

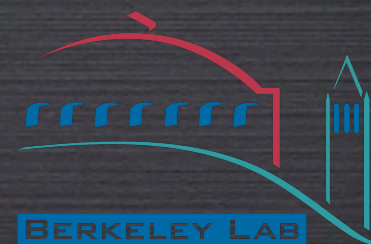


# FORWARD SPIN PHYSICS AT STAR

LEN K. EUN

FOR THE STAR COLLABORATION  
LAWRENCE BERKELEY NATIONAL LABORATORY

PA@RHIC WORKSHOP  
BNL, JAN 8TH, 2013



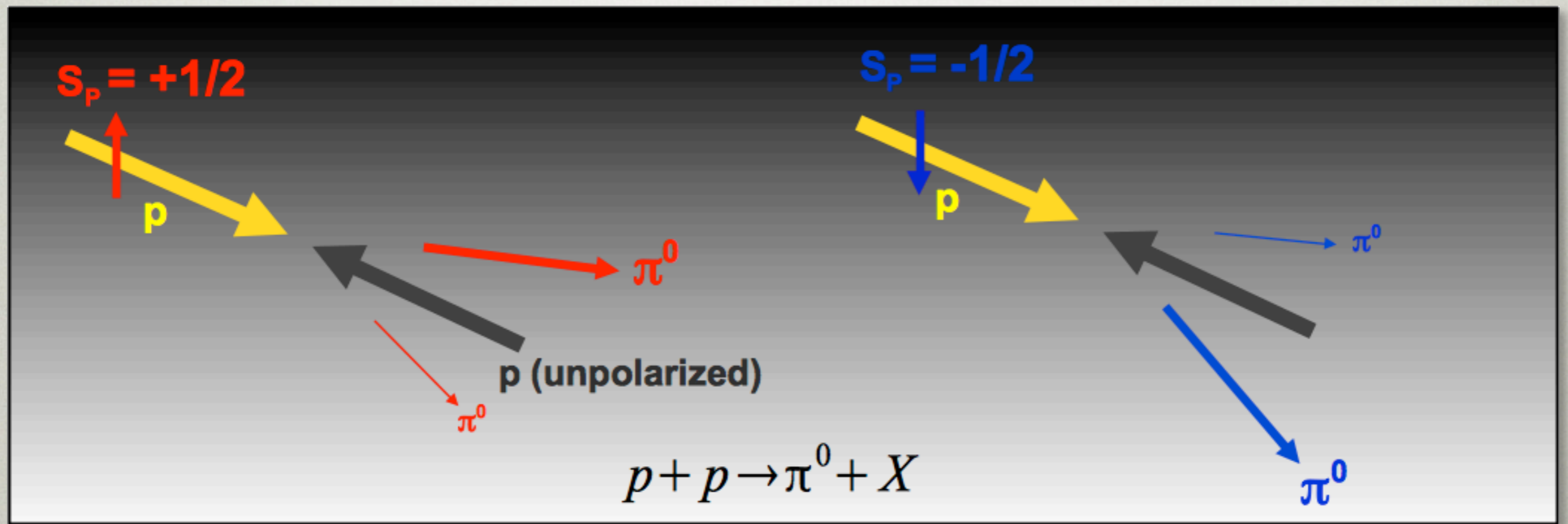


# TRANSVERSE SSA ( $A_N$ )

Transverse Single Spin Asymmetry measures the left-right asymmetry in production cross-section in relation to the transverse polarization of the incoming proton.

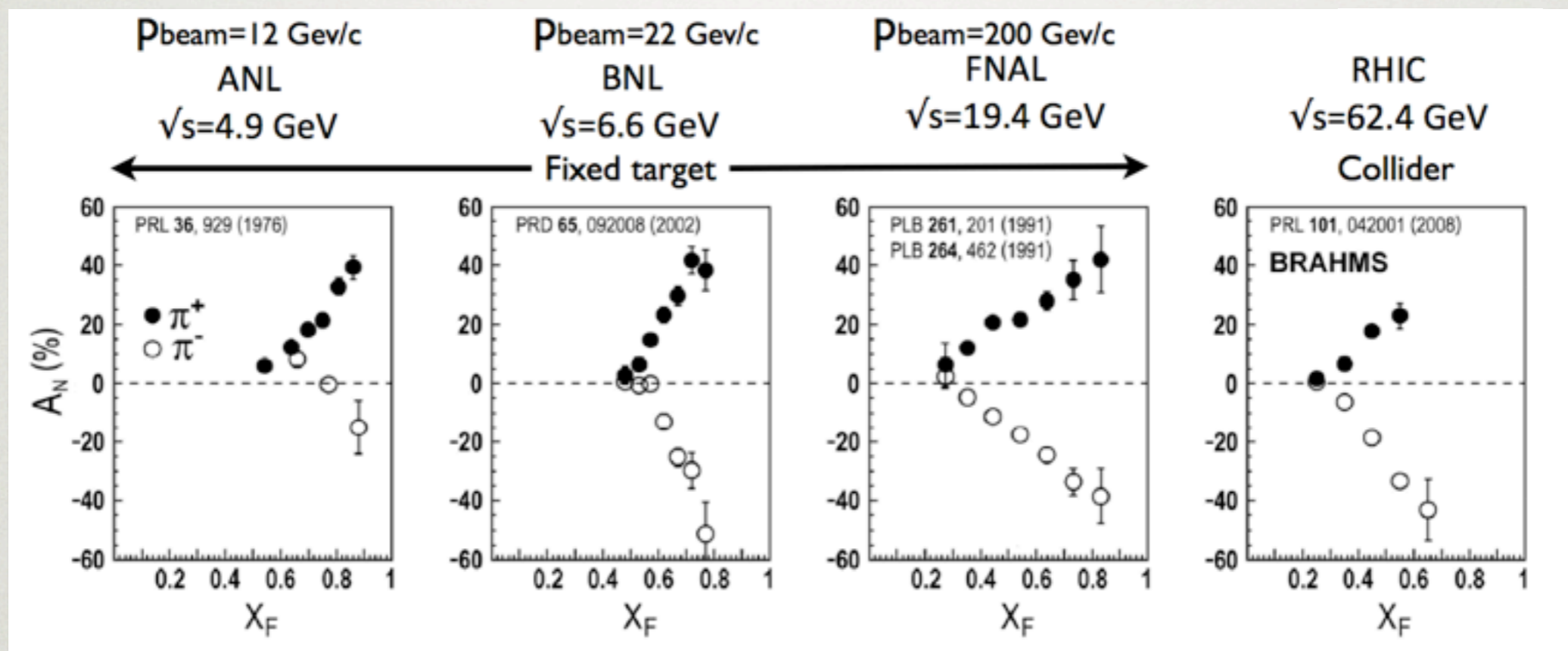
It is commonly measured by the Analyzing Power,  $A_N$ .

$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$





# LARGE $A_N$ IN H-H INTERACTION



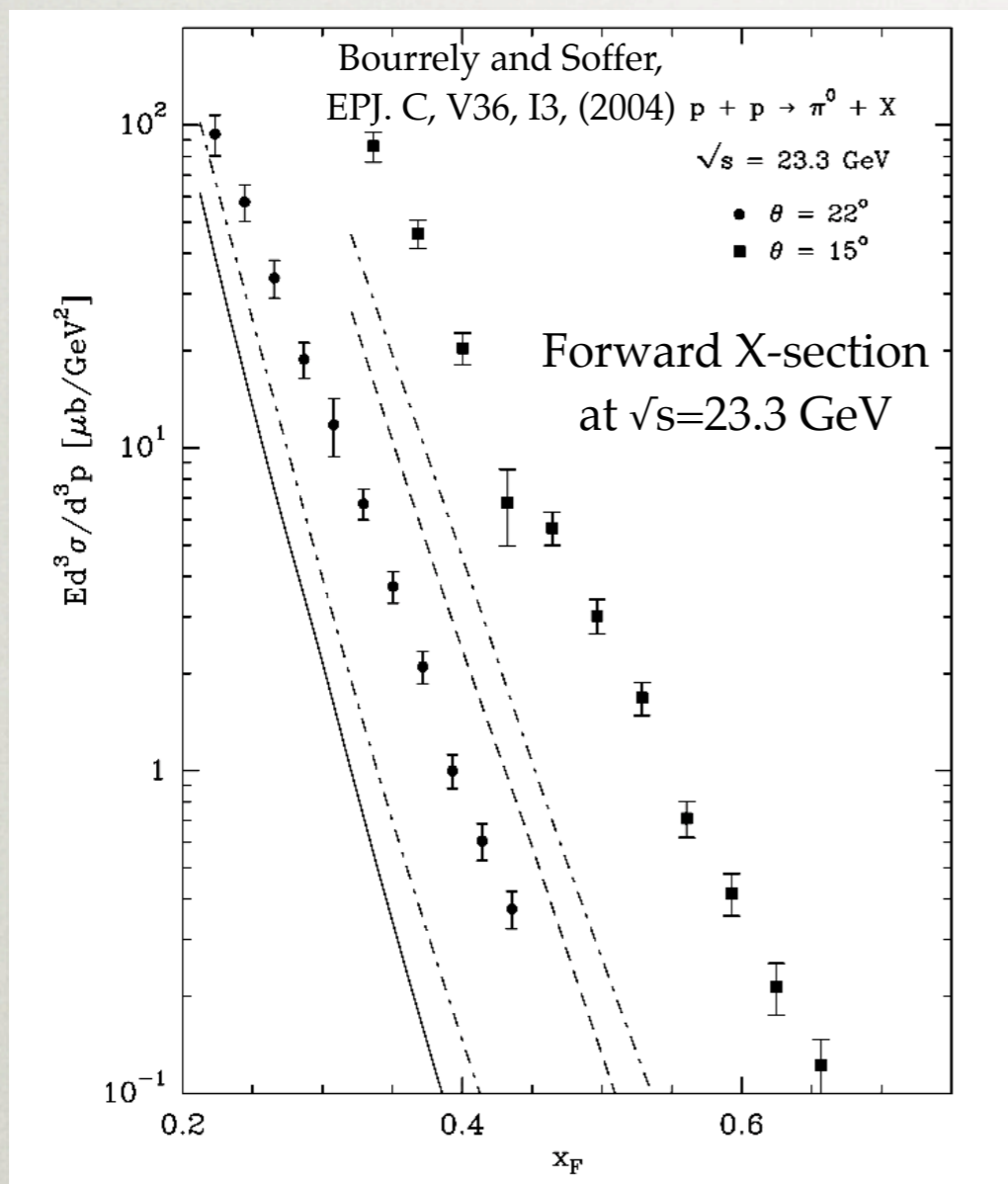
Large  $A_N$  in the forward region of “high energy” hadron-hadron interaction has a long experimental history, dating back to 1976.

Until the RHIC era, these measurements were performed in fixed target environments with polarized targets.

However, it was generally believed that these fixed target results could not be interpreted within the framework of pQCD.



# IS FORWARD PHYSICS PQCD?



The main issue is if very forward physics is in the domain of pQCD.

Small angle scattering means small transverse momentum transfer. Even at RHIC energy, the average  $p_T$  in the forward region is relatively small (2~3GeV).

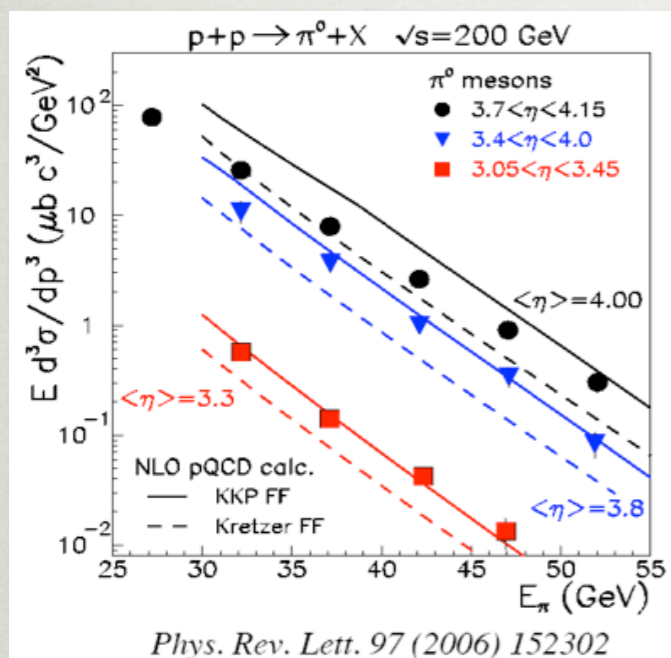
Furthermore, the proximity to the beam line introduces the **beam remnant (underlying events) interaction** into the possible sources of observed high energy particles.

Not surprisingly, the unpolarized forward cross-sections at fixed target energy was found to be significantly larger than the pQCD prediction.



