

Collins effect in SIDIS, e^+e^- , and pp collisions

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“Experiments with spin have killed more theories than any other single physical parameter”

Elliot Leader, Spin in Particle Physics, Cambridge U. Press (2001)



“Polarization data has often been the graveyard of fashionable theories. If theorists had their way they might well ban such measurements altogether out of self-protection.”

J. D. Bjorken, Proc. Adv. Research Workshop on QCD Hadronic Processes, St. Croix, Virgin Islands (1987).



HBO GUIDE

JANUARY 2016



DELIVER US FROM SPIN

Unified View of Nucleon Structure

Wigner distribution

5D

$$W(x, k_{\perp}, r_{\perp})$$

$d^2 r_{\perp}$

$d^2 k_{\perp}$

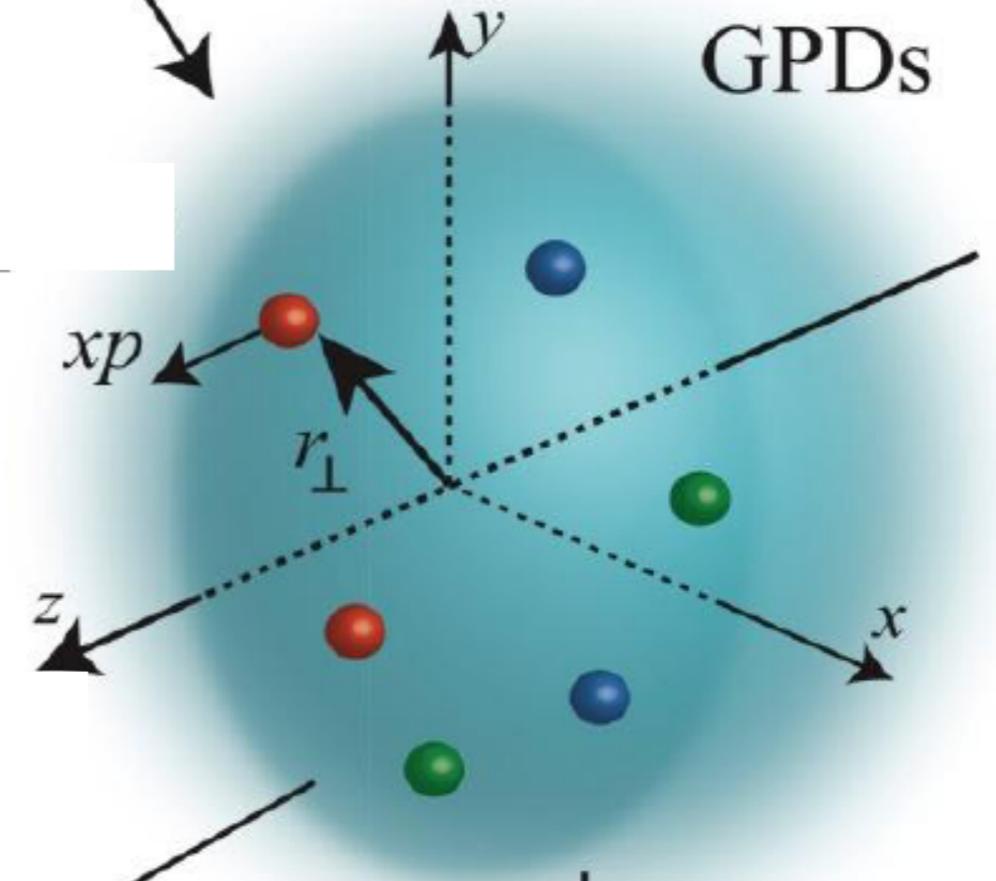
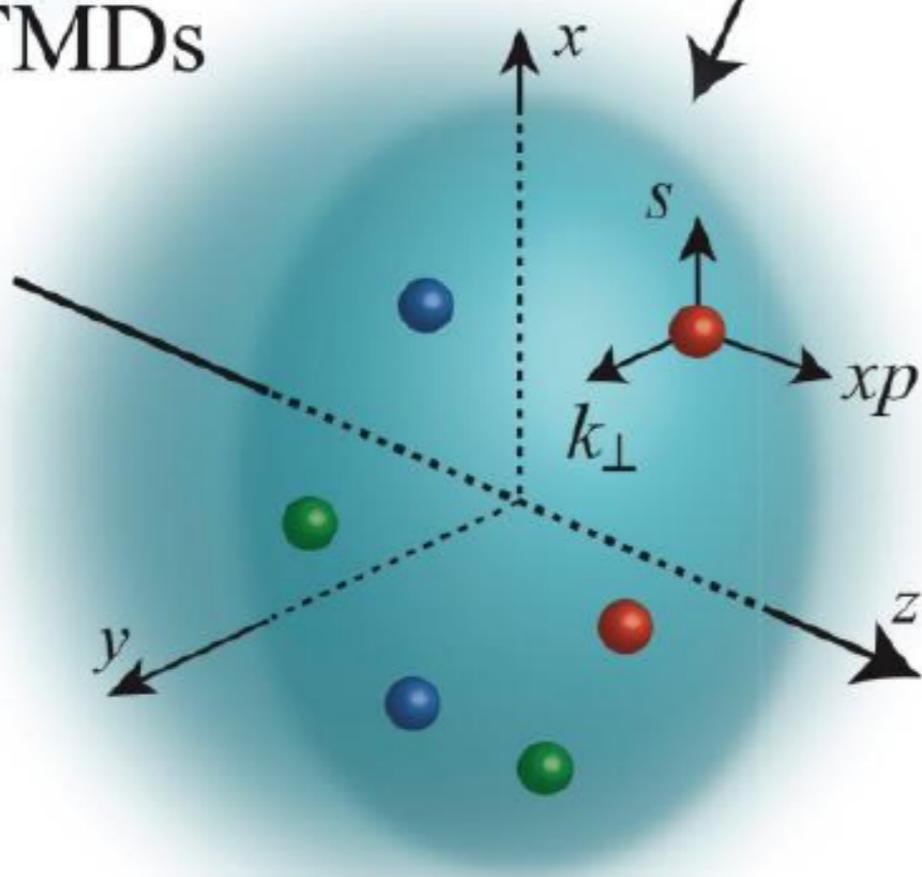
Transverse
Momentum
Distributions

Generalized
Parton
Distributions

TMDs

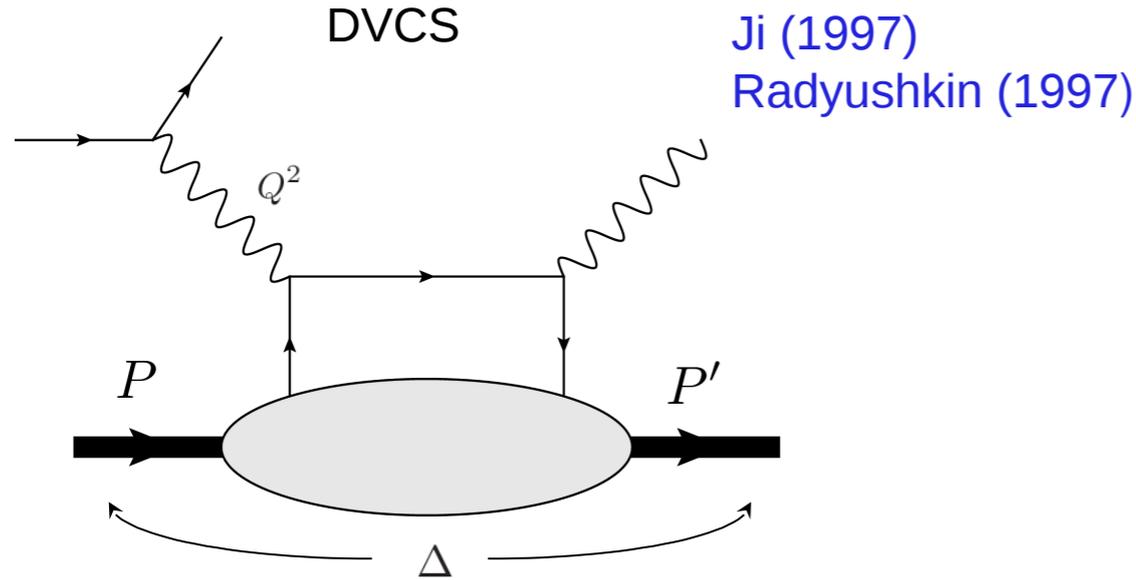
GPDs

3D



GPDs

TMDs



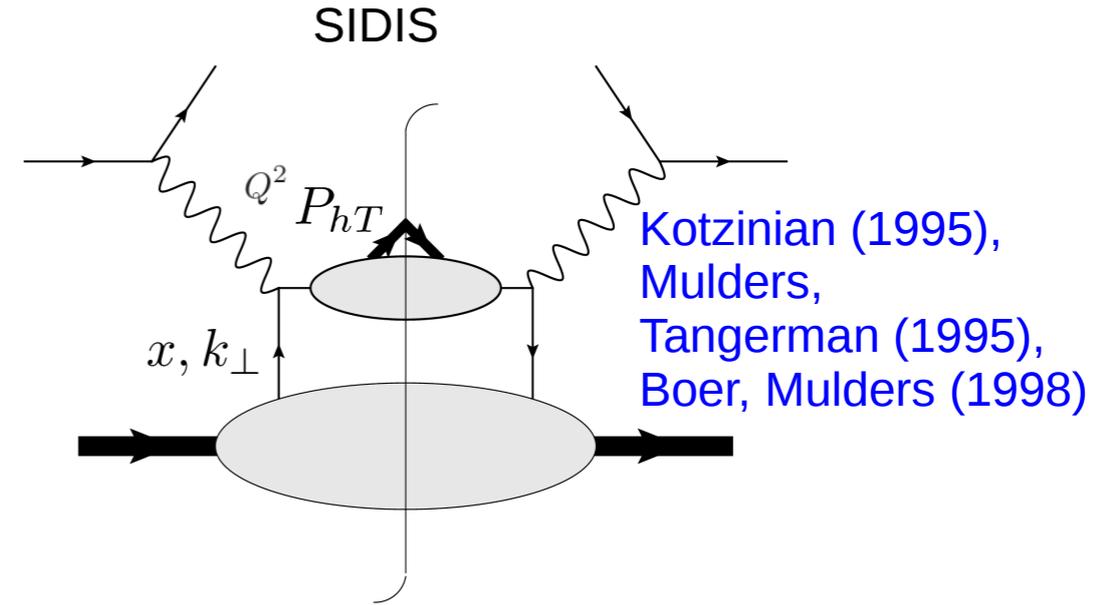
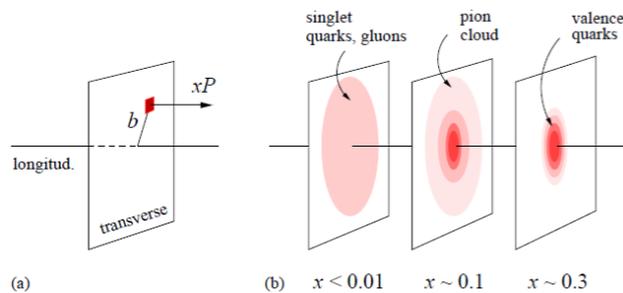
Q^2 ensures hard scale, pointlike interaction

$\Delta = P' - P$ momentum transfer can be varied independently

Connection to 3D structure Burkardt (2000)
Burkardt (2003)

$$\rho(x, \vec{r}_\perp) = \int \frac{d^2 \Delta_\perp}{(2\pi)^2} e^{-i\vec{\Delta}_\perp \cdot \vec{r}_\perp} H_q(x, \xi = 0, t = -\vec{\Delta}_\perp^2)$$

Drell-Yan frame $\Delta^+ = 0$ Weiss (2009)



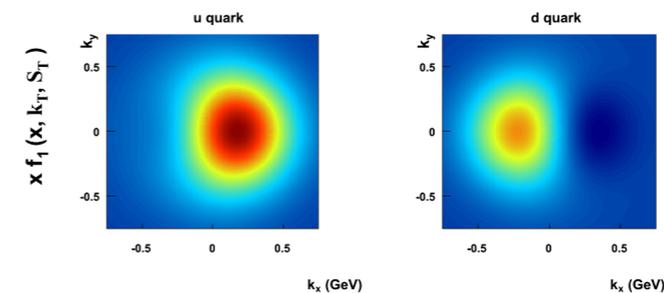
Q^2 ensures hard scale, pointlike interaction

P_{hT} final hadron transverse momentum can be varied independently

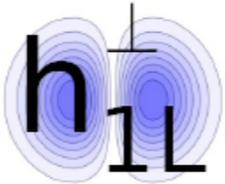
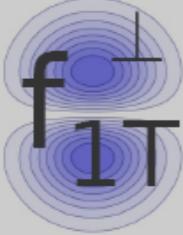
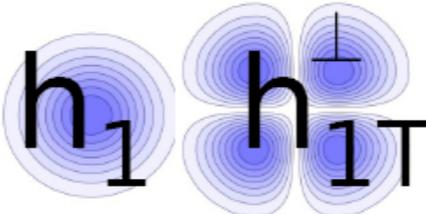
Connection to 3D structure Ji, Ma, Yuan (2004)
Collins (2011)

$$\tilde{f}(x, \vec{b}_T) = \int d^2 k_\perp e^{i\vec{b}_T \cdot \vec{k}_\perp} f(x, \vec{k}_\perp)$$

