

# Notes for Quantum Mechanics

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Lecture 12

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## Lecture 12

### Changing basis (or "representation")

We have decided to use the  $S_z$  basis, i.e.  $|+\rangle$  and  $|-\rangle$ . Suppose we want to switch to the  $S_x$  basis. Is there a way to do this? Before we answer this, let's see if there is a way to go from one set of eigenkets say  $|+\rangle$  and  $|-\rangle$ , to another set, perhaps  $|S_x+\rangle$  and  $|S_x-\rangle$ . Let's think of this more generally as  $\hat{A}=\hat{S}_z$  with eigenkets  $|a_i\rangle$ . Is there a way to find a different set of eigenkets of another operator  $\hat{B}=\hat{S}_x$  with eigenkets  $|b_i\rangle$ ? In general we can let  $\hat{A}$  and  $\hat{B}$  be any two operators which represent observables so that the kets  $|a_i\rangle$  and  $|b_i\rangle$  are complete, orthogonal eigenkets. Let us suppose that we have an operator  $\hat{U}$  which does this.

$$\text{i.e. } |b_i\rangle = \hat{U}|a_i\rangle. \quad (1)$$

The operator  $\hat{U}$  will be a special kind of transformation matrix called a unitary operator.

$$\text{A unitary operator } \hat{U} \text{ is one in which } \hat{U}^\dagger \hat{U} = \hat{1} \text{ and } \hat{U} \hat{U}^\dagger = \hat{1} \text{ This also means } \hat{U}^{-1} = \hat{U}^\dagger \quad (2)$$

$$\text{Now we want an operator } \hat{U} \text{ such that } |b_i\rangle = \hat{U}|a_i\rangle. \text{ By construction this will be } \sum_k |b_k\rangle \langle a_k| \quad (3)$$

$$\text{Proof: } \hat{U}|a_i\rangle = \sum_k |b_k\rangle \langle a_k| a_i\rangle = \sum_k |b_k\rangle \delta_{ki} = |b_i\rangle \quad \text{QED}$$

$$\text{we can also show that this is unitary: } \hat{U}^\dagger \hat{U} = \sum_j |a_j\rangle \langle b_j| \sum_k |b_k\rangle \langle a_k| = \sum_j \sum_k |a_j\rangle \langle b_j| b_k\rangle \langle a_k| = \sum_j |a_j\rangle \langle a_j| = \hat{1}$$

Now we can think of  $\hat{U}$  in a matrix notation. The matrix elements are (see lecture 7)

$$\langle a_i | \hat{U} | a_j \rangle = \langle a_i | \sum_k |b_k\rangle \langle a_k| a_j \rangle = \langle a_i | b_j \rangle \text{ so for the a two component case } \hat{U} = \begin{pmatrix} \langle a_1 | b_1 \rangle & \langle a_1 | b_2 \rangle \\ \langle a_2 | b_1 \rangle & \langle a_2 | b_2 \rangle \end{pmatrix} \quad (4)$$

where we have used (3). Now let's see how we can use this.

We have typically written things in the  $S_z$  basis, but suppose we want to switch to a different basis, say  $S_x$ . How do we do

this?

First lets start out by figuring out how to change the basis of kets. In the  $S_z$  basis we write  $|\alpha\rangle = |+\rangle\langle +|\alpha\rangle + |-\rangle\langle -|\alpha\rangle$ .

So that we can write this symbolically as  $|\alpha\rangle = \sum_i |a_i\rangle c_i = \sum_i |a_i\rangle \langle a_i|\alpha\rangle$  where the  $|a_i\rangle$  are eigenkets of  $\hat{A}$  and  $\hat{A} = \hat{S}_z$ . We see that the coefficients  $c_i = \langle a_i|\alpha\rangle$ . Now lets let  $\hat{B} = \hat{S}_x$  and  $|b_i\rangle$  are the eigenkets. In general we can let  $\hat{A}$  and  $\hat{B}$  be any two operators which represent observables so that the kets  $|a_i\rangle$  and  $|b_i\rangle$  are complete, orthogonal eigenkets. Remember  $\sum_i |a_i\rangle \langle a_i| = \hat{1}$  and  $\sum_i |b_i\rangle \langle b_i| = \hat{1}$  Then we can write

