### Systematic study of nuclear effects in p+Al, p+Au, d+Au, and ${}^{3}He+Au$ collisions at 2 $\sqrt{s_{_{NN}}} = 200 \,\, { m GeV} \,\, { m using} \,\, \pi^0 \,\, { m production} \,\, { m at} \,\, { m PHENIX}$

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PHENIX presents a systematic study of  $\pi^0$  production from p+p, p+Al, p+Au, d+Au, and <sup>3</sup>He+Au collisions at  $\sqrt{s} = 200 \,\text{GeV}$ . For inelastic collisions, the nuclear modification factors,  $R_{\text{xA}}$ , are consistent with unity for  $p_{\rm T}$  above 8 GeV/c, but exhibit an enhancement in peripheral collisions and a suppression in central collisions. The enhancement and suppression characteristics are the same for all systems for the same centrality class. It is shown that for high  $p_{\rm T} \pi^0$  production the nucleons in the d and <sup>3</sup>He interact mostly independently with the Au nucleus and that the counter intuitive centrality dependence is likely due to a bias in the event selection. These observations disfavor models where parton energy loss has a significant contribution to nuclear modifications in small systems. Nuclear modifications at lower  $p_{\rm T}$  resemble the Cronin effect – an increase of  $R_{\rm xA}$ followed by a peak in central or inelastic collisions and a plateau in peripheral collisions. The peak has a characteristic ordering by system size as  $p+Au > d+Au > {}^{3}He+Au > p+Al$ . This is the exact reverse order compared to what is predicted by current calculations based on initial state cold nuclear matter effects, suggesting the presence of other contributions to nuclear modifications, in particular at lower  $p_{\rm T}$ .

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#### INTRODUCTION T.

Measurements of transverse momentum  $(p_{\rm T})$  distributions of particles produced in hadronic collisions are  $\ensuremath{\,^{43}}$ 8 commonly used to obtain information from these colli-  $^{\rm 44}$ 9 sions. At the Relativistic Heavy Ion Collider (RHIC) 10 Brookhaven National Laboratory (BNL), studies of 11 neutral pions have led to significant insights. The dis-12 covery of the suppression of high  $p_{\rm T}$  neutral pions and 13 charged hadrons [1] in Au+Au collisions was one of the 14 first hints of parton energy loss in the strongly coupled 15 Quark Gluon Plasma (QGP). The absence of any sup-16 pression in reference spectra from d+Au collisions [2], 17 where the formation of a QGP was not expected, was 18 critical to establish parton energy loss as the origin of 19 the observed suppression in Au+Au collisions. The sub-20 sequent systematic studies of the suppression pattern of 21  $\pi^0$  in Au+Au collisions at  $\sqrt{s_{_{NN}}} = 200 \,\text{GeV}$  relative to 57 22 scaled p+p collisions at the same energy allowed for quan-23 titative constraints to the medium transport coefficients 24 [3]. 25

In the study of heavy-ion collisions, data from p+p26 collisions are often thought to be a baseline for par-27 ticle production in the absence of the QGP and data 28 from collisions like p+A and d+Au have been used to 29 benchmark so-called "cold nuclear matter" (CNM) ef-30 fects. CNM effects capture a number of fundamentally 31 different phenomena in the initial state prior to the colli-32 sion, during the collision, and immediately following the 33 collision. Generally speaking, initial state CNM effects 34 are discussed as modifications to the parton distribution  $\ ^{70}$  could be produced in these systems. 35 functions. These modifications include shadowing, anti-71 36 37 38 <sup>39</sup> absorption would be considered a final state effect. Mul- <sup>74</sup> particle correlations in small systems. In all systems

40 tiple scattering in the nucleus prior to or immediately following a hard scattering process falls in between. 41

Experimentally, evidence for CNM effects was first ob-42 served in the late 1970s when the ratio of the production cross sections of hadrons from p+A to p+p was found to vary with  $p_{\rm T}$  [4, 5]. This variation has since been re-45 ferred to as the "Cronin effect": a suppression at low 46  $_{\rm 47}~p_{\rm T}$  followed by an enhancement around 2–5 GeV/c that vanishes towards larger  $p_{\rm T}$ . Historically the Cronin ef-48 fect was attributed to initial state hard scattering [6, 7], 49 but this explanation remained unsatisfactory because it 50 <sup>51</sup> could not explain the much larger effect for protons compared to pions. Measurements of momentum spectra at 52 RHIC in the early 2000s renewed interest in the Cronin 53 effect. Various theoretical models were developed to ex-54 plain the Cronin effect. Most models were based on hard 55 and soft multiple scattering [8–12], but there were also 56 some more unconventional approaches involving gluon saturation [13] or recombination effects [14]. To date, 58 there is no full quantitative explanation of the Cronin 59 effect. 60

It came as a surprise to find striking similarities of long 61 62 range particle correlations observed in high multiplicity p+p and p+Pb collisions at the LHC [15–18] with those 63 found in A+A collisions, since their presence in A+A col-64 lisions was typically associated with the collective expan-65 66 sion of a QGP. Similar correlations were found in d+Aucollisions at RHIC [19]. These findings have profound 67 consequences for the interpretation of p+A collisions as 68 a benchmark for CNM effects and suggest that a QGP 69

The PHENIX experiment has used the versatility of shadowing, the EMC effect, momentum smearing due to  $\tau_2$  RHIC, which allows for collisions of light nuclei like p, Fermi motion, and gluon saturation. In contrast, nuclear <sup>73</sup> d, and <sup>3</sup>He with larger nuclei, for systematic studies of

studied, high multiplicity events show large azimuthal 130 get) nucleus travels towards the south side. Each BBC is 75 anisotropies, measured as  $v_2$  and  $v_3$ , that can be related 131 comprised of 64 Cerenkov counter modules. The BBCs 76 to the initial geometry of the collision system and the  $_{132}$  are located at  $\pm 1.44$  m from the interaction point and 77 build-up of collective behavior of the produced particles  $_{133}$  cover a pseudo-rapidity range of  $3.0 < |\eta| < 3.9$ . The 78 [20–24], which would be indicative of QGP formation. <sup>134</sup> BBC modules have a timing resolution of about 0.1 ns. 79

Results from long range correlations have prompted <sup>135</sup> 80 great interest in finding other evidence of the possible 136 2008, 2014, and 2015 RHIC runs, there were new or mod-81 formation of a QGP in small systems, such as parton 137 ified detector components in the PHENIX setup each 82 energy loss or thermal photon emission. In such studies, <sup>138</sup> year. The most notable change was a silicon vertex 83 data sets are typically divided into "centrality classes" ac- 139 tracker (VTX) installed in the central arm acceptance 84 85 86 Indeed, in p+Pb collisions at the LHC [26] and  $d+Au_{142}$  budget of the detector needs to be taken into account 87 collisions at RHIC [27], a suppression of the jet yield 143 in the Monte Carlo simulation setup used to calculate 88 at high  $p_{\rm T}$  was found for central collisions. However, 144 efficiency and acceptance corrections for each data set. 89 the same analyses show a significant enhancement of the 90 jet yield in peripheral collisions, putting in question if 91 the observed suppression is due to energy loss [28] or  $_{145}$ 92 whether there are other mechanisms at play, for example. 93 x-dependent color fluctuation effects in protons [29, 30]94 or biases in the centrality selection due to energy conser-95 147 vation [31]. 96

In this paper new data on the system size and central-97 ity dependence of  $\pi^0$  production is presented over a wide 98  $p_{\rm T}$  range from 1 to 20 GeV/c from p+Al, p+Au, d+Au, 99 151 and <sup>3</sup>He+Au collisions at  $\sqrt{s_{_{NN}}} = 200 \,\text{GeV}$  compared 100 to p+p collisions at the same energy. The data sam-101 ples were recorded by the PHENIX experiment during 102 the 2008  $(p+p 5.2 pb^{-1}, d+Au 80 nb^{-1})$ , 2014 (<sup>3</sup>He+Au 103  $24 \ nb^{-1}$ ), and  $2015 \ (p+p \ 60 \ pb^{-1}, \ p+Al \ 0.5 \ pb^{-1}, \ p+Au$ 104 156  $(0.2 \ pb^{-1})$  RHIC runs. The new p+p data are combined 105 with the published results from p+p data taking in 2005 106 158 [32].107

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#### EXPERIMENTAL SETUP II.