\vec{b}_T is the transverse separation of parton fields in configuration space



AP (2012)

N \ q	U	L	T
U			
L			
T			

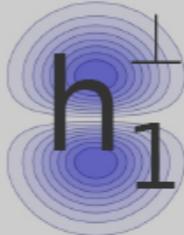
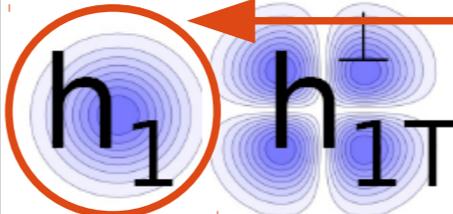
8 functions in total (at leading twist)

Each represents different aspects of partonic structure

Each function is to be studied

Kotzinian (1995), Mulders, Tangerman (1995), Boer, Mulders (1998)

TMD distributions

N \ q	U	L	T
U	 f_1		 h_1
L		 g_1	 h_{1L}
T	 f_{1T}	 g_{1T}	 h_1 h_{1T}

8 functions in total (at leading twist)

Each represents different aspects of partonic structure

Each function is to be studied

Transversity TMD

Kotzinian (1995), Mulders, Tangerman (1995), Boer, Mulders (1998)

TMD Fragmentation Functions

$N \backslash q$	U	L	T
U	D_1		H_1^\perp
L		G_{1L}	H_{1L}^\perp
T	H_{1T}^\perp	G_{1T}	H_1 H_{1T}^\perp

8 functions describing fragmentation of a quark into spin $\frac{1}{2}$ hadron

Mulders, Tangerman (1995), Meissner, Metz, Pitonyak (2010)

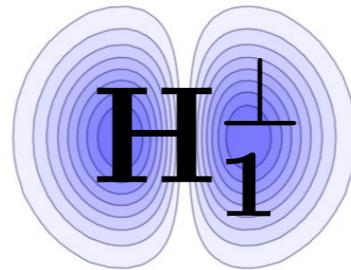
TMD Fragmentation Functions

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8 functions describing fragmentation of a quark into spin $\frac{1}{2}$ hadron

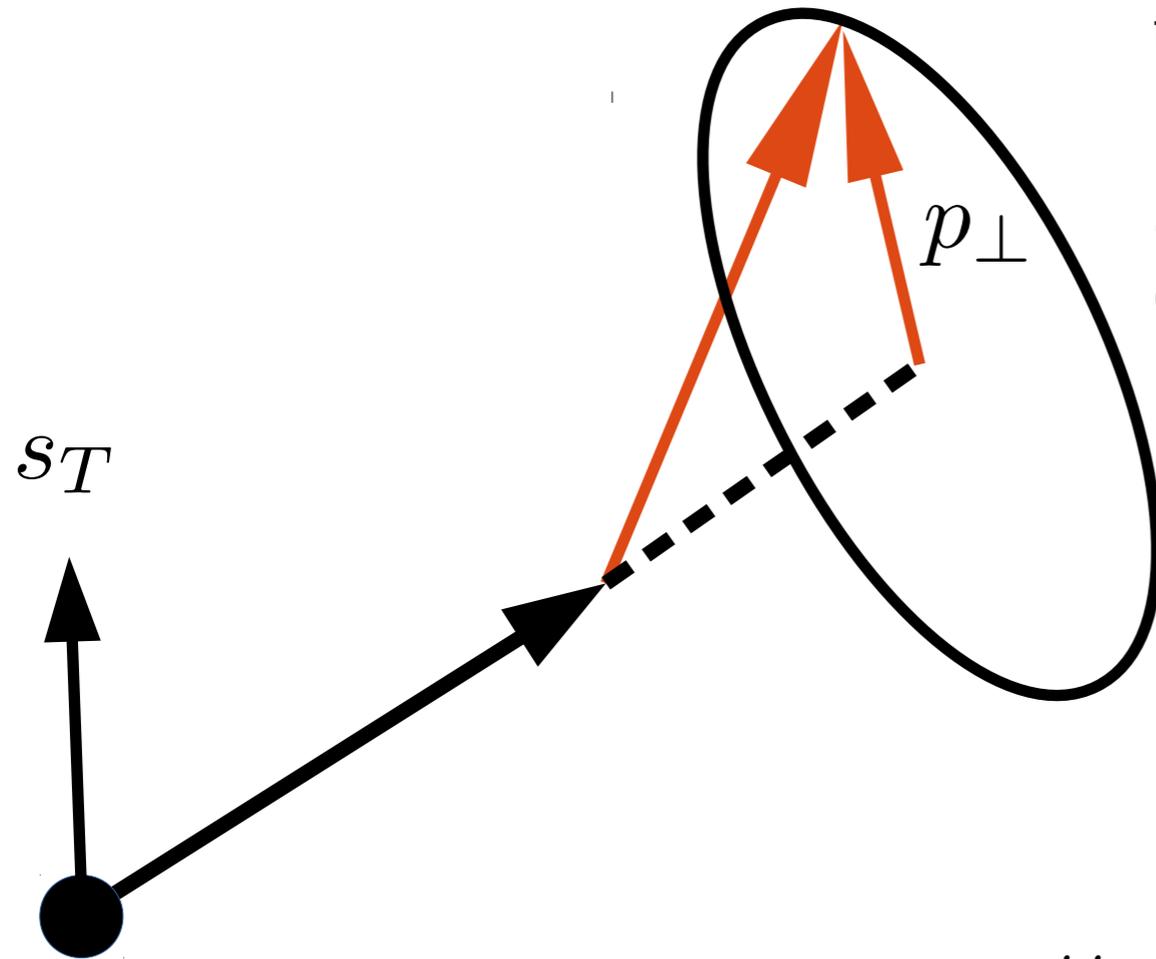
Collins FF

Mulders, Tangerman (1995), Meissner, Metz, Pitonyak (2010)



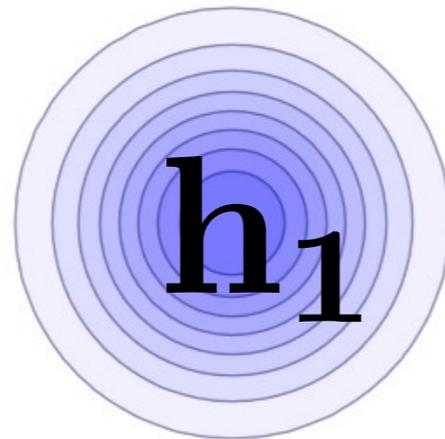
Collins function is generated by correlation of transverse momentum of the quark and transverse spin of the quark

Collins function is chiral odd and needs another chiral odd function to be measured



$$\Delta [i\sigma^i - \gamma_5] = \dots - \frac{\varepsilon_T^{ij} p_{\perp}^j}{zM_h} H_1^{\perp h/q}(z, p_{\perp}^2)$$

Collins (1992)



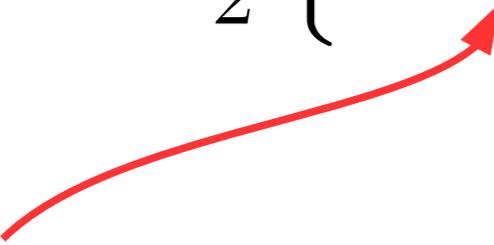
Quark-quark correlator can be decomposed by means of
3 Parton Distributions Functions (PDF) in collinear (kt integrated) case

$$\Phi(x; P, S) = \frac{1}{2} \left\{ f_1(x) \not{P} + S_L g_1(x) \gamma_5 \not{P} + \frac{1}{2} h_1(x) \gamma_5 [\not{S}_T, \not{P}] \right\}$$

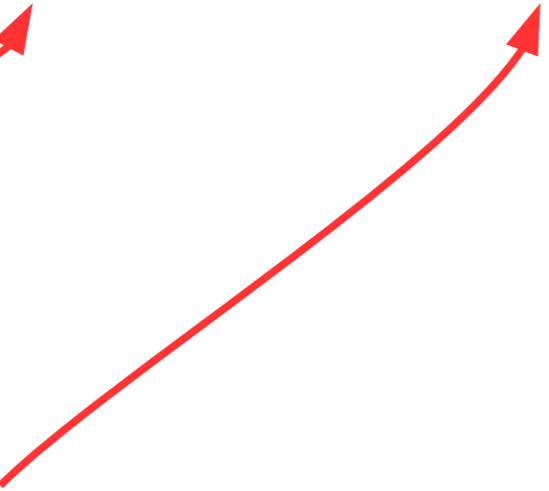
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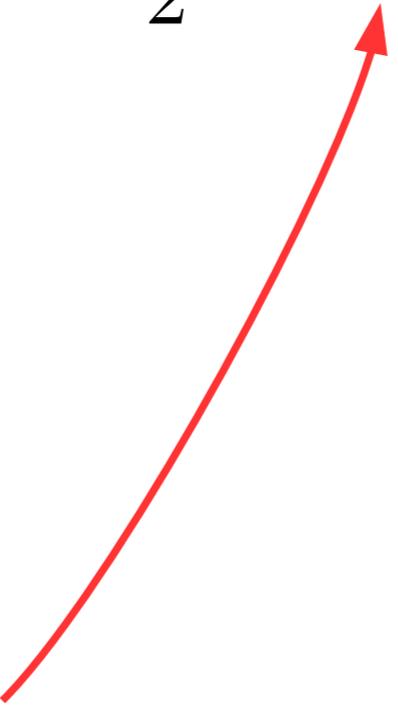
Unpolarised PDF

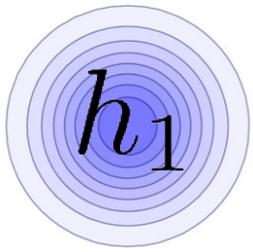


Helicity distribution

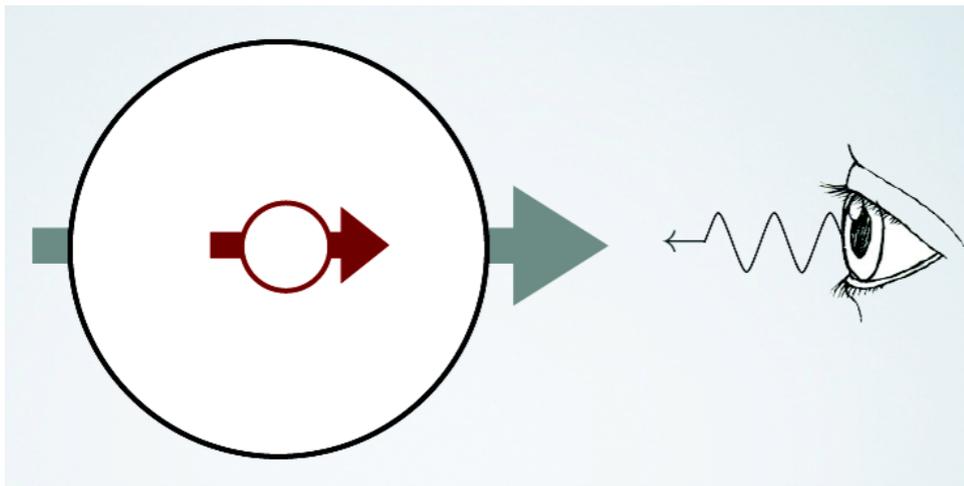


Transversity distribution

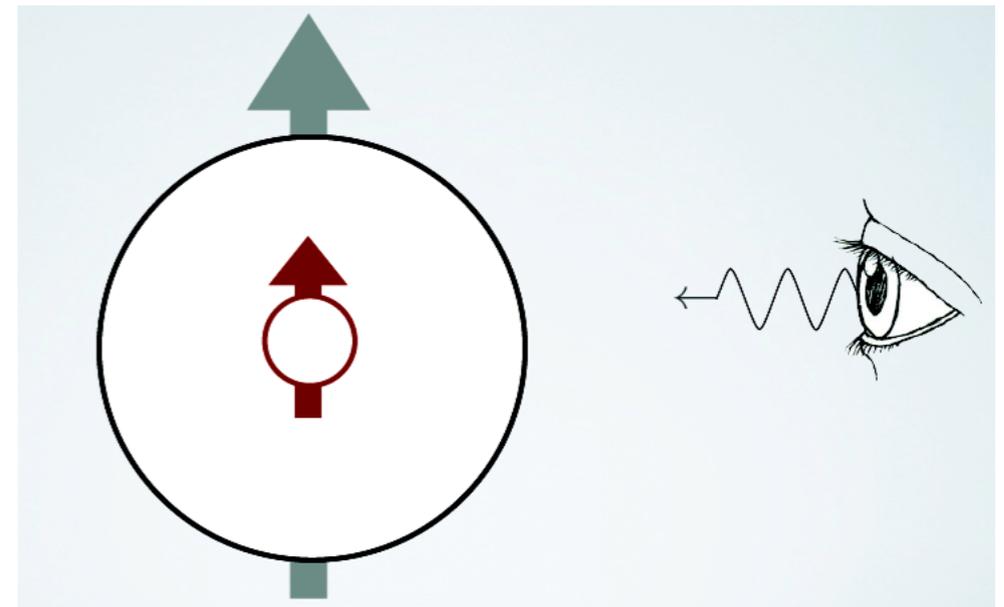




Helicity distribution



Transversity distribution



Boost and rotation do not commute \rightarrow helicity and transversity are different!

