# **Heavy Flavor Production in Nuclear Collisions**

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# Introduction

- Measurements of heavy quark production are an invaluable source of information.
- Initial state:
  - Heavy quark production allows one to study the poorly known gluon distributions of protons and nuclei.
  - Hadroproduction of heavy quarks at high energies can be formulated in terms of the same dipole cross section as low-x DIS.
  - The advantage of the dipole formulation is that it is formulated in terms of interaction eigenstates. This simplifies the calculation of multiple scattering effects.
- Final state:
  - The theory of medium-induced gluon radiation can be written in terms of the dipole cross section. (B.G. Zakharov)
  - Use well-developed dipole phenomenology to estimate the contribution of radiative energy loss to quenching.

The Dipole Approach to DIS and  $k_T$ -Factorization



• At low x, photon-gluon fusion  $(\gamma^* + G \rightarrow q + \bar{q})$  dominates over  $\gamma^* + q \rightarrow q + G$  and the DIS cross section can be written as,

$$\frac{d\sigma_L^{\gamma^* p}}{d^2 p_T} = \frac{4\alpha_{em} e_f^2 Q^2}{\pi} \int d\alpha \alpha^2 (1-\alpha)^2 \int \frac{d^2 k_T}{k_T^4} \alpha_s \mathcal{F}(x,k_T) \left[ \frac{1}{p_T^2 + \varepsilon^2} - \frac{1}{(\vec{p}_T - \vec{k}_T)^2 + \varepsilon^2} \right]^2$$
$$= \int d\alpha \int \frac{d^2 \rho_1 d^2 \rho_2}{2(2\pi)^2} \Psi^*(\alpha,\rho_1) \Psi(\alpha,\rho_2) e^{i\vec{p}_T \cdot (\vec{\rho}_1 - \vec{\rho}_2)} \left[ \sigma_{q\bar{q}}(\rho_1) + \sigma_{q\bar{q}}(\rho_2) - \sigma_{q\bar{q}}(|\vec{\rho}_1 - \vec{\rho}_2|) \right]$$

with

$$\sigma_{q\bar{q}}(x,\rho) = \frac{4\pi}{3} \int \frac{d^2k_T}{k_T^4} \alpha_s \mathcal{F}(x,k_T) \left[1 - e^{i\vec{k}_T \cdot \vec{\rho}}\right]$$

- The dipole cross section  $\sigma_{q\bar{q}}(x,\rho)$  carries information about the  $k_T$  dependence of the gluon distribution.
- Probably, any process that probes  $\mathcal{F}(x, k_T)$  can be written in terms of  $\sigma_{q\bar{q}}(x, \rho)$ .

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# The Dipole Cross Section

- I use the DGLAP improved saturation model of Bartels, Golec-Biernat, Kowalski, Phys. Rev. D66: 014001, 2002 for  $v_{qq(w),r}$   $\sigma_{q\bar{q}}^{N}(x,\rho) = \sigma_{0} \left\{ 1 - \exp\left(-\frac{\pi^{2}\rho^{2}\alpha_{s}(\mu)xG(x,\mu)}{3\sigma_{0}}\right) \right\}_{z}^{\text{figs}} 2$ 30.0  $x=10^{-2}$  ---25.0 20.0 15.0 10.0  $\sigma_0 = 23 \,\mathrm{mb}$ 5.0  $\mu^2 = \frac{\lambda}{\rho^2} + \mu_0^2$ 0.0 0.2 0.4 0.6 0.8 1.2 1.4 0 1
- The gluon density  $xG(x,\mu)$  evolves according to DGLAP.
- The perturbative QCD result is recovered at small  $\rho$ :

$$\sigma^N_{q\bar{q}}(x,\rho) \to \frac{\pi^2}{3} \alpha_s(\mu) \rho^2 x G(x,\mu)$$

 $\rho$  (fm)

Blättel, Baym, Frankfurt, Strikman, Phys. Rev. Lett. 70, 896, 1993.