To reconstruct  $\pi^0$ , the Electromagnetic Calorimeter <sup>164</sup> elastic cross section is captured by the MB trigger. 109 (EMCal) in the central arms of the PHENIX detector 165 110 is used. The EMCal is segmented into eight sectors, four  $_{166}$  p+p samples, are subdivided into four centrality classes 111 in the west and four in the east arm of the PHENIX ex- 167 using the charge measured in the south BBC, which is 112 periment. The sectors in each arm cover 90 degrees in 168 the direction the heavier (target) nucleus travels. The 113 azimuth and  $\pm 0.35$  in pseudo-rapidity. All sectors in the 169 selections are 0-20%, 20-40%, 40-60%, and the remainder 114 west and the two top sectors in the east arm are made 170 of the MB sample (>60%). Here the percentage refers to 115 of 2,592 lead-scintillator (PbSc) towers each. The other 171 the fraction of events relative to all inelastic collisions. 116 two sectors are composed of lead-glass (PbGl) crystals. 172 117 For the analyses presented here only the PbSc sectors  $_{173}$  crease of the statistics at high  $p_{\rm T}$ , beyond what the data 118 were used. At a distance of 5 meters from the interac- 174 acquisition bandwidth would allow using an MB trigger 119 tion point the angular segmentation of the PbSc sectors 175 only, by taking data samples with a high energy thresh-120 is  $\Delta \phi \propto \Delta \eta \approx 0.01 \times 0.01$ . The energy resolution achieved 176 old photon trigger (ERT). This trigger requires a min-121 is  $\delta E/E \approx 2.1\% \oplus 8.3/\sqrt{E\%}$  and arrival times of clusters 177 imum energy recorded in the EMCal trigger segments 122 are recorded with a resolution of about  $0.5 \,\mathrm{ns.}$  Further  $_{178}$  (4x4 towers grouped to trigger tiles). Three different en-123 details can be found in Ref. [33]. 124

125 the beam-beam counters (BBCs) are used, one on the 181 coincidence in the BBC was required. These samples are 126 north and one on the south side of the central arms. For 182 again divided into the same centrality classes as the MB 127 asymmetric collision systems, the smaller (projectile) nu- 183 sample. 128 cleus travels towards the north side and the larger (tar-184 129

While the EMCal and the BBC were identical for the cording to the particle multiplicity measured at forward 140 in 2011. Though the VTX and other new components apidity on the side of the outgoing larger nucleus [25]. 141 are not used in this analysis, the effect on the material

#### III. DATA SAMPLES

Several data samples were taken with different trigger conditions for each of the collision systems. The <sup>148</sup> Minimum-bias (MB) data samples require coincidental 149 hits in each of the two BBCs. For the data recorded <sup>150</sup> in 2014 and 2015 the event vertex was required to be within  $\pm 10$  cm of the nominal z=0 position. For the data recorded in 2008 the requirement was  $\pm 30$  cm.

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The collected MB data samples correspond to about  $_{154}$  88% of the inelastic cross section for d+Au and <sup>3</sup>He+Au, 155 84% for p+Au, 72% for p+Al, and 54% for p+p. The events that are not recorded by the MB trigger involve 157 mostly single diffractive (SD) nucleon-nucleon collisions, which predominantly produce particles at forward or backward rapidity and thus do not lead to coincident hits in both BBCs. As the number of binary nucleonnucleon collisions  $(N_{coll})$  increases from p+p to <sup>3</sup>He+Au collisions, the effect of an individual SD nucleon-nucleon collision is averaged out and a larger fraction of the in-163

All MB data samples in the analysis, except for the

The high luminosity provided by RHIC enables the in-<sup>179</sup> ergy thresholds were used for each collision system. The For event selection and for centrality characterization 180 ERT trigger thresholds are summarized in Table I. No

During the <sup>3</sup>He+Au, p+Au, and p+Al data collection



FIG. 1: Invariant mass example from d+Au collisions at  $12 < p_{\rm T} < 14 \,{\rm GeV}/c$  (left). The mass peak is shown as the function of the asymmetry cut  $(\alpha)$  on the two photons (right).



FIG. 2: Invariant mass example from d+Au collisions at  $18 < p_T < 20 \text{ GeV}/c$  (left). The mass peak is shown as the function of the asymmetry cut  $(\alpha)$  on the two photons (right).

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TABLE I: ERJ	`trigger	thresholds	for $\epsilon$	each	collision	system.
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		p+p	p+Al	p+Au	d+Au	<sup>3</sup> He+Au
$\sum$	ERTA	2.1	2.8	2.8	2.8	3.5
Ge	ERTB	2.8	3.5	3.5	3.5	4.0
2	ERTC	1.4	2.1	2.1	2.1	2.8

189 modules should fire. The threshold was set to 25, 35, and 48 Čerenkov counter modules, for p+Al, p+Au, and 190 <sup>3</sup>He+Au respectively. The thresholds were chosen such 191 that the data samples approximately correspond to the 192 top 5% most central collisions for each system. 193

### IV. DATA ANALYSIS

samples were also taken with a high multiplicity trig-185 ger. This trigger required, in addition to the BBC co- 195 186 187 <sup>188</sup> In practice, the requirement was that a large number of <sup>197</sup> ble interactions. The number of double interactions is

Due to the high beam luminosity RHIC achieved since incidence, a larger minimum charge in the south BBC. 196 2010, PHENIX has recorded an increased number of doutime of flight measured for towers in the EMCal and the 261 subtraction is needed in this case.

203 BBC Čerenkov modules. The cut on the EMCal requires 262 204 the tower time to be within  $\pm 5$  ns of the expected arrival 263 torial background is so small that neither normalization 205 time. This eliminates towers that are from different beam 264 strategy for the mixed events gives stable results. In-206 crossings. The BBC timing cut is used to reduce pile-up 265 stead, the average count per mass bin, determined in 207 collisions that happen during the same bunch crossing. 266 the region below and above the  $\pi^0$  peak, is subtracted. 208 Such events are identified by large deviations of the time  $_{267}$  Yields of  $\pi^0$  are calculated from the mass spectra after 209 measured for individual BBC Cerenkov modules from the 268 completed background subtraction by counting the en-210 event average. For data from 2014 and 2008 no cuts were  $_{269}$  tries within  $2\sigma$  of the peak, where the  $\sigma$  is set by fitting 211 applied. Any residual pileup events are accounted for in  $_{270}$  the counts in the  $\pi^0$  region to a Gaussian. 212 the systematic uncertainties. 213 271

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214 the  $\pi^0 \to \gamma \gamma$  decay channel. The methods used by  $_{273}^{273}$  into a single cluster. The asymmetry cut at  $\alpha < 0.8$ , 215 PHENIX have been described extensively in Ref. [34] and 274 which was used to reduce the combinatorial background, 216 217 neighbouring PbSc towers with energy deposits above  $_{276}^{276}$  the effective  $p_{\rm T}$  reach of the measurement. Because the 218 219 220 combined into pairs. A minimum distance of 8 cm be- 279 construction efficiency. Figure 1 and Figure 2 shows mass 221 222 to about 1.5 tower separation between clusters. For each  $_{281}$  and 18 to  $20 \,\mathrm{GeV}/c \,p_{\mathrm{T}}$  bins with different asymmetry 223 remaining pair, the invariant mass  $(M_{\gamma\gamma})$  and transverse  $\frac{1}{282}$  cuts. The additional statistics recovered by extending 224 momentum  $(p_{\rm T})$  is calculated. Invariant mass distribu- 283 the asymmetry cut is clearly visible. In particular, in 225 tions are generated in bins of  $p_{\rm T}$  and collision centrality.  $\frac{1}{284}$  the higher  $p_{\rm T}$  bin, increasing the cut from  $\alpha < 0.8$  to 226 227 and a combinatorial background that is largest at events  $\frac{1}{286}$  evident that the background increases, the looser cut is 228 with low  $p_{\rm T}$  and in central collisions. 229

230 region needs to be subtracted. For  $p_{\rm T}$  below 12 GeV/c an  $\frac{200}{289}$  as outlined above for lower  $p_{\rm T}$ . The background esti-231 asymmetry cut of  $\alpha < 0.8$  is applied to reduce the com-  $_{290}$  mate from event mixing is also shown on Figure 1. For 232 binatorial background. Here the asymmetry is defined as 291 the case of Figure 2, the background is estimated from 233  $\alpha = \left| \frac{(E_1 - E_2)}{(E_1 + E_2)} \right|$ , where  $E_1$  and  $E_2$  are the energy of the  $_{292}$  the average bin content around the  $\pi^0$  peak. 234 two photon clusters. For  $p_{\rm T}$  above  $12\,{\rm GeV}/c$  the cut is  $^{293}$ 235 relaxed to  $\alpha < 0.95$  as discussed below. 236

237 tracted by an event mixing technique that combines clus- 296 and ERT trigger samples are combined for a given colli-238 ters from different events with similar vertex position 297 sion system and centrality. First, the ERT trigger sam-239  $(z_{vtx})$  and centrality. The shape of the mass distributions 298 ples are corrected for the trigger efficiency, which has 240 obtained from mixed events does not perfectly describe 299 a smooth turn around the trigger energy threshold and 241 the combinatorial background in data. The mismatch 300 plateaus near 100% at higher  $p_{\rm T}$ . A data driven method 242 results from correlated clusters in the event that are not 301 is used that compares the ERTC to the MB sample and 243 accounted for in the mixed event technique. 244

245 step procedure is used for the subtraction. First, the 304 corrected spectra agree very well in the range where the 246 mass distribution from mixed events is normalized in the <sup>305</sup> trigger efficiency is larger than 30%. 247 mass region below and above the  $\pi^0$  peak,  $0.05 < M_{\gamma\gamma} <$   $_{\rm 306}$ 248 0.1 GeV/c and  $0.2 < M_{\gamma\gamma} < 0.4 \text{ GeV}/c$ , respectively. Af- 307 each  $p_{\rm T}$  bin, the MB triggered events are used in the low-249 ter subtracting the normalized distributions from all bins,  $_{208}$   $p_{\rm T}$  region, the ERTC trigger in the mid- $p_{\rm T}$  region, and 250 a residual background remains. This is approximated by  $_{309}$  the ERTB trigger at high- $p_{\rm T}$ . These transitions happen 251 a first-order polynomial that is fitted to the same mass  $_{310}$  at different  $p_{\rm T}$  thresholds for different collision systems. 252 regions around the  $\pi^0$  peak and then also subtracted. <sup>311</sup> The  $p_T$  thresholds are set near the point where the trig-253