Transversity is the only source of information on tensor charge

$$\delta q = \int_0^1 dx (h_1^q(x) - h_1^{\bar{q}}(x))$$

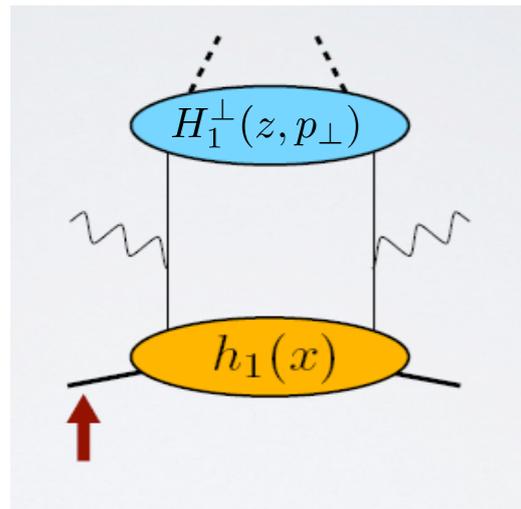
Fundamental quantity

Caveat: no sum rules

Collins effect and TMD factorization

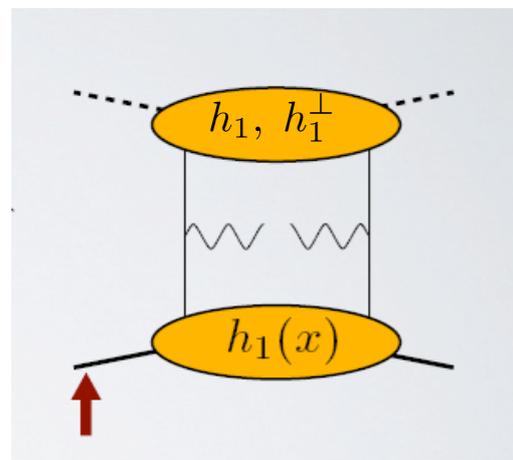
Transversity and Collins FF how measure?

Semi Inclusive DIS (SIDIS)



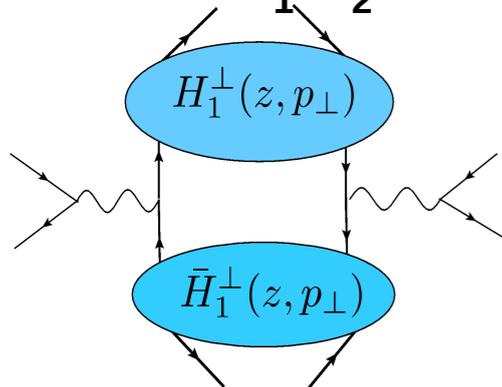
- ✓ TMD Factorization proven
- ✓ Separation of different contributions is possible
- ✗ Implementation of TMD factorization is more difficult compared to collinear factorization

Drell-Yan



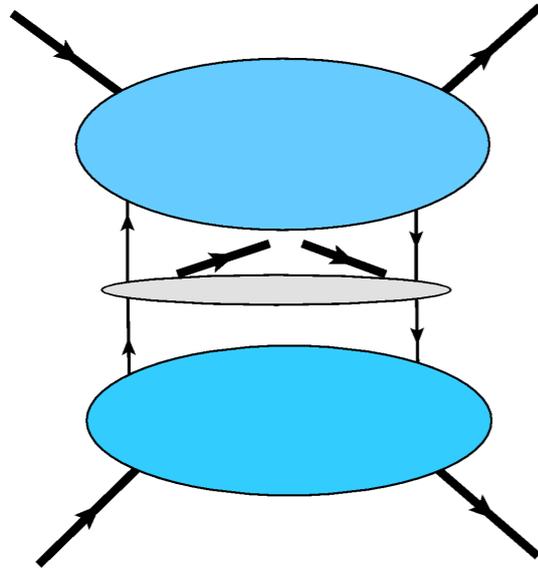
See talks by [John Collins](#), [Bowen Wang](#), [Leonard Gamberg](#)

$e^+e^- \rightarrow h_1 h_2 X$



Transversity and Collins FF how measure?

$pp \rightarrow \pi X$ scattering, A_N

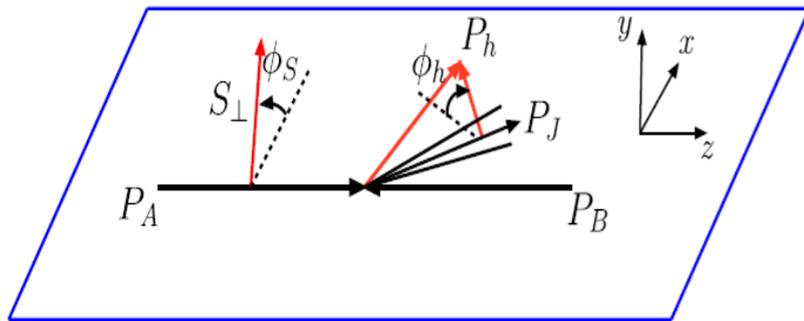


- ✗ TMD Factorization may not be valid
- ✗ Contribution from many channels, Sivers effect etc
- ✓ Possibility to study factorization breaking effects

[See talk by Cristian Pisano](#)

Transversity and Collins FF how measure?

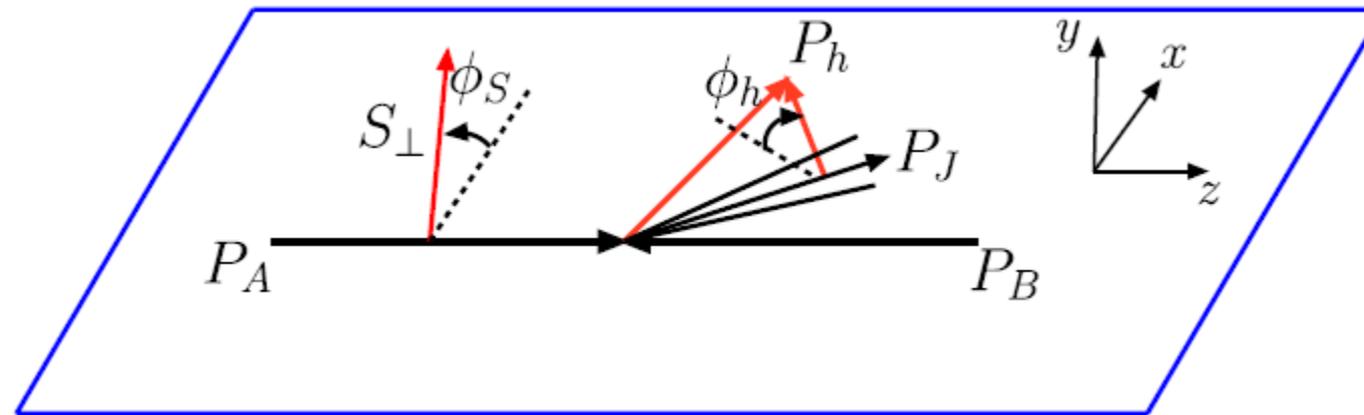
pp scattering, pion in jet A_N



- ✓✗ TMD Factorization may hold for this channel
- ✓ Contribution from Collins effect

Collins asymmetry can be studied in pp: $p(P_A, S_\perp) + p(P_B) \rightarrow \text{jet}(P_J) + X \rightarrow H(P_h) + X$

Feng Yuan (2008)



$$\frac{d\sigma}{dPS} = \frac{d\sigma_{UU}}{dPS} + |S_\perp| \frac{|P_{hT}|}{M_h} \sin(\phi_h - \phi_s) \frac{d\sigma_{TU}}{dPS}$$

$$d\sigma_{TU} \propto h_1(x_A) \otimes f_1(x_B) \otimes H_1^\perp(z)$$

Collinear methods: Collins effect and dihadron method and twist-3

Transversity and Collins FF how measure?

Dihadron method

- ✓✗ Factorization proven at one loop
- ✓ Collinear quantities

See talks by Alessandro Bacchetta, Marco Radici

Twist-3

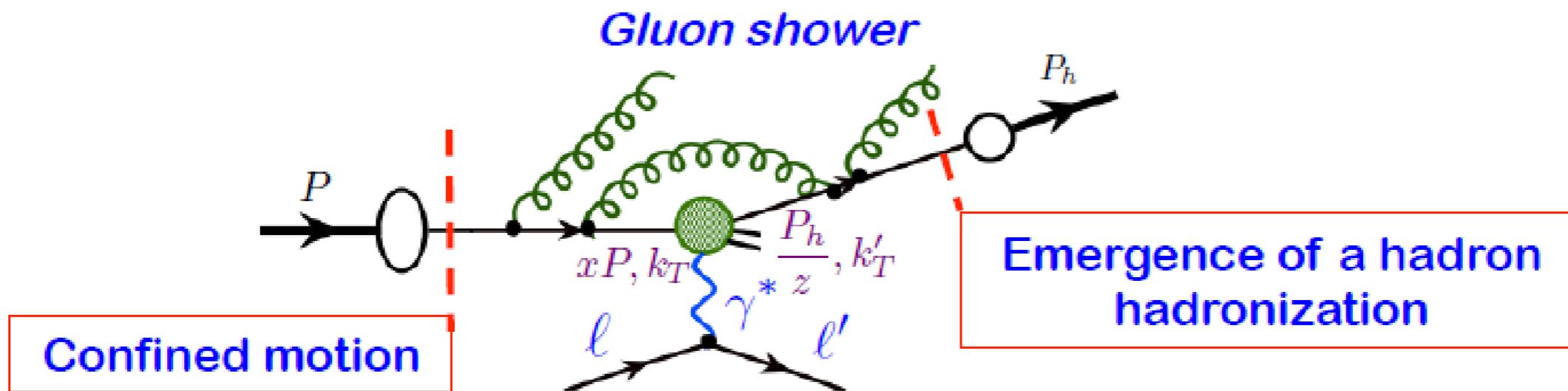
- ✓✗ More contributions than in case of TMD. Methods to separate contributions are needed
- ✓ Twist-3 functions are related to TMD functions
- ✓✗ Evolution generally involve two arguments making it more difficult to implement

See talk by Andreas Metz

Why evolution is interesting?

Why QCD evolution is interesting?

Study of evolution gives us insight on different aspects and origin of confined motion of partons, gluon radiation, parton fragmentation



Evolution allows to connect measurements at very different scales

→ Note that DGLAP evolution gives definite predictions that depend only on initial conditions TMD evolution is more complex and include non perturbative functions, thus results of application of TMD evolution will strongly depend on the choice of non perturbative input

$$\frac{\partial \ln \tilde{F}(x, b_T, \mu, \zeta)}{\partial \ln \sqrt{\zeta}} = \tilde{K}(b_T, \mu)$$

John Collins, 2011

$$\frac{d\tilde{K}(b_T, \mu)}{d \ln \mu} = -\gamma_K(g(\mu))$$

See talks by John Collins,
Ignazio Scimemi

$$\frac{d \ln \tilde{F}(x, b_T, \mu, \zeta)}{d \ln \mu} = \gamma_F(g(\mu), \zeta)$$

TMD evolution

TMD functions are measured at scale Q $f(x, k_{\perp}; Q)$

Evolution is performed in Fourier space

$$\tilde{f}(x, b_T; Q) = \int d^2 k_{\perp} e^{-i k_{\perp} b_T} f(x, k_{\perp}; Q)$$