#### Fit to HERA Data (Bartels et al.)



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## Heavy Quark Production at High Energies

At high energies, heavy quark pairs  $(Q\overline{Q})$  are predominantly produced through gluon-gluon fusion:



The amplitude reads (Kopeliovich, Tarasov, NPA710:180,2002)

$$\begin{aligned} \mathcal{A}_{ij}^{a}(\alpha,\vec{p}_{T},\vec{k}_{T}) &= \int d^{2}r d^{2}b e^{i\vec{p}_{T}\cdot\vec{\rho}-i\vec{k}_{T}\cdot\vec{b}}\Psi(\alpha,\rho) \left\{ \delta_{ae}\delta_{ij} \left[ \gamma^{e}(\vec{b}-\alpha\vec{\rho}) - \gamma^{e}(\vec{b}+(1-\alpha)\vec{\rho}) \right] \right. \\ &+ \frac{1}{2}d_{aeg}T_{ij}^{g} \left[ \gamma^{e}(\vec{b}-\alpha\vec{\rho}) - \gamma^{e}(\vec{b}+(1-\alpha)\vec{\rho}) \right] \\ &+ \frac{i}{2}f_{aeg}T_{ij}^{g} \left[ \gamma^{e}(\vec{b}-\alpha\vec{\rho}) + \gamma^{e}(\vec{b}+(1-\alpha)\vec{\rho}) - 2\gamma^{e}(\vec{b}) \right] \end{aligned}$$

with the profile function

$$\gamma^{e}(\vec{b}) = \frac{\sqrt{\alpha_{s}}}{4\pi} \int \frac{d^{2}k_{T}}{k_{T}^{2}} e^{i\vec{k}_{T}\cdot\vec{b}} F^{e}_{GN\to X}(\vec{k}_{T}) \quad , \quad \sigma_{q\bar{q}}(\rho) = \int d^{2}b \sum_{X} \sum_{e=1}^{8} \left| \gamma^{e}(\vec{b}+\vec{\rho}) - \gamma^{e}(\vec{b}) \right|^{2}$$

#### The Dipole Approach to Heavy Quark Production

• The result for the  $Q\overline{Q}$  cross section is, (Nikolaev, Piller, Zakharov, JETP 81, 851, 1995):

$$\frac{d\sigma(pp \to Q\overline{Q} + X)}{dy_{Q\overline{Q}}} = x_1 G(x_1, \mu_F) \int_0^1 d\alpha d^2 \rho \left| \Psi_{G \to Q\overline{Q}}(\alpha, \rho) \right|^2 \sigma_{q\bar{q}G}(x_2, \alpha, \rho)$$

 $- \ \alpha$ : Light-Cone momentum fraction of the heavy quark Q

 $-~\rho:$  transverse size of the  $Q\overline{Q}$  pair

$$- \left| \Psi_{G \to Q\overline{Q}}(\alpha, \rho) \right|^2 = \alpha_s(\mu_R) / (4\pi^2) \left\{ \left[ \alpha^2 + (1-\alpha)^2 \right] m_Q^2 K_1^2(m_Q \rho) + m_Q^2 K_0^2(m_Q \rho) \right\}$$
  
- and

$$\sigma_{q\bar{q}G}(x_2,\alpha,\rho) = \frac{9}{8} \left[ \sigma_{q\bar{q}}(x_2,\alpha\rho) + \sigma_{q\bar{q}}(x_2,(1-\alpha)\rho) \right] - \frac{1}{8} \sigma_{q\bar{q}}(x_2,\rho).$$

• General rule:

$$\sigma(a+N\to bcX) = \int d\Gamma \left|\Psi_{a\to bc}(\Gamma)\right|^2 \sigma_{bc\bar{a}}^N(\Gamma)$$

- $\Gamma$ : set of all internal variables of the (bc)-system
- $\Psi_{a \rightarrow bc}$ : Light-Cone wavefunction for the transition  $a \rightarrow bc$
- $-\sigma^N_{bc\bar{a}}$ : cross section for scattering the  $bc\bar{a}$ -system off a nucleon

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**Theoretical Uncertainties** 



JR, J.C. Peng, Phys. Rev. D67, 054008, 2003

• Large uncertainties for open charm production from choice of  $m_c$ 

 $1.2 \text{ GeV} \le m_c \le 1.8 \text{ GeV}, \ m_c \le \mu_R \le 2m_c, \ \mu_F = 2m_c$  $4.5 \text{ GeV} \le m_b \le 5.0 \text{ GeV}, \ m_b \le \mu_R, \mu_F \le 2m_b$ 

• Dipole Approach valid only at high energies (HERA-B energy too low)

#### **Multiple Scattering and Nuclear Effects**

- When switching from a proton to a nuclear target, the profile function  $\gamma_N^a(b)$  for a nucleon needs to be replaced by the profile function for a nucleus  $\gamma_A^a(b)$
- Hence  $\sigma^N_{q\bar{q}}(\rho) \to \sigma^A_{q\bar{q}}(\rho)$  and

$$\sigma_{q\bar{q}G}^{N}(\rho) = \frac{9}{8} \left[ \sigma_{q\bar{q}}^{N}(\alpha\rho) + \sigma_{q\bar{q}}^{N}((1-\alpha)\rho) \right] - \frac{1}{8} \sigma_{q\bar{q}}^{N}(\rho)$$
$$\rightarrow \sigma_{q\bar{q}G}^{A}(\rho) = \frac{9}{8} \left[ \sigma_{q\bar{q}}^{A}(\alpha\rho) + \sigma_{q\bar{q}}^{A}((1-\alpha)\rho) \right] - \frac{1}{8} \sigma_{q\bar{q}}^{A}(\rho)$$