254 significant and thus a different approach is used. In- 313 twice the trigger threshold shown in Table I. The ERTA 255

largest for the p+p data taken in 2015 and is noticeable 256 stead of normalizing the mixed event distribution with for p+Au and p+Al data taken the same year. The ef- 257 a constant, the ratio of data/mixed events is fit with a fect is negligible for the p+p, d+Au, and <sup>3</sup>He+Au data <sup>258</sup> second-order polynomial in the window around the  $\pi^0$ taken in 2008 and 2014, respectively. For the 2015 data, 259 peak. This function is then used to normalize the mixed double interactions were reduced by making cuts on the 260 event distributions bin-by-bin. No residual background

At very high- $p_{\rm T}$ , typically > 15 GeV/c, the combina-

Above 12 GeV/c, the two photon clusters from the  $\pi^0$ The reconstruction of neutral pions is performed via  $\frac{2}{272}$  begin to overlap more and more and frequently merge will only be summarized in this paper. As a first step,  $_{275}$  starts to limit the  $\pi^0$  reconstruction efficiency and with it  $0.015 \,\text{GeV}$  are grouped into clusters. All clusters within  $_{277}$  combinatorial background is rather small at high  $p_{\text{T}}$ , the one sector that have an energy of at least 0.3 GeV are 278 asymmetry cut can be relaxed in order to increase the retween the two cluster centers is required, corresponding  $_{280}$  distributions from d+Au collisions in the 12 to 14 GeV/cAll mass distributions show a clear peak at the  $\pi^0$  mass  $\frac{204}{285} < 0.95$  effectively doubles the statistics. Since it is also  $_{\rm 287}$  only used above  $p_{\rm T}>12~{\rm GeV}/c.$  The background sub-To extract the  $\pi^0$  yield, the background in the  $\pi^0$  peak  $_{288}^{288}$  traction and  $\pi^0$  yield calculation follow the same steps

At this stage of the analysis, raw  $\pi^0$  yields are avail- $_{294}$  able for all data samples in different bins of  $p_{\mathrm{T}}$  and cen-The bulk of the background is estimated and sub- 295 trality. In the next step the raw yields from the MB <sup>302</sup> the ERTA/ERTB to the ERTC sample to establish the For the MB samples, the mismatch is small and a two-<sup>303</sup> turn on curve of the different trigger thresholds. The

In order to assure the largest statistical accuracy in For the ERT data samples, the shape difference is more <sup>312</sup> ger efficiency reaches its plateau value, typically close to

system	centrality	$N_{\rm coll}$	$N_{\rm part}$	$N_{ m proj}$	$f_{bias}$	$N_{\rm coll}/N_{\rm proj}$	$dN_{ch}/d\eta$
p+p		1	2	1	$0.73 {\pm} 0.07$	-	$2.38 {\pm} 0.09$
p+Al	0-5%	$4.1 {\pm} 0.3$	$4.5 {\pm} 0.3$	1	$0.81 {\pm} 0.01$	-	$5.5 {\pm} 0.8$
	0-20%	$3.4{\pm}0.3$	$4.4{\pm}0.3$	1	$0.81{\pm}0.01$	-	$5.13 {\pm} 0.73$
	20-40%	$2.3{\pm}0.1$	$3.3 {\pm} 0.1$	1	$0.90{\pm}0.02$	-	$4.0 {\pm} 0.6$
	40-60%	$1.8{\pm}0.1$	$2.8{\pm}0.2$	1	$0.99{\pm}0.03$	-	$3.32 {\pm} 0.3$
	60-72%	$1.3 {\pm} 0.1$	$2.3{\pm}0.2$	1	$1.15{\pm}0.06$	-	$2.7{\pm}0.1$
	0-100%	$2.1{\pm}0.1$	$3.1 {\pm} 0.1$	1	$0.8{\pm}0.02$	-	$3.96{\pm}0.54$
p+Au	0-5%	$9.7{\pm}0.6$	$10.7{\pm}0.6$	1	$0.86{\pm}0.01$	-	$12.3 \pm 1.7$
	0-20%	$8.2{\pm}0.5$	$9.2{\pm}0.5$	1	$0.90{\pm}0.01$	-	$10.38 {\pm} 1.45$
	20-40%	$6.1 {\pm} 0.4$	$7.1 {\pm} 0.4$	1	$0.98{\pm}0.01$	-	$7.7{\pm}1.1$
	40-60%	$4.4{\pm}0.3$	$5.4{\pm}0.3$	1	$1.02{\pm}0.01$	-	$5.7{\pm}0.8$
	60-84%	$2.6{\pm}0.2$	$3.6{\pm}0.2$	1	$1.00{\pm}0.06$	-	$3.5{\pm}0.5$
	0-100%	$4.7 {\pm} 0.3$	$5.7 {\pm} 0.3$	1	$0.858 {\pm} 0.014$	-	$6.66{\pm}0.94$
d+Au	0-5%	$18.1 {\pm} 1.2$	$17.8 {\pm} 1.2$	$1.97{\pm}0.02$	$0.91{\pm}0.01$	$8.98{\pm}0.59$	$18.9{\pm}1.4$
	0-20%	$15.1 \pm 1.$	$15.2{\pm}0.6$	$1.95{\pm}0.01$	$0.94{\pm}0.01$	$7.46{\pm}0.50$	$16.38{\pm}1.2$
	20 - 40%	$10.2{\pm}0.7$	$11.1{\pm}0.6$	$1.84{\pm}0.01$	$1.00{\pm}0.01$	$5.71 {\pm} 0.39$	$12.2 {\pm} 0.9$
	40-60%	$6.6 {\pm} 0.4$	$7.8{\pm}0.4$	$1.65{\pm}0.02$	$1.03{\pm}0.02$	$4.16{\pm}0.28$	$8.7{\pm}0.6$
	60-88%	$3.2{\pm}0.2$	$4.3 {\pm} 0.2$	$1.36{\pm}0.02$	$1.03 {\pm} 0.06$	$2.27 {\pm} 0.15$	$4.1 {\pm} 0.3$
	0-100%	$7.6{\pm}0.4$	$8.6{\pm}0.4$	$1.62{\pm}0.01$	$0.889 {\pm} 0.003$	$4.35 {\pm} 0.24$	$9.5 {\pm} 1.0$
<sup>3</sup> He+Au	0-5%	$26.8 {\pm} 2.0$	$25.0{\pm}1.6$	$2.99{\pm}0.01$	$0.92{\pm}0.01$	$8.72 {\pm} 0.64$	$23.6{\pm}2.6$
	0-20%	$22.3 \pm 1.7$	$21.8 \pm 1.3$	$2.95{\pm}0.01$	$0.95{\pm}0.01$	$7.30{\pm}0.52$	$21.28 \pm\ 2.3$
	20 - 40%	$14.8 {\pm} 1.1$	$15.4{\pm}0.9$	$2.75{\pm}0.03$	$1.01{\pm}0.01$	$5.41 {\pm} 0.37$	$16.1 {\pm} 1.8$
	40-60%	$8.4{\pm}0.6$	$9.5{\pm}0.6$	$2.29 {\pm} 0.04$	$1.02{\pm}0.01$	$3.85{\pm}0.25$	$10.3 \pm 1.1$
	60-88%	$3.4{\pm}0.3$	$4.6 {\pm} 0.3$	$1.56{\pm}0.05$	$1.03{\pm}1.05$	$2.05 {\pm} 0.12$	$4.4 {\pm} 0.5$
	0-100%	$10.4{\pm}0.7$	$11.4{\pm}0.5$	$2.22{\pm}0.02$	$0.89{\pm}0.01$	$4.13 {\pm} 0.24$	$12.24{\pm}1.35$

TABLE II: Summary of the  $N_{\text{coll}}$ ,  $N_{\text{part}}$ ,  $N_{\text{proj}}$ ,  $f_{bias}$  calculated using a Glauber Monte Carlo simulation. The ratio  $N_{\text{coll}}/N_{\text{proj}}$ is also quoted for d and <sup>3</sup>He projectiles, since some systematic uncertainties cancel in this ratio. The last column is the measured charged particle multiplicity  $(dN_{ch}/d\eta)$  in the mid-rapidity region.