Standard CSS formalism, evolution starts from

$$\mu_b = c/b_T, \quad c = 2e^{-\gamma_E}$$

in order to allow for OPE of TMDs and relation to collinear functions

$$\tilde{f}(x, b_T; Q) = \tilde{f}(x, b; \mu_b) e^{-S_{pert}(b_T)}$$

$$S_{pert}(b) = \int_{\mu_b}^Q \frac{d\mu}{\mu} \left(A \ln \frac{Q^2}{\mu^2} + B \right) \quad \text{Perturbative Sudakov factor, serves to evolve TMD from scale } \mu_b \text{ to } Q$$

$$A = \sum_{n=1} \left(\frac{\alpha_s}{\pi} \right)^n A^{(n)}$$

$$B = \sum_{n=1} \left(\frac{\alpha_s}{\pi} \right)^n B^{(n)}$$

TMD evolution

Calculation is perturbative, valid only in region

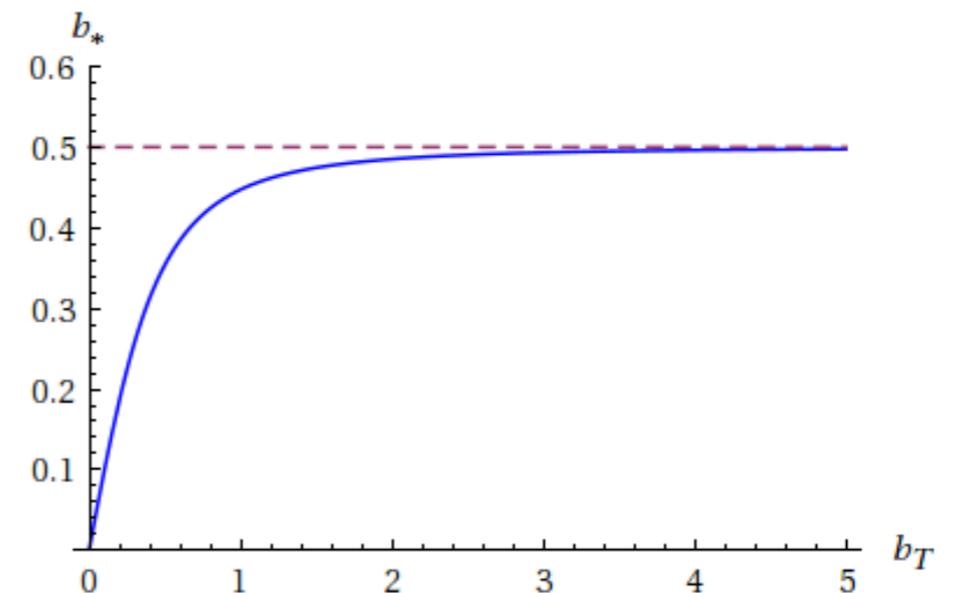
$$b \ll 1/\Lambda_{QCD}$$

Fourier transform in momentum space involves non-perturbative region

$$f(x, k_{\perp}; Q) = \int_0^{\infty} \frac{bdb}{2\pi} J_0(k_{\perp} b) \tilde{f}(x, b; Q)$$

Non perturbative region needs to be treated.
Common method b_* prescription

$$b_* = \frac{b}{\sqrt{1 + b^2/b_{max}^2}}$$



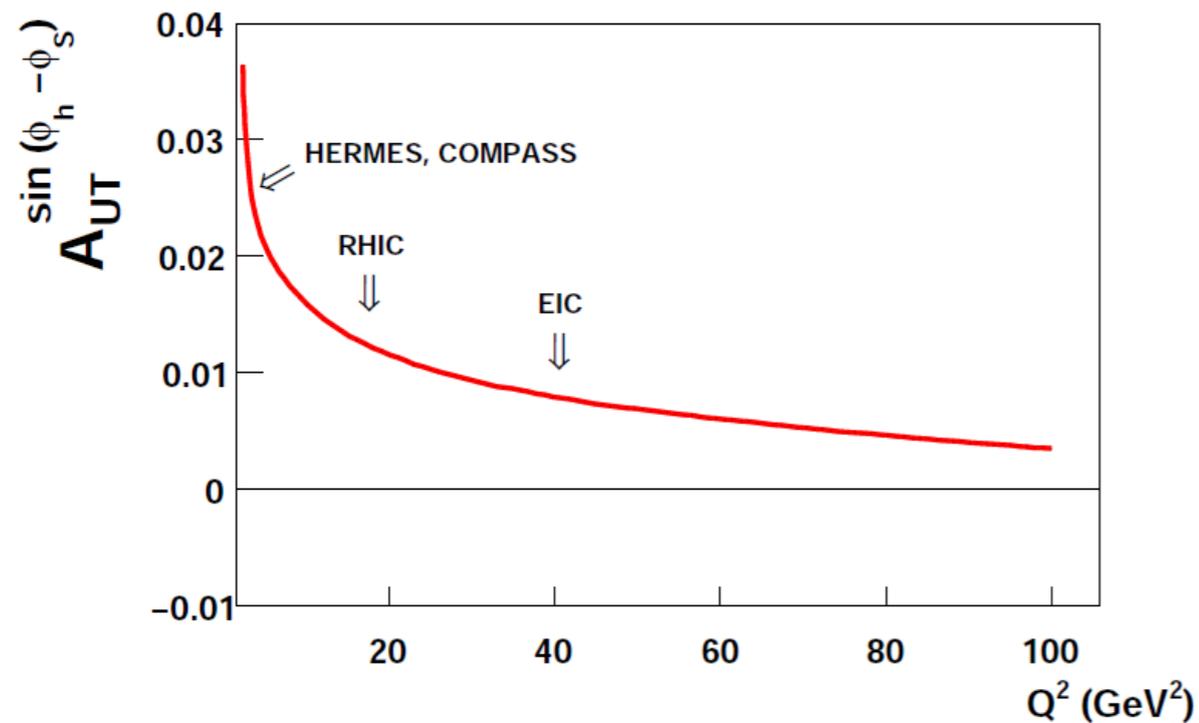
$$\tilde{f}(x, b; Q) = \tilde{f}(x, b_*; c/b_*) e^{-S_{pert}(b_*)} e^{-S_{NP}(b)}$$

Non perturbative Sudakov factor

Is evolution “fast” or “slow”?

Evolution depends of non perturbative Sudakov form factor

Aybat, Prokudin, Rogers (2011)



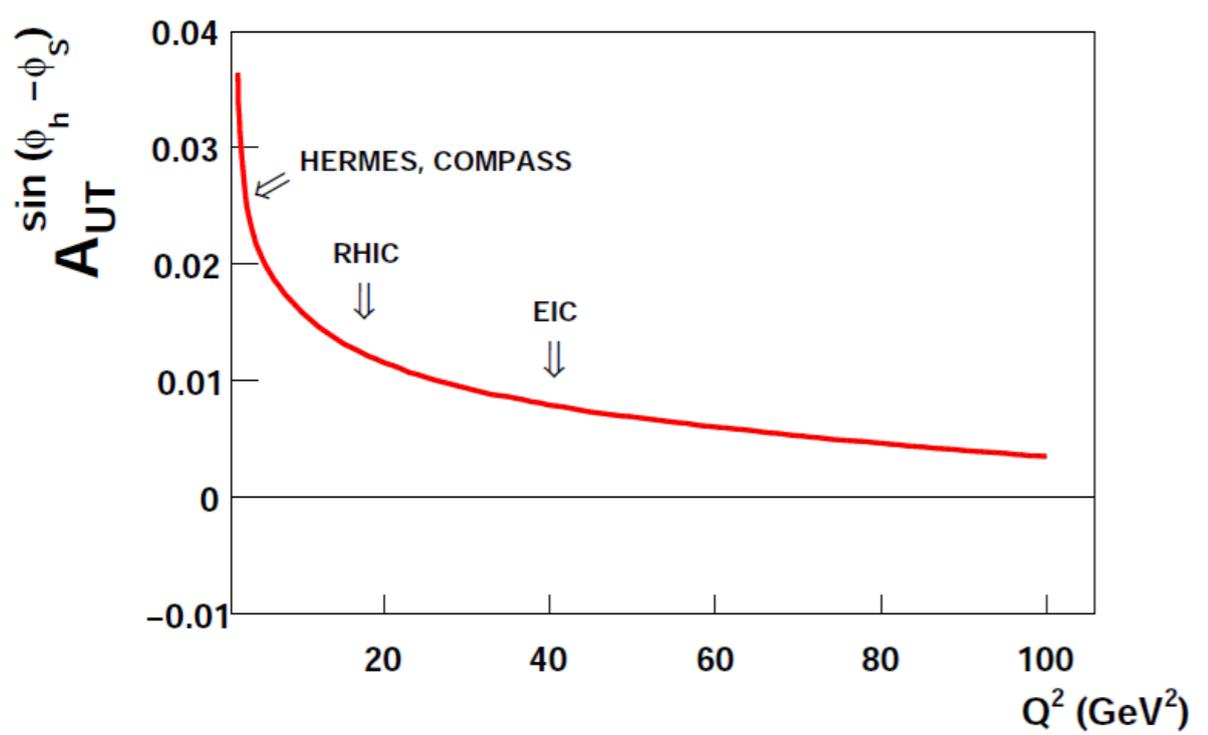
$$S_{NP} \sim g_2 b^2 \ln(Q^2 / Q_0^2)$$

g_2 evaluated from high-energy data

Is evolution “fast” or “slow”?

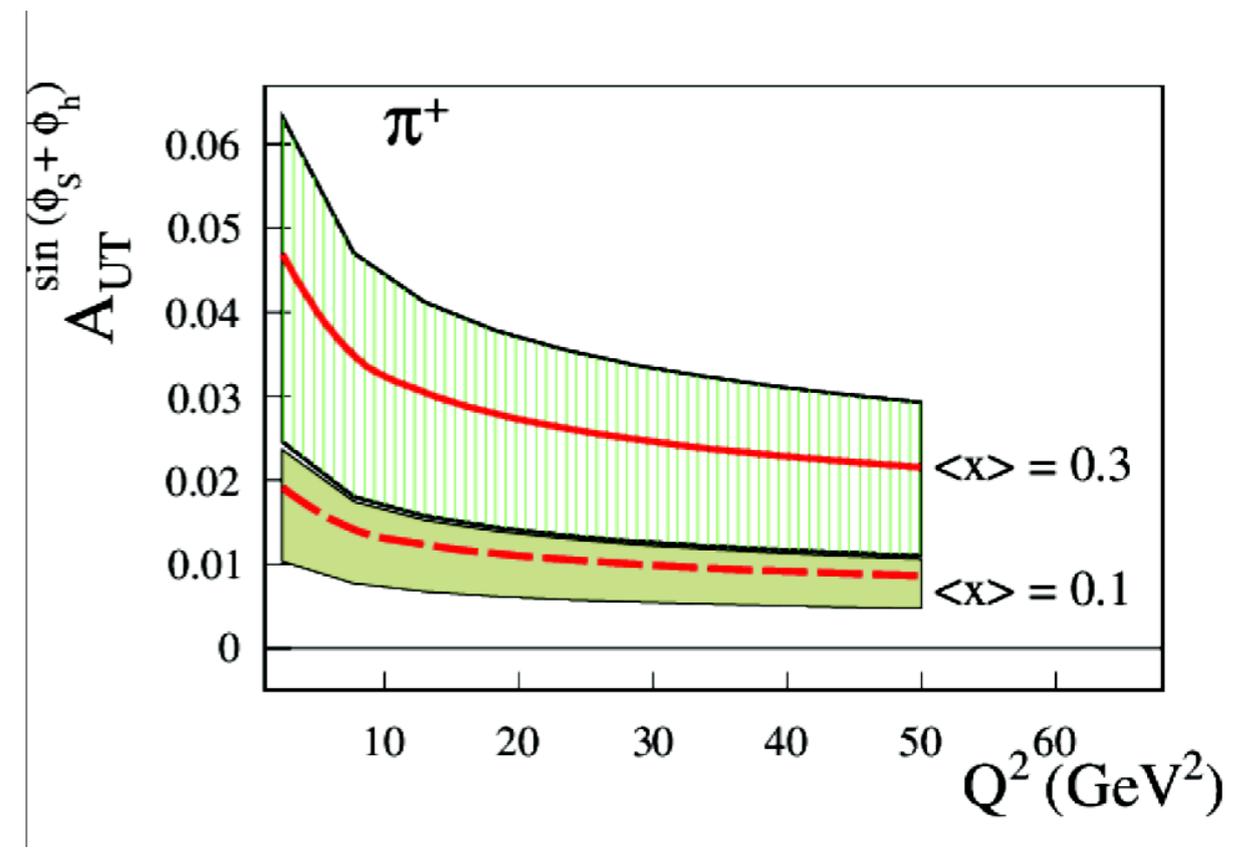
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Kang-Prokudin-Sun-Yuan 2014