# FORWARD X-SEC. AT RHIC

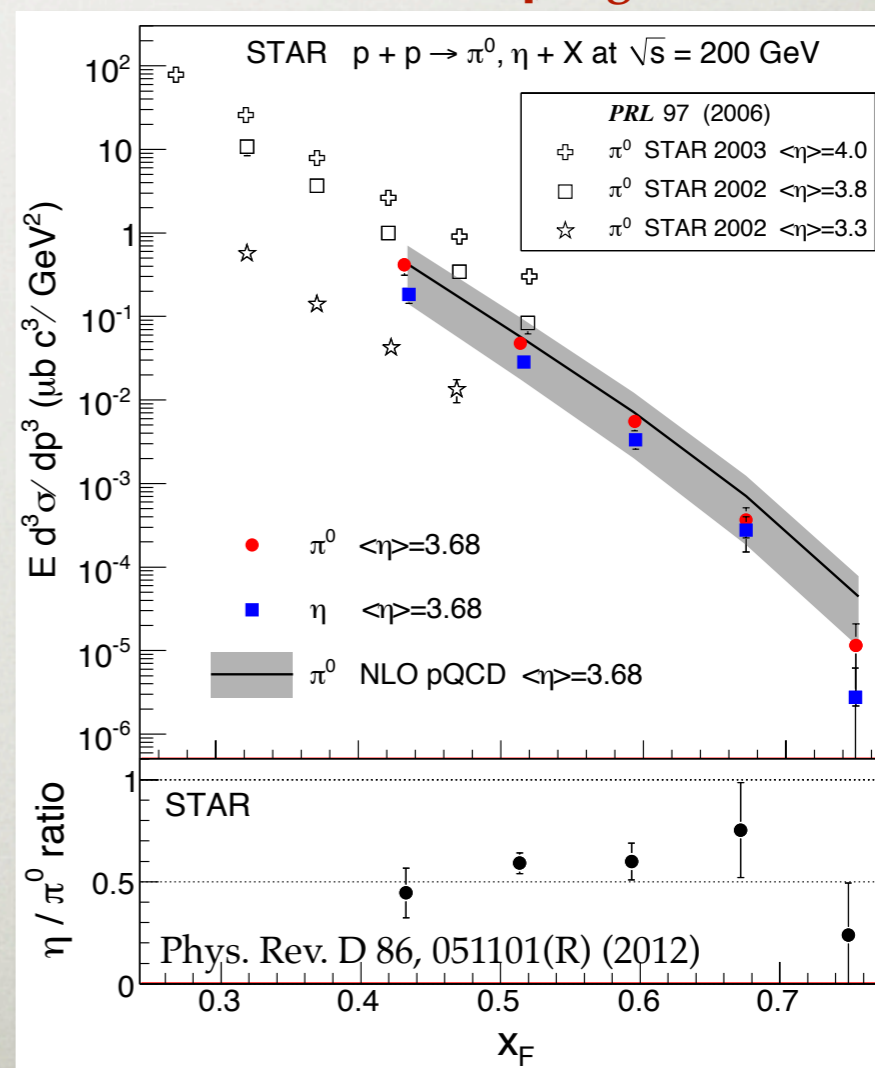
## STAR $\pi^0$



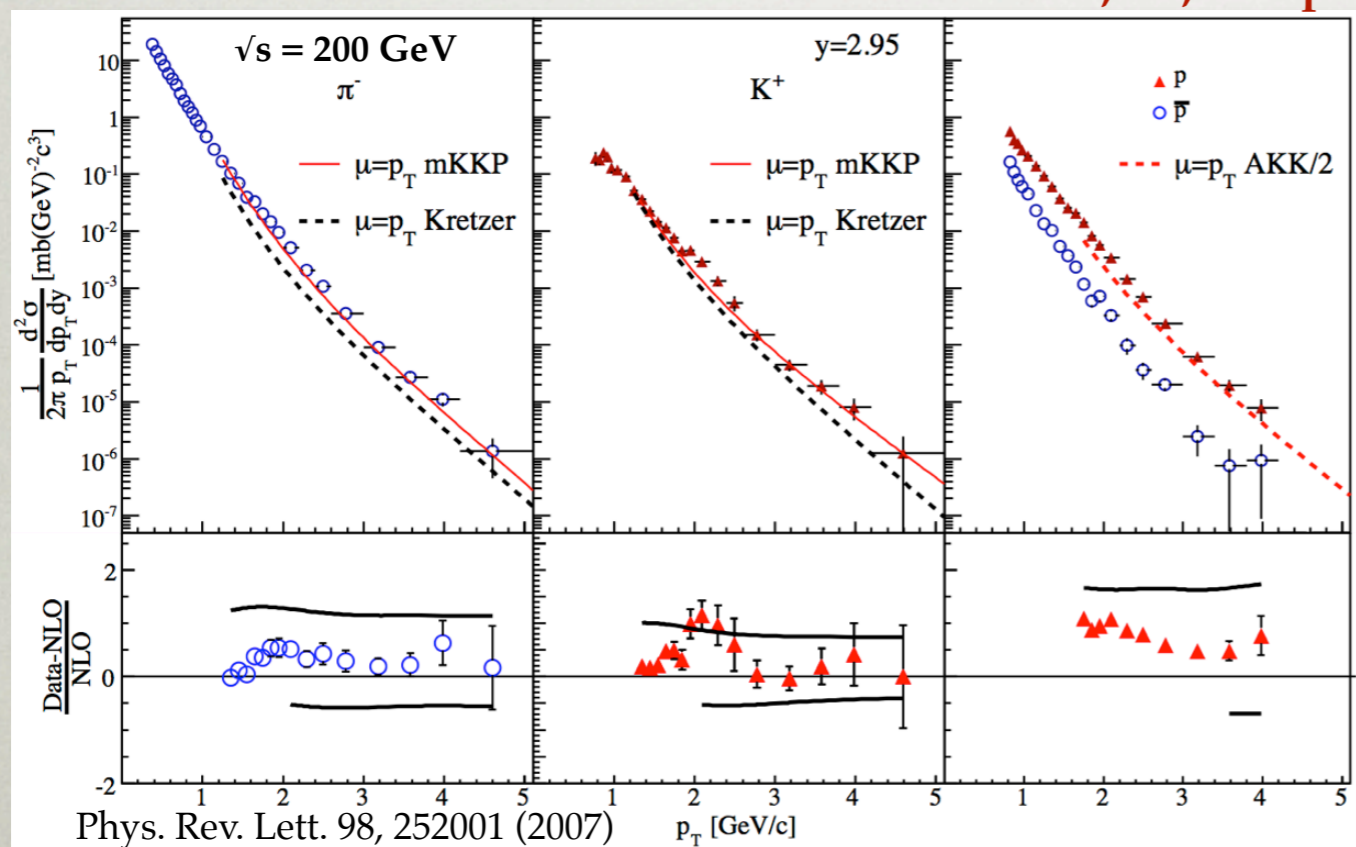
In contrast, at RHIC (200GeV), there are good agreements between forward hadron cross-sections and pQCD predictions, with appropriate fragmentation functions.

Consequently, many believe that the RHIC forward transverse spin results CAN be understood by pQCD.

## STAR $\pi^0$ and $\eta$ , high $x_F$



## BRAHMS $\pi$ , $K^+$ , and $p$

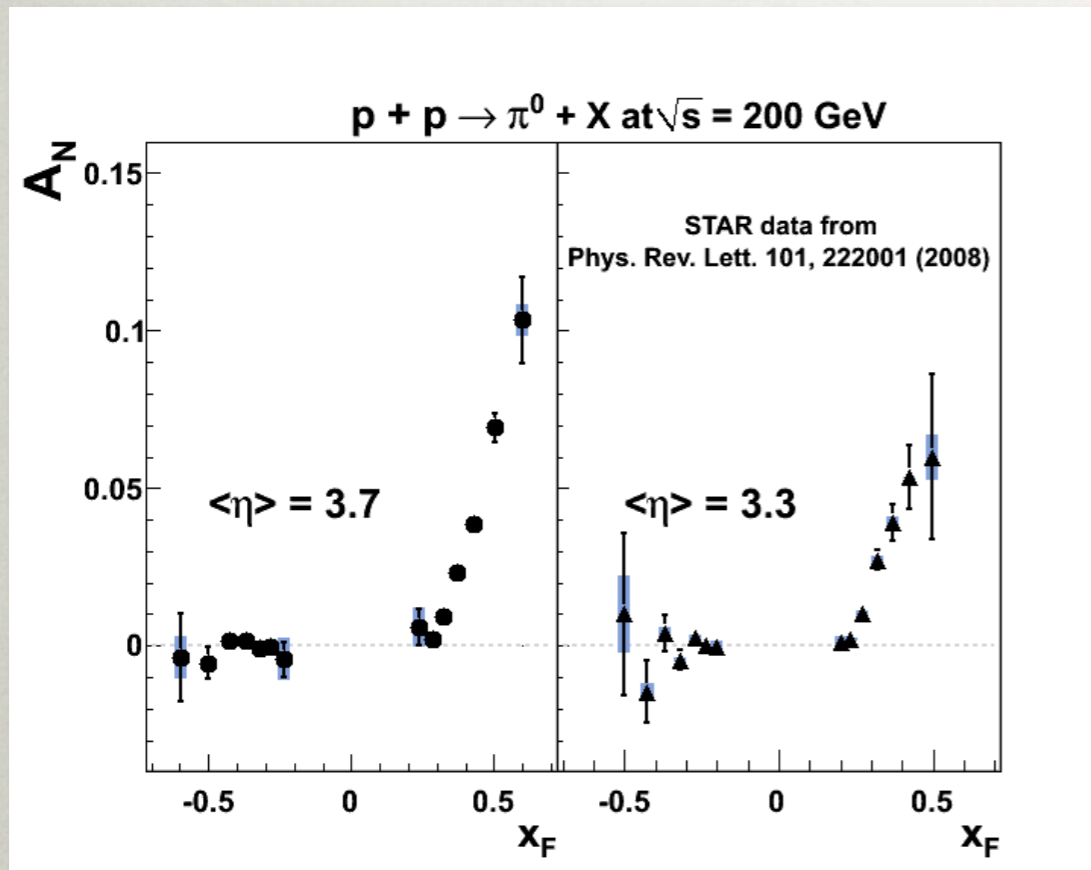




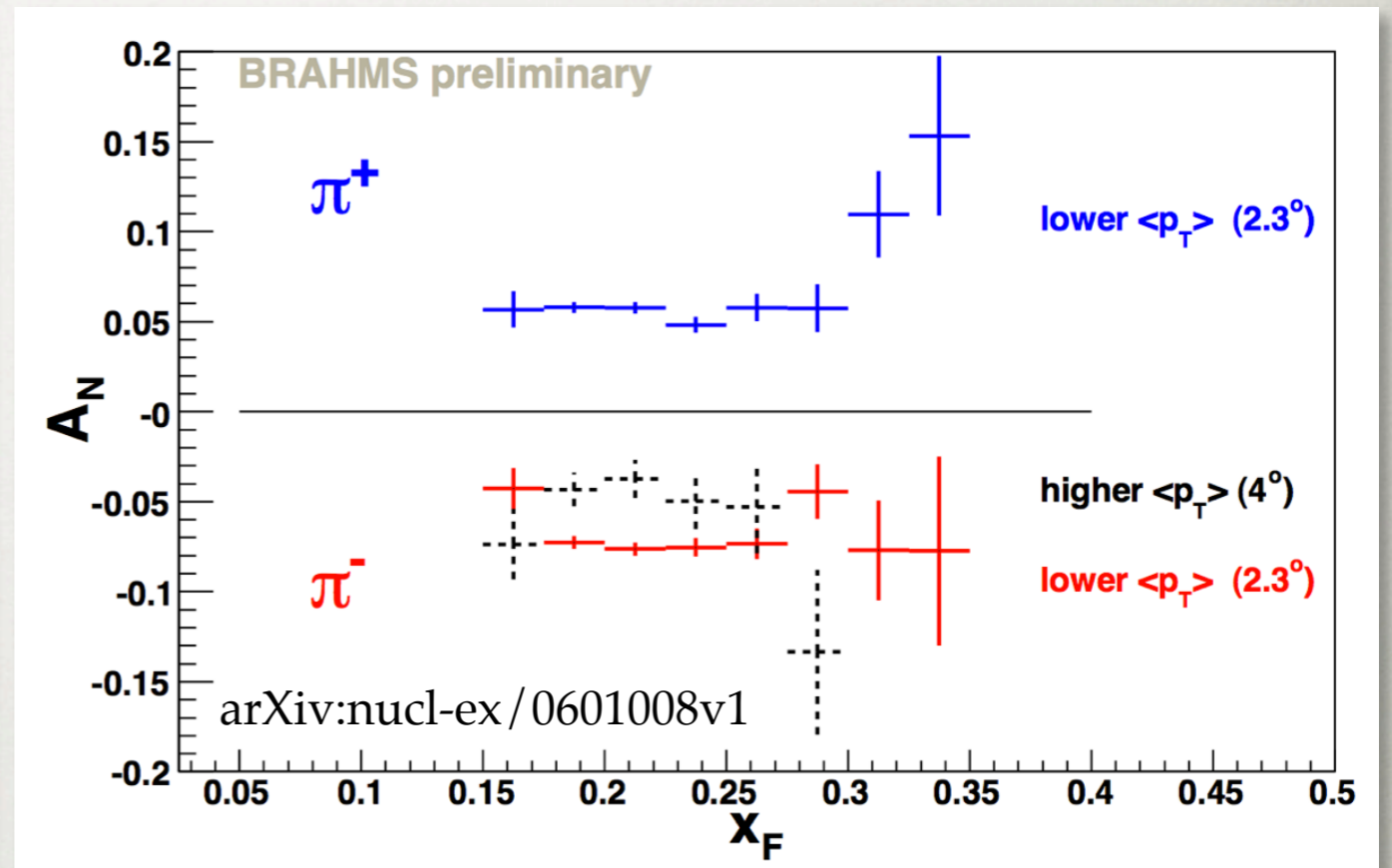
# FORWARD $A_N$ AT RHIC

$\sqrt{s} = 200$  GeV

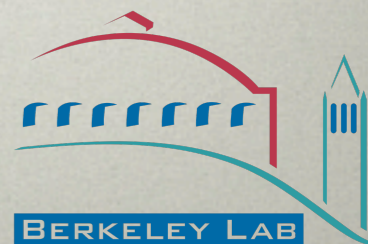
STAR  $\pi^0$



BRAHMS  $\pi^+$  and  $\pi^-$



The large forward  $A_N$  persists at RHIC, as shown for all three species of pions. The sign of the asymmetries are the same as before, and the magnitudes are comparable.