triggered samples are used to crosscheck the results. 314

315 tortions due to the finite detector acceptance, inefficien- 339 the "trial" probability is multiplied by the ratio of the 316 cies in the  $\pi^0$  reconstruction, limited energy resolution, <sup>340</sup> measured raw  $\pi^0$  distribution over the reconstructed  $\pi^0$ 317 etc.. These are determined simultaneously as one sin- 341 distribution from the simulation. The modified "trial" 318 gle correction as a function of  $p_{\rm T}$  using a full Monte 342 probability is then used as the new weight. The process is 319 Carlo simulation of the PHENIX detector setup. They 343 iterated until convergence, which typically requires only 320 are commonly referred to as acceptance-efficiency cor- 344 a few steps. The final acceptance-efficiency corrections 321 rections. For each running period, a separate simula- 345 are calculated as the ratio of the probability to recon-322 tion setup is used that describes the PHENIX detector  $_{346}$  struct a  $\pi^0$  at a given  $p_T$  over the production probability 323 configuration specific to that period. Samples of single 347 at that  $p_{\rm T}$  in one unit of pseudo-rapidity at midrapidity 324  $\pi^0$  are simulated with a flat  $p_{\rm T}$  distribution from 0 to 348 and  $2\pi$  in azimuth. The acceptance-efficiency corrections 325 30 GeV/c, full azimuthal coverage, and in one unit of 349 are determined separately for each centrality selection in 326 rapidity at mid-rapidity. These  $\pi^0$  are tracked through 350 order to account for any multiplicity dependent effects. 327 the full simulation of the PHENIX detector setup for the  $_{351}$ 328 different running periods. The resulting simulated de- 352 selection for a given collision system must be corrected 329 tector responses are embedded into real data from the 353 for the bias towards higher event multiplicity and hence 330 same running period and reconstructed using the same 354 higher centrality for non-diffractive nucleon-nucleon col-331 analysis methods applied to the data. Great care was 355 lisions compared to diffractive collision events with the 332 taken to tune the simulation so that  $\pi^0$  peak positions 356 same impact parameter (see [25] for full details). The 333 and widths reconstructed from the simulation match the  $_{357}$  bias factor  $f_{bias}$ , which is used to scale the  $p_{\rm T}$  specexperimental data. Each reconstructed  $\pi^0$  is weighted 358 tra, is calculated using a Glauber Model Monte Carlo 335 with a realistic "trial" production probability for the  $p_{\rm T}$  <sup>359</sup> calculation [35] in conjunction with the assumption of

 $_{337}$  of the input  $\pi^0$ . Since the true production probability is Next, the raw  $p_{\rm T}$  spectra need to be corrected for dis- 338 unknown, the weighting needs to be iterated. For this

Additionally, each collision system and each centrality

360 produced in individual nucleon-nucleon collisions. The 413 is determined in different ranges below and above the 361 same Glauber calculation is used to characterize each  $_{414}$   $\pi^0$  peak. For any normalization, after the mixed event 362 centrality class by the number of binary nucleon-nucleon 415 subtraction there is a residual background, which is then 363 collisions  $N_{\rm coll}$ , number of nucleon participants  $N_{\rm part}$ ,  $_{416}$  fitted. For each normalization the fit range is varied to 364 and other relevant properties related to the collision ge- 417 extract the residual background via a first-order poly-365 ometry like  $N_{proj}$ , the number of participants in the pro- 418 nomial. Then in each case the window for the  $\pi^0$  yield 366 jectile nucleus. For MB collisions, the  $f_{bias}$  also includes 419 extraction is varied from 1 to 3 sigma around the  $\pi^0$ 367 the extrapolation from the recorded cross section to the  $_{420}$  peak. The variation of the resulting  $\pi^0$  yields, after cor-368 full inelastic cross section (0-100% centrality). The  $N_{\rm coll}$ ,  $_{421}$  recting for the different  $\sigma$  ranges, is used to estimate the 369  $N_{\text{part}}$ ,  $N_{\text{proj}}$ , and  $f_{bias}$  values are given in Table II. 370

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372 need to be evaluated. They are separated into two  $^{428}\,$ 373 groups: (i) uncertainty on the event characterization, and 429 the available statistics. Since the  $\pi^0 p_T$  spectra is a result 374 (ii) on the  $\pi^0$  yield extraction. 375

376 model simulations and the uncertainties were determined 377 433 by varying the input to the Glauber model and various 378 assumptions used in [25]. The results are included in Ta-379 ble II. All quantities extracted from the Glauber model 380 simulation are correlated, which leads to a partial cancel- 434 381 lation of the uncertainties. This was taken into account. 382

The uncertainties on the  $\pi^0$  invariant yield are summa-383 rized in Table III for the different running periods. The  $_{436}$  distortions to the  $\pi^0$  spectra that can be evaluated 384 total uncertainty on the  $\pi^0$  invariant yield varies between  $_{437}$  with the detailed simulation of  $\pi^0$  measurements in the 385 8-10% for  $p_{\rm T}$  below 8 GeV/c and increases to nearly 15% 438 PHENIX experiment. The accuracy of the simulation de-386 at 20 GeV/c. They have little dependence on collision  $_{439}$  termines the size of systematic uncertainties. Thus, great 387 systems or centrality selection. The uncertainties on the 440 care is taken to assure that the output of the simulation 388  $\pi^0$  invariant yield were obtained with similar methods <sub>441</sub> agrees with the data. 389 for all data sets. They are highly correlated within a  $_{442}$ 390 391 ning periods. These correlations have been taken into 444 one for the energy scale and resolution, merging of clus-392 393 of data sets. The remainder of this section provides more 446 corrections were determined simultaneously, possible un-394 395 on the  $\pi^0$  yield determination, which is split into the ex- 448 identified as "Acceptance/Efficiency", "Energy Scale", 396 traction of the raw  $\pi^0$  yield and the corrections that need 449 397 to be applied to it. 398 450

399

# A. Raw $\pi^0$ Yield Extraction

400  $M_{\gamma\gamma}$  distribution, which involves the subtraction of a 456 consistent within 4-5% at 2 GeV/c and up to 7-9% at 20 401 background distribution below a  $\pi^0$  peak. Except for 457 GeV/c. 402 very high  $p_{\rm T}$  this is done using the mixed event technique. <sup>458</sup> 403 This subtraction is typically accurate to better than 4%. 459 correction, cuts applied in the  $\pi^0$  reconstruction were var-404 In general, the uncertainties on the background subtrac-  $_{460}$  ied and the analysis was repeated. The changes in the  $\pi^0$ 405 tion are determined by changing the assumption on the 461 yield were used to set the systematic uncertainties. They 406 shape of the background and how it is normalized. Many 462 are typically smaller than 4%, but may be limited by sta-407 different strategies can be used, as they all give similar 463 tistical uncertainties. The uncertainty on the acceptance 408 results. Here, one example is given, the strategy that was 464 was determined from the precision of the survey of the 409 410 used for the 2015 MB data sets, which were used to ex- 465 EMCal. It is negligible compared to the uncertainties on tract the  $\pi^0$  yield at lower  $p_T$  values for p+Au, p+Al, and  $_{466}$  the reconstruction efficiency.

a negative-binomial multiplicity distribution of particles  $_{412}$  p+p. The normalization of the mixed event background <sup>422</sup> systematic uncertainty.

The accuracy with which the  $\pi^0$  yield can be extracted depends on the amount of background. In general, the smaller the particle multiplicity in the event and/or the 425  $_{426}$  larger the  $\pi^0 p_{\rm T}$ , the smaller the background. However, There are many sources of systematic uncertainty that 427 the accuracy with which the background can be determined for a particular  $p_{\rm T}$  and centrality bin is driven by 430 of combining MB and various ERT triggered data sets, The event characterization is done using Glauber  $^{431}$  the  $p_{\rm T}$  dependence of the  $\pi^0$  extraction uncertainty may <sup>432</sup> sometimes show counter-intuitive decreasing uncertainty with increasing  $p_{\rm T}$ .

423

424

### Corrections of the Raw Yield B.

The acceptance-efficiency correction accounts for all

These distortions include, besides the actual correcrunning period and somewhat correlated between run- $_{443}$  tions for detector acceptance and  $\pi^0$  reconstruction, the account when combining data sets or calculating ratios 445 ters, and losses due to photon conversions. While the details on the evaluation of the systematic uncertainties 447 certainties are studied separately. In Table III these are "Cluster Merging", and "Conversion Loss", respectively. The energy scale and resolution was tuned by match- $_{451}$  ing the  $\pi^0$  peak position and width in simulation and  $_{452}$  data, as function of  $p_{\rm T}$ , to a better than 0.5–1% agree-<sup>453</sup> ment, depending on the data set. The uncertainty is <sup>454</sup> then determined by varying the energy scale and reso-The raw  $\pi^0$  yield is extracted from an invariant mass 455 lution within the achieved accuracy. The  $\pi^0$  yields are

To study the accuracy of the reconstruction efficiency

Systematic uncer.	2015 $p$ +Au, $p$ +Al, $p$ + $p$			2	2014 <sup>3</sup> He+Au			2008 $d$ +Au, $p$ + $p$			
$p_{\rm T} ~[{\rm GeV}/c~]$	2	8	20	2	8	20	2	8	20		
Peak Extraction	4.4%	3.4%	1%	2.7%	4.1%	2%	4.8%	2.9%	1.5%		
Energy Scale	3.8%	6.5%	7.1%	3.0%	5.2%	5.7%	4.6%	7.9%	8.7%		
Acceptance-Efficiency	3%	2.5%	1%	4	4%	4%	3%	2.5%	1%		
Cluster Merging	0%	0%	9.0%	0%	0%	12%	0%	0%	10%		
Conversion Loss	5%	5%	5%	5%	5%	5%	2.5%	2.5%	2.5%		
Double Interactions	4%	3%	4%	<1%	$<\!\!1\%$	<1%	1%	2.5%	4%		
Off Vertex Decays	3%	3%	3%	3%	3%	3%	3%	3%	3%		
Total	9.6%	10.1%	13.0%	8.3%	9.8%	14.1%	8.3%	10.0%	14.5%		

TABLE III: Summary of systematic uncertainties on the  $\pi^0$  invariant yields from different running periods.