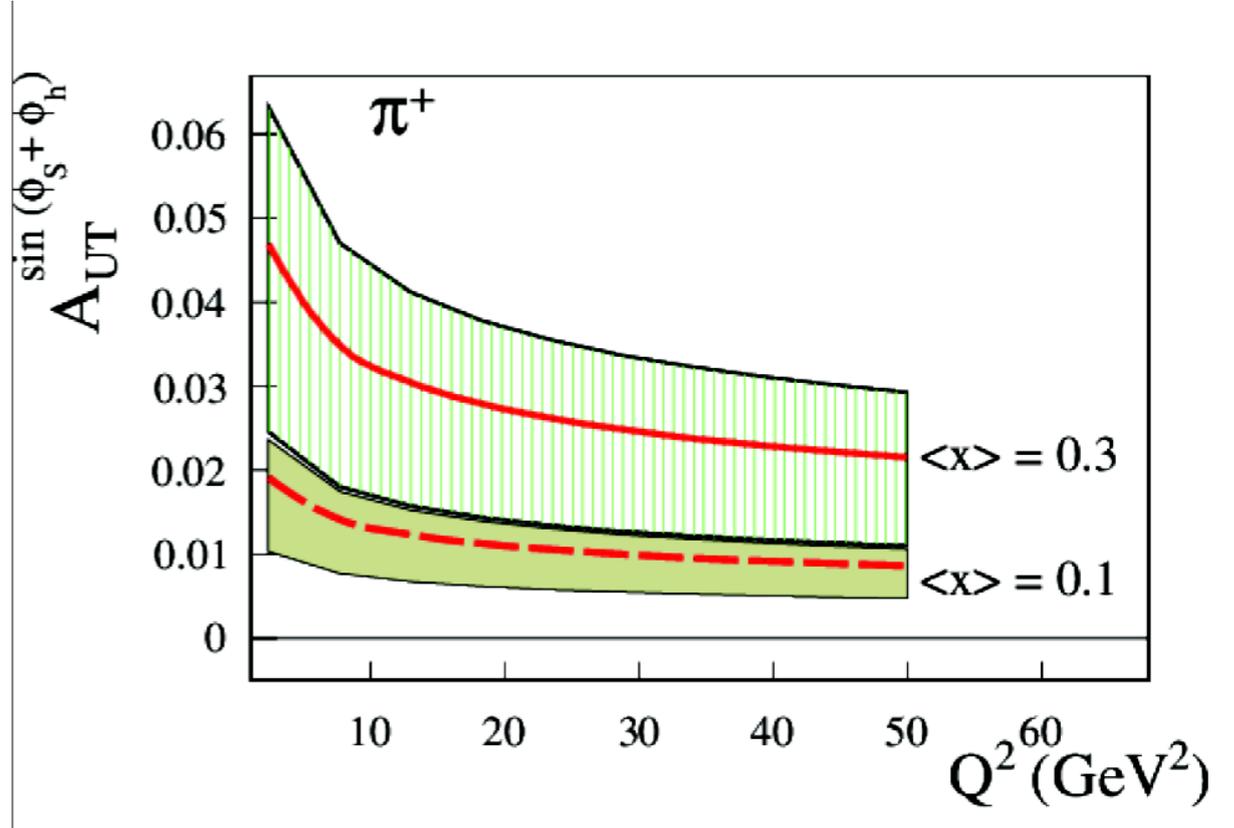
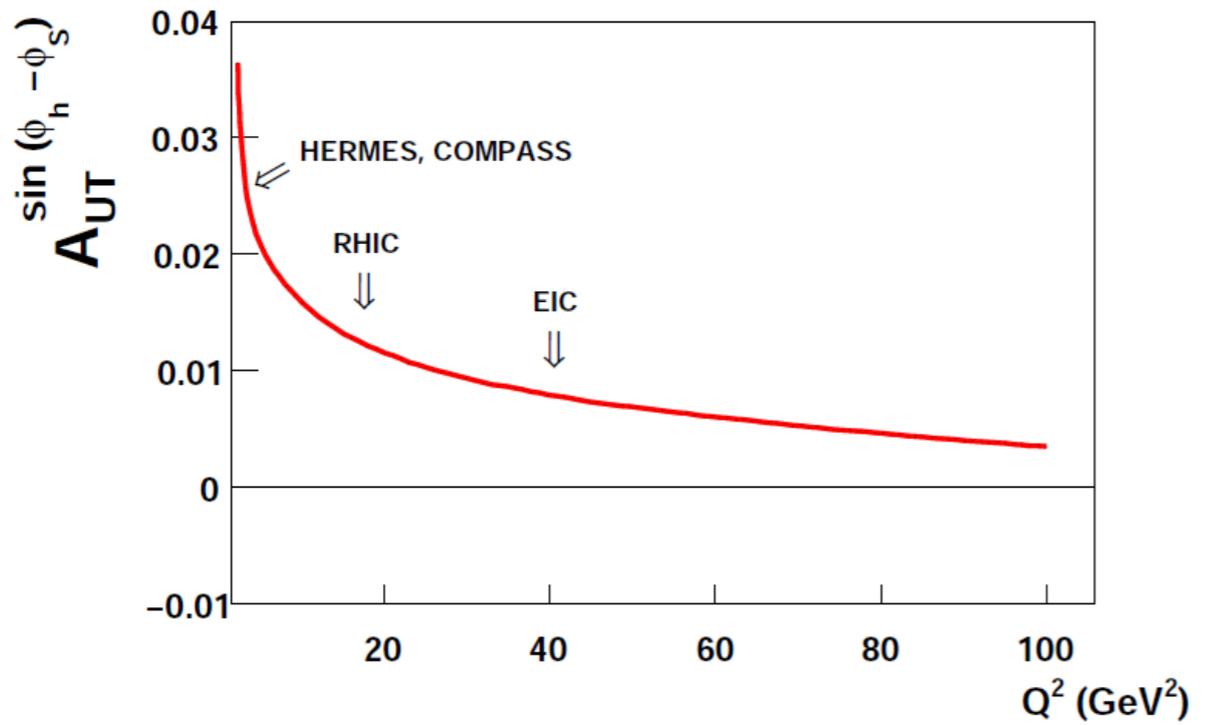
$$S_{NP} \sim g_2 \ln(1 + b^2 / b_{max}^2) \ln(Q^2 / Q_0^2)$$

g_2 evaluated from low and high-energy data

Is evolution “fast” or “slow”?

Aybat, Prokudin, Rogers (2011)

Kang-Prokudin-Sun-Yuan 2014



$$S_{NP} \sim a_0 b^2 \ln(Q^2/Q_0^2) + a_1 (b^2 - 2) \ln(Q^2/Q_0^2) + \dots$$

The same evolution equations may result in different numerical results. Global data analysis is needed.

TMD evolution

Relation to collinear functions at small values of b :

$$\tilde{f}^j(x, b_*; c/b_*) = \sum_{j'=q,g} \int_x^1 \frac{d\hat{x}}{\hat{x}} C_{j/j'}\left(\frac{x}{\hat{x}}, b_*; c/b_*\right) f^{j'}(x; c/b_*)$$

$$C = \sum_{n=1} \left(\frac{\alpha_s}{\pi}\right)^n C^{(n)} \text{ Wilson coefficient}$$

Collinear PDF

For transversity and helicity TMDs:

[Bacchetta-Prokudin 2013](#)

For Collins function (relation to collinear **twist-3** function):

[Yuan-Zhou 2009, Kang 2011](#)

In future also gluon functions will be important

For gluon twist-3 function:

[Dai-Kang-Prokudin-Vitev 2014](#)

Taking into account Wilson coefficients is very important!

Large K factors of collinear computations between LO and NLO!

TMD evolution in a nut shell

Precision of extraction depends on precision of calculations

Leading Log (LL):	$A^{(1)}$		
Next-to Leading Log (NLL):	$A^{(1,2)}$	$B^{(1)}$	$C^{(1)}$
Next-to-Next-to Leading Log (NNLL):	$A^{(1,2,3)}$	$B^{(1,2)}$	$C^{(1)}$

Precision is important!

$C^{(1)}$ means that one should use NLO collinear distributions

First NLL' extraction from the data

$$A^{(1,2)} \quad B^{(1)} \quad C^{(1)}$$

Collins function is related to twist-3 function

$$\tilde{H}_1^{\perp, \alpha}(z_h, b; \mu_b) \sim \left(\frac{-ib^\alpha}{2z_h} \right) H^{(3)}(z_h; \mu_b)$$

We solve also DGLAP equations for transversity and (diagonal) Collins FF

Diagonal part for twist-3 Collins function is:

Yuan-Zhou 2009, Kang 2011

$$\frac{d}{d \ln \mu^2} H_q^{(3)}(z_h, \mu) = \frac{\alpha_s}{2\pi} P_{i \rightarrow q}^H \otimes H_i^{(3)}$$

$$P_{i \rightarrow q}^{H_1}(\hat{x}) = \delta_{iq} C_F \left(\frac{2\hat{z}}{(1-\hat{z})_+} + \frac{3}{2} \delta(1-\hat{z}) \right)$$

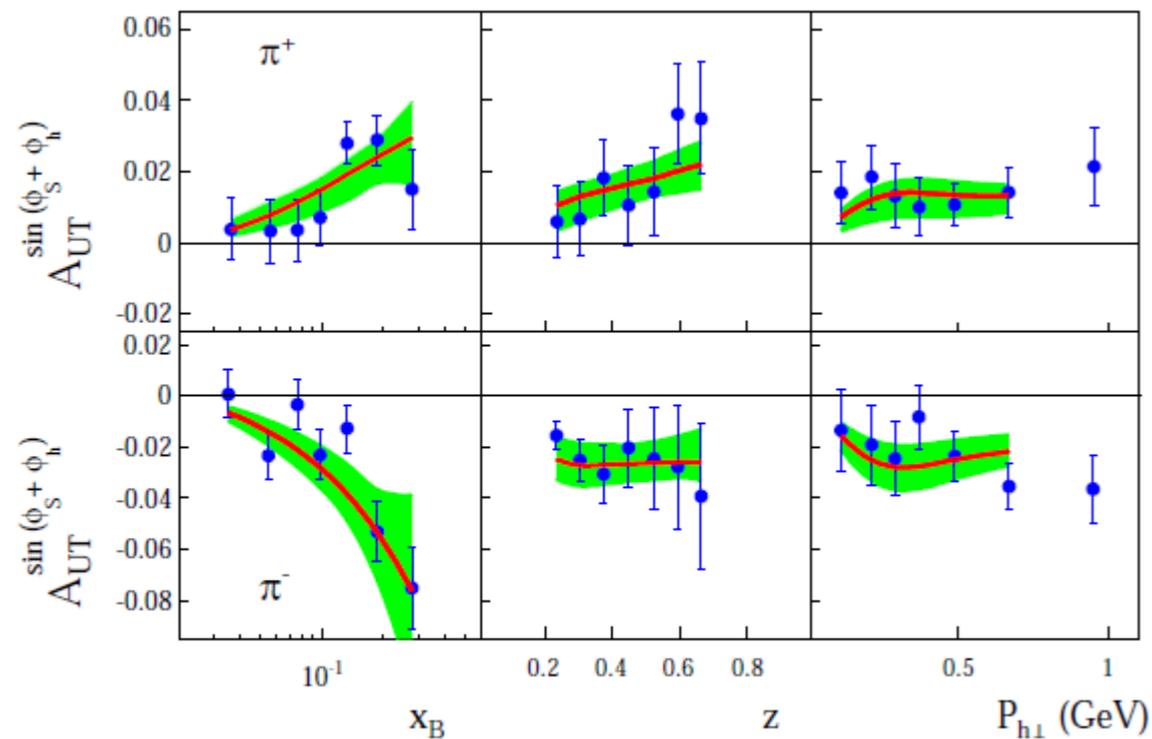
SIDIS data used: HERMES, COMPASS, JLAB – 140 points