# $A_N$ IN PQCD

The initial prediction (1978) based on collinear, leading twist pQCD was  $A_N \sim 0$ .

*“The result is zero for  $m_q=0$  and is numerically small if we calculate  $m_q/\sqrt{s}$  corrections for light quarks.”*

$$A_N \sim \alpha_s \frac{m_q}{P_T} \rightarrow 0$$

VOLUME 41, NUMBER 25

PHYSICAL REVIEW LETTERS

18 DECEMBER 1978

## **Transverse Quark Polarization in Large- $p_T$ Reactions, $e^+e^-$ Jets, and Leptoproduction: A Test of Quantum Chromodynamics**

G. L. Kane

*Physics Department, University of Michigan, Ann Arbor, Michigan 48109*

and

J. Pumplin and W. Repko

*Physics Department, Michigan State University, East Lansing, Michigan 48823*

(Received 5 July 1978)

We point out that the polarization  $P$  of a scattered or produced quark is calculable perturbatively in quantum chromodynamics for  $e^+e^- \rightarrow q\bar{q}$ , large- $p_T$  hadron reactions, and large- $Q^2$  leptoproduction, and is infrared finite. The quantum-chromodynamics prediction is that  $P=0$  in the scaling limit. Experimental tests are or will soon be possible in  $pp \rightarrow AX$  [where presently  $P(A) \simeq 25\%$  for  $p_T > 2$  GeV/c] and in  $e^+e^- \rightarrow$  quark jets.

Since the 90's, new approaches have been developed to explain the observed large  $A_N$ .

Beyond collinear factorization: **Transverse Momentum Dependent (TMD) factorization**

Sivers effect ( *D. W. Sivers, Phys. Rev. D 41, 83 (1990)* )

Collins effect ( *J. C. Collins, Nucl. Phys. B 396, 161 (1993)* )

Beyond leading twist: **Twist-3 (next-to-leading-twist) approach**

( *J.-W. Qiu and G. F. Sterman, Phys. Rev. Lett. 67, 2264 (1991)* )

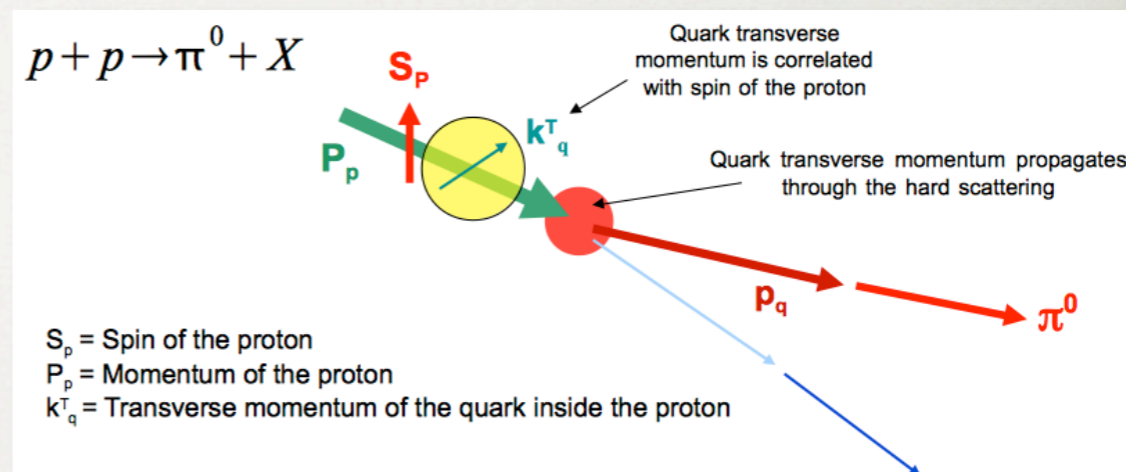
( *C. Kouvaris, J.-W. Qiu, W. Vogelsang, and F. Yuan, Phys. Rev. D 74, 114013 (2006)* )



# $A_N$ IN PQCD

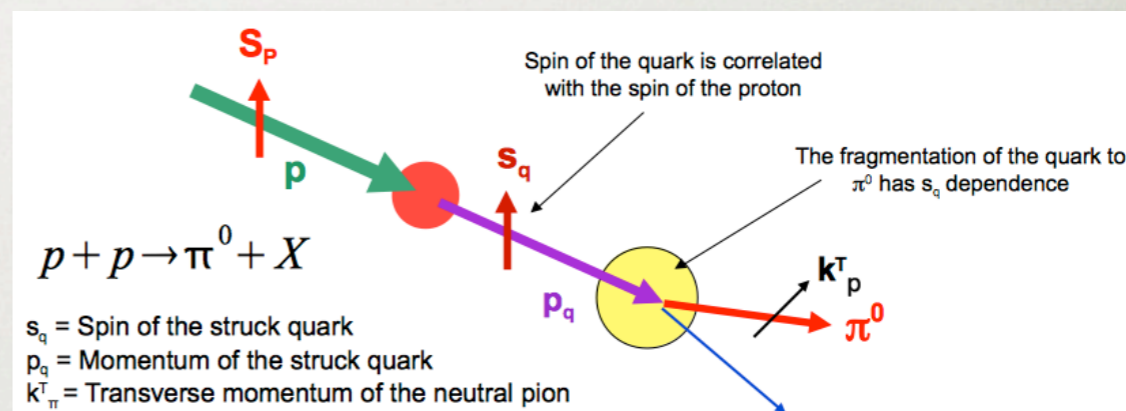
## Sivers effect (TMD)

The orbital angular motion of the struck parton, correlated with the spin of the proton, generates the asymmetry.



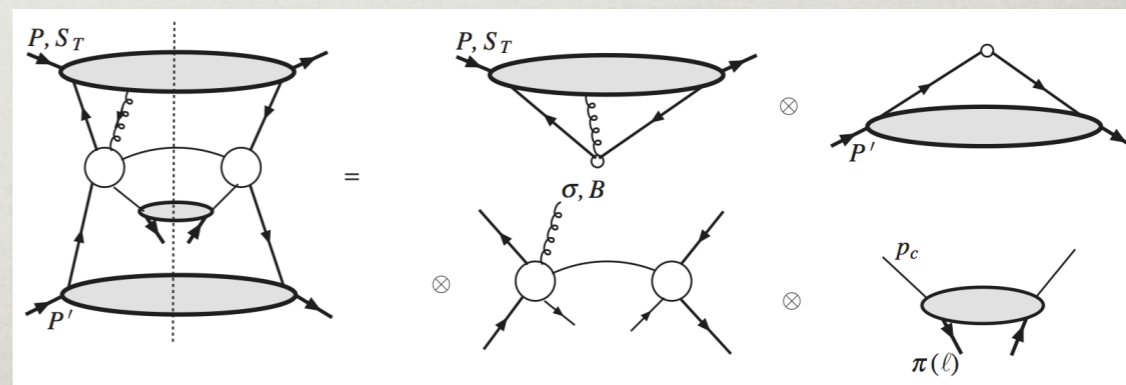
## Collins effect (TMD)

Asymmetry arises from the fragmentation process that depends on the quark transversity.



## Twist-3 (Collinear)

Twist-3, three-parton correlation/fragmentation functions can generate the asymmetry within collinear factorization.

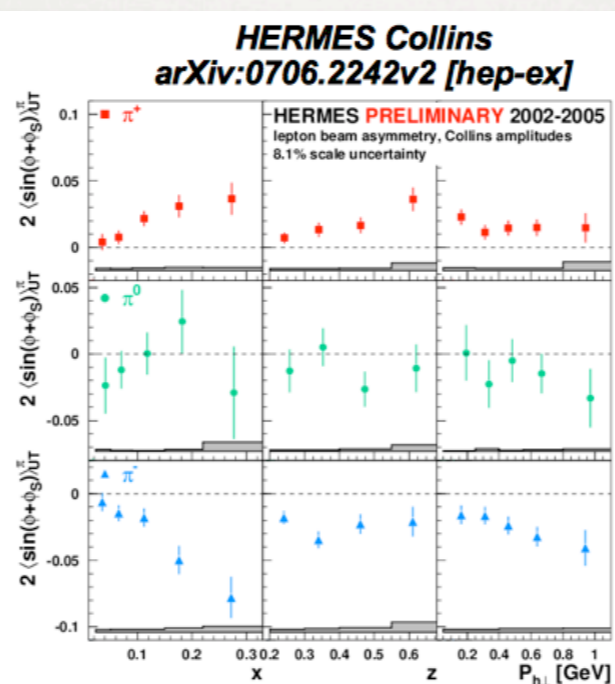
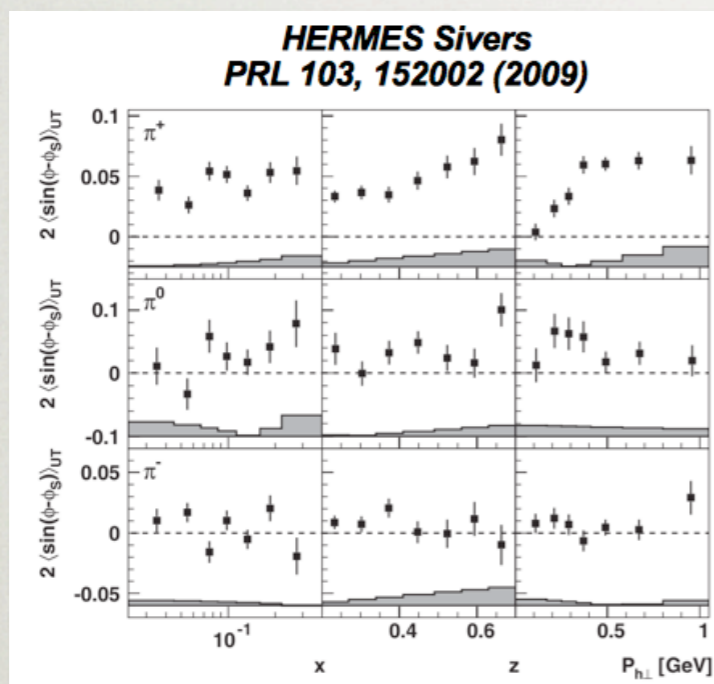




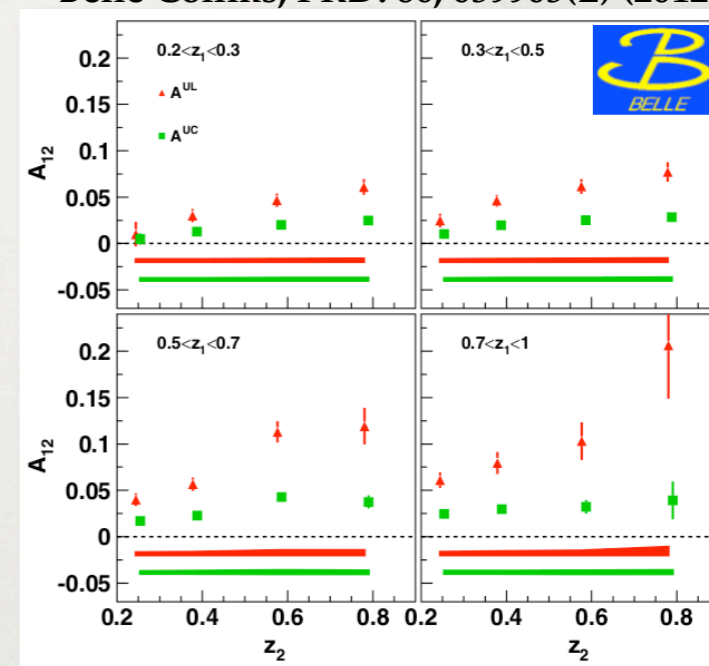


# SIVERS AND COLLINS

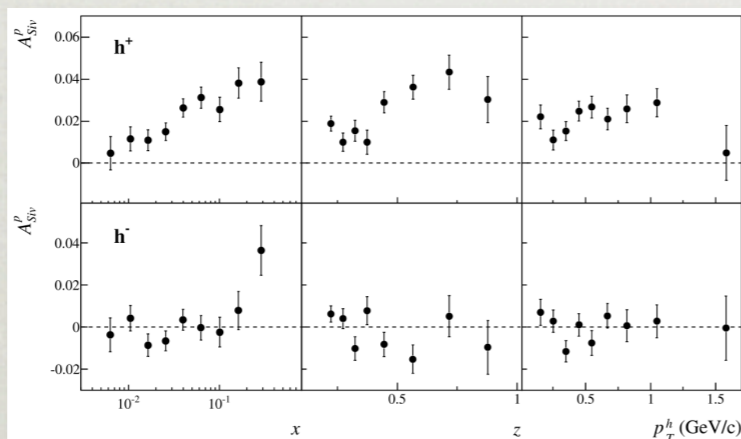
The TMD functions (Collins and Sivers) have been measured in various SIDIS experiments (HERMES, COMPASS, JLab) and  $e^+e^-$  (Belle), and shown to be non-zero.



