrow e | 1 < p _T < 6 GeV | |
| | b \rightarrow e | 1 < p _T < 6 GeV | p _T > 6 GeV VTX |
| | D \rightarrow π k | p _T < 4 GeV | p _T > 6 GeV |
| μ -arms | J/ ψ (ψ') | 20000 (5000) | >200000 (50000) |
| | χ | ~20000 | >200000 NEMC |
| | Y | 250 | >2500 μ -trigger |
| | B \rightarrow J/ $\psi \rightarrow \mu\mu$ | 2000 | >20000 fVTX |

critical for measurement

desirable for precision measurement

- The PHENIX Decadal Plan addresses issues in
 - “Physics of High Temperature and High Density Hadronic Matter”
 - “Hadronic Physics”

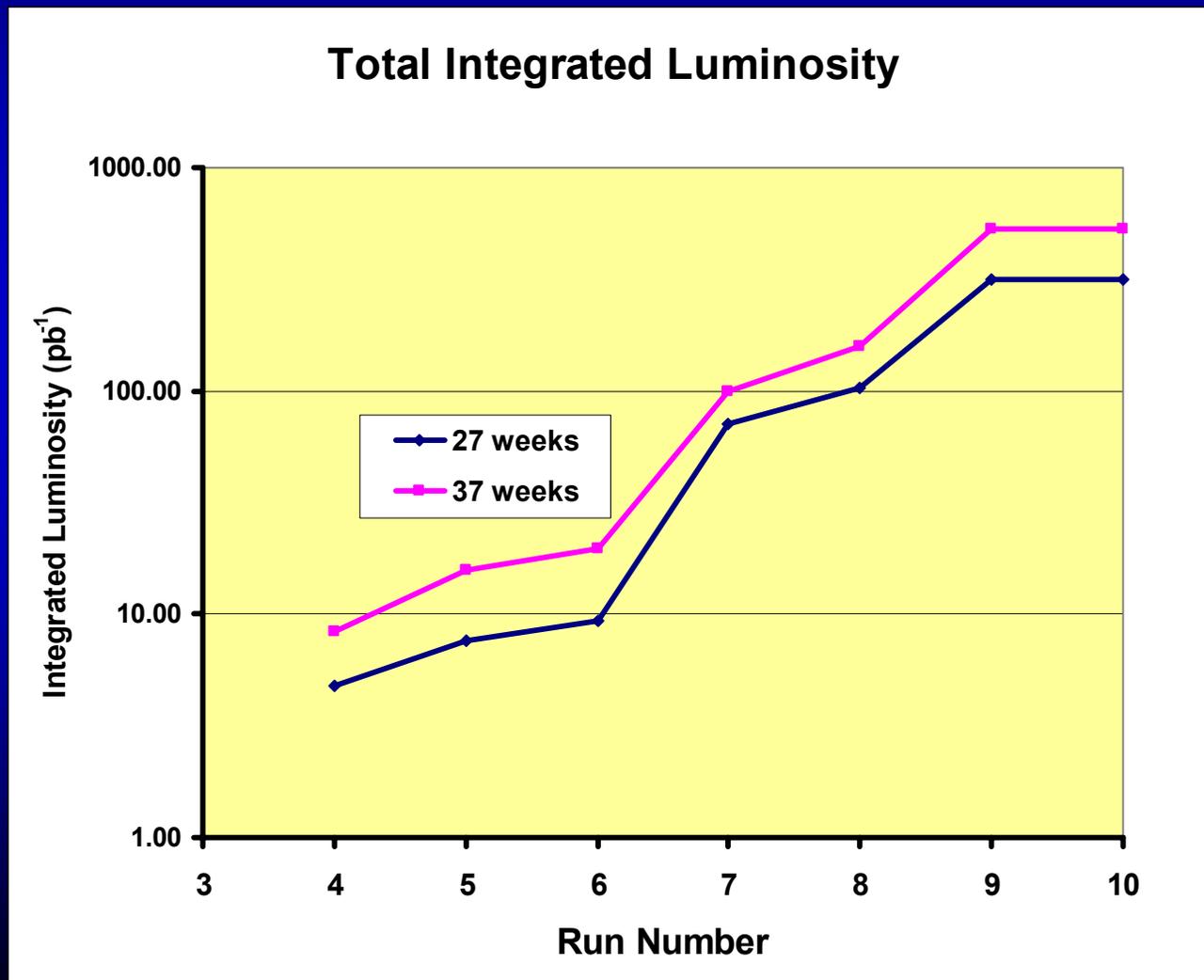
| Year | Milestones: |
|-----------------|------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2005 | Measure J/ψ production in Au + Au at $\sqrt{s_{NN}} = 200$ GeV. |
| 2005 | Measure flow and spectra of multiply-strange baryons in Au + Au at $\sqrt{s_{NN}} = 200$ GeV. |
| 2007 | Measure high transverse momentum jet systematics vs. $\sqrt{s_{NN}}$ up to 200 GeV and vs. system size up to Au + Au. |
| NA | Perform realistic three-dimensional numerical simulations to describe the medium and the conditions required by the collective flow measured at RHIC |
| 2010 | Measure the energy and system size dependence of J/ψ production over the range of ions and energies available at RHIC. |
| 2010 | Measure e^+e^- production in the mass range $500 \leq m_{e^+e^-} \leq 1000$ MeV/ c^2 in $\sqrt{s_{NN}} = 200$ GeV collisions. |
| 2010 | Complete realistic calculations of jet production in a high density medium for comparison with experiment. |
| 2012 | Determine gluon densities at low x in cold nuclei via $p + Au$ or $d + Au$ collisions |