e+e- data used: BELLE, BABAR including PT dependence – 122 points

$$\chi^2 / \text{d.o.f.} \simeq 0.88$$

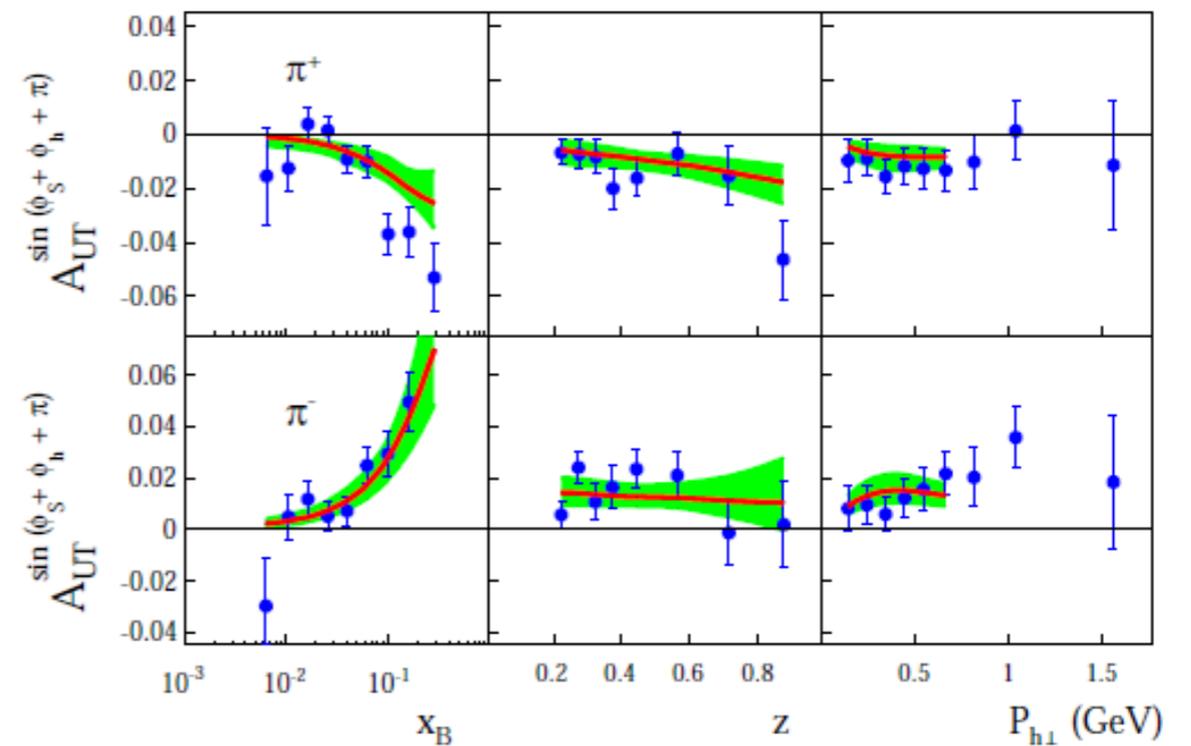
$$\ell P \rightarrow \pi^\pm X$$

HERMES



$$1 \lesssim \langle Q^2 \rangle \lesssim 6 \text{ GeV}^2$$

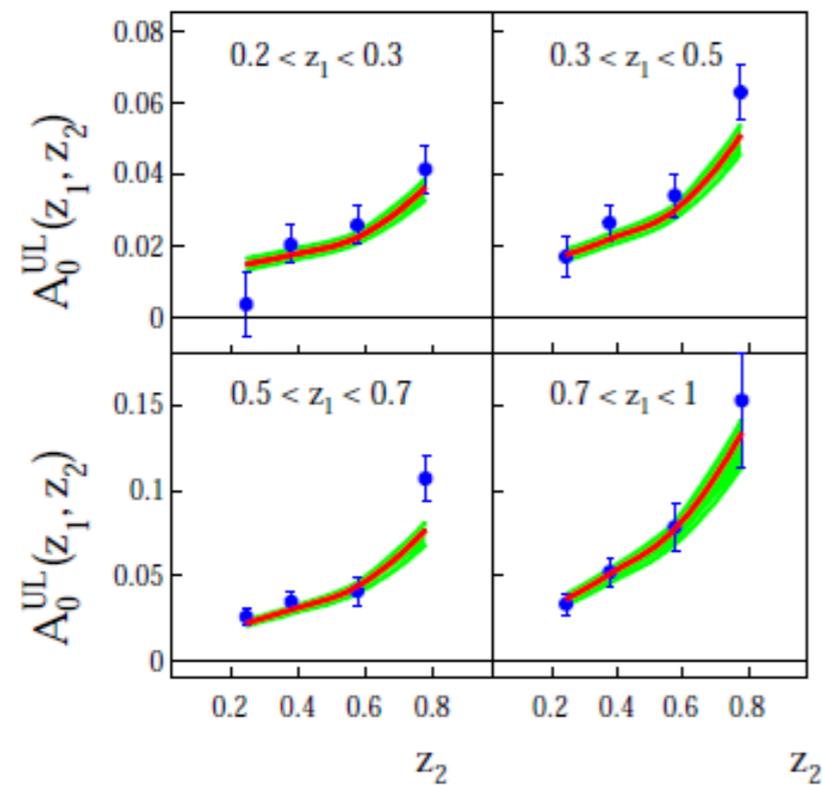
COMPASS



$$1 \lesssim \langle Q^2 \rangle \lesssim 21 \text{ GeV}^2$$

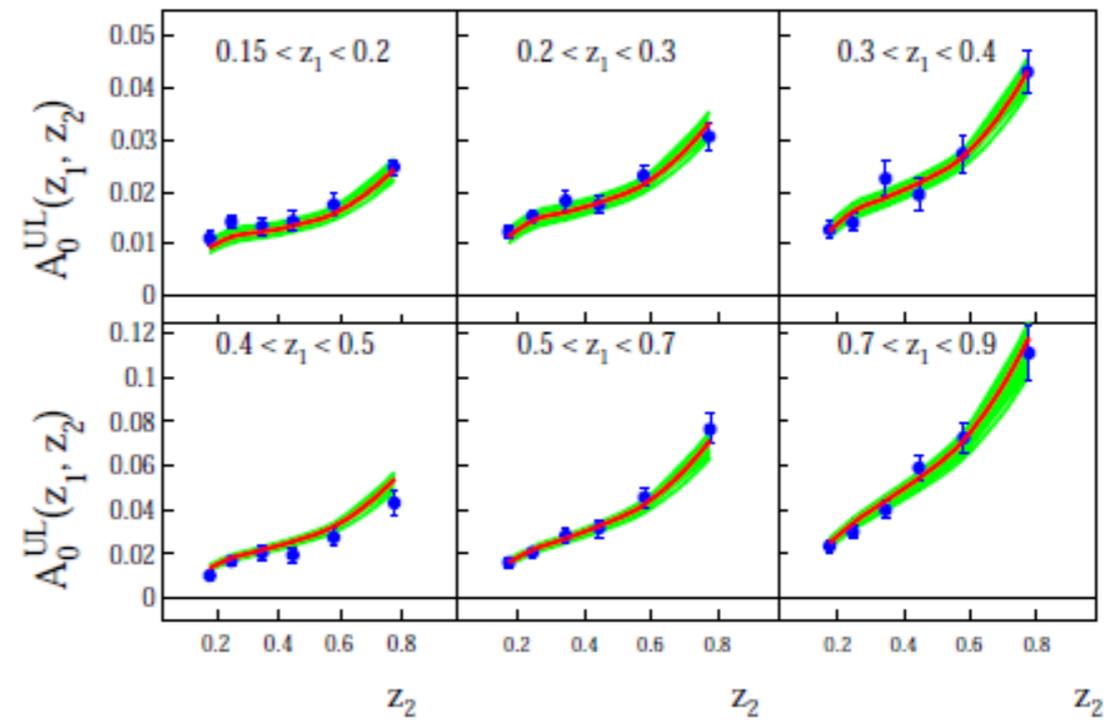
$$e^+e^- \rightarrow \pi\pi X$$

BELLE



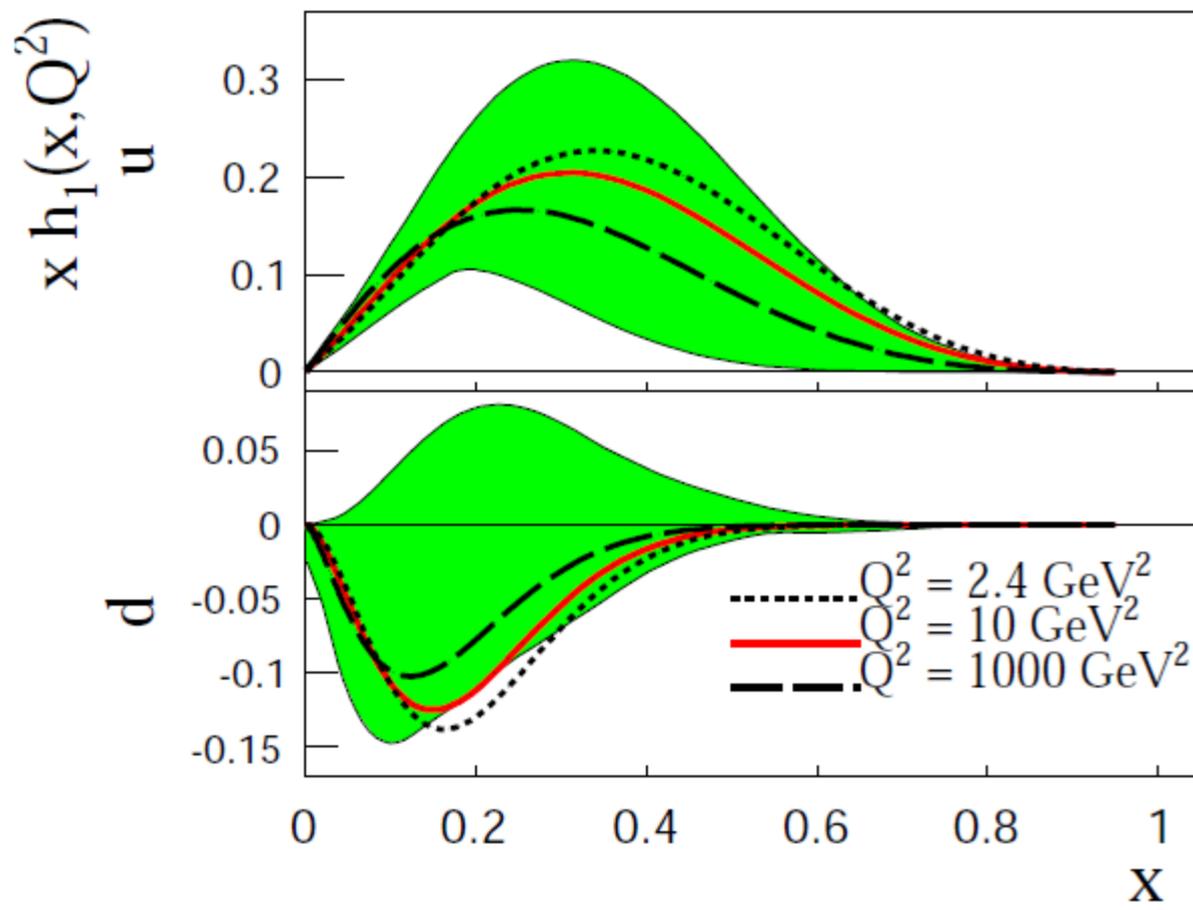
$$Q^2 = 110 \text{ GeV}^2$$

BABAR



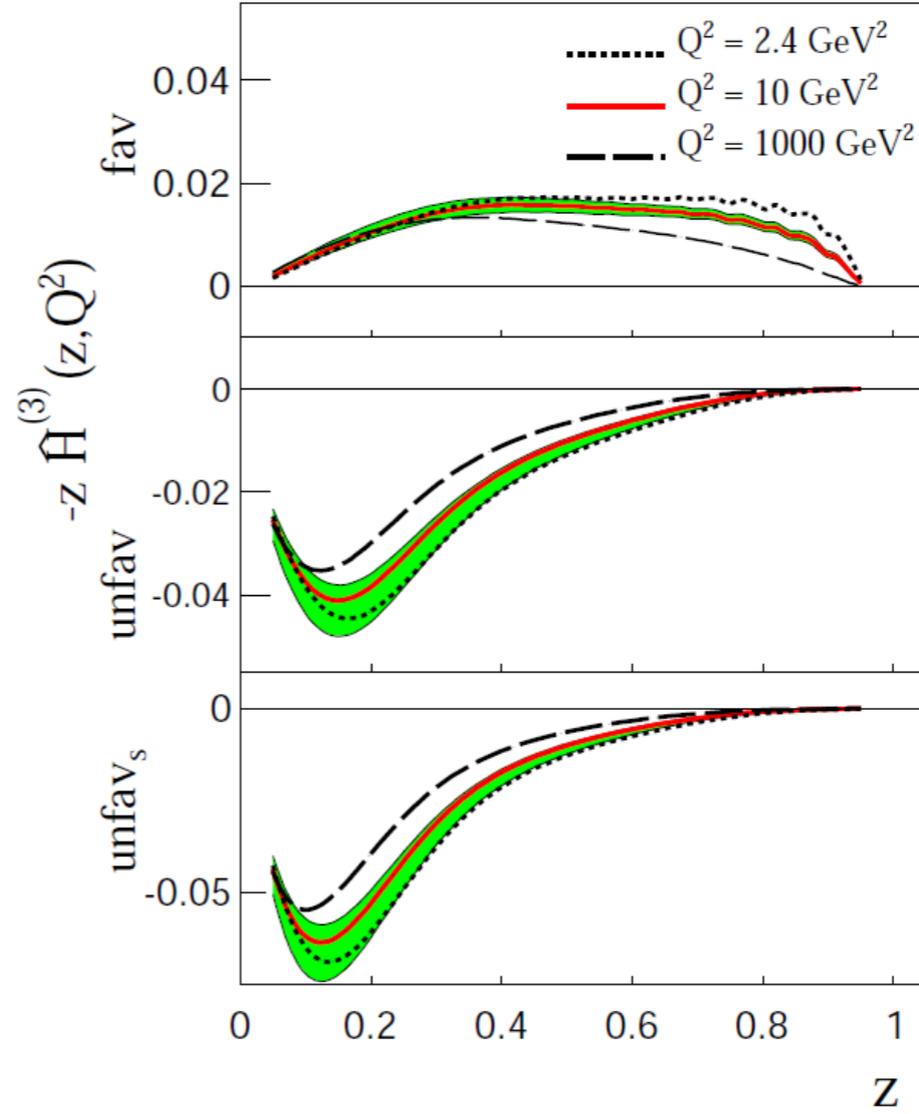
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Transversity