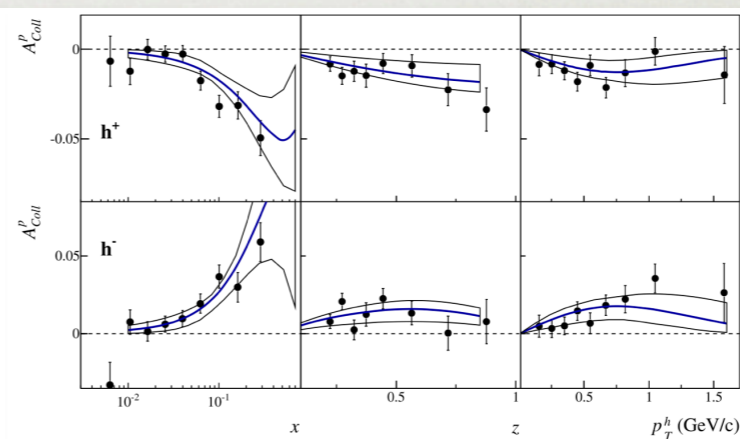
Belle Collins, PRD. 86, 039905(E) (2012)



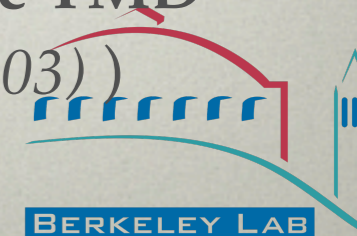
COMPASS Sivers  
PLB 717 (2012) 383-389



COMPASS Collins  
PLB 717 (2012) 376-382



Furthermore, the twist-3 correlations have been shown to be related to the TMD functions. ( D. Boer, P. J. Mulders, and F. Pijlman, Nucl. Phys. B667, 201 (2003) )





# THE ORIGIN OF $A_N$ IN P+P

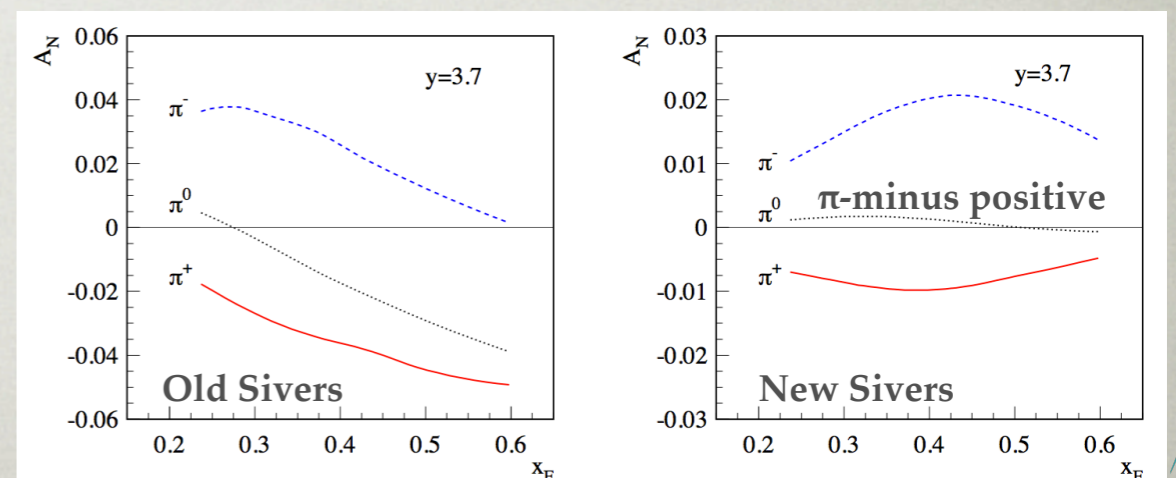
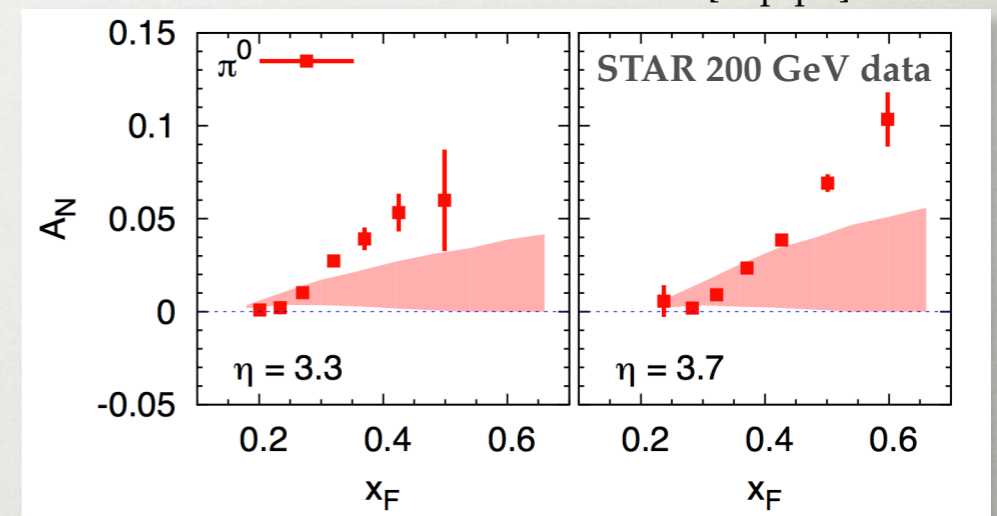
Unlike in SIDIS, it is much more difficult to untangle the dynamic origin of the observed large  $A_N$  in p+p collisions.

While the Sivers and Collins effects (or their twist-3 relatives) likely contribute, the SIDIS results do not provide quantitative understanding of  $A_N$  in p+p .

The current estimate of Collins contribution based on SIDIS and  $e^+e^-$  is “not sufficient for the medium-large  $x_F$  range of STAR data,  $x_F > \sim 0.3$ ”

It is unclear if the Sivers function from SIDIS can be applied directly to p+p due to **universality breaking**, ( *Phys. Rev. D 81, 094006 (2010)* ) and when “translated” to the twist-3 formalism, **it produces the opposite sign.**

Anselmino *et al.* arXiv: 1207.4529 [hep-ph]



Kang, Qiu, Vogelsang, and Yuan, *Phys. Rev. D83, 094001 (2011)*



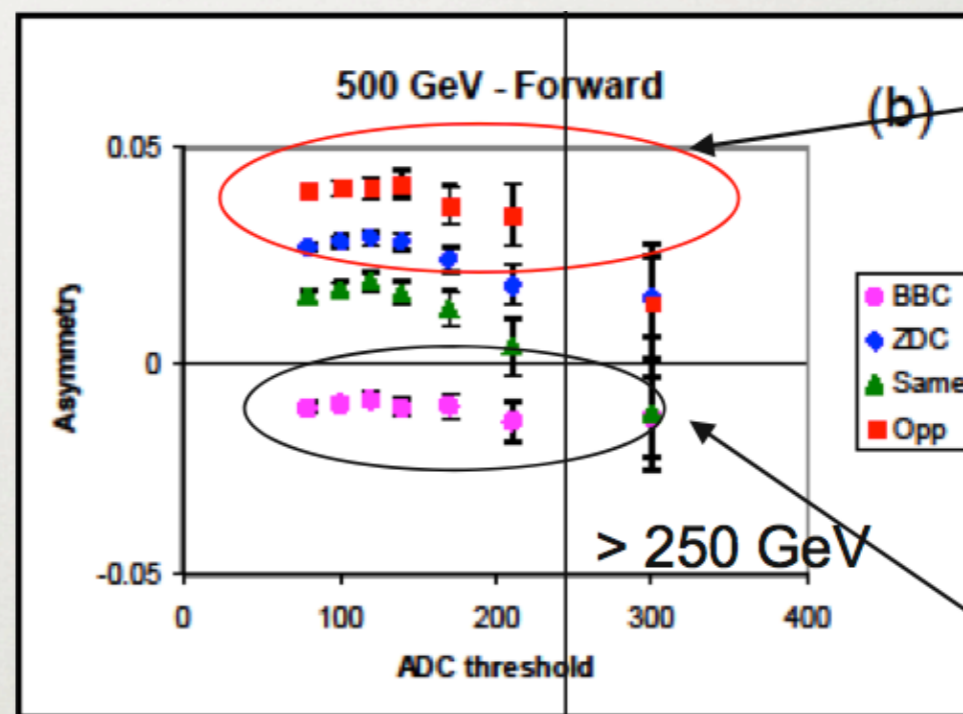
# THE ORIGIN OF $A_N$ IN P+P

Furthermore, it is not certain that the TMD and Twist-3 models are sufficient to explain the full scope of forward  $A_N$  in hadronic interactions.

If the collider  $A_N$  is pQCD, then what was the  $A_N$  observed in fixed target experiments? Are they simply two different processes that look similar?

At STAR, we see sizable asymmetries in the BBC and the ZDC, both of which are more forward than our calorimeters, likely from diffractive physics.

Can similar (or other soft) process contributes to our  $\pi^0$  and  $\eta$   $A_N$ ?

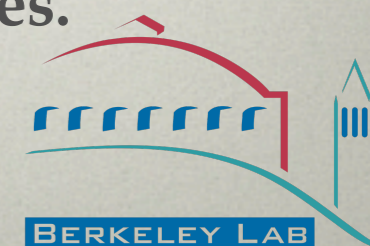


ZDC L(R) - BBC R(L) anti-correlation increases the asymmetry!

BBC with ZDC charge requirement

Answering these questions requires going beyond inclusive pion  $A_N$  vs.  $x_F$ .

