

# QCD and Spin Physics

## Lecture 2

M. Diehl

Deutsches Elektronen-Synchrotron DESY

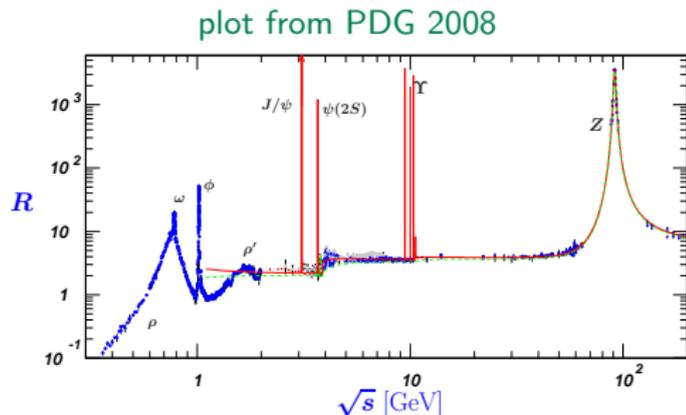
BNL Spin Fest, 28 July 2009



$e^+e^- \rightarrow \text{hadrons}$ 

$$R = \frac{\sigma(e^+e^- \rightarrow X)}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)}$$

for  $\sqrt{s} \gg$  resonance masses



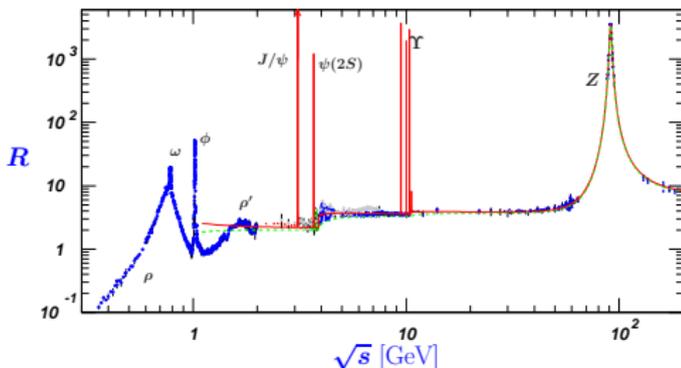
- ▶ removing electroweak part  $\rightsquigarrow \sum_X |\mathcal{A}(\gamma^* \text{ or } Z \rightarrow X)|^2$
- ▶ among simplest applications of perturbative QCD
  - fully inclusive final state
  - no hadrons in initial state
- ▶ closely related theory description for

$$R_\tau = \frac{\Gamma(\tau \rightarrow \nu_\tau + X)}{\Gamma(\tau \rightarrow \nu_\tau + e\nu_e)} \rightsquigarrow \sum_X |\mathcal{A}(W \rightarrow X)|^2$$

at lowest order in  $\alpha_s$ :

$$R = N_c \sum_q e_q^2$$

from  $\gamma^* \rightarrow q\bar{q}$  with  $m_q = 0$



- ▶ expansion known up to  $R = R_0 \left[ 1 + \frac{1}{\pi} \alpha_s + C_2 \alpha_s^2 + C_3 \alpha_s^3 \right]$ 
  - ▶ quark mass corrections and  $\alpha_s^4$  terms also partly known
  - ▶ same for  $\tau$  decays ( $\alpha_s^4$  terms fully known)
  - ▶ suitable observables for  $\alpha_s$  determination
- ▶ underlying concept: **parton-hadron duality**:

$$\sum_{X \in \text{partons}} |\mathcal{A}(\gamma^* \rightarrow X)|^2 = \sum_{X \in \text{hadrons}} |\mathcal{A}(\gamma^* \rightarrow X)|^2$$

- ▶  $\gamma^* \rightarrow$  partons valid description for short space-time  $\sim 1/\sqrt{s}$
- ▶ subsequent dynamics changes **final state**, but **not inclusive rate**