$|\alpha\rangle = \sum_i |a_i\rangle \langle a_i|\alpha\rangle = \sum_{ij} |b_j\rangle \langle b_j|a_i\rangle \langle a_i|\alpha\rangle = \sum_j |b_j\rangle \sum_i \langle b_j|a_i\rangle \langle a_i|\alpha\rangle$  So we can now write  $|\alpha\rangle = \sum_j |b_j\rangle c'_j$  where the new coefficients  $\langle b_j|\alpha\rangle = c'_j = \sum_i \langle b_j|a_i\rangle \langle a_i|\alpha\rangle$ . Thinking of this in matrix notation is particularly nice since originally in the  $\hat{A}$  basis we write a column vector  $\begin{pmatrix} \langle a_1|\alpha\rangle \\ \langle a_2|\alpha\rangle \end{pmatrix}$

$$\text{We can transform to the new basis as follows: } \begin{pmatrix} \langle b_1|\alpha\rangle \\ \langle b_2|\alpha\rangle \end{pmatrix} = \begin{pmatrix} \langle b_1|a_1\rangle & \langle b_1|a_2\rangle \\ \langle b_2|a_1\rangle & \langle b_2|a_2\rangle \end{pmatrix} \begin{pmatrix} \langle a_1|\alpha\rangle \\ \langle a_2|\alpha\rangle \end{pmatrix}. \quad (5)$$

Notice how this matrix multiplication does exactly the same thing as the sum. Now notice that this matrix is just the transpose-complex conjugate of  $\hat{U}$  so we can write

$$\begin{pmatrix} \langle b_1|\alpha\rangle \\ \langle b_2|\alpha\rangle \end{pmatrix} = \hat{U}^\dagger \begin{pmatrix} \langle a_1|\alpha\rangle \\ \langle a_2|\alpha\rangle \end{pmatrix} \quad \text{where it is now understood that } \hat{U} \text{ is in matrix notation. i.e.} \quad (6)$$

$$\text{(new basis)} = \hat{U}^\dagger \text{(old basis)} \quad (7)$$

### Example:

Lets first recall from Lecture 8:

$$|S_x; \pm\rangle = \frac{1}{\sqrt{2}} (|+\rangle \pm |-\rangle) \quad |S_y; \pm\rangle = \frac{1}{\sqrt{2}} (|+\rangle \pm i|-\rangle) \quad (a)$$

$$|+\rangle = \frac{1}{\sqrt{2}} (|S_x +\rangle + |S_x -\rangle) \quad |-\rangle = \frac{1}{\sqrt{2}} (|S_x +\rangle - |S_x -\rangle) \quad (b)$$

$$|+\rangle = \frac{1}{\sqrt{2}} (|S_y +\rangle + |S_y -\rangle) \quad |-\rangle = \frac{1}{\sqrt{2}} (|S_y +\rangle - |S_y -\rangle) \quad (c)$$

Now lets take  $|\pm\rangle$  as the old basis and  $|S_x\pm\rangle$  as the the new basis

1) find the representation of  $|\pm\rangle$  in the  $|S_x\pm\rangle$  basis. We know what the answer should be just by looking at (b).

It should be  $|+\rangle = \frac{1}{\sqrt{2}} (|S_x +\rangle + |S_x -\rangle) \doteq \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$  and  $|-\rangle = \frac{1}{\sqrt{2}} (|S_x +\rangle - |S_x -\rangle) \doteq \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$  in the  $|S_x\pm\rangle$  basis.

Its very important that we recall we are in the  $|S_x\pm\rangle$  basis! Lets now use what we learned to see if we get the same answer.

$$\hat{U} \doteq \begin{pmatrix} \langle \text{old}_1 | \text{new}_1 \rangle & \langle \text{old}_1 | \text{new}_2 \rangle \\ \langle \text{old}_2 | \text{new}_1 \rangle & \langle \text{old}_2 | \text{new}_2 \rangle \end{pmatrix} = \begin{pmatrix} \langle + | S_x + \rangle & \langle + | S_x - \rangle \\ \langle - | S_x + \rangle & \langle - | S_x - \rangle \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \langle + | (|+\rangle + |-\rangle) \rangle & \langle + | (|+\rangle - |-\rangle) \rangle \\ \langle - | (|+\rangle + |-\rangle) \rangle & \langle - | (|+\rangle - |-\rangle) \rangle \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

$$\hat{U}^\dagger = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \quad \text{so using (7) we can write } |\pm\rangle \text{ which in the original basis is just } \begin{pmatrix} 1 \\ 0 \end{pmatrix} \text{ and } \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$|+\rangle \doteq \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \quad |-\rangle \doteq \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix} \text{ in the new basis as we expected.}$$