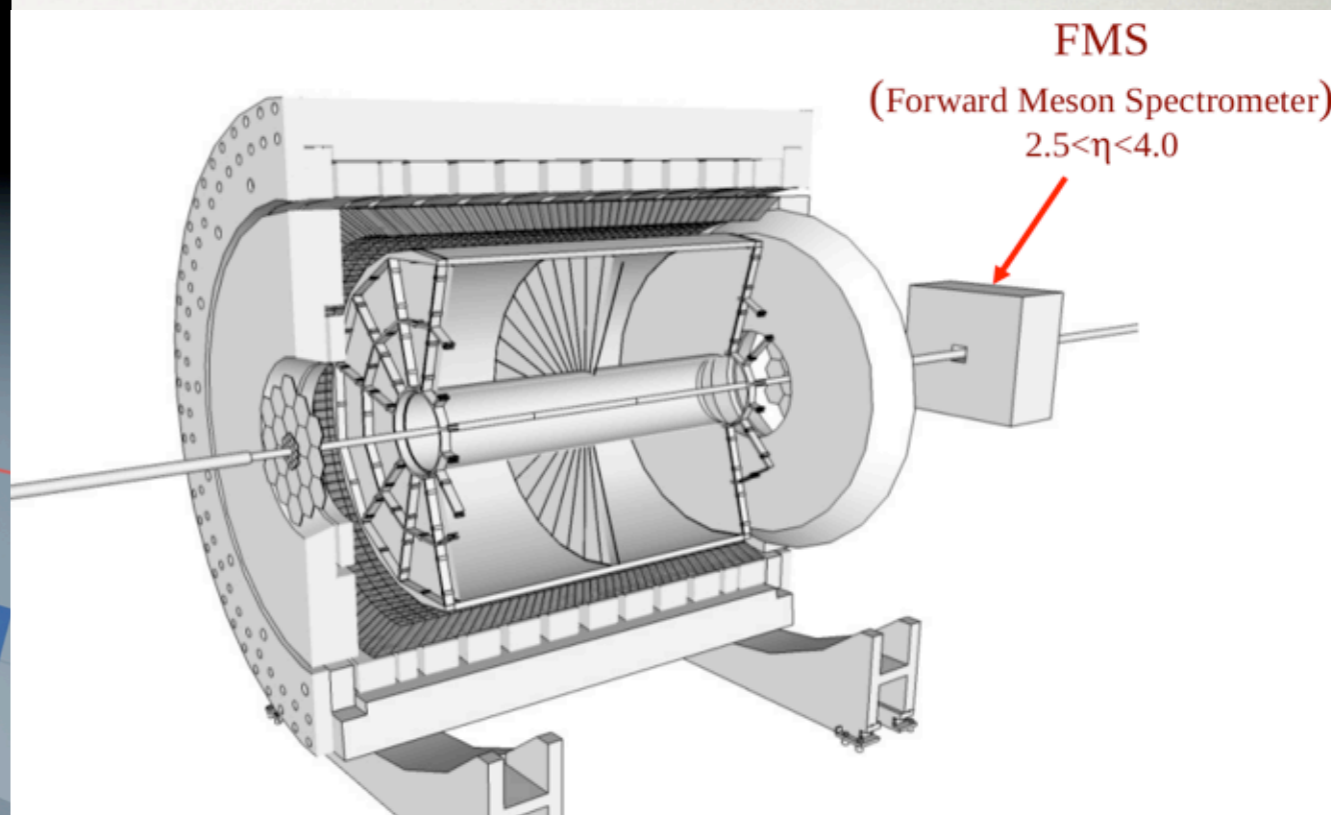
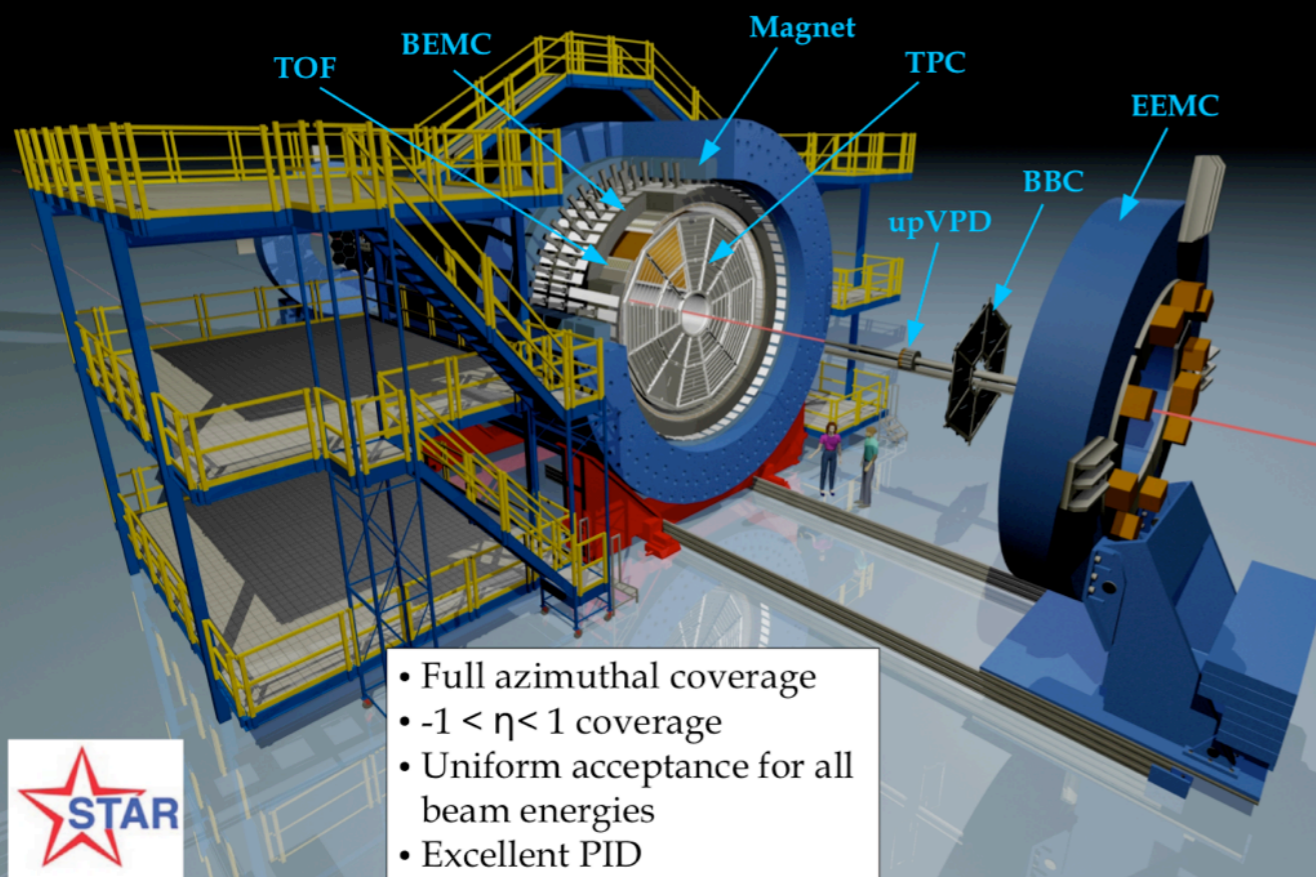
→ Characterize  $A_N$  as functions of  $x_F$ ,  $p_T$ ,  $\eta$ , and for diverse final states.





# STAR DETECTOR

## The Solenoid Tracker At RHIC (STAR)



Full jet capability (tracking,  $dE/dx$ , EM cal) for  $-1.0 < \eta < 1.4$   
EM coverage for  $-1.0 < \eta < 2.0$ , and  $2.5 < \eta < 4.0$   
Full  $2\pi$  acceptance for all of the above.



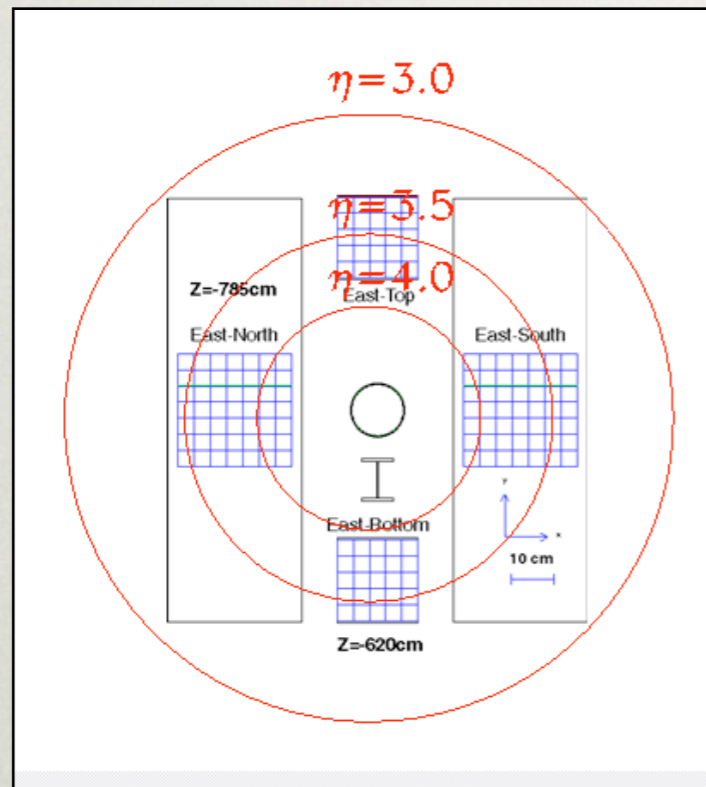
# FORWARD CALORIMETERS

STAR forward calorimetry consists of Pb glass detectors located ~8m from IR.

Forward Pion Detector (FPD)

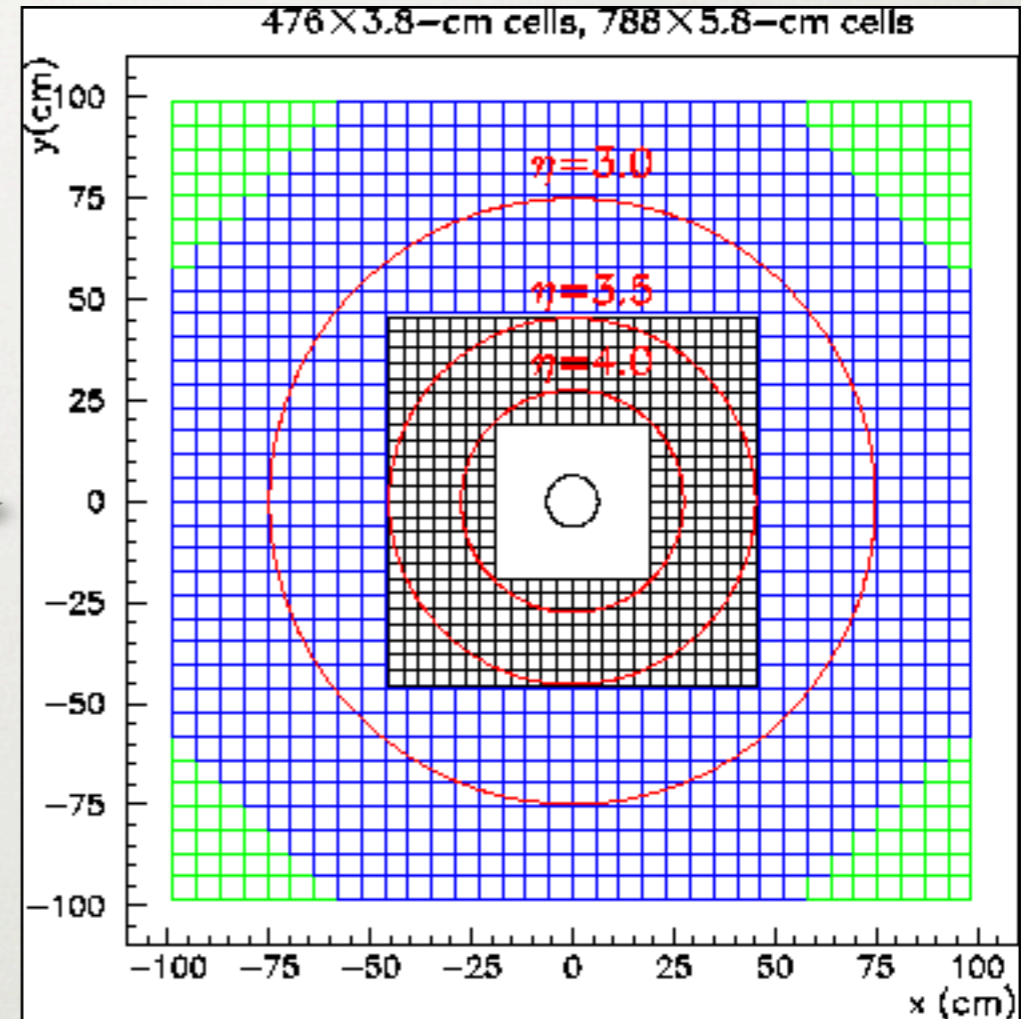
East: Run3 ~ Current

West: Run3 ~ Run5

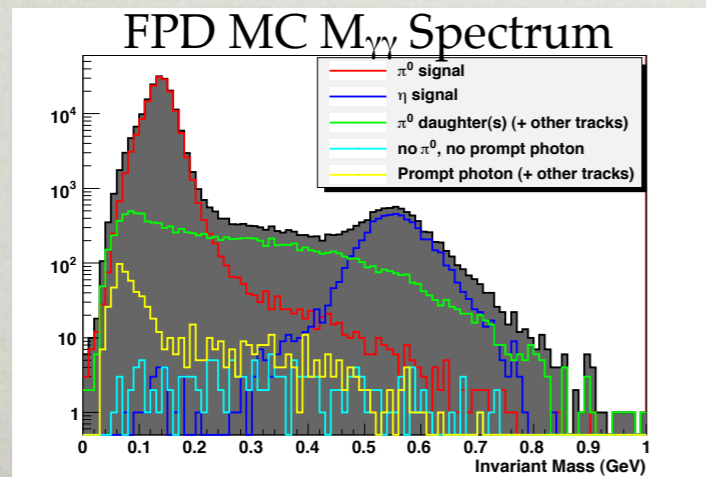


Forward Meson Spectrometer (FMS)