## A closer look at the $\mathcal{O}(\alpha_s)$ corrections

- ▶ expand  $\mathcal{A}(q\bar{q}g) = g\mathcal{A}_1 + \dots$  and  $\mathcal{A}(q\bar{q}) = \mathcal{A}_0 + g^2\mathcal{A}_2 + \dots$



real corrections: extra partons in final state

virtual corrections: loops in  $\mathcal{A}$  or  $\mathcal{A}^*$

- ▶ virtual corrections have UV divergences  
→ standard renormalization procedure
- ▶ real and virtual corrections: **soft** and **collinear** divergences
  - ▶ regions where gluon momentum  $\rightarrow 0$  or  $\propto$  momentum of  $q$  or  $\bar{q}$
  - ▶ cancel in sum over all graphs  
otherwise could not use parton-hadron duality

more detail  $\rightsquigarrow$  blackboard

## A closer look at soft and collinear divergences

- ▶ have soft (= IR) div. because of massless gluons  
same phenomenon in QED: soft photons  $\rightarrow$  “IR catastrophe”
- ▶ have collinear (= mass) div. if set quark masses to zero  
could formally keep  $m_q \neq 0$ , but perturbative results not trustworthy for configurations with virtualities  $\sim \text{MeV}^2$
- ▶ divergences cancel  $\rightarrow$  result dominated by large virtualities  
technically: calculate in  $D = 4 + 2\epsilon$  dim's, sum over graphs, take  $\epsilon \rightarrow 0$

## Theorems about cancellation of divergences:

- ▶ Block Nordsieck: QED (with finite fermion mass)  
IR div. cancel if sum over soft (unobs.) photons in final state
- ▶ KLN (Kinoshita, Lee, Nauenberg): IR and coll. div. cancel if sum over degenerate final and initial states  
for  $\gamma^* \rightarrow \text{partons}$  need only sum in final state

## Beyond inclusive final states: jets

- ▶ extend idea of parton-hadron duality: dynamics leading from partons (times  $\sim 1/Q$ ) to final-state hadrons (times  $\rightarrow \infty$ )  
approx. conserves momentum (hadronization effects  $\sim \text{GeV}$ )
- ▶ to use perturbative QCD beyond tree level need observables (jet algorithms, event shapes, ...) that can evaluate both for hadrons (measurement) and for massless partons (calculation)
  - $\rightsquigarrow$  IR and collinear safe quantities  
do not change if replace  $q \rightarrow (q + \text{soft } g)$ ,  $g \rightarrow (\text{coll. } q\bar{q})$ , etc.
- ▶ after cancellation of singularities retain for each power of  $\alpha_s$ 
  - ▶ single logarithms  $\log \frac{Q}{\mu_c}$  from collinear sing.
  - ▶ double logarithms  $\log \frac{Q}{\mu_s} \log \frac{Q}{\mu_c}$  from soft sing.
    - $\rightsquigarrow$  Sudakov factors

## A useful tool: the optical theorem

↪ blackboard

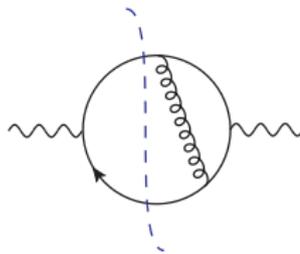
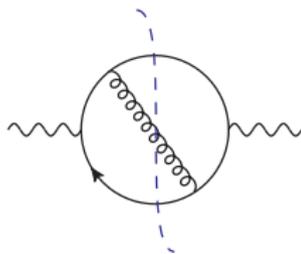
## A useful tool: the optical theorem

$$\frac{1}{i} (\mathcal{T}_{i \rightarrow f} - \mathcal{T}_{f \rightarrow i}^*) = \sum_X \mathcal{T}_{i \rightarrow X} \mathcal{T}_{f \rightarrow X}^*$$

- ▶ for  $i = f$  get  $2 \text{Im} \mathcal{T}_{i \rightarrow i} = \sum_X |\mathcal{T}_{i \rightarrow X}|^2 \propto \sigma_{\text{tot}}(i \rightarrow X)$
- ▶ l.h.s. =  $2 \text{Im} \mathcal{T}_{i \rightarrow f}$  if  $\mathcal{T}_{i \rightarrow f} = \mathcal{T}_{f \rightarrow i}$  (happens for simple cases)  
otherwise l.h.s. often called “imaginary part” of  $\mathcal{T}_{i \rightarrow f}$  nevertheless also called “absorptive part” or “discontinuity”
- ▶ applies to individual Feynman graphs: Cutkosky/cutting rules: to obtain “imaginary part” of graph  $G_{i \rightarrow f}$ 
  - ▶ cut graph into two graphs  $G_{i \rightarrow X}$  and  $G_{f \rightarrow X}$
  - ▶ for cut propagators replace  $\frac{i}{k^2 - m^2 + i\epsilon} \rightarrow 2\pi\delta(k^2 - m^2)\theta(k^0)$   
plus appropriate replacements for spinors and pol. vectors
  - ▶ integrate  $G_{i \rightarrow X} G_{f \rightarrow X}^*$  over phase space of  $X$   
sum over all possible cuts