How does this change the matrix elements of operators? Lets find out for an operator  $\hat{X}$ , Remembering that

$$|b_i\rangle = \hat{U} |a_i\rangle \text{ and hence } \langle b_i| = \langle a_i| \hat{U}^\dagger$$

$\langle b_k | \hat{X} | b_l \rangle = \langle b_k | \hat{U}^\dagger \hat{X} \hat{U} | b_l \rangle = \langle b_k | \sum_i | a_i \rangle \langle a_i | \hat{X} | \sum_j | a_j \rangle \langle a_j | b_l \rangle = \sum_i \sum_j \langle a_k | \hat{U}^\dagger | a_i \rangle \langle a_i | \hat{X} | \sum_j | a_j \rangle \langle a_j | \hat{U} | a_l \rangle =$   
 $= \langle a_k | \hat{U}^\dagger \hat{X} \hat{U} | a_l \rangle$  or in somewhat symbolic notation where we mean that  $\hat{X}'$  is just the matrix for  $\hat{X}$  in a new basis or representation

$\hat{X}' = \hat{U}^\dagger \hat{X} \hat{U}$  - This is known as a similarity transformation in matrix algebra.

So to summarize (ket in new basis) =  $\hat{U}^\dagger$  (ket old basis) AND  $\hat{X}' = \hat{U}^\dagger \hat{X} \hat{U}$  (8)

**Example:**

2) Now  $\hat{S}_x$  in the  $|\pm\rangle$  basis is  $\frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . Lets write it in the  $|S_x; \pm\rangle$  basis. We again know what the answer has to be - it has to be  $\frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . Lets use our methods and see if that is what we get. We use (8)

$\hat{U}^\dagger \hat{S}_x \hat{U} = \frac{\hbar}{2} \frac{1}{\sqrt{2}} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = \frac{\hbar}{2} \frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix} = \frac{\hbar}{2} \frac{1}{2} \begin{pmatrix} 2 & 0 \\ 0 & -2 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  as we expected.

**TRACE**

Now we define a trace of an operator as the sum of the diagonal elements

$\text{Tr}(\hat{X}) = \sum_i \langle a_i | \hat{X} | a_i \rangle$ . This turns out to be INDEPENDENT of representation (9)

Proof:  $\sum_i \langle a_i | \hat{X} | a_i \rangle = \sum_i \langle a_i | \sum_k | b_k \rangle \langle b_k | \hat{X} | \sum_l | b_l \rangle \langle b_l | a_i \rangle = \sum_k \sum_l \sum_i \langle a_i | b_k \rangle \langle b_k | \hat{X} | b_l \rangle \langle b_l | a_i \rangle =$   
 $= \sum_k \sum_l \sum_i \langle b_l | a_i \rangle \langle a_i | b_k \rangle \langle b_k | \hat{X} | b_l \rangle = \sum_k \sum_l \langle b_l | \hat{1} | b_k \rangle \langle b_k | \hat{X} | b_l \rangle = \sum_k \sum_l \delta_{kl} \langle b_k | \hat{X} | b_l \rangle = \sum_k \langle b_k | \hat{X} | b_k \rangle$  QED

A few other things I will ask you to prove in the problem set

$\text{Tr}(\hat{X} \hat{Y}) = \text{Tr}(\hat{Y} \hat{X})$   
 $\text{Tr}(\hat{U}^\dagger \hat{X} \hat{U}) = \text{Tr}(\hat{X})$   
 $\text{Tr}(|a_i\rangle \langle a_j|) = \delta_{ij}$   
 $\text{Tr}(|b_i\rangle \langle a_i|) = \langle a_i | b_i \rangle$  (10)

**Example:**

3) Now in example 2 we had two representation of  $\hat{S}_x$  as  $\frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  in the usual  $|\pm\rangle$  and as  $\frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  in the  $|S_x; \pm\rangle$ . The trace is the same (i.e. zero) in either basis.