467 are strongly boosted along the  $\pi^0$  direction, the average site subsets taken at higher, medium, and lower luminosity. 468 opening angle becomes small, resulting in only a small  $_{511}$  The analysis was repeated for each sample, and the  $\pi^0$ 469 separation between the impact points on the surface of <sup>512</sup> yields were found to be consistent within 3-4%. This dif-470 the EMCal. At about 10 GeV/c, the two clusters start  $_{513}$  ference was assigned as systematic uncertainty. For the 471 to merge. Initially, this happens only for very symmet-  $_{514}$  2008 data sets (p+p and d+Au), only the EMCal tim-472 ric decays characterized by a small energy asymmetry <sup>515</sup> ing cuts were applied to remove pileup events. Here, the 473 ( $\alpha$ ). With increasing  $p_{\rm T}$ , more and more clusters merge, <sup>516</sup> possible contamination was estimated by the number of 474 leading to an increasing drop in reconstruction efficiency 517  $\pi^0$  for which at least one cluster had a time off by >5 ns. 475 towards higher  $p_{\rm T}$ . The accuracy with which the Monte 518 The contribution was 1% at high  $p_{\rm T}$  and about 4% at 476 Carlo simulation reproduces the cluster merging is veri-  $_{519}$  lower  $p_{\rm T}$ . For the 2014 <sup>3</sup>He+Au data no sizable effect 477 fied by reconstructing  $\pi^0$  from three exclusive asymmetry 520 was found. 478 bins: 0-0.4, 0.4-0.8, and 0.8-0.95. After fully correcting <sub>521</sub> 479 the  $\pi^0$  yields, the results are compared and the differ-  $_{522}$  occur at a significant distance from the interaction point, 480 ences are used to estimate the systematic uncertainty. 523 so called "off vertex  $\pi^0$ ". The main source of such  $\pi^0$ 481 It reaches about 10% towards the end of the kinematic  $_{524}$  are decays of  $K_s \to \pi^0 \pi^0$ . This source was extensively 482 reach of the measurement. 483

484 reach the EMCal. If the radial location of the conver- 527 approximately constant. The small  $p_{\rm T}$  dependence of 485 sion point is close to the EMCal, outside the magnetic <sup>528</sup> this contribution is due to the two arm acceptance of the 486 field, the e<sup>+</sup> and  $e^-$  will hit the EMCal in close prox-<sup>529</sup> PHENIX detector, where the high- $p_{\rm T}$  decay products are 487 imity, resulting in one cluster with the full energy of the <sup>530</sup> more likely to be inside the acceptance. This contribu-488 converted photon. In that case, it is likely that the  $\pi^0$  is <sup>531</sup> tion was not subtracted from the  $\pi^0$  spectra and a 3% 489 reconstructed. However, if the conversion point is closer <sup>532</sup> systematic uncertainty is assigned to it. 490 to the vertex, and in the magnetic field, the  $\pi^0$  will not <sup>533</sup> 491 be reconstructed, since the electron tracks bend in op- 534 data taken with the ERT trigger to the MB data is exam-492 posite direction, depositing their energy in two separate <sup>535</sup> ined. This uncertainty is smaller than 1% and not listed 493 clusters. Prior to 2010, before the VTX was installed, <sup>536</sup> in Table III. 494 about 81% of the  $\pi^0$  were reconstructed. Due to the ad-495 ditional material of the VTX detector close to the vertex. 496 this number drops to 61% after 2010. The accuracy with <sup>537</sup> 497 which the loss can be determined depends solely on the 498 accuracy with which the material budget is known and 538 499 implemented in the Monte Carlo simulation. The result-500 ing uncertainties on the  $\pi^0$  yield are 2.5% and 5%, before 501 539 and after installation of the VTX. There is no significant  $\int_{540}^{339}$  from p+p collisions at  $\sqrt{s} = 200$  GeV [32] based on data 502 momentum dependence. 503

504 taken at high beam luminosity, resulting in a significant 543 the integrated luminosity by 5.2  $pb^{-1}$  and 60  $pb^{-1}$  re-505 number of recorded double interactions. These were ac- 544 spectively. 506 tively identified and removed by timing cuts on the EM- 545 507 508

The two decay photons from the decay of a high  $p_{\rm T} \pi^0$  so action was estimated by splitting the data samples into

Some reconstructed  $\pi^0$  originate from weak decays that 525 studied and found to drop from approximately 5% at Some photons convert into  $e^+e^-$  pairs before they <sup>526</sup> 1 GeV/c to 3% at 2 GeV/c from where on it remains

Finally, the uncertainty of the the normalization of the

#### **RESULTS AND DISCUSSION** VI.

#### The p+p reference Α.

PHENIX has previously published the  $\pi^0 p_{\rm T}$  spectrum taken in 2005 corresponding to 3.4  $pb^{-1}$ . In 2008 and All data sets from 2015 (p+p, p+A), and p+Au) were 542 2015 RHIC provided further p+p collisions, increasing

With the increased statistics, the precision of the mea-Cal and BBC. The effect of any remnant double inter-  $_{546}$  surement was improved and extended to higher  $p_{\rm T}$ . Since

the detector configurations and the ERT trigger settings 547 were different for the 2008 and 2015 data sets, the  $\pi^0$ 548 spectra were measured separately. The results were com-549 bined with those from 2005. 550

The new and published measurements were made with 551 the PHENIX EMCal using the same analysis strategy, 552 thus the  $\pi^0$  yield determinations have largely, but not 553 completely, correlated systematic uncertainties. To com-554 bine the three data sets, the correlations between individ-555 ual systematic uncertainties were carefully studied and 556 accounted for using the BLUE method [36]. In addition 557 to the uncertainties due to the  $\pi^0$  reconstruction, there 558 is an overall normalization uncertainty of 9.7% [32] that 559 accounts for the limited accuracy with which the p+p560 MB trigger efficiency (see Table II) is known. This un-561 certainty is common to all p+p measurements. 562

In Figure 3, the combined  $\pi^0 p_{\rm T}$  spectrum from p+p563 collisions (2005, 2008, 2015) is compared to the ear-564 lier published result. The combined result is in excel-565 lent agreement with data taken in 2005, but has signif-566 icantly improved statistics and extends the  $p_{\rm T}$  range up 567 to  $25 \,\mathrm{GeV}/c$ . The systematic uncertainties are slightly 568 reduced with respect to those of the 2005 data alone. 569

Also shown in Figure 3 are next-to-leading order pQCD 570 calculations [37] with two different fragmentation func-571 tions (BKK and KKP FF) and for three different scales 572  $\mu = p_{\rm T}/2$ ,  $p_{\rm T}$ , and  $2p_{\rm T}$ . Within the assumed range of 573 scales both fragmentation functions are consistent with 574 the data. BBK would require a scale of  $\mu = p_{\rm T}$ , while 575 KKP describes the data best at a slightly larger scale. 576

#### в. Small system $p_{\rm T}$ spectra and nuclear 577 modification factor 578

579

1. 
$$p_{\rm T}$$
 spectra

Figure 4 presents  $\pi^0 p_{\rm T}$  spectra from  $p+{\rm Al}$ ,  $p+{\rm Au}$ , 580 d+Au, and <sup>3</sup>He+Au from left to right, respectively. The <sup>598</sup> where  $dN_{xB}/dp_T$  is the invariant yield per x+A colli-581 data are presented as the invariant  $\pi^0$  yield per colli- <sup>599</sup> sions,  $d\sigma_{pp}/dp_T$  is the invariant cross section in p+p col-582 sion as a function of  $p_{\rm T}$ . The 0–100% range corresponds  $^{600}$  lisions,  $\sigma_{pp} = 42$ mb is the inelastic p+p cross section, and 583 to the full inelastic cross section. The other centrality 584 ranges correspond to 0-5, 0-20, 20-40, 40-60, and above 585 60% measured percentile of the events selected according 586 to the multiplicity measured in the BBC on the south 587 605 side (heavy nucleus going side). Different centrality se-588 lections are scaled by factors 1/10 for visibility. The 0-589 5% centrality selection, which is available for <sup>3</sup>He+Au, 590 p+Au, and p+Al collisions, was taken with a high multi- 606 591 plicity BBC trigger and has a  $p_{\rm T}$  range limited to below 592 593 10 GeV/c.607

594

#### Nuclear Modification Factor 2

595 596 It is defined as: 597



FIG. 3: Differential cross section of  $\pi^0$  in p+p collisions at  $\sqrt{s} = 200 \,\text{GeV}$ . The data are compared with a pQCD calculation. The lower panel shows the ratio of the data points to the NLO calculation with BBK and a scale of  $\mu = p_{T}$ .

$$R_{xA} = \frac{dN_{xA}/dp_T \times \sigma_{pp}}{\langle N_{coll} \rangle \times d\sigma_{pp}/dp_T},\tag{1}$$

 $_{601}$  N<sub>coll</sub> is the average number of binary nucleon-nucleon 602 collisions given in Table II. A nuclear modification factor of  $R_{xA} = 1$  at high  $p_{\rm T}$  indicates that  $\pi^0$  production through hard scattering processes in x + A collisions is well described by a superposition of p+p collisions.