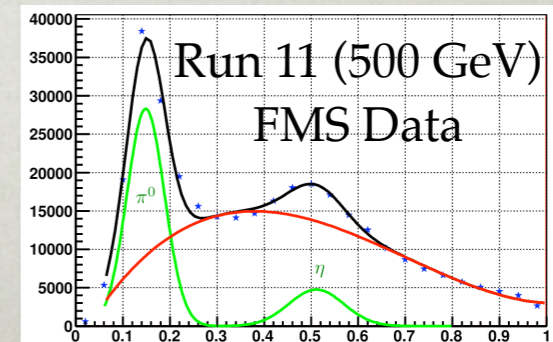
West: Run8 ~ Current



Run 2008  
➔



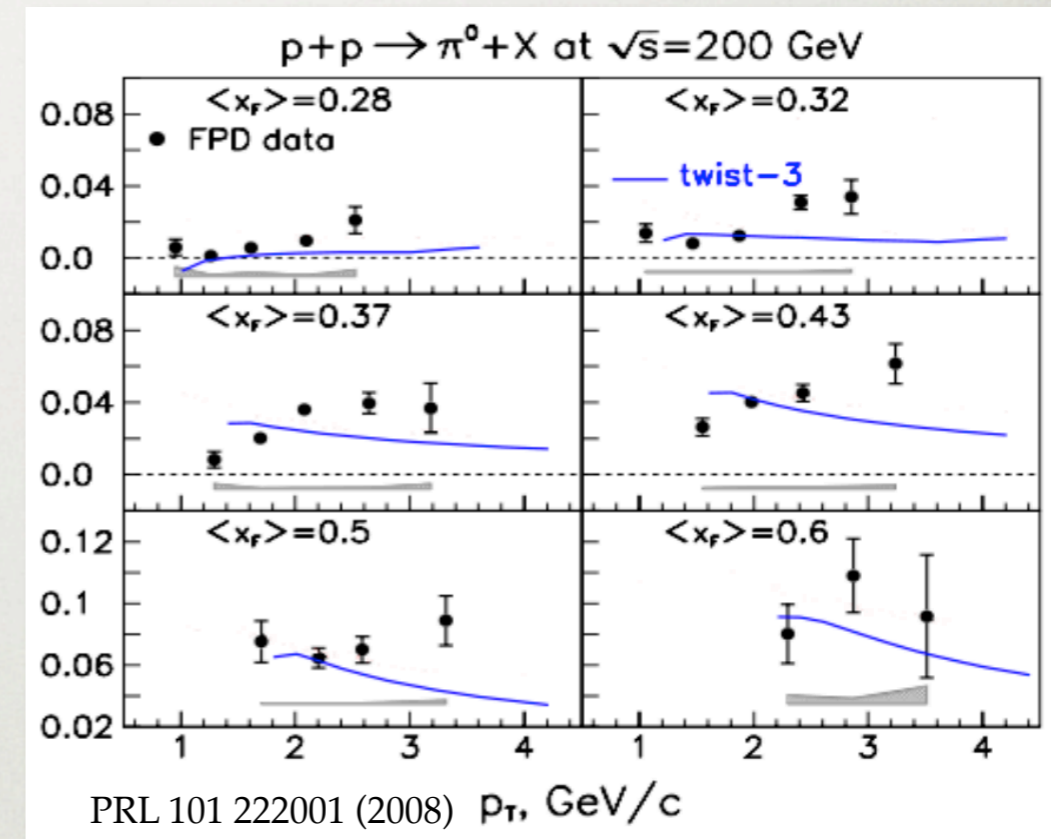
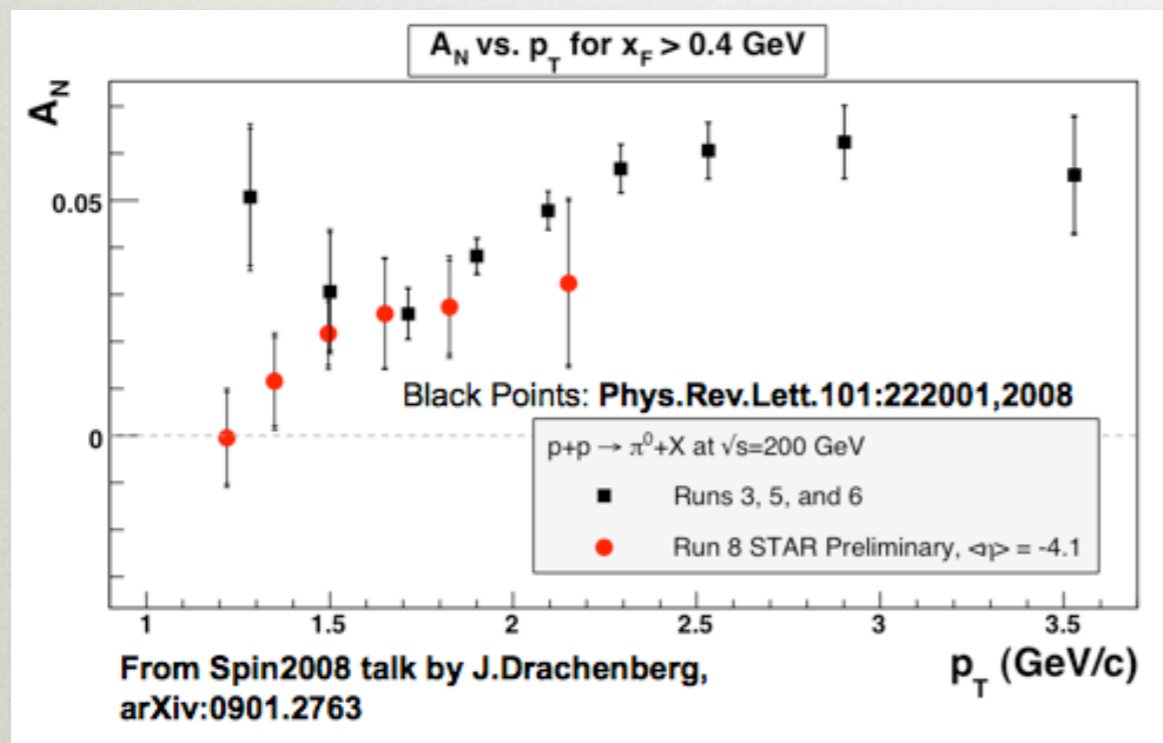
Both detectors are capable of  $\pi^0 - \gamma$  separation up to 80 GeV or higher, with ~8% energy resolution.





# $\pi^0 A_N$ VS. $p_T$ AT 200 GEV

Naively, one might expect the  $A_N$  to fall roughly as  $1/p_T$ . For TMD effects, the power law behavior of the large- $x$  cross-section combined with the  $k_T$  kick suggests  $1/p_T$ . One might also expect the twist-3 effect to fall as  $1/p_T$ , due to the  $p_T$  suppression of higher twist diagrams.



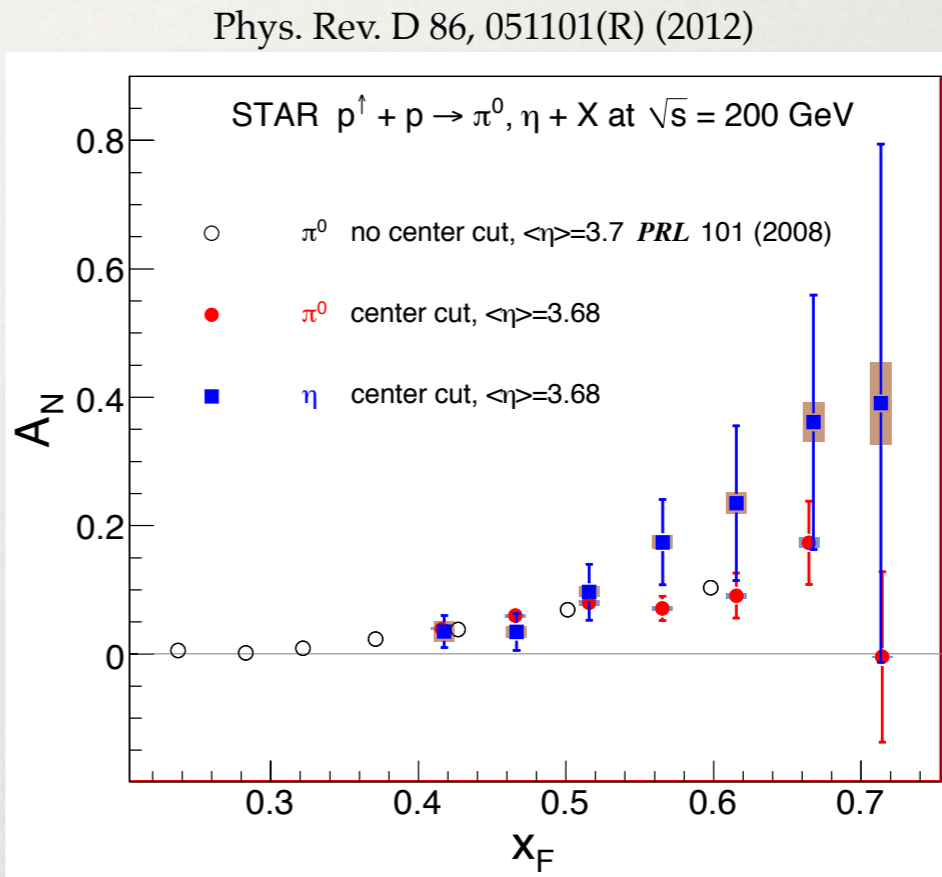
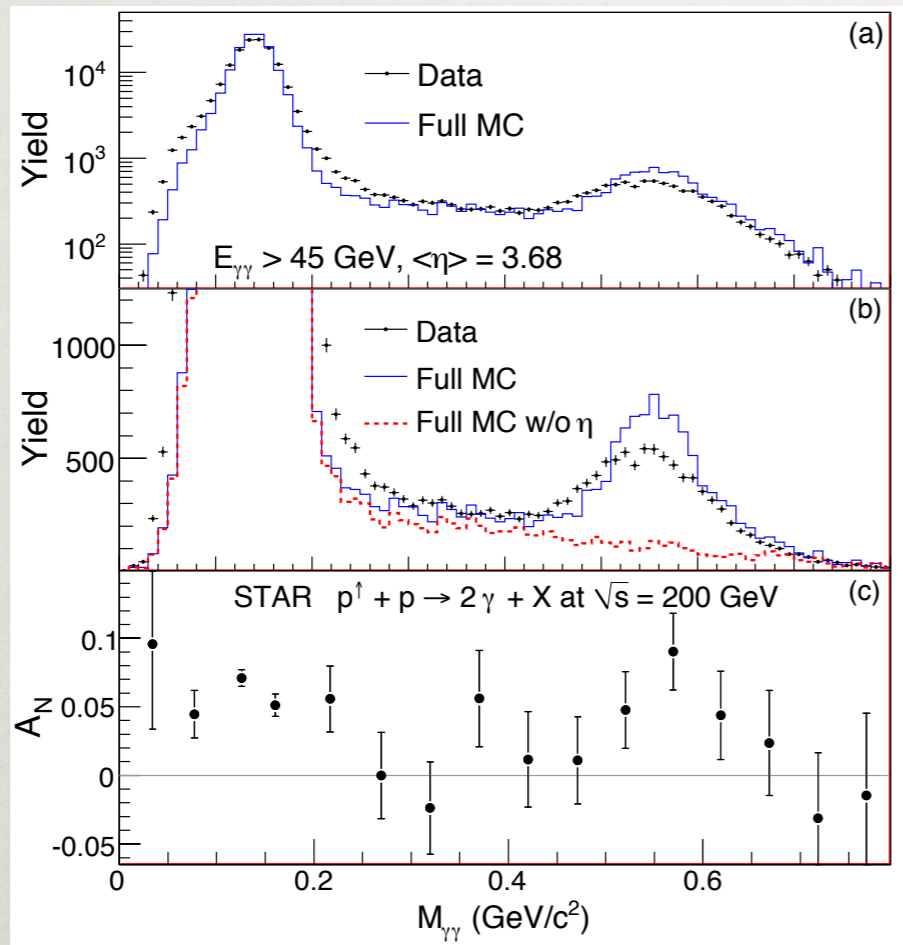
However, based on the FPD data, STAR previously reported the  $p_T$  dependence of forward  $\pi^0 A_N$  at  $\sqrt{s}=200$  GeV that shows **no sign of falling out to  $\sim 3.5$  GeV/c**.



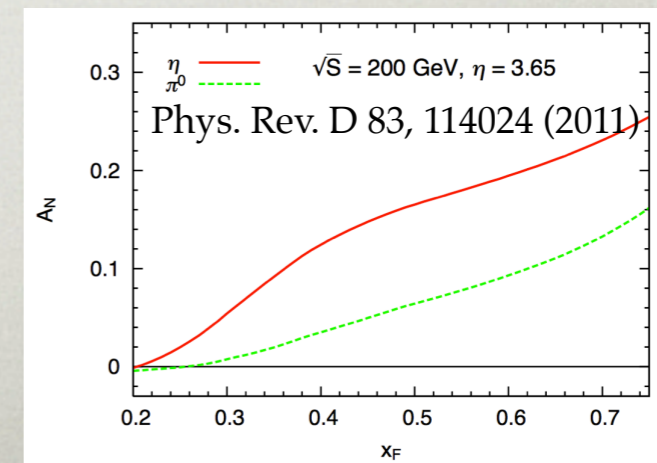


# FORWARD $\eta$ $A_N$ AT 200 GEV

In addition to the  $\pi^0$ 's, we measured the forward cross-section (slide 4) and  $A_N$  for the  $\eta$  mesons using the FPD. At high  $x_F$  ( $x_F > 0.55$ ), the  $A_N$  for the  $\eta$  is very large, and may not be consistent ( $\sim 3\%$ ) with that of the  $\pi^0$ .



Kanazawa & Koike calculates larger  $A_N$  for  $\eta$  than  $\pi^0$ , from the strangeness contribution. However, the  $x_F$  dependence deviates from the data.