**Example:**

4) now lets try something harder. Let's write  $|S_x; \pm\rangle$  in the  $|S_y; \pm\rangle$  basis. So we have

$|\pm\rangle$  old basis                      and                       $|S_y; \pm\rangle$  new basis

$$\hat{U} \doteq \begin{pmatrix} \langle \text{old}_1 | \text{new}_1 \rangle & \langle \text{old}_1 | \text{new}_2 \rangle \\ \langle \text{old}_2 | \text{new}_1 \rangle & \langle \text{old}_2 | \text{new}_2 \rangle \end{pmatrix} = \begin{pmatrix} \langle + | S_y + \rangle & \langle + | S_y - \rangle \\ \langle - | S_y + \rangle & \langle - | S_y - \rangle \end{pmatrix} = \\ \frac{1}{\sqrt{2}} \begin{pmatrix} \langle + | (|+\rangle + i|-\rangle) \rangle & \langle + | (|+\rangle - i|-\rangle) \rangle \\ \langle - | (|+\rangle + i|-\rangle) \rangle & \langle - | (|+\rangle - i|-\rangle) \rangle \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ i & -i \end{pmatrix} \\ \hat{U}^\dagger = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -i \\ 1 & i \end{pmatrix}$$

In the original  $|\pm\rangle$  basis  $|S_x +\rangle \doteq \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$  and  $|S_x -\rangle \doteq \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

In the new basis  $|S_x +\rangle \doteq \frac{1}{\sqrt{2}} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -i \\ 1 & i \end{pmatrix} \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1-i \\ 1+i \end{pmatrix}$   $|-\rangle \doteq \frac{1}{\sqrt{2}} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -i \\ 1 & i \end{pmatrix} \begin{pmatrix} 1 \\ -1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1+i \\ 1-i \end{pmatrix}$

What does this mean? It means

$$|S_x +\rangle = \frac{1}{2}(1-i)|S_y +\rangle + \frac{1}{2}(1+i)|S_y -\rangle \quad \text{and} \quad |S_x -\rangle = \frac{1}{2}(1+i)|S_y +\rangle + \frac{1}{2}(1-i)|S_y -\rangle$$

Lets plug in for  $|S_y; \pm\rangle$  and see if it makes sense

$$|S_x +\rangle = \frac{1}{2} \frac{1}{\sqrt{2}} (1-i)(|+\rangle + i|-\rangle) + \frac{1}{2} \frac{1}{\sqrt{2}} (1+i)(|+\rangle - i|-\rangle) = \frac{1}{2} \frac{1}{\sqrt{2}} (|+\rangle + i|-\rangle - i|+\rangle - |-\rangle + |+\rangle - i|-\rangle + i|+\rangle + |-\rangle) = \frac{1}{2} \frac{1}{\sqrt{2}} (2|+\rangle + 2|-\rangle) \\ = \frac{1}{\sqrt{2}} (|+\rangle + |-\rangle) \quad \text{So it checks out. You can do the same for } |S_x -\rangle$$

2) Now  $\hat{S}_x$  in the  $|\pm\rangle$  basis is  $\frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . Lets write it in the  $|S_y; \pm\rangle$  basis.

$$\hat{U}^\dagger \hat{S}_x \hat{U} = \frac{\hbar}{2} \frac{1}{\sqrt{2}} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & -i \\ 1 & i \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ i & -i \end{pmatrix} = \frac{\hbar}{2} \frac{1}{2} \begin{pmatrix} 1 & -i \\ 1 & i \end{pmatrix} \begin{pmatrix} i & -i \\ 1 & 1 \end{pmatrix} = \frac{\hbar}{2} \frac{1}{2} \begin{pmatrix} 0 & -2i \\ 2i & 0 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \text{is} \\ \text{the representation of } \hat{S}_x \quad \text{in the } |\hat{S}_y; \pm\rangle \text{ basis.}$$