### $R_{xA}$ for inelastic collisions

The nuclear modification factors for inclusive  $\pi^0$  production from inelastic p+Al, p+Au, d+Au, and  ${}^{3}He+Au$ 608 collisions are shown in Figure 5. They are calculated us-609 ing the p+p reference from the combined 2005, 2008, and 610 2015 data. The correlations of the systematic uncertain-611 For a quantitative comparison across systems and cen-  $_{612}$  ties on the  $\pi^0$  reconstruction for different data sets are trality selections the nuclear modification factor is used. 613 taken into account using the BLUE method [36]. The overall normalisation uncertainties on p+p and on  $N_{\rm coll}$ 



FIG. 4: Invariant yield of  $\pi^0$  from (a) p+Al, (b) p+Au, (c) d+Au, and (d) <sup>3</sup>He+Au at  $\sqrt{s_{NN}} = 200$  GeV. For each collision system the yield is shown for the inelastic cross section and for different centrality selections 0-20%, 20-40%, 40-60%, and larger than 60%. For p+Al, p+Au, and <sup>3</sup>He+Au an additional 0–5% centrality selection is shown, which was recorded using a dedicated high multiplicity trigger.



FIG. 5: Nuclear modification factors from inelastic p+Al, p+Au, d+Au, and <sup>3</sup>He+Au collisions at  $\sqrt{s_{NN}} = 200 \,\text{GeV}$ . The error bars represent the statistical uncertainties, while the boxes represent the systematical uncertainties. The right boxes are the  $N_{\rm coll}$  uncertainties from the Glauber model, while the left box represents the overall normalization uncertainty from p+pcollisions.

are shown separately on the left and right on each panel,  $_{500}$  termined as the ratio of integrated  $R_{\rm xA}$  from 4 to 6 GeV/c 615 respectively. 616

617 dence of the Cronin effect, an initial rise from below unity  $_{633}$  peak values are  $1.03 \pm 0.018$ ,  $1.23 \pm 0.012$ ,  $1.16 \pm 0.009$ , 618 to a peak around  $p_{\rm T}$  of 4 GeV/c, followed by a drop and  $_{634}$  and  $1.16 \pm 0.02$  for p+Al, p+Au, d+Au, and  $^{3}$ He+Au, 619 a leveling off at high  $p_{\rm T}$ . The constant value at high  $p_{\rm T}$  is  $_{35}$  respectively. The peak value is smallest in the smallest 620 independent of the collision system at a value of  $R_{\rm xA} \sim 636$  system and most pronounced in p+Au collisions. 621 0.9, which is consistent with unity within the systematic 622 uncertainties on the scale and  $N_{\rm coll}$ . The fact that  $R_{\rm xA}$ 623 at high  $p_{\rm T}$  is consistent with unity and that there is no 624 system size dependence suggest that there is little to no 637 625 modification of the hard scattering component in small  $_{638}$  calculated in fixed target p+A experiments [38] and as 626 627 systems.

628 629

 $_{631}$  divided by the integral taken above  $10 \,\mathrm{GeV}/c$ . In these Each data set exhibits the characteristic  $p_{\rm T}$  depen-  $_{632}$  ratios the systematic uncertainties largely cancel. The

The peak values are approximately the same as those <sup>639</sup> originally predicted for RHIC energies [8, 11, 12]. How-To investigate any possible system size dependence of 640 ever, the systematic trend with system size does not folthe modification at lower  $p_{\rm T}$ , the peak value in  $R_{\rm xA}$  is de-  $_{641}$  low the dependence observed at fixed target energies [5],

$$\frac{d\sigma_{xA}}{dp_T} = (xA)^{n(p_T)} \times \frac{d\sigma_{pp}}{dp_T},\tag{2}$$

 $_{\rm 642}$  with a common exponent  $n(p_T)$  for a given  $\sqrt{s}.$  Eq. 2  $^{\rm 664}$ 643 is re-written in terms of per event yield by using  $\sigma_{xA} =$ <sup>644</sup>  $xA\sigma_{pp}$ , which is expected for particle production from 645 hard scattering:

$$\frac{dN_{xA}}{dp_T} = (xA)^{n(p_T)-1} \times \frac{dN_{pp}}{dp_T}.$$
(3)

Comparing Eq. 3 and 1 gives a relation between  $R_{\rm xA}$  <sub>673</sub> 646 647 and the exponent  $n(p_T)$ :

$$n(p_T) = 1 + \frac{\log(R_{xA})}{\log(xA)}.$$
 (4)

The exponent  $n(p_T)$  is calculated from the ratio of <sup>679</sup> 648  $R_{pAu}/R_{pAl}$  and  $R_{HeAu}/R_{pAu}$ . All uncertainties on 680 649  $N_{\rm coll}$  and the  $p{+}p$  data cancel for the nuclear modi-  $^{\rm 681}$ fication factor ratios. The results are shown in Fig- <sup>682</sup> 651 ure 6. The data show that there is no universal  $n(p_T)$ 652 at  $\sqrt{s_{NN}} = 200 \,\text{GeV}$  below 8-10  $\,\text{GeV}/c$ . At higher  $p_{\text{T}}$ , <sup>684</sup> the common  $n(p_T)$  underlines the similarity of  $R_{\rm xA}$  for <sup>685</sup> 654 655 all collision systems.



700 FIG. 6: Exponent according to the Eq. 4 as a func- ${}^{3}\text{He}+\text{Au}/p+\text{Au}$  collision systems. The uncertainties from the  ${}^{702}$  $N_{\rm coll}$  calculations and from the overall normalization of  $p+p_{703}$ cancel in these ratios.

656

### 4. $R_{xA}$ Centrality Dependence

657 lections from different collision systems. The scale uncer-  $_{711}$  for any choice of  $p_{\rm T}$  threshold above 7 GeV/c up to 15 658 tainty from the p+p reference and, to a large extent, the  $_{712}$  GeV/c, above which statistics become limiting. There

scale uncertainty due to  $N_{\rm coll}$  only influences the scale 660 of  $R_{\rm xA}$ , but not the relative differences between systems. The comparison reveals clear systematic trends of  $R_{\rm xA}$ 662 with centrality and system size. 663

For  $p_{\rm T} > 8 \,{\rm GeV}/c$ , the  $R_{\rm xA}$  values remain constant at similar values for the same centrality selection from 665 different collision systems. However, the plateau value 666 varies with centrality.  $R_{xA}$  is below unity in the more 667 central collisions, consistent with unity in the 20-60%bin, and above or consistent with unity in the peripheral collisions. In the lower  $p_{\rm T}$  range, the 0–5% and 0–20% 670 selections exhibit a clear Cronin peak structure, similar 671 to the inelastic collision case, but more pronounced. The peak is largest for p+Au. The height of the peak is system size dependent and decreases from p+Au, to d+Au, 674 to  ${}^{3}\text{He}+\text{Au}$ , i.e. with increasing size of the projectile nucleus. Similarly, the peak is smaller for p+Al than for p+Au, so it also seems to decrease with decreasing size of 677 the target nucleus. In contrast, in peripheral collisions all 678 systems follow a common trend. From central, to semicentral, to semi-peripheral collisions a gradual change is seen for each system, without apparent systematic trend across systems.

In order to better understand the trends, the average 683 nuclear modification factor  $\langle R_{\rm xA} \rangle$  is calculated for two distinct  $p_{\rm T}$  regions, above 8 GeV/c to represent the high  $p_{\rm T}$  region and from  $4 < p_{\rm T} < 6 \ {\rm GeV}/c$  to capture the peak of  $R_{\rm xA}$ . These  $\langle R_{\rm xA} \rangle$  are studied as function of 687 variables characterizing centrality classes shown in Tab. II. Note that  $N_{\rm coll}$  and  $N_{\rm part}$  are highly correlated and follow a universal trend.  $N_{\rm part} = N_{\rm coll} + 1$  up to an  $N_{\rm coll}$ 690 value of ~14. For  $N_{\rm coll} > 14$ ,  $N_{\rm part}$  increases slightly 691 slower with  $N_{\rm coll}$ . Consequently, common trends with one variable will also present themselves with respect to 693 the other. The  $\langle R_{xA} \rangle$  is calculated as follows: 694

$$\langle R_{\rm xA} \rangle = \frac{\int \frac{dN_{AB}}{dp_T} dp_T}{N_{coll} \int \frac{dN_{PP}}{dp_T} dp_T}$$
(5)

Figure 8 shows  $\langle R_{\rm xA} \rangle$  for the two  $p_{\rm T}$  regions for all measured centrality selections from all collision systems. In the top panel  $\langle R_{\rm xA} \rangle$  is plotted as function of  $N_{\rm coll}$  and 697 in the lower panels as function of  $N_{\rm coll}$  per number of 698 participating nucleons in the projectile  $N_{\text{proj}}$ . 699

The  $\langle R_{\rm xA} \rangle$  above 8 GeV/c exhibits no common trend tion of transverse momenta extracted from p+Au/p+Al and <sup>701</sup> as function of  $N_{\rm coll}$  (panel b).  $\langle R_{\rm xA} \rangle$  is below  $N_{\rm coll}$  scaling for peripheral classes and above for central classes for all collision systems. The situation changes when looking at the  $\langle R_{\rm xA} \rangle$  versus  $N_{\rm coll}/N_{\rm proj}$  (panel d). The collision 704 systems involving Au as a target nucleus (p+Au, d+Au,and  ${}^{3}\text{He}+\text{Au}$ ) follow a universal trend that is distinctly different from the one observed for p+Al. Modifications to binary scaling are approximately the same for p+Au708  $_{709}$  and p+Al for the same centrality class, despite the dif-In Fig. 7,  $R_{\rm xA}$  is shown for the different centrality se-  $_{710}$  ference in  $N_{\rm coll}$  or  $N_{\rm part}$ . The same trends are observed