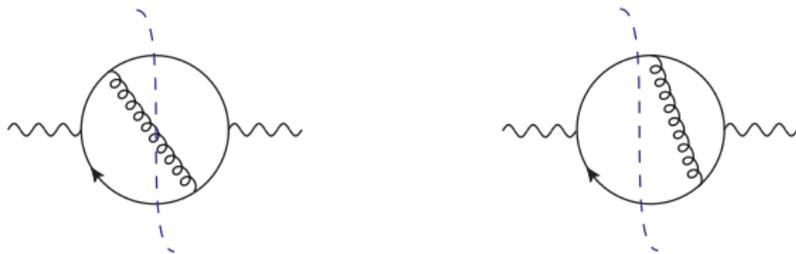
## A new view on $\gamma^* \rightarrow X$

- ▶ vacuum polarization  $\mathcal{A}(\gamma^* \rightarrow \gamma^*)$  at large  $Q^2$  dominated by short distances  $\rightsquigarrow$  calculate at quark-gluon level
- ▶ opt. theorem holds both in perturbation theory ( $\gamma^* \rightarrow \text{partons}$ ) and for full QCD ( $\gamma^* \rightarrow \text{hadrons}$ )



## A new view on $\gamma^* \rightarrow X$

- ▶ vacuum polarization  $\mathcal{A}(\gamma^* \rightarrow \gamma^*)$  at large  $Q^2$  dominated by short distances  $\rightsquigarrow$  calculate at quark-gluon level
- ▶ opt. theorem holds both in perturbation theory ( $\gamma^* \rightarrow \text{partons}$ ) and for full QCD ( $\gamma^* \rightarrow \text{hadrons}$ )



- ▶ real and virtual corrections  $\rightarrow$  different cuts of same graphs for  $l_{\text{gluon}}^\mu \rightarrow 0$  kinematics of cut graphs coincides

## Excursion: time-reversal odd observables

borrowed from K Hagiwara et al., PRD 27 (1983) 84

- ▶ time-reversal: reverse signs of **three-momenta** and **spins**  
write  $|i\rangle \rightarrow |Ti\rangle$
- ▶  $T$  odd quantities: odd under  $|i\rangle \rightarrow |Ti\rangle, |f\rangle \rightarrow |Tf\rangle$   
**without** interchange  $|i\rangle \leftrightarrow |f\rangle$
- ▶ with opt. theorem find:  $|\mathcal{T}_{i \rightarrow f}|^2 - |\mathcal{T}_{Ti \rightarrow Tf}|^2$  only nonzero if
  - time reversal invariance broken (e.g. by CKM mechanism)
  - or have absorptive part $\rightsquigarrow$  blackboard

## Excursion continued: single spin asymmetries

- ▶ parity transformation: reverse three-momenta but **not** spins  
write  $|i\rangle \rightarrow |Pi\rangle$
- ▶ combination  $|PTi\rangle$  only reverses spins
- ▶ with opt. theorem have

$$\begin{aligned} & |\mathcal{T}_{i \rightarrow f}|^2 - |\mathcal{T}_{PTi \rightarrow PTf}|^2 \\ &= (|\mathcal{T}_{i \rightarrow f}|^2 - |\mathcal{T}_{Ti \rightarrow Tf}|^2) + (|\mathcal{T}_{Ti \rightarrow Tf}|^2 - |\mathcal{T}_{PTi \rightarrow PTf}|^2) \end{aligned}$$

only nonzero if

- parity invariance broken (e.g. by weak currents)
  - or time reversal invariance broken
  - or have absorptive part
- ▶ obtain SSA from above by summing/averaging over all spins  
except one

## Summary so far

- ▶ perturbative calculations beyond tree level  
only for quantities that are **IR and collinear safe**  
↪ dominated by large virtualities
  - ▶ simplest examples: total cross sections/decay rates for colorless initial states
  - ▶ for jets in final state suitable (**and unsuitable**) observables exist
- ▶ opt. theorem:
  - ▶ can trade inclusive quantities  $\leftrightarrow$  forward amplitudes
  - ▶ generalization to non-forward amplitudes with important consequences for asymmetries
  - ▶ valid for individual Feynman graphs  $\rightarrow$  cutting rules