- The advantage of the  $(\rho, \alpha)$  representation is, that one can calculate  $\sigma_{q\bar{q}}^{A}(\rho)$  from  $\sigma_{q\bar{q}}^{N}(\rho)$ .
- In the limit of very high energy, all partons move along straight lines and pick up only a (color) phase factor as they move through the nucleus. Averaging over the target is done as in Glauber theory,

$$\sigma_{q\bar{q}}^{A}(\rho) = 2 \int d^2b \left\{ 1 - \exp\left(-\frac{\sigma_{q\bar{q}}^{N}(\rho)T(b)}{2}\right) \right\}.$$

 At finite energy, one has to solve the Dirac (Klein-Gordon) equation for quarks (gluons) propagating through an external color field in the (non-abelian) Furry approximation: Terms of order 1/E are neglected, except in phase factors. This accounts for variations of the transverse size of partonic configurations.

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## Shadowing in DIS vs. Heavy Quark Shadowing

• In DIS, shadowing is caused by the aligned jet configurations, where either  $\alpha \to 0$  or  $\alpha \to 1$ 

$$|\Psi_{\gamma^* \to q\bar{q}}(\alpha, \rho)|^2 \propto \exp(-2\varepsilon\rho).$$

Extension parameter:

$$\varepsilon^2 = \alpha (1 - \alpha)Q^2 + m_q^2.$$

These aligned jet configurations are shadowed even for  $Q^2 \to \infty.$ 

That is why shadowing in DIS is leading twist.

• In heavy quark production however

$$\left|\Psi_{G\to Q\overline{Q}}(\alpha,\rho)\right|^2 \propto \exp(-2m_Q\rho).$$

The heavy quark mass cuts off large fluctuations. Multiple scattering of the  $Q\overline{Q}$  pair is suppressed by powers of  $1/m_Q^2$ . Hence, eikonalization of  $\sigma_{q\bar{q}}^N$  alone does not give the complete picture of heavy quark shadowing.



# **Mechanisms of Nuclear Suppression**

•  $Q\overline{Q}$  rescattering:



•  $Q\overline{Q}G \ (\approx GG)$  rescattering:



## **Inclusion of Higher Fock States**

- Higher Fock states are included in the parametrization of  $\sigma_{q\bar{q}}^{N}(x,\rho)$ .
- However, the rescattering of these higher Fock states is neglected in the eikonal approximation.
- This can be cured by the following recipe:

$$\sigma_{q\bar{q}}^{A}(x,\rho) = 2 \int d^{2}b \left\{ 1 - \exp\left(-\frac{\sigma_{q\bar{q}}^{N}(x,\rho)\widetilde{T}(b)}{2}\right) \right\},\,$$

where

$$\widetilde{T}(b) = T(b)R_G(x,b)$$

and  $R_G(x, b)$  is the leading twist gluon shadowing, calculated from the propagation of a GG dipole through a nucleus.

• Expansion of the nuclear dipole cross section:

$$\sigma_{q\bar{q}}^{A}(x,\rho) = \frac{\pi^{2}}{3}\alpha_{s}\rho^{2}\int d^{2}bT(b)R_{G}(x,b)xG_{N}(x) - \frac{\pi^{2}\alpha_{s}^{2}}{36}\rho^{4}\int d^{2}b\left[T(b)R_{G}(x,b)xG_{N}(x)\right]^{2} + \dots$$

Already the single scattering term is suppressed due to gluon shadowing.

#### **Gluon Shadowing**



Kopeliovich, JR, Tarasov, Johnson, Phys. Rev. C67, 014903, 2003

- No gluon shadowing at  $x_2 > 0.01$ , because of short  $l_c$ .
- The dipole approach predicts much smaller gluon shadowing than most other approaches.
- The gluon can propagate only distances of order of a constituent quark radius ( $\sim 0.3$  fm) from the  $Q\overline{Q}$ -pair. This overcompensates the color factor 9/4 in the interaction strength.
- The smallness of the gluon correlation radius is the only known way to explain the tiny Pomeron-proton cross section ( $\approx 2 \text{ mb}$ ).