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FIG. 7: Nuclear modification factors in p+Al, p+Au, d+Au, and <sup>3</sup>He+Au in five centrality bins and for inelastic collisions at  $\sqrt{s_{_{NN}}} = 200 \,\text{GeV}$ . The error bars represent the statistical uncertainties, while the boxes represent the systematical uncertainties. The right boxes are the uncertainties of the  $N_{\text{coll}}$  collisions from the Glauber model, while the left box represents the overall normalization uncertainty from p+p collisions.

are two model independent conclusions that can be de-733 713 rived from the observations: (i) the underlying mecha-714 nism for the nuclear modification affects each projectile  $_{734}$ 715 nucleus mostly independently, and (ii) the nuclear modifi- $_{735}$  tion (PDF) of a nucleon is modified if the nucleon is 716 cation is not driven by the thickness of the nuclear matter 717 traversed by the projectile. 718 737

719 the lower  $p_{\rm T}$  range from 4 to 6 GeV/c, covering the peak 740 large variety of experimental data. Here three different 720 in  $R_{\rm xA}$  for all systems. The  $\langle R_{\rm xA} \rangle$  is remarkably close to 741 nPDFs are considered: nNNPDFv1.0 [40], EPPS16 [41], 721 binary scaling, with deviations that are visibly smaller 742 and nCTEQ15 [42]. 722 than those observed at high  $p_{\rm T}$  (see panel (b)). An-723 other notable difference compared to the high  $p_{\rm T}$  range 724 is that all systems show similar deviations from binary 725 scaling at the same  $N_{\rm coll}$ . In contrast, the systems in-726 volving a Au target nucleus do not show a common trend 727 with  $N_{\rm coll}/N_{\rm proj}$  (panel c). These observations are qual-728 itatively the same for any  $p_{\rm T}$  window between 1 and 6 729 GeV/c. This suggests that the mechanism underlying 730 the nuclear modification is different at high and low  $p_{\rm T}$ 731 with a transition in the 5 to 7 GeV/c range. 732



FIG. 8: Integrated  $R_{\rm xA}$  in two different  $p_{\rm T}$  regions versus the <sup>749</sup> number of collisions per projectile participants. The left panel 750 shows the region around the  $R_{\rm xA}$  peak (4 <  $p_{\rm T}$  < 6 GeV/c)  $_{751}$ and the right panel shows the high  $p_{\rm T}$  region  $(p_{\rm T}>8\,{\rm GeV}/c)$ .  $_{752}$ The statistical and systematic uncertainties are represented by error bars and boxes respectively around the data points. The tilted error bars represent the anti-correlated uncertainty on the y and x-axis due the  $N_{\rm coll}$  calculations. The right bar around unity represents the overall normalization uncertainty from p+p collisions.

#### 5 Model Comparison and Discussion

It is well known that the parton distribution func-<sup>736</sup> within a nucleus [39]. The modifications increase with increasing number of nucleons in the nucleus. Like the 738 PDFs themselves the nuclear parton distribution func-Panel (a) in Fig. 8 shows  $\langle R_{\rm xA} \rangle$  as function of  $N_{\rm coll}$  for 739 tions (nPDFs) are determined empirically by fitting a



FIG. 9:  $R_{xA}$  for inelastic collisions compared to three different nuclear PDF calculations and their uncertainties. The data points include the statistical and systematical uncertainties. The left box around unity represents the overall normalization uncertainty on the p+p collisions and the right box represents the uncertainty from the calculated  $N_{\rm coll}$ .

In Figure 9, the measured nuclear modification factors 743 for inclusive p+Al, p+Au, d+Au, and <sup>3</sup>He+Au collisions are compared to the predictions using the three different 745 nPDFs mentioned above. The central value of the predic-746 tions is represented by a line and the uncertainties from fitting the nPDF to data are given as shaded area. Due to 748 their large uncertainties, all three nPDFs give  $R_{\rm xA}$  predictions consistent with the data. However, looking at the central values, the predictions are in tension with the trends of the data. For example, for the nNNPDF case  $_{753}$  an enhancement is observed from 4 to above 20 GeV/c for all systems, with a maximum near 8 GeV/c, clearly not 754 consistent with data. Looking at individual collision sys-755 tems, EPPS16 and nCTEQ16 based calculations qualita-756 tively, but not quantitatively, capture the general trends. 757 The tension is most clearly visible when comparing the 758 system size dependence. Each nPDF calculation predicts an ordering of the enhancement of  $R_{xA}$  in the peak re761 nificant as the systematic uncertainties on the nPDFs  $_{785}$  same  $\langle R_{xA} \rangle$  in the same centrality bin selection. These 762 within one approach are highly correlated between sys- 786 observations contradict any scenarios where parton en-763 tems. The ordering results from both the modification 787 ergy loss would be responsible for the modification, which 764 increasing with the target size and with the projectile  $_{788}$  would necessarily result in an ordering of  $R_{\rm xA}$  values as 765 size. In contrast, the data show the reverse ordering. 766

767 dering of the suppression of  $R_{xA}$ : <sup>3</sup>He+Au < d+Au < <sup>791</sup> largest for central and  $R_{xA} \sim 1$  for peripheral collisions. 768 p+Au < p+Al for the same reasons. In contrast, the 769 data show a larger suppression, which is fairly indepen-770 dent of the collision system. However, given the system-771 atic uncertainties on the  $R_{xA}$  scale, the nPDF predictions 772 are consistent with the data at high  $p_{\rm T}$ . The different 773 trends, in particular at low  $p_{\rm T}$ , of the nPDF calculations 774 compared to the data suggest that there must be addi-775 tional physics driving the nuclear modification beyond 776 the nPDFs. 777



FIG. 10: The upper panel (a) shows the  $\langle R_{\rm xA} \rangle$  above  $p_{\rm T} = 8$ GeV/c as a function  $N_{\text{coll}}/N_{\text{proj}}$ . The data are compared to predictions from [43] for the consequences of high-x nucleon size fluctuations. The lower panels show the  $\langle R_{\rm xA} \rangle$  as a func-821 tion of  $p_{\rm T}$  for most central on left (b) and most peripheral on  $^{822}$ right panel (c) collisions.

The data show that at high  $p_{\rm T} \pi^0$  yields from small sys-778 tems are suppressed relative to p+p in central event selec-779 tions, while they are enhanced for peripheral selections. 780 Furthermore, for p+Au, d+Au, and <sup>3</sup>He+Au, the  $\langle R_{xA} \rangle$ 781 values for  $p_{\rm T} > 8 {\rm ~GeV}/c$  are consistent with a super-782 position of independent collisions of the projectile nucle-783

gion: <sup>3</sup>He+Au > d+Au > p+Au > p+Al, which is sig- <sup>784</sup> ons. At the same time, p+Au and p+Al show nearly the  $_{\rm 789}~^3{\rm He}{\rm +Au} < d{\rm +Au} < p{\rm +Au} < p{\rm +Al} \leq 1$  for the system At high- $p_{\rm T}$ , the models predict an opposite, reverse or- 790 dependence, with the suppression for each system being

> Models that invoke nucleon size variations have been proposed to explain the suppression/enhancement pat-793 tern seen in the data [29, 30]. These models assume 794 that nucleons with high-x partons have a more compact 795 color configuration and thus will produce on average less 796 binary collisions and target participants at the same im-797 pact parameter as nucleons without high-x partons. As a 798 consequence, events with a high  $p_{\rm T} \pi^0$  would typically be 799 biased towards smaller multiplicity of the overall event, 800 leading to an apparent enhancement in peripheral event 801 selections and a suppression in central events. The cal-802 culations from [43], which predicted jet  $R_{xA}$  for p+Au803 and <sup>3</sup>He+Au based on a comparison to d+Au data, are 804 compared to  $\pi^0 \langle R_{\rm xA} \rangle$  above 8 GeV/ $c^1$ , see Figure 10 805 (a) panel. The observed centrality dependence is quite 806 consistent with the data. It can be expected that in this 807 model the same event selection bias would occur in p+Al808 collisions. It is important to note, however, that these 809 models predict an ordering of  $R_{xA}$  with system size and 810 centrality at higher  $p_{\rm T}$ . For central collisions  $R_{\rm xA}$  val-811 ues follow <sup>3</sup>He+Au < d+Au < p+Au and for peripheral 812 collisions the ordering is reversed. This is clearly seen in 813 Figure 10 (b) and (c). In contrast, such an ordering is 814 not supported by the data. 815

> In [31], the bias of the event selection by centrality oc-816 curs because soft particle production away from the hard 817 scattering process is suppressed, caused by the depletion of energy available in the projectile after the hard scat-819 tering process.  $R_{xA}$  calculated for d+Au with this model was consistent with preliminary d+Au data [31] and is also consistent with the final data within systematic uncertainties. It would be interesting to see these calcula-823 tions expanded to the full variety of available data from 824 small systems.