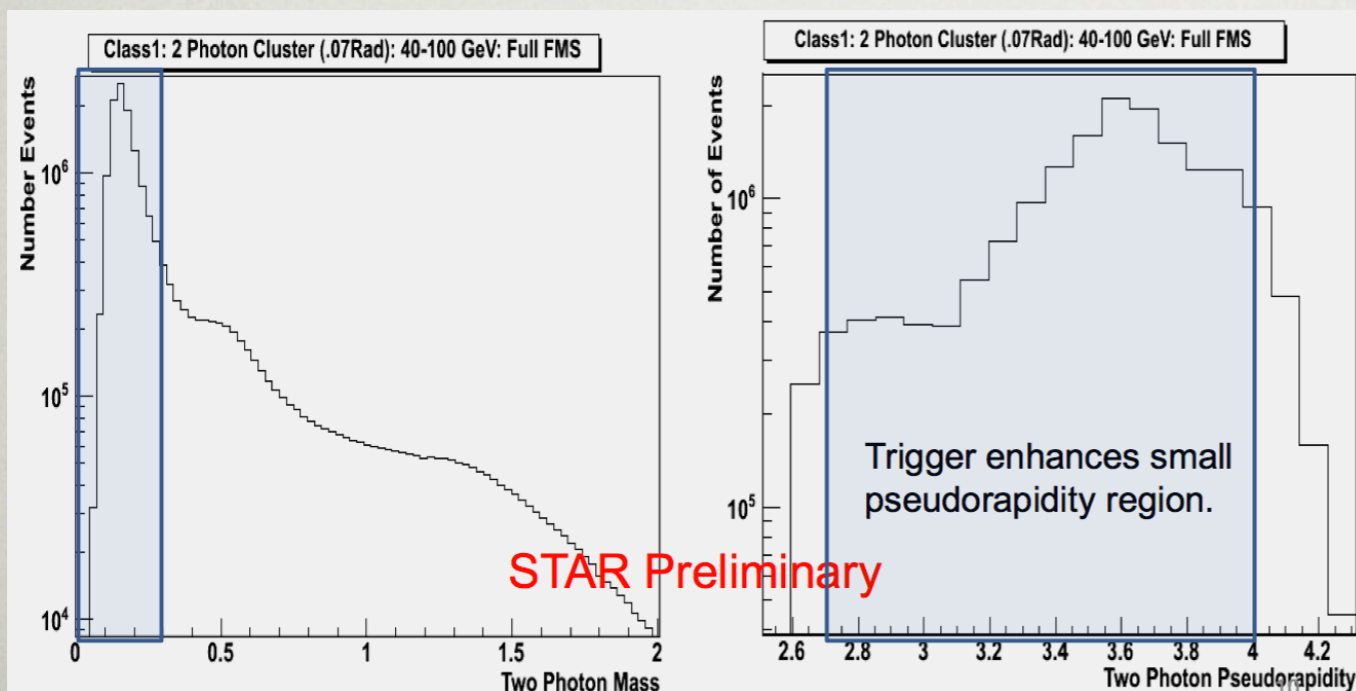
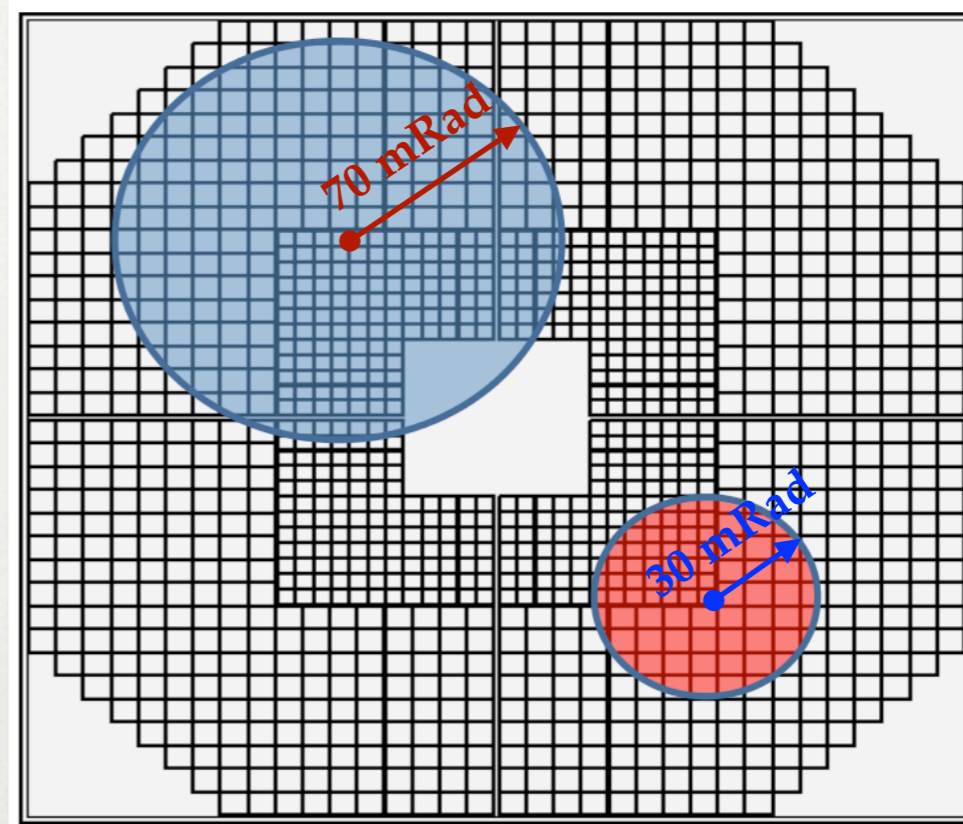
# FORWARD $\pi^0$ $A_N$ AT 500 GeV

STAR FMS ( $2.7 < \eta < 4.0$ ) has measured  $\pi^0 A_N$  at  $\sqrt{s} = 500\text{GeV}$ , based on 2011 data. (22.4 pb<sup>-1</sup>, 48% polarization)

The  $\pi^0$  reconstruction is effective up to  $\sim 100$  GeV ( $x_F < 0.4$ ).

Two different isolation cones for the photon pairs are used. Two and only two photons ( $E_\gamma > E_{\min}$ ) are found within the cone.

Isolation cut = 30 & 70 mRad

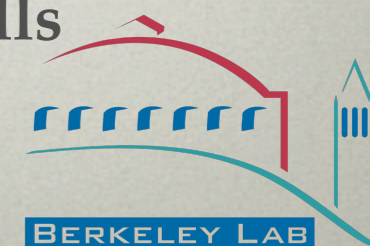


$$40 \text{ GeV} < E_{\gamma\gamma} < 100 \text{ GeV}$$

$$Z_{\gamma\gamma} = |E_1 - E_2| / E_{\gamma\gamma} < 0.7$$

$$0.02 \text{ GeV} < M_{\gamma\gamma} < 0.3 \text{ GeV}$$

$E_\gamma > 6 \text{ GeV}$  for small cells  
 $E_\gamma > 4 \text{ GeV}$  for large cells

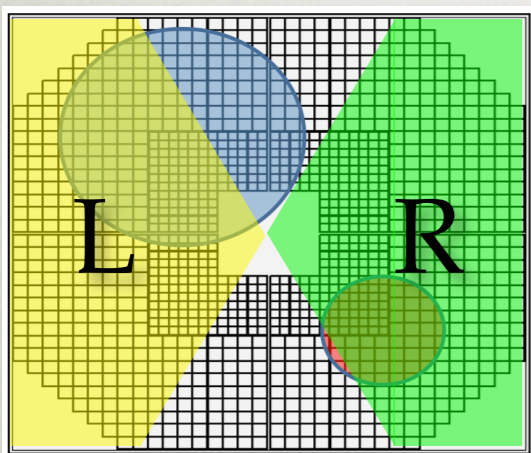






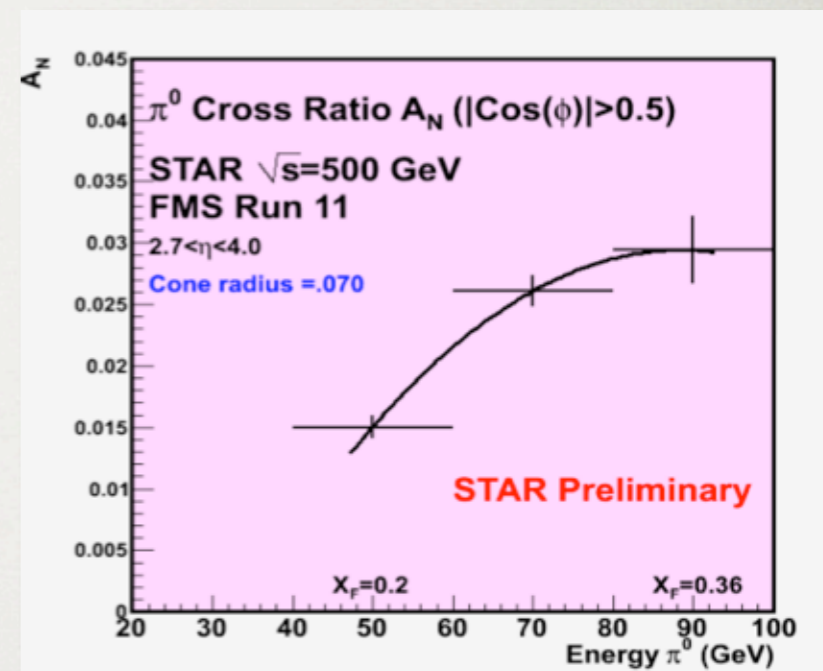
# $\pi^0$ $A_N$ VS. $x_F$ AT 500 GEV

L:  $\cos(\Phi) > 0.5$ , R:  $\cos(\Phi) < 0.5$



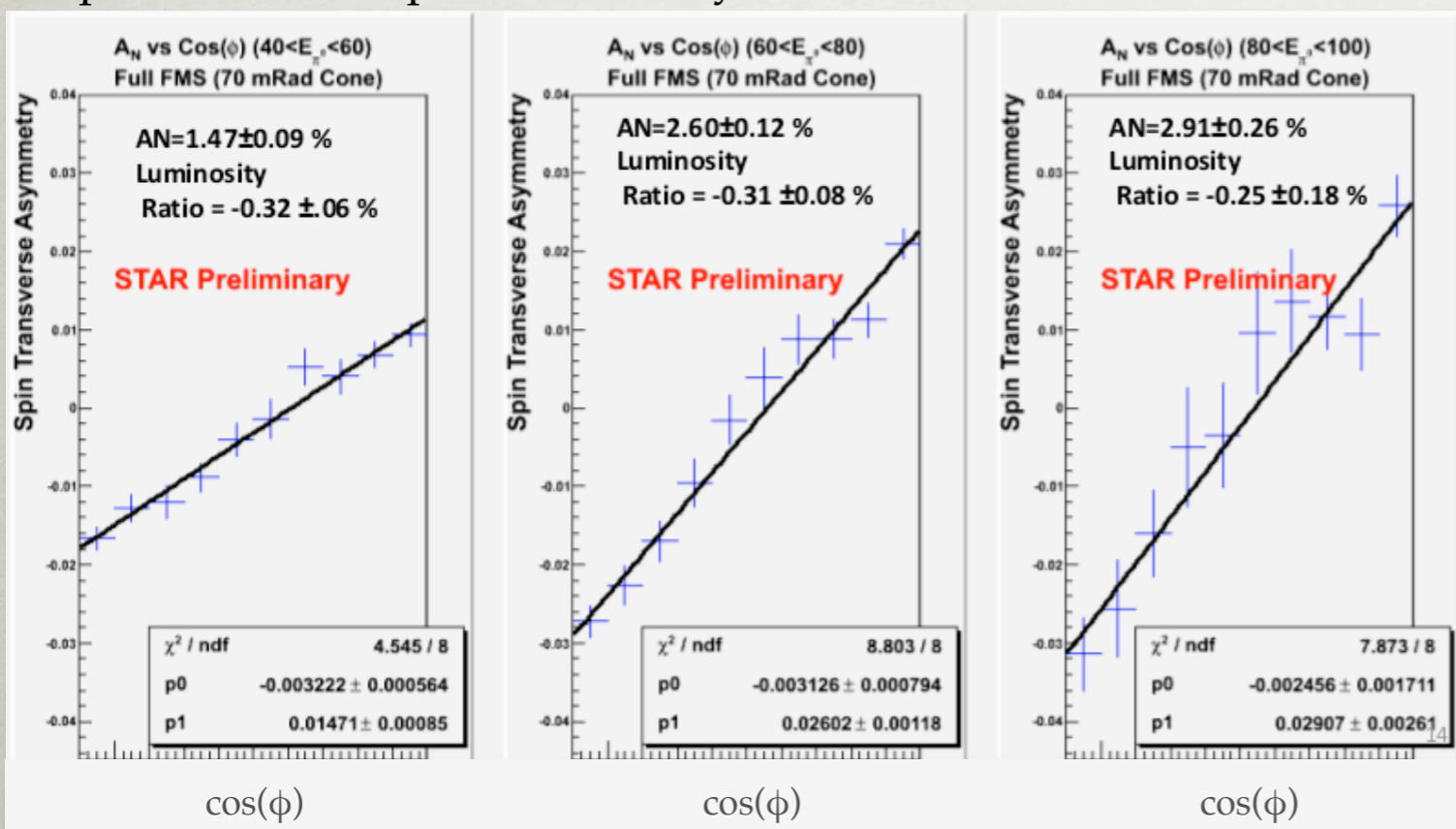
The cross-ratio method shows that the onset of positive  $A_N$  is lower in  $x_F$  compared to 200 GeV.