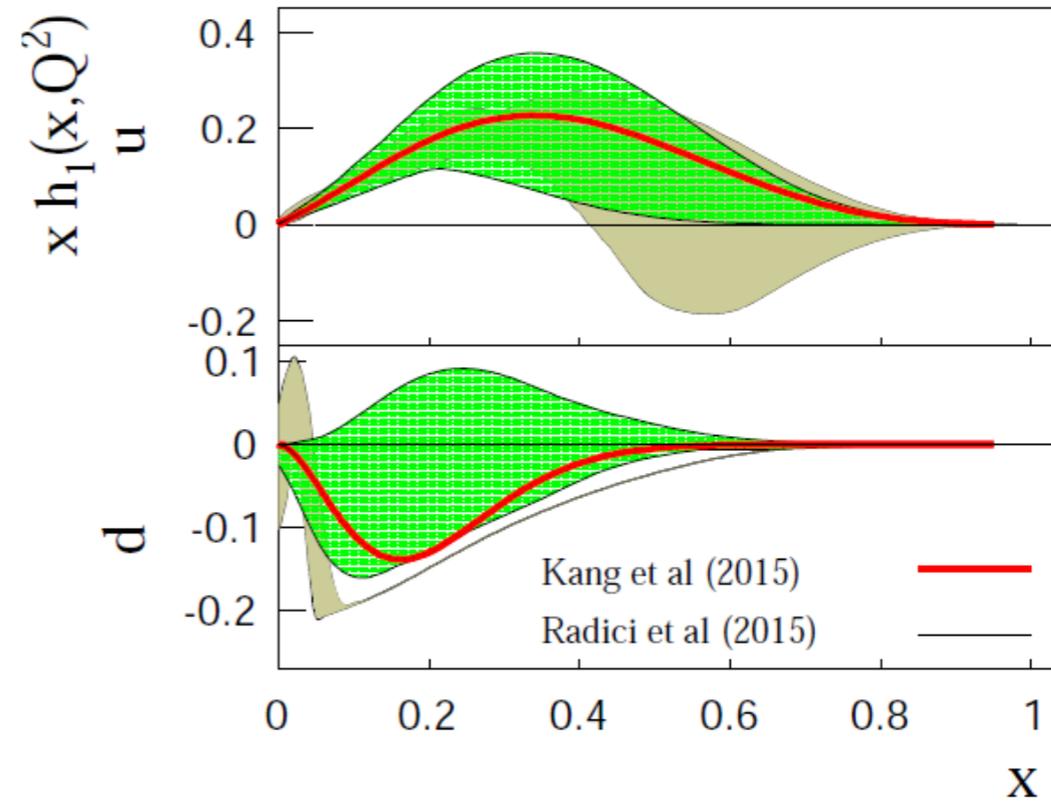
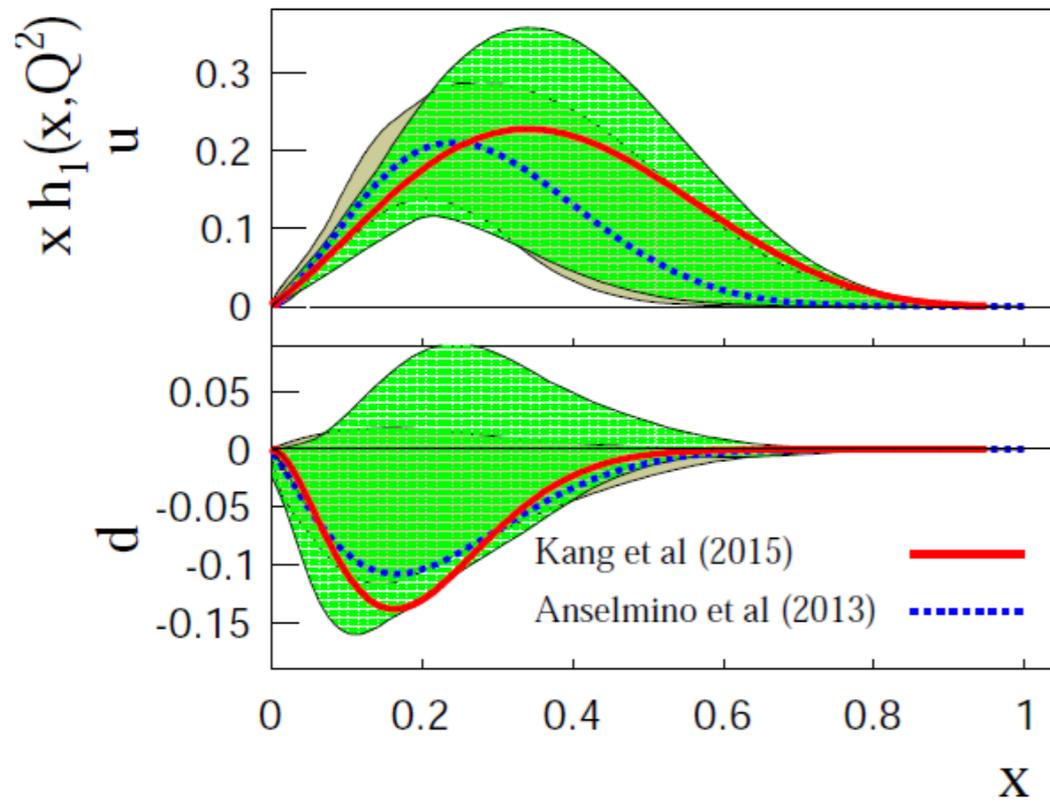


Positive u and negative d transversity

Collins

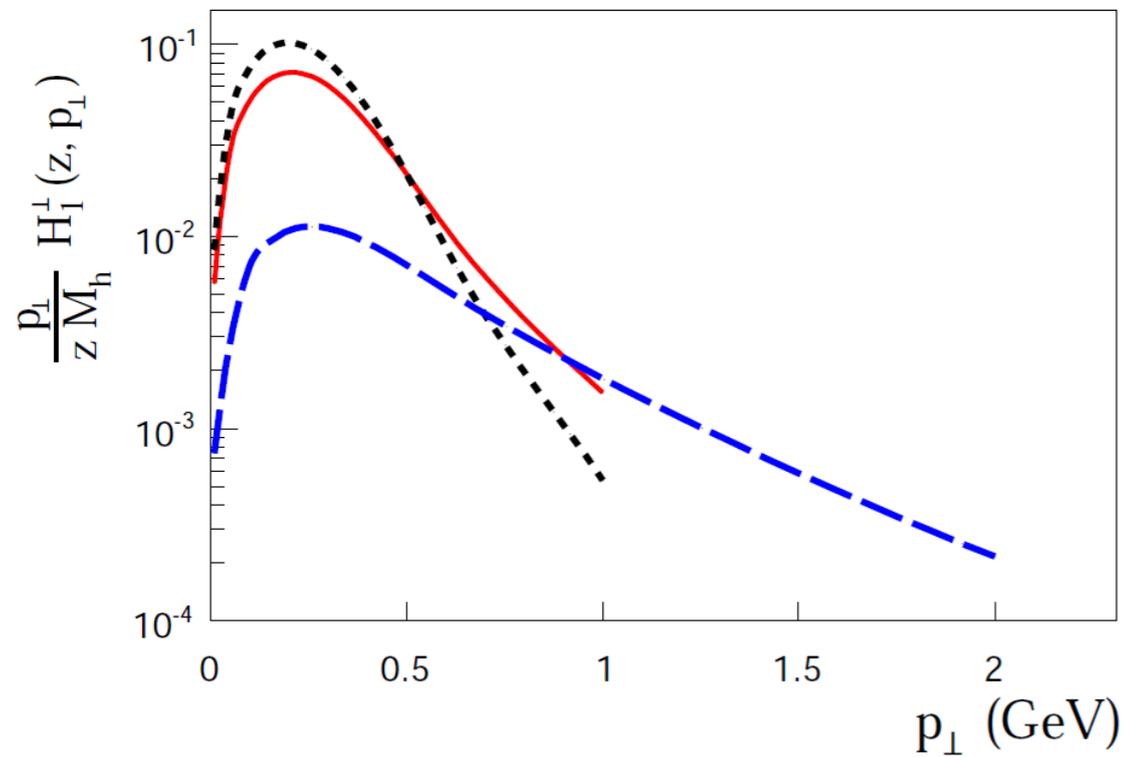


Positive favoured and negative unfavoured Collins FF



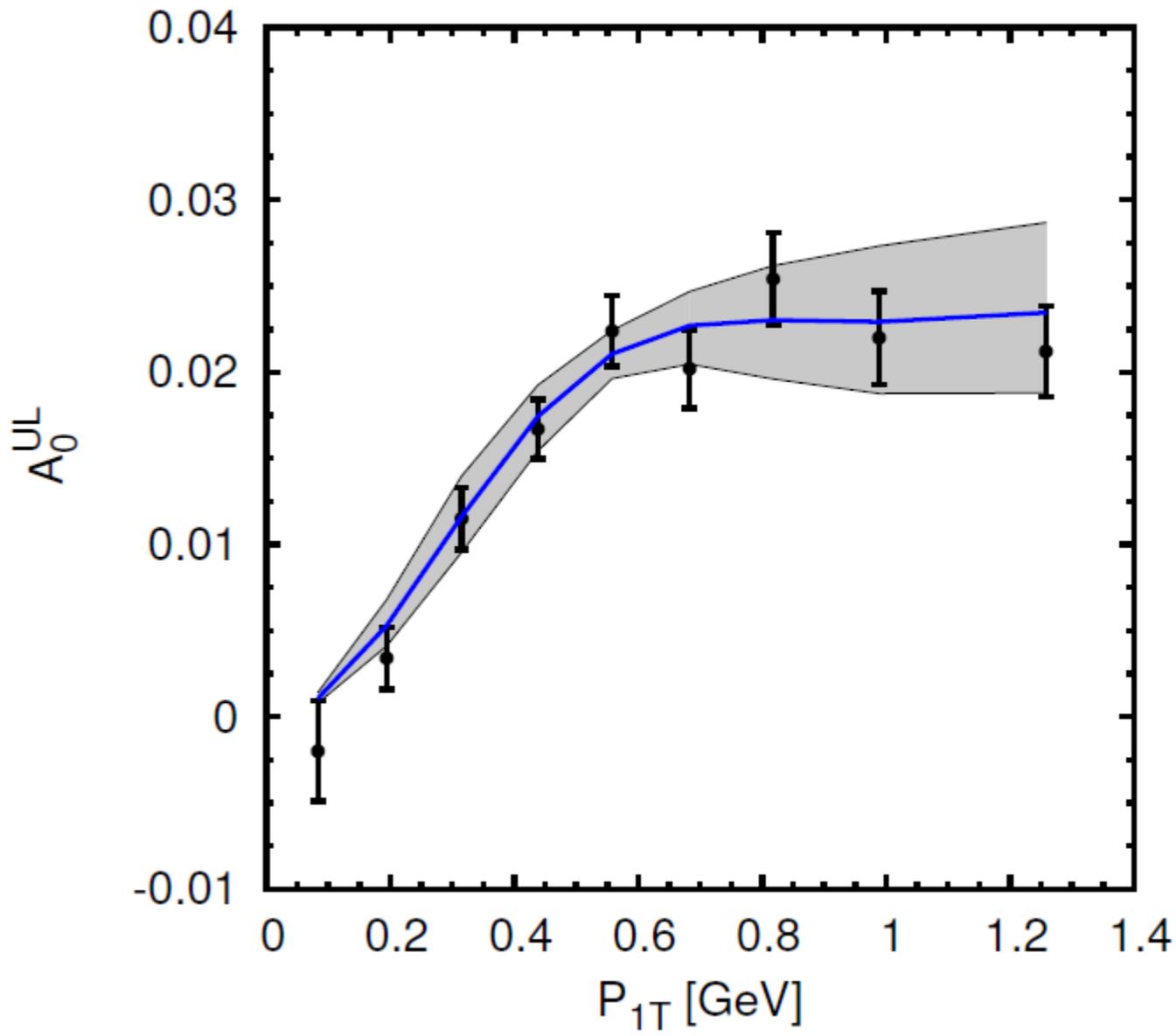
Compatible with LO extraction [Anselmino et al 2013](#), [Radici et al \(2015\)](#)