<sup>&</sup>lt;sup>1</sup> Note that jet  $R_{\rm xA}$  presented in [43] was converted to  $\pi^0 R_{\rm xA}$  assuming  $p_{\rm T}(\pi^0) = 0.7 p_{\rm T}{}^{jet} = 0.7 \times 100 {\rm GeV} \times x_p$  and  $\langle R_{\rm xA} \rangle \sim$  $R_{\rm xA}(p_{\rm T})$ . This procedure was discussed with the authors.



FIG. 11: Integrated yields for 1-2 GeV/c in panel (a) and 2-3GeV/c in panel (b) as a function of charged particle multi-878 plicity density at mid rapidity.

826 the LHC have been interpreted in the context of hydro-  $_{882}$  p+p the new results extend the measured range to  $p_{\rm T} \sim$ 827 dynamic models and the presence of strong radial flow  $_{883}$  25 GeV/c and improve statistical and systematic uncer-828 829 RHIC energies that could be compared to the data. If the 885 pQCD calculations are found to be consistent with the 830 large anisotropies of particle production seen at RHIC in 886 data as previously reported. 831 p+Au, d+Au, and <sup>3</sup>He+Au are indeed related to hydro- <sub>887</sub> 832 dynamic expansion of the collision volume, as suggested  $sse \sqrt{s_{NN}} = 200 \text{ GeV}, \pi^0 p_T$  spectra from inelastic collisions 833 in [24], then the same systems must also exhibit radial 889 and from centrality selected event samples were mea-834 flow since the anisotropy would be a geometry driven and sured, including a sample of the 0-5% most central events 835 modulation of radial flow. The effects of radial flow are  $_{891}$  for p+Al, p+Au, and  $^{3}He+Au$ , which was recorded with 836 typically most prominent at  $p_{\rm T}$  below a few GeV/c, where  $_{892}$  a dedicated high multiplicity trigger. 837 soft particle production mechanisms dominate. In the  $_{\tt 893}$ 838 839 wards higher momentum by the velocity field. Accord- 895 mechanism, the nuclear modification factors for all col-840 ingly, when comparing the shape of the  $\pi^0$  momentum  $_{896}$  lision systems are found to be nearly constant. For the 841 spectra from x+A to that from p+p, a depletion of the  $_{897}$  same event selection in percent centrality, different col-842 yield at the lowest  $p_{\rm T}$  is expected, while at higher  $p_{\rm T}$  <sup>898</sup> lision systems exhibit the same constant value of  $R_{\rm xA}$ . 843 the yield would be enhanced with a transition below 0.5  $_{899}$  For the full inelastic cross section,  $R_{\rm xA}$  is consistent with 844 GeV/c. Since the  $p_{\rm T}$  range of the  $\pi^0$  data starts at 1  $_{900}$  unity, pointing towards little or no nuclear modification 845 GeV/c, only the region where an enhancement would be  $_{901}$  of hard scatting processes in small systems. For the most 846 expected can be studied here. 847

848 the integrated yields are calculated for two  $p_{\rm T}$  ranges,  $_{904}$  decreasing centrality and exceeds unity for peripheral col-849 1–2 and 2–3 GeV/c, for all systems and event selections.  $_{905}$  lisions. For Au target nuclei, the  $\langle R_{\rm xA} \rangle$  above  $p_{\rm T}$  of 8 850 The results are plotted in Figure 11 as function of the  $_{906}$  GeV/c shows a common trend with  $N_{\rm coll}/N_{\rm proj}$ . This in-851 charged particle multiplicity density  $dN_{ch}/d\eta$  at mid ra- 907 dicates that, for hard scattering processes, the nucleons 852 pidity for the corresponding system and event selection. 908 in the small projectile nucleus interact mostly indepen-853 Also shown on each panel are two lines indicating inte-  $_{909}$  dently with the Au target. For p+Al collisions,  $\langle R_{\rm xA} \rangle$ 854 grated yields proportional to  $dN_{ch}/d\eta$ . The lower line 910 does not follow the same trend. At the same event cen-855 is anchored to the p+p point following a trend of un-  $_{911}$  trality, the  $p+Al \langle R_{xA} \rangle$  is the same as for p+Au, which 856 changed shape of the spectra, and the other one matches  $_{912}$  suggests that whatever causes the change of  $R_{\rm xA}$  with 857 the yield for the 0-20% <sup>3</sup>He+Au selection. While the <sub>913</sub> centrality does not depend on the target nucleus. 858 peripheral p+Al events follow the p+p trend, all other  $_{914}$ 859 selections show higher integrated yields compared to the 915 is a significant contributor to the nuclear modification 860 p+p trend. Above  $dN_{ch}/d\eta \sim 10$  the data tends to be 916 of high  $p_{\rm T}$  particle production in small systems. The 861 proportional to  $dN_{ch}/d\eta$  again but at a much higher level. <sup>917</sup> counter-intuitive centrality dependence is likely linked 862

863 presence of radial flow in small systems. Interestingly, the 919 ing charged particle multiplicity and mapping them to a 864 surprisingly rapid transition over the range from about <sup>920</sup> number of binary collisions using the standard Glauber 865 3 to 10 is similar to recent observations of low  $p_{\rm T}$  direct  $_{921}$  model. It seems that events with a high  $p_{\rm T}$   $\pi^0$  are bi-866 photon emission [48], which also indicates a transition 922 ased towards smaller underlying event multiplicity. This 867 from p+p like emission to a significant enhancement of  $_{223}$  might be due to physical fluctuations of the proton size 868

direct photons at similar event multiplicities. Furthermore, the presence of radial flow could naturally explain the much larger observed Cronin effect for protons from small systems [5], which so far has eluded a quantitative understanding. However, before drawing firm conclusions, more investigations are necessary. These should include the study of heavier hadrons, like Kaons and protons.

### SUMMARY VII.

In summary, this paper presents new measurements 879 of the invariant cross section of neutral pion production from p+p collisions and invariant yields from p+Al, In recent years particle spectra from p+p collisions at p+Au, d+Au, and  $^{3}He+Au$  at  $\sqrt{s_{NN}} = 200 \text{ GeV}$ . For 44–47], but no predictions exist for small systems at 884 tainties compared to the previous measurement. NLO

For p+Al, p+Au, d+Au, and <sup>3</sup>He+Au collisions at

At high transverse momentum  $(p_{\rm T} > 8 {\rm GeV}/c)$ , where presence of radial flow the  $\pi^0$  yield would be shifted to- 894 hard scattering processes are the dominant production  $_{902}$  central events, it is observed that  $R_{\rm xA}$  is significantly be-In order to look for possible indications of radial flow  $_{903}$  low unity. However,  $R_{xA}$  increases monotonically with

These observations disfavor scenarios where energy loss The observed trend is qualitatively consistent with the 918 to a mismatch of the centrality selection of events us924 present. 925

For lower  $p_{\rm T}$ ,  $R_{\rm xA}$  for all systems initially increases  $_{947}$ 926 with  $p_{\rm T}$  and reaches a peak near 4–6 GeV/c for central  $_{948}$  dependence on centrality. For all systems,  $\langle R_{\rm xA} \rangle$  in the 927 and semi-central collisions. For peripheral collisions,  $R_{\rm xA}$  949 range 4–6 GeV/c follows a common trend with  $N_{\rm coll}$ . 928 levels off to a constant at approximately the same high  $_{950}$  At high  $p_{\rm T}$ ,  $\langle R_{\rm xA} \rangle$  scales with  $N_{\rm coll}/N_{\rm proj}$  for Au target 929  $p_{\rm T}$  value for all systems. For inelastic collisions and more  $_{951}$  nuclei. While at lower  $p_{\rm T}$ , d+Au and  $^{3}$ He+Au are not 930 central collisions,  $R_{xA}$  resembles what has been referred  $_{952}$  a superposition of p+Au like collisions. Consequently, 931 to as the Cronin effect in fixed target experiments - a 953 very different mechanisms must contribute to the nuclear 932 rise, followed by a peak, followed by a plateau. However,  $_{954}$  modification at high and low  $p_{T}$ . Radial flow is one pos-933 unlike at lower energies, p+p and  $x+A\pi^0$  cross sections  $_{955}$  sible mechanism to explain this trend, though further 934 are not related by a power  $(AB)^{n(p_{\rm T})}$  with a common  $_{956}$  investigation is needed that is outside the scope of this 935  $n(p_{\rm T})$ . Furthermore, the peak value around 4–6 GeV/c  $_{957}$  paper. 936 shows a clear system size dependence p + Au > d + Au >937 <sup>3</sup>He+Au > p+Al, where the  $R_{xA}$  peak value is well above 938 unity for p+Au and drops to close to unity for p+Al939 collisions. 940

While the shape of  $R_{xA}$  roughly resembles what is ex-941 pected from the nuclear modification of PDFs, the ob- 959 942 served system size dependence has exactly the reverse 900 released. For long papers, leave blank and Brant will 943 ordering of what was predicted by nPDF calculations. 961 add later. 944

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or simply due to energy conservation if high  $p_{\rm T}$  jets are  $_{945}$  Therefore it is likely that nPDF's are insufficient to ex-<sup>946</sup> plain the nuclear modifications in small systems.

In the same  $p_{\rm T}$  region,  $\langle R_{\rm xA} \rangle$  was used to study the

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## APPENDIX