The magnitude of  $A_N$  is comparable to the 200 GeV result up to  $x_F=0.4$ .



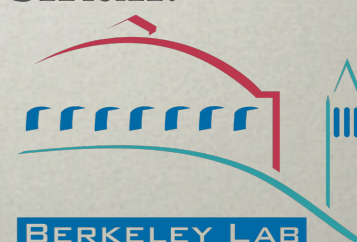
70 mRad Cone

Slope =  $A_N$ , Intercept = Luminosity Ratio (for all data  $\sim -0.31 \pm .05 \%$ )



As an alternative to cross-ratio, the raw asymmetry can be plotted as a function of  $\cos(\Phi)$ . (with polarization axis at  $\Phi=\pi/2$ )

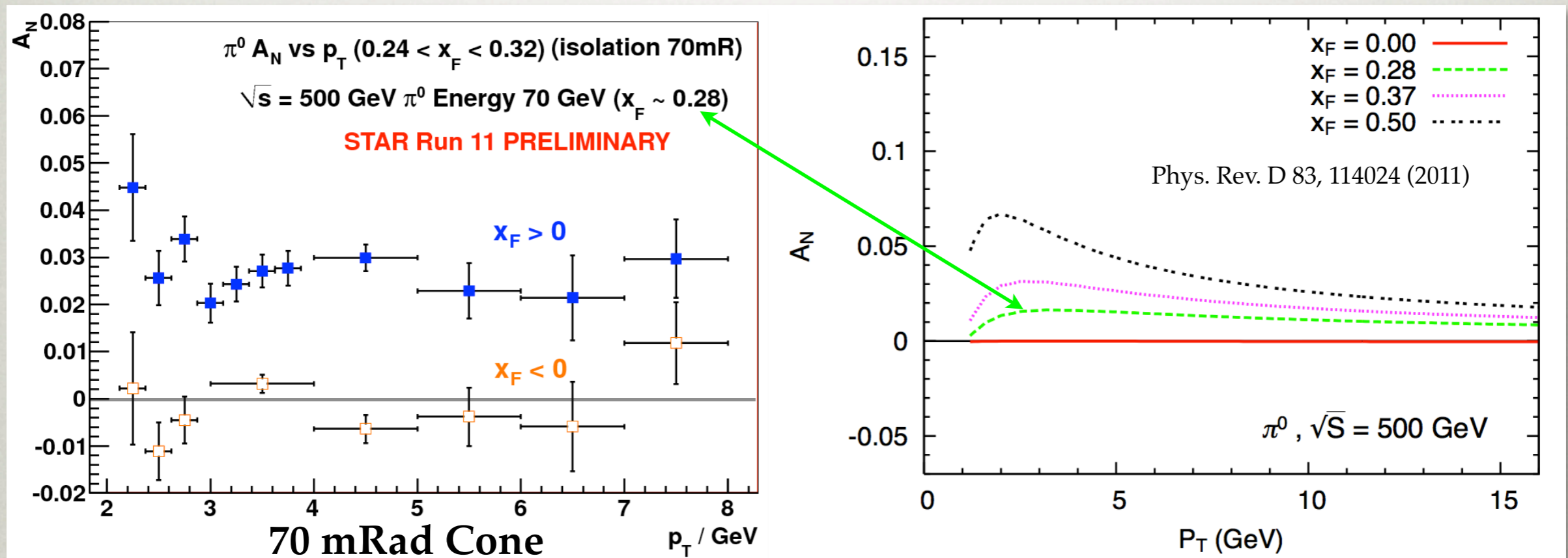
The slope fits are consistent with the cross-ratio result, and the luminosity ratio is small.





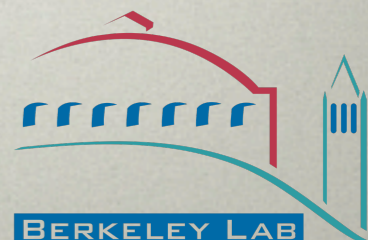
# $\pi^0 A_N$ VS. $P_T$ AT 500 GEV

Continuing the previous FPD measurement, the FMS reported the  $p_T$  dependence of forward  $\pi^0 A_N$  at  $\sqrt{s}=500$  GeV, up to  $\sim 10$  GeV.



Even at 7~10 GeV, we see no sign of  $1/p_T$  like fall.

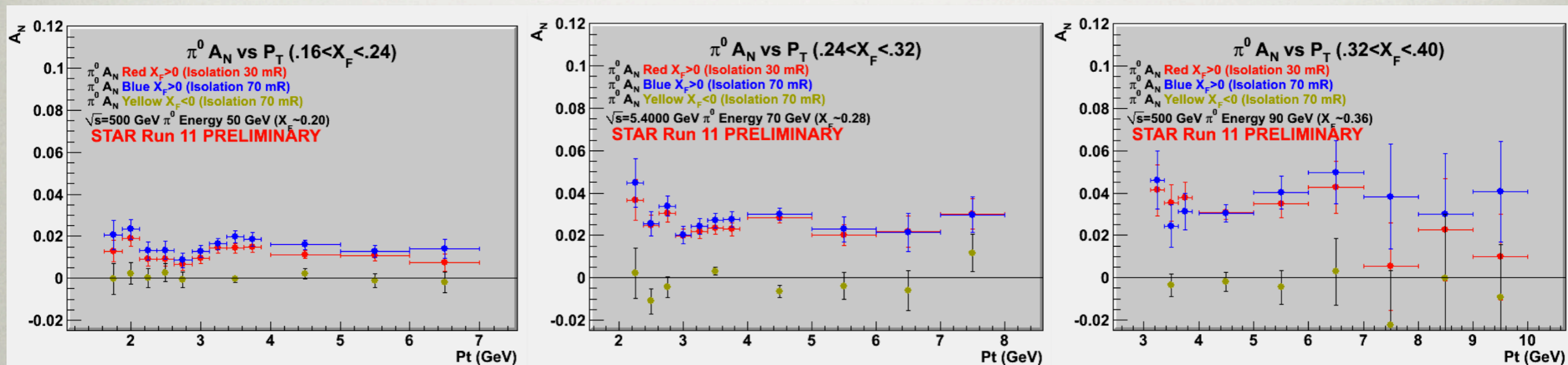
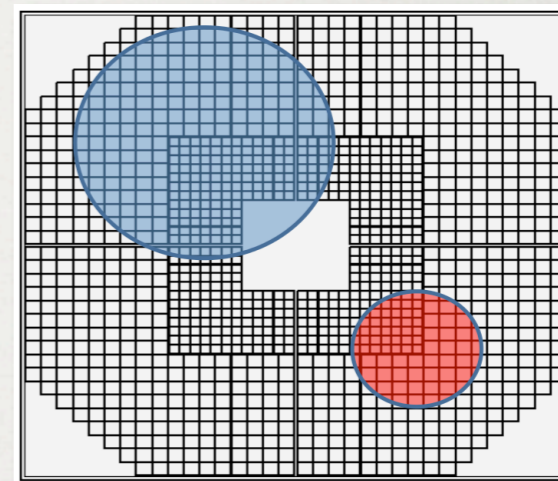
While this is counter-intuitive, Kanazawa & Koike obtain an almost flat  $p_T$  dependence based on twist-3 formalism combined with DSS fragmentation function, which has a large gluon component.





# 30 vs. 70 mRAD ISOLATION

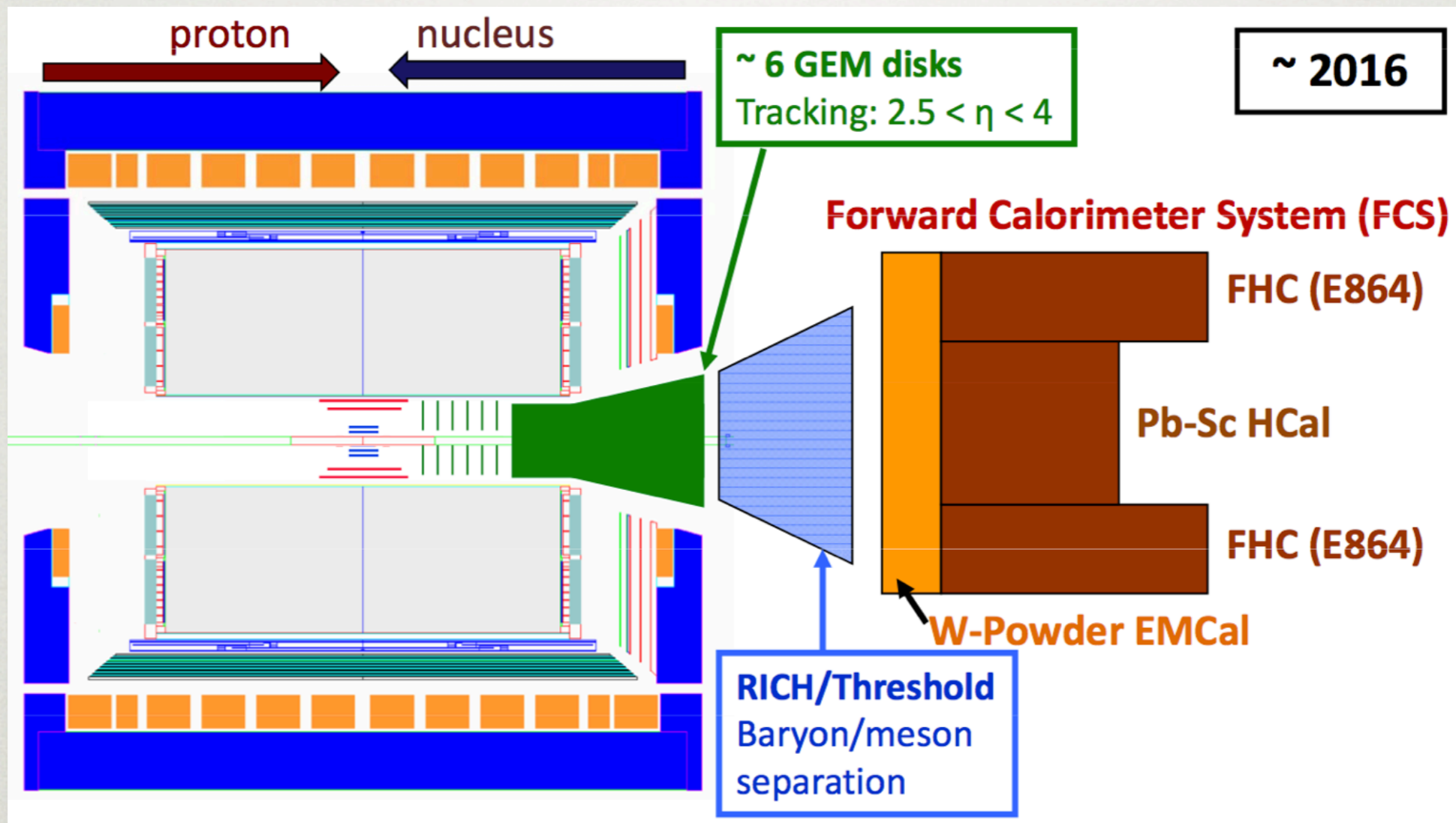
When we compare  $A_N$  vs.  $p_T$  for the two isolation cones at **30** and **70 mRad**, we find that the larger isolation cone produces consistently larger asymmetries than the smaller one.



This result shows that events that contain additional EM clusters ( $E > 4$  or 6 GeV) in the region between 30~70 mRad from the  $\pi^0$ s have significantly lower asymmetry than  $\pi^0$ s that are fully isolated up to 70 mRad.

→ Similar analysis is on-going with the new run 12, 200 GeV transverse data.

# FORWARD UPGRADES



Forward instrumentation upgrade optimized for p+A and transverse spin physics.

The prototype for FCS ( $e/h$  and  $\gamma/\pi^0$  discriminations) is planned.

Forward charged-particle tracking will likely be based on GEM technology.

Threshold detector currently under consideration for baryon/meson separation.



# SUMMARY

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The large transverse single spin asymmetry in the forward region of hadron collisions has persisted through a wide range of collision energies.

Number of pQCD based models have been proposed to explain these large asymmetries, many of which have been validated in SIDIS and  $e^+ e^-$  experiments. However, this has not yet led to a quantitative understanding of forward  $A_N$  in hadron collisions.

STAR is continuing its effort to map out the kinematic dependence of  $A_N$  in the forward region, and to expand the measurements beyond inclusive pions.

We believe these measurements are crucial in bringing the theoretical understanding of the large forward  $A_N$  in p+p to the quantitative level.

The near future upgrade plan at the STAR forward region focuses on p+A and transverse spin physics. It is aimed at measuring jets, direct photons, identified hadrons, and DY.