### Diagonalization:

Suppose we know the matrix elements  $\langle a_i | \hat{B} | a_j \rangle$  where the  $|a_j\rangle$  are not the eigenkets of  $\hat{B}$ . How do we find the basis in which a matrix is diagonal? i.e. we want to find  $\hat{B}$  in the  $|b_j\rangle$  basis. OK - so let's first lets look at an operator  $\hat{B}$  in the basis of its eigenkets  $|b_j\rangle$  where  $\hat{B} |b_j\rangle = b_j |b_j\rangle$ . Now do the following

$$\langle b_i | \hat{B} | b_j \rangle = b_j \langle b_i | b_j \rangle = b_j \delta_{ij} \quad \text{So in this basis } \hat{B} \text{ is diagonal. If we find the unitary operator where}$$

$|b_i\rangle = \hat{U} |a_i\rangle$  then we know that  $\hat{U}^\dagger \hat{B} \hat{U}$  is diagonal. So we have to find  $\hat{U}$  such that  $|b_i\rangle = \hat{U} |a_i\rangle$  and the  $|b_i\rangle$  are eigenvectors of  $\hat{B}$ .

So first we want to find the eigenvectors and eigenvalues of an operator  $\hat{B}$  where we know the matrix elements  $\langle a_i | \hat{B} | a_j \rangle$  and where the  $|a_j\rangle$  are not the eigenkets of  $\hat{B}$ . This means we want to find the  $b_j$ 's such that

$$\hat{B} |b_j\rangle = b_j |b_j\rangle \quad \text{where the } |b_j\rangle \text{'s are eigenkets of } \hat{B}$$

First lets do some things to this:

$$\langle a_i | \hat{B} | b_j \rangle = b_j \langle a_i | b_j \rangle \quad \implies \quad \langle a_i | \hat{B} \hat{U} | a_j \rangle = b_j \langle a_i | b_j \rangle \quad \implies \quad \sum_k \langle a_i | \hat{B} | a_k \rangle \langle a_k | b_j \rangle = b_j \langle a_i | b_j \rangle$$

writing this in matrix notation for a 2x2 for example we have two eqn, one for each eigenvalue  $b_j = b_1$  and  $b_2$

$$\begin{pmatrix} \langle a_1 | \hat{B} | a_1 \rangle & \langle a_1 | \hat{B} | a_2 \rangle \\ \langle a_2 | \hat{B} | a_1 \rangle & \langle a_2 | \hat{B} | a_2 \rangle \end{pmatrix} \begin{pmatrix} \langle a_1 | b_j \rangle \\ \langle a_2 | b_j \rangle \end{pmatrix} = b_j \begin{pmatrix} \langle a_1 | b_j \rangle \\ \langle a_2 | b_j \rangle \end{pmatrix}$$

then from knowing a bit of linear algebra we can solve this as

$\det(\hat{B}-\lambda\hat{1})=0$  where the values of  $\lambda$  will be the eigenvalues  $b_j$ . Once we solve for the  $b_j$  we can find the eigenvectors  $|b_j\rangle$  which in matrix notation is  $\begin{pmatrix} \langle a_1 | b_j \rangle \\ \langle a_2 | b_j \rangle \end{pmatrix}$ . Now define  $x_{ij} = \langle a_i | b_j \rangle$ . But from (4)  $x_{ij}$  is just the expression for the unitary operator we want since  $\langle a_i | \hat{U} | a_j \rangle = \langle a_i | b_j \rangle$ . So all we have to do is to put the eigenvectors  $|b_j\rangle$  in the  $|a_i\rangle$  basis, side by side and we get  $\hat{U}$ . Note that for this procedure to work it is important that  $\hat{B}$  be hermitian. e.g.  $\hat{S}_+$  in the  $S_z$  basis is  $\hbar \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$  and the procedure will fail since  $(\hat{B}-\lambda\hat{1}) \begin{pmatrix} \langle a_1 | b_j \rangle \\ \langle a_2 | b_j \rangle \end{pmatrix} = 0$  just give  $0=0$ . (This is since one of the eigenkets is  $\begin{pmatrix} 0 \\ 0 \end{pmatrix}$ ).