It does not mean LO fit is impossible

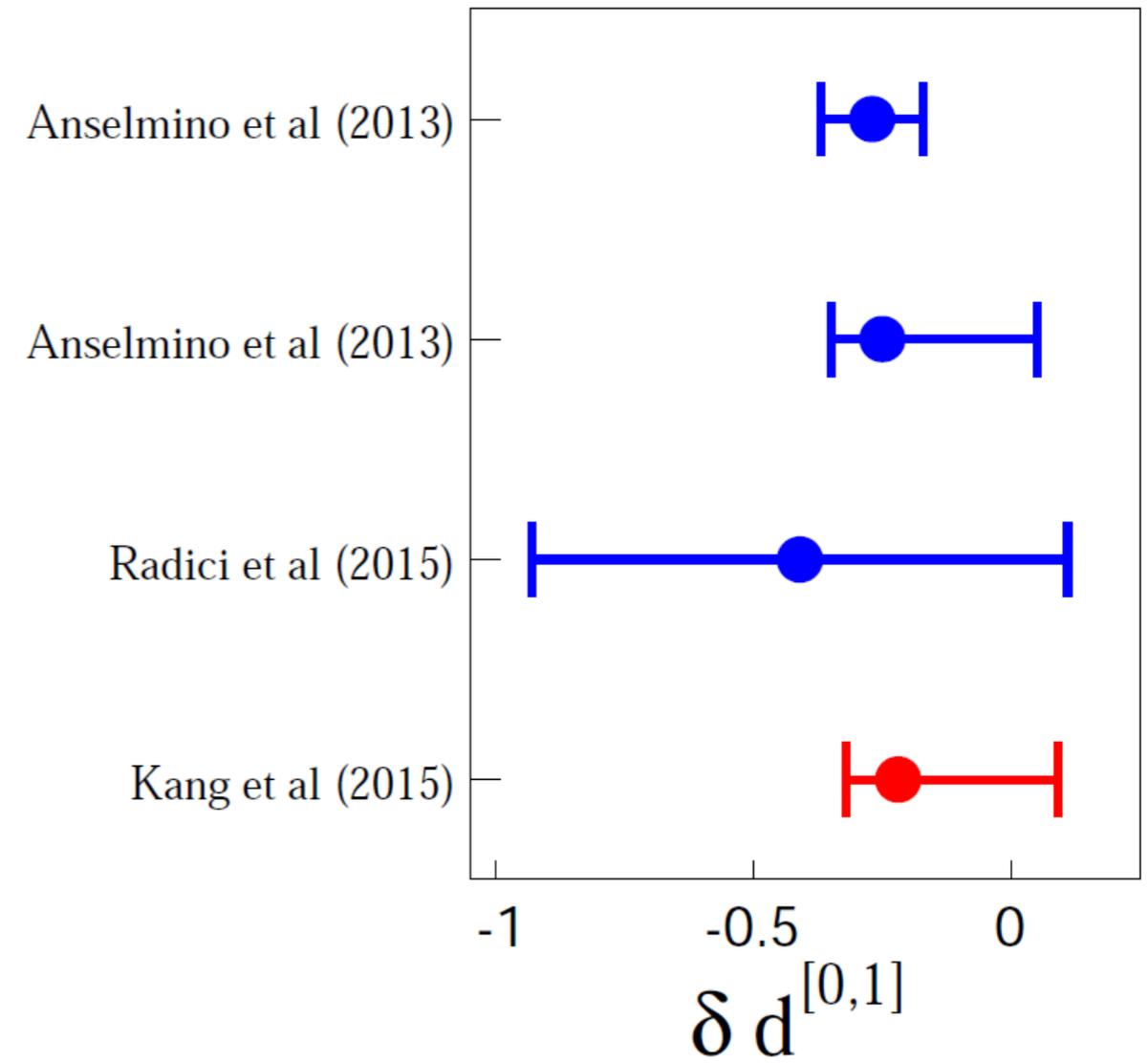
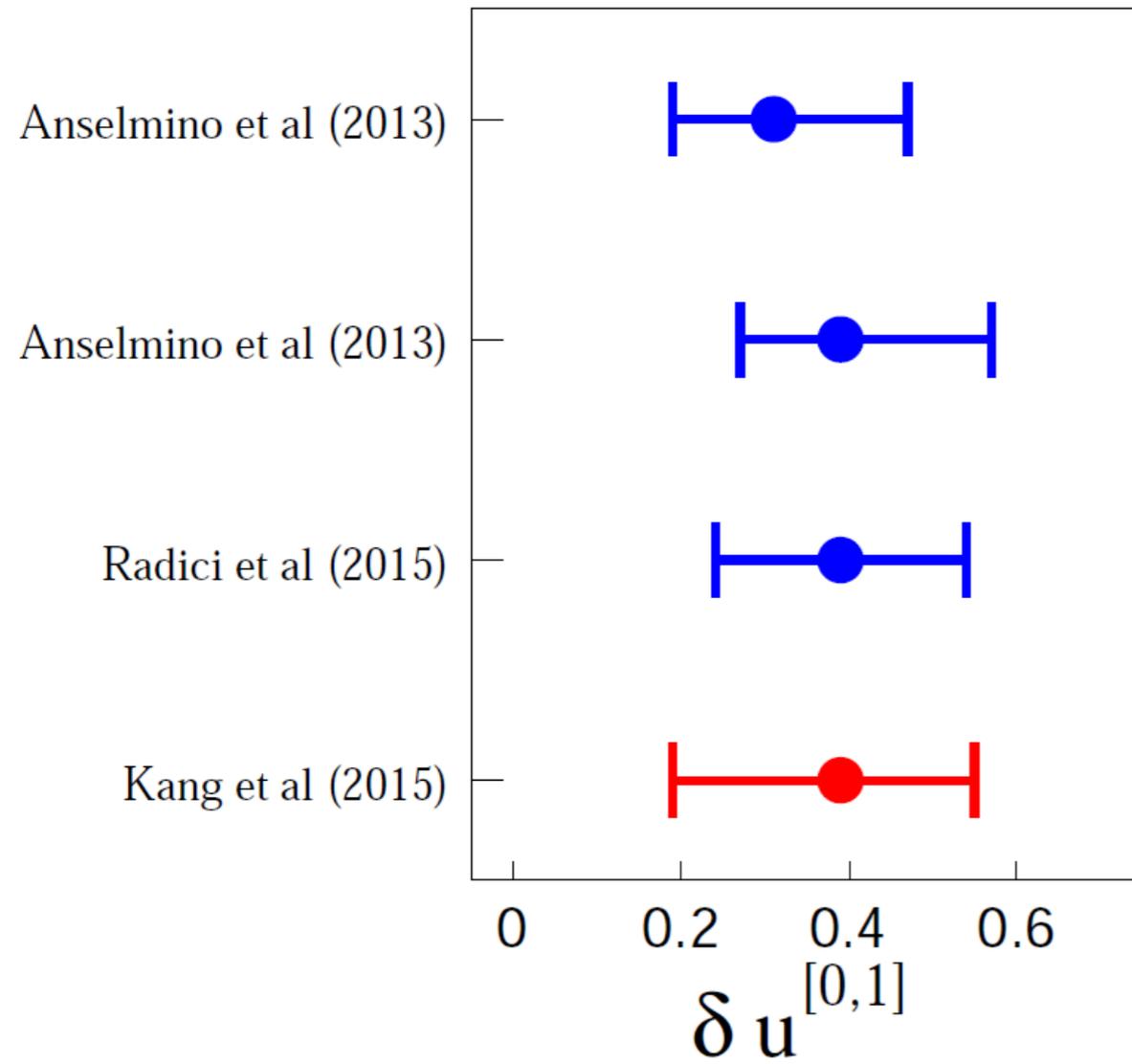


TMD evolution:

- $Q^2 = 2.4 \text{ GeV}^2$
- $Q^2 = 10 \text{ GeV}^2$
- $Q^2 = 1000 \text{ GeV}^2$



Anselmino et al (2015)
No TMD evolution, LO fit

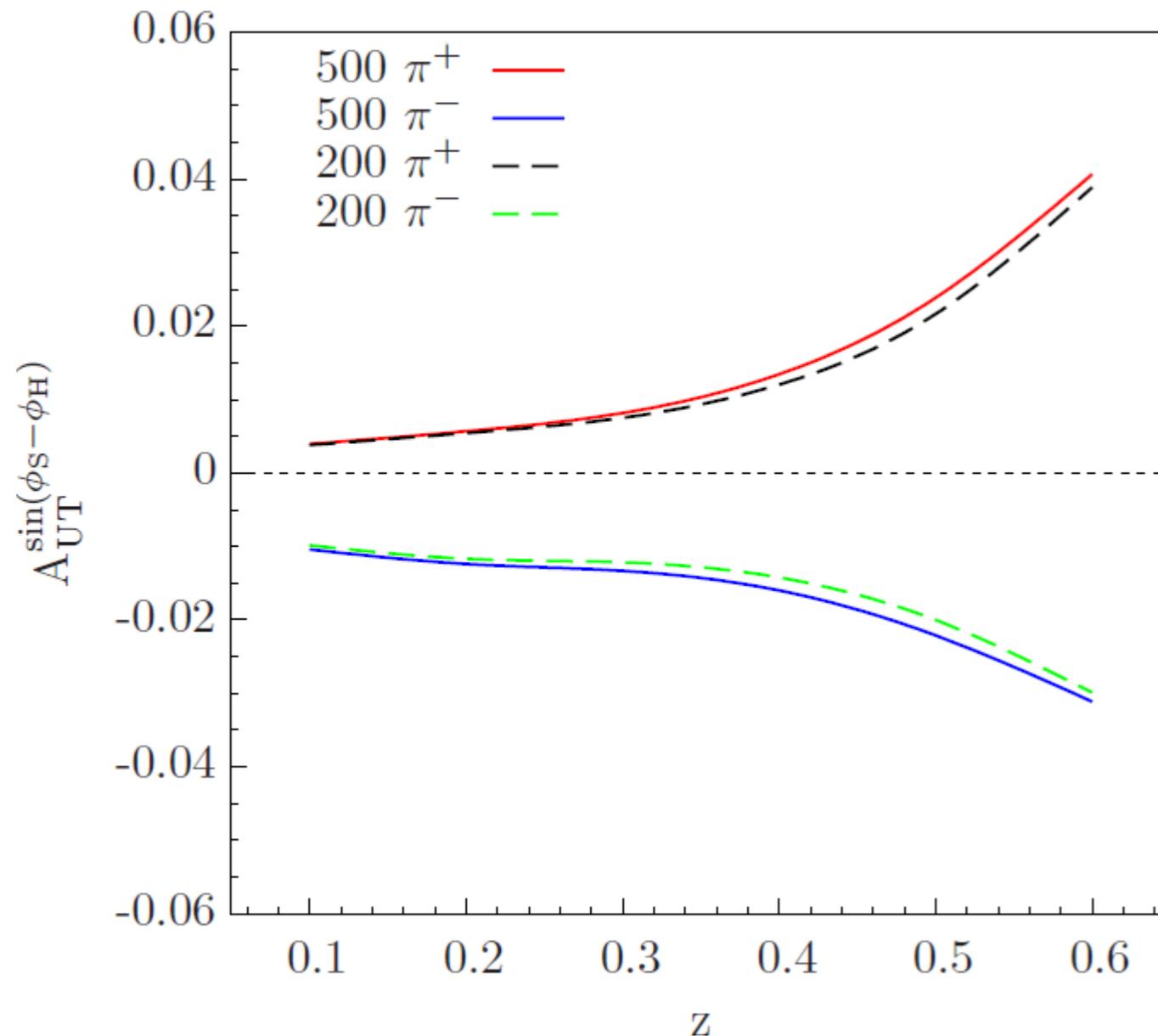


Collins effect in pp

Cross section of STAR measurement:

$$\frac{d\sigma}{dyd^2p_{\perp}^{\text{jet}} dzd^2j_T} = F_{UU} + \sin(\phi_S - \phi_H)F_{UT}^{\sin(\phi_S - \phi_H)}$$

$$A_{UT}^{\sin(\phi_S - \phi_H)}(p_{\perp}^{\text{jet}}, z, j_T) = \frac{F_{UT}^{\sin(\phi_S - \phi_H)}}{F_{UU}}$$



No TMD evolution

$$\langle j_T^2 \rangle = 0.12 \text{ (GeV}^2\text{)}$$

Anselmino et al (2015)

Rapidity $0 < y < 1$

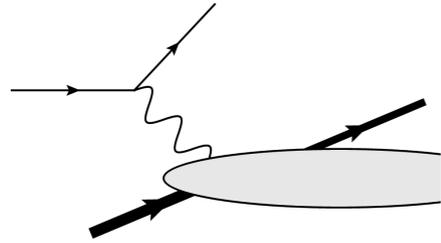
Jet transverse momenta

$$\langle p_{\perp}^{\text{jet}} \rangle = 12.9 \text{ GeV} @ \sqrt{s} = 200 \text{ GeV}$$

$$\langle p_{\perp}^{\text{jet}} \rangle = 31 \text{ GeV} @ \sqrt{s} = 500 \text{ GeV}$$

Complementarity of SIDIS, e+e- and Drell-Yan, and hadron-hadron

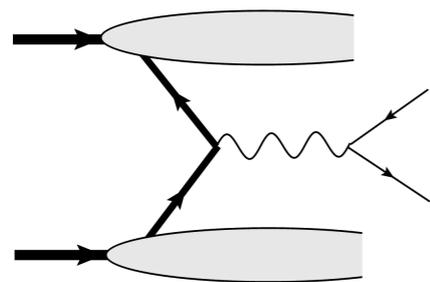
Various processes allow study and test of evolution, universality and extractions of distribution and fragmentation functions. We need information from all of them



$$f(x) \otimes D(z)$$

Semi Inclusive DIS – convolution of distribution functions and fragmentation functions

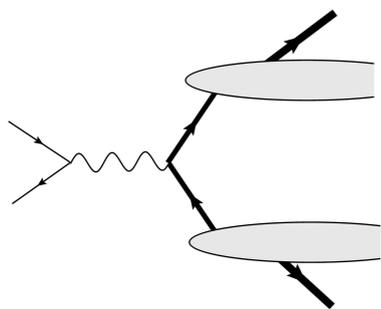
$$\ell + P \rightarrow \ell' + h + X$$



$$f(x_1) \otimes f(x_2)$$

Drell-Yan – convolution of distribution functions

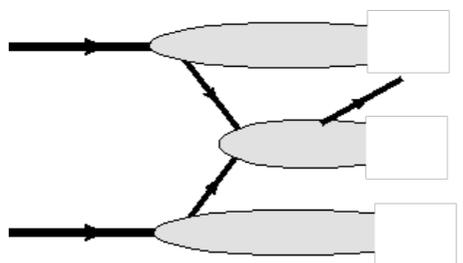
$$P_1 + P_2 \rightarrow \bar{\ell}\ell + X$$



$$D(z_1) \otimes D(z_2)$$

e+ e- annihilation – convolution of fragmentation functions

$$\bar{\ell} + \ell \rightarrow h_1 + h_2 + X$$



$$f(x_1) \otimes f(x_2) \otimes D(z)$$

Hadron-hadron – convolutions of PDF and fragmentation functions

$$h_1 + h_2 \rightarrow h_3(\gamma, jet, W, \dots) + X$$

Combining measurements from all above is important