| Year | Milestones: |
|------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 2008 | Make measurements of spin carried by the glue in the proton with polarized proton-proton collisions at center of mass energy, $\sqrt{s_{NN}} = 200$ GeV. |
| 2008 | NA Extract accurate information on generalized parton distributions for parton momentum fractions, x , of 0.1 - 0.4, and squared momentum change, $-t$, less than 0.5 GeV ² in measurements of deeply virtual Compton scattering. |
| 2009 | NA Complete the combined analysis of available data on single π , η , and K photo-production of nucleon resonances and incorporate the analysis of two-pion final states into the coupled-channel analysis of resonances. |
| 2010 | NA Determine the four electromagnetic form factors of the nucleons to a momentum-transfer squared, Q^2 , of 3.5 GeV ² and separate the electroweak form factors into contributions from the u, d and s-quarks for $Q^2 < 1$ GeV ² . |
| 2010 | NA Characterize high-momentum components induced by correlations in the few-body nuclear wave functions via (e,e'N) and (e,e'NN) knock-out processes in nuclei and compare free proton and bound proton properties via measurement of polarization transfer in the $^4He(\bar{e},e\bar{p})^3H$ reaction. |
| 2011 | NA Measure the lowest moments of the unpolarized nucleon structure functions (both longitudinal and transverse) to 4 GeV ² for the proton, and the neutron, and the deep inelastic scattering polarized structure functions $g_1(x, Q^2)$ and $g_2(x, Q^2)$ for $x=0.2-0.6$, and $1 < Q^2 < 5$ GeV ² for both protons and neutrons. |
| 2012 | NA Measure the electromagnetic excitations of low-lying baryon states (<2 GeV) and their transition form factors over the range $Q^2 = 0.1 - 7$ GeV ² and measure the electro- and photo-production of final states with one and two pseudoscalar mesons. |
| 2013 | Measure flavor-identified q and \bar{q} contributions to the spin of the proton via the longitudinal-spin asymmetry of W production. |
| 2014 | NA Perform lattice calculations in full QCD of nucleon form factors, low moments of nucleon structure functions and low moments of generalized parton distributions including flavor and spin dependence. |
| 2014 | NA Carry out ab initio microscopic studies of the structure and dynamics of light nuclei based on two-nucleon and many-nucleon forces and lattice QCD calculations of hadron interaction mechanisms relevant to the origin of the nucleon-nucleon interaction. |

This is an extraordinarily broad physics program that can be accomplished within the existing and upgraded PHENIX experiment

- The C-A D guidance provide a quantitative model in which we can assess the severe impact of 37 \rightarrow 27 weeks

- It's "only" a factor of 2??
- A factor of 2 is HUGE!
- *It's our challenge as a community to make this case as strongly as possible.*



- PHENIX successes in Runs 1-3 have paralleled those of the accelerator
- Ongoing, productive enterprise engaged in timely publication of an extraordinarily broad spectrum of results (Au+Au, p+p, d+Au)
- Proposed program will extend
 - Investigation of rare processes to address fundamental questions in heavy ion physics
 - Demonstrated spin physics capabilities to higher p_T and to new channels
 - Existing d+Au results to much greater levels of sensitivity
- Proposed program depends critically on
 - Timely development of luminosity and polarization through extended periods of beam development and steady running
 - Development of upgrades via sustained R&D funding and timely access to construction funds
- Immense benefit from incremental cost of additional weeks of running time