So to summarize: To diagonalize an operator

- 1) Find the eigenvalues  $b_j$  and eigenvectors  $|b_j\rangle$  in the original basis  $|a_i\rangle$
- 2) form  $\hat{U}$  where  $\langle a_i | \hat{U} | a_j \rangle = \langle a_i | b_j \rangle$
- 3) then diagonalize as  $\hat{B}' = \hat{U}^\dagger \hat{B} \hat{U}$  where  $\hat{B}'$  is now diagonal

(11)

### Example:

5) now lets start with  $\hat{S}_x$  in the  $S_z$  representation and change it to the  $S_x$  representation where it should be diagonal.

First we have that the  $|a_i\rangle = |\pm\rangle$  and the  $|b_i\rangle = |S_x \pm\rangle = \frac{1}{\sqrt{2}}(|+\rangle \pm |-\rangle)$

$$\hat{U} = \begin{pmatrix} \langle a_1 | b_1 \rangle & \langle a_1 | b_2 \rangle \\ \langle a_2 | b_1 \rangle & \langle a_2 | b_2 \rangle \end{pmatrix} = \begin{pmatrix} \langle + | S_x; + \rangle & \langle + | S_x; - \rangle \\ \langle - | S_x; + \rangle & \langle - | S_x; - \rangle \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix} \quad \text{and} \quad \hat{U}^\dagger = \frac{1}{\sqrt{2}} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix}$$

check unitarity

$$\hat{U}^\dagger \hat{U} = \frac{1}{\sqrt{2}} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} +2 & 0 \\ 0 & +2 \end{pmatrix} = \hat{1} \quad \text{it is}$$

check that

$$|b_j\rangle = \hat{U} |a_i\rangle \quad \frac{1}{\sqrt{2}} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} \doteq |S_x; +\rangle \quad \text{and} \quad \frac{1}{\sqrt{2}} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix} \doteq |S_x; -\rangle \quad \text{good}$$

$\frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . That is we expect that the  $|b_j\rangle$  are eigenkets of  $\hat{U}^\dagger \hat{S}_x \hat{U}$  with the same eigenvalues as the  $\hat{S}_x$  eigenvalues.

$\hat{S}_x' = \hat{U}^\dagger \hat{S}_x \hat{U} = \frac{1}{\sqrt{2}} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix} \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix} = \frac{1}{2} \frac{\hbar}{2} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix} \begin{pmatrix} +1 & -1 \\ +1 & +1 \end{pmatrix} = \frac{1}{2} \frac{\hbar}{2} \begin{pmatrix} 2 & 0 \\ 0 & -2 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$  so it works!

We should check  $\text{Tr}(\hat{S}_x') = \text{Tr}(\hat{S}_x)$  or  $\text{Tr} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \text{Tr} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = 0$  so it works

### Example:

Diagonalize  $\hat{X} = \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}$  So we need to find the eigenvalues and eigenkets of this matrix. The eigenkets will serve as the "new". The old basis is just  $|\pm\rangle$

$$\begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = b \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \implies \begin{pmatrix} 1-b & -1 \\ -1 & 1-b \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = 0 \implies \det \begin{pmatrix} 1-b & -1 \\ -1 & 1-b \end{pmatrix} = 0$$

$(1-b)^2 - 1 = 0$        $b=0$  or  $2$  are the eigenvalues

for  $b=0$   $\alpha-\beta=0$   $\alpha=-\beta$  and using  $\alpha^2 + \beta^2 = 2$  we get that the eigenket is  $\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$

for  $b=2$   $-\alpha-\beta=0$  and  $\alpha=-\beta$  and using  $\alpha^2 + \beta^2 = 2$  we get that the eigenket is  $\frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

So old basis is  $|\pm\rangle$  the new basis is  $\frac{1}{\sqrt{2}} (|+\rangle+|-\rangle)$  and  $\frac{1}{\sqrt{2}} (|+\rangle-|-\rangle)$

$$\hat{U} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \quad \text{and} \quad \hat{U}^\dagger = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

$$\hat{U}^\dagger \hat{X} \hat{U} = \frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} 0 & 2 \\ 0 & -2 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 0 & 0 \\ 0 & 4 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 2 \end{pmatrix}$$

Note that the trace is 2 for the old and new basis and that for the new basis, the eigenvalues are down the diagonal.