#### Suppression of Open Charm and Bottom in pA Collisions



#### JR, J. Phys. G30(2004)S1159

- Dashed curves: Gluon Shadowing only
- Solid curves: Total suppression (including  $Q\overline{Q}$  rescattering and Gluon Shadowing)
- Gluon Shadowing reduces the probability for  $Q\overline{Q}$  rescattering.

#### Medium induced gluon radiation

Distinguish 3 different regimes: Baier, Schiff, Zakharov, Ann. Rev. Nucl. Part. Sci. 50:37,2000
 1. E < ω<sub>BH</sub> ~ few-hundred MeV: Bethe Heitler applies,

$$-\left(\frac{dE}{dz}\right)_{BH} \sim \frac{\alpha_s C_R E}{\lambda_{free}}.$$
(1)

2. 
$$\omega_{BH} \ll E \ll \omega_{LPM} = \hat{q}L^2 \sim \begin{cases} 5 \text{ GeV (cold)} \\ 50 \text{ GeV (hot, longitudinally expanding medium)} \end{cases}$$
:  
$$-\left(\frac{dE}{dz}\right)_{LPM_1} \sim \alpha_s C_R \sqrt{\hat{q}E}. \qquad (2$$

This is the same E dependence as for the LPM effect in QED.

3.  $\omega_{LPM} \ll E$ :

$$-\left(\frac{dE}{dz}\right)_{LPM_2} \sim \alpha_s C_R \hat{q} L. \tag{3}$$

 $\Rightarrow$  No effect from initial state energy loss expected at large  $\sqrt{S}$ .

# **Estimate of the BDMPS transport coefficient**

• The transport coefficient  $\hat{q}$  and the dipole cross section  $\sigma_{q\bar{q}}(r_T^2) = Cr_T^2$  are both related to the average color-field strength  $\langle F^2 \rangle$  in the medium JR, PLB557,184(2003),

$$C = \frac{\pi^2}{3} \alpha_s \langle F^2 \rangle \tag{4}$$

$$\hat{q} = 2\rho_A \frac{\pi^2}{3} \alpha_s \langle F^2 \rangle \tag{5}$$

- The dipole approach has a highly developed and successful phenomenology in DIS, Drell-Yan, heavy flavor production, total hadronic cross sections, color transparency ..... Kopeliovich et al. PRL.88:232303,2002
- Use KST parameterization of  $\sigma_{q\bar{q}}$  to determine  $\hat{q}$ . Kopeliovich et al. PRD62,054022(2000)

$$\hat{q} \approx 0.2 \frac{\text{GeV}}{\text{fm}^2}$$

Higher order corrections make  $\hat{q}$  weakly energy dependent,  $\hat{q} \propto E^{0.08}$ .



## The transport coefficient in heavy ion collisions

• In HIC, a medium with high energy density is created. Bjorken's estimate of the initial energy density at RHIC yields

$$\epsilon_{Bj} = \frac{\langle m_T \rangle}{\pi R_A^2 \tau_0} \left(\frac{dN}{dy}\right)_{y=0} \approx 10 \,\text{GeV}/\,\text{fm}^3 \approx 60\epsilon_{cold} \tag{6}$$

at initial time  $\tau_0 = 0.5$  fm.

• Because of the expansion of the medium, the hard parton sees an averaged transport coefficient,

$$\hat{q}^{med} = \frac{2\hat{q}}{L^2} \int_{\tau_0}^{\tau_0 + L} d\tau (\tau - \tau_0) \frac{\tau_0}{\tau}.$$
(7)

Salgado, Wiedemann, PRL89,092303(2002)

• The averaged transport coefficient is then

$$\hat{q}^{med} \approx 10\hat{q}^{cold} \approx 2 \operatorname{GeV}/\operatorname{fm}^2.$$
 (8)

 $\hat{q} \gtrsim 20 \,\text{GeV}/\,\text{fm}^2$  is needed to reproduce pion quenching at RHIC. (Armesto et al, hep-ph/0511257)

# Summary

- At high energies, heavy quark production can be formulated in terms of the same color dipole cross section as low-x DIS.
- The cross section for heavy quark production in pp collision is well described in this approach.
- The dipole cross section is an eigenvalue of the diffraction amplitude operator ⇒ easy calculation of multiple scattering effects.
- The dipole approach takes into account both, leading twist gluon shadowing and higher twist rescattering of the  $Q\overline{Q}$  pair.
- Initial state effects yield  $\sim 10\%$  suppression at RHIC.
- Initial state energy loss is irrelevant at RHIC energy.
- Estimates of the BDMPS transport coefficient suggest that induced gluon radiation account only for a small part of quenching for light and heavy flavors.