### Unitary Equivalent Observables

Theorem : If we have two basis sets connect by an operator  $\hat{U}$  as  $|b_i\rangle = \hat{U} |a_i\rangle$  then we may construct a unitary transform of  $A$  as  $\hat{U} \hat{A} \hat{U}^{-1}$ .  $\hat{A}$  and  $\hat{U} \hat{A} \hat{U}^{-1}$  are said to be unitary equivalent observables meaning that they have the *same sets of eigenvalues*.

Note first that the definition of  $\hat{U}^{-1}$  is the inverse of  $\hat{U}$  so that  $\hat{U}^{-1} \hat{U} = 1$

Proof of theorem:  $\hat{A} |a_i\rangle = a_i |a_i\rangle \implies \hat{A} \hat{U}^{-1} \hat{U} |a_i\rangle = a_i |a_i\rangle \implies \hat{U} \hat{A} \hat{U}^{-1} \hat{U} |a_i\rangle = a_i \hat{U} |a_i\rangle \implies \hat{U} \hat{A} \hat{U}^{-1} |b_i\rangle = a_i |b_i\rangle$

We can by definition write  $\hat{B} |b_i\rangle = b_i |b_i\rangle$  and comparing this with  $\hat{U} \hat{A} \hat{U}^{-1} |b_i\rangle = \hat{B} |b_i\rangle = a_i |b_i\rangle$  we recognize

that  $\hat{B}$  and  $\hat{U} \hat{A} \hat{U}^{-1}$  are simultaneously diagonalizable with the same eigenvalues. Often in the cases of physical interest, they are the same operator.

In our example  $|b_i\rangle = |S_x \pm\rangle$   $\hat{B} = \hat{S}_x$   $|a_i\rangle = |\pm\rangle$   $\hat{A} = \hat{S}_z$  and  $\hat{U} = \frac{1}{\sqrt{2}} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix}$   $\hat{U}^{-1} = \hat{U}^\dagger = \frac{1}{\sqrt{2}} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix}$

$$\hat{U} \hat{S}_z \hat{U}^{-1} = \frac{1}{\sqrt{2}} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix} \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix} = \frac{1}{2} \frac{\hbar}{2} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix} \begin{pmatrix} +1 & +1 \\ -1 & +1 \end{pmatrix} = \frac{1}{2} \frac{\hbar}{2} \begin{pmatrix} 0 & 2 \\ 2 & 0 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

Now  $\hat{B} = \hat{S}_x = \frac{\hbar}{2} \begin{pmatrix} 0 & +1 \\ +1 & 0 \end{pmatrix}$  and has eigenvalues  $\pm \frac{\hbar}{2}$  with eigenvectors  $\begin{pmatrix} 1 \\ 1 \end{pmatrix}$  and  $\begin{pmatrix} 1 \\ -1 \end{pmatrix}$  Lets check and see if these are eigenvectors of  $\hat{U} \hat{S}_z \hat{U}^{-1} = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  This is just  $\hat{S}_x$  again, so its obvious that they are. As stated, the two operators  $\hat{B}$  and  $\hat{U} \hat{A} \hat{U}^{-1}$  are often the same operator in cases of interest.

So what this says in this case is that  $\hat{S}_z$  and  $\hat{S}_x = \hat{U} \hat{S}_z \hat{U}^{-1}$  are related by a unitary transformation  $\hat{U} = \frac{1}{\sqrt{2}} \begin{pmatrix} +1 & +1 \\ +1 & -1 \end{pmatrix}$  and have the same eigenvalues  $\frac{\pm\hbar}{2}$ . Now what is interesting is that we know what sort of transformation changes  $\hat{S}_z$  to  $\hat{S}_x$  - its a rotation. But is  $\hat{U}$  really a rotation? Yes it is. It tells us how the 2 dimensional space of spin transforms under rotation in 3-space.

Note of interest:

The transformation that changes  $\hat{S}_z$  to  $\hat{S}_x$  is

$\hat{S}_i \rightarrow \hat{U} \hat{S}_i \hat{U}^{-1}$  is the same as  $R_{ij} \hat{S}_j$  where  $R_{ij}$  is just a rotation. This can be done because the U's are just 2x2 matrices. But actually there are 2 U's that will do it. U, and -U this is just the double covering aspect of SU(2) to SO(3) which then

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means that fermions have a funny thing that a rotation in  $2\pi$  does not bring you back to the same thing but you have to go through  $4\pi$ .