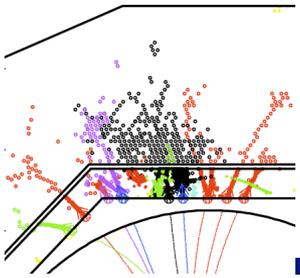


# Highly segmented Calorimeters for Particle Flow

Felix Sefkow



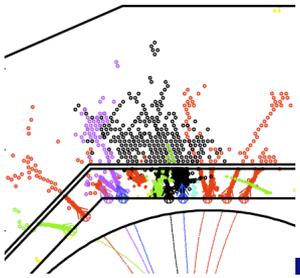
PHENIX Decadal R&D Workshop, December 14, 2010



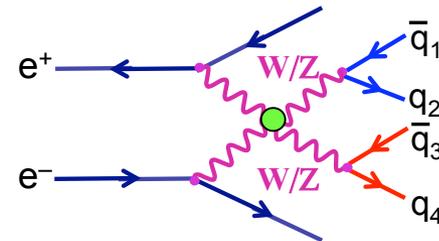
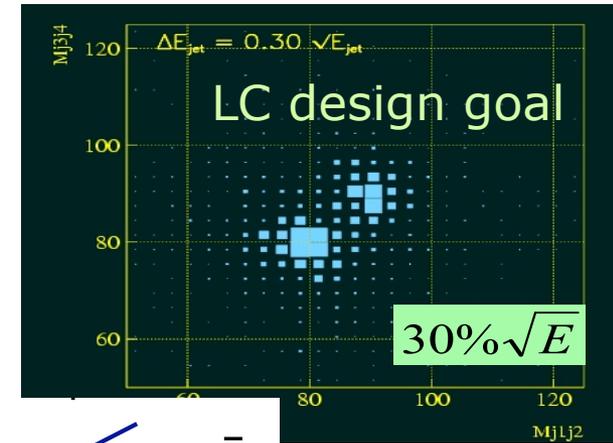
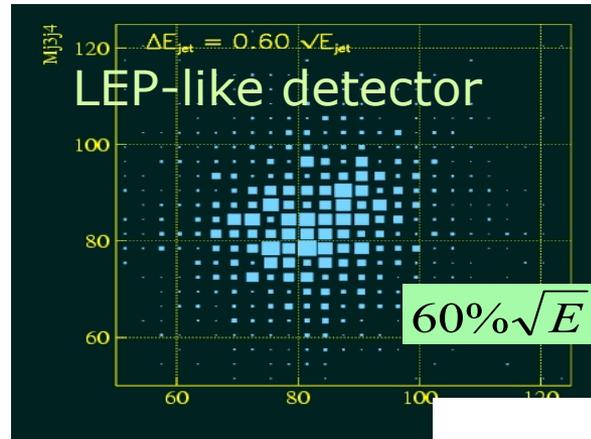
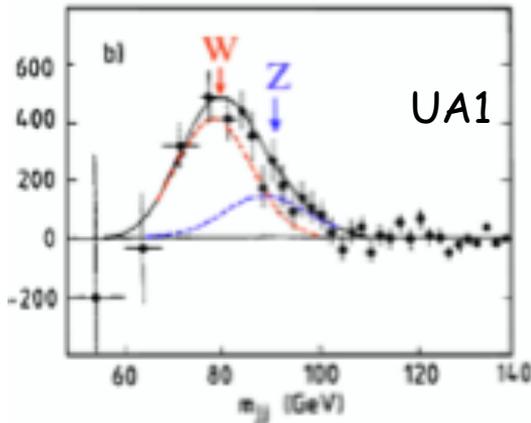
# Outline

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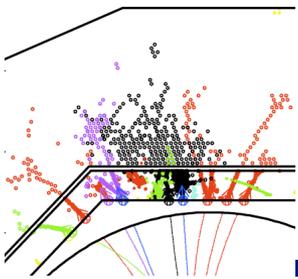
- Introduction:
  - calorimeter R&D for the linear collider
  - the Particle Flow concept
- Physics prototypes
  - Validate simulation
  - test the algorithms
  - test the new technologies
- Technology prototypes
  - tackle the integration challenge



# Challenge: W Z separation



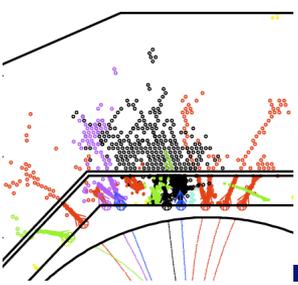
- At the Tera-scale, we need to do physics with  $w$ 's and  $Z$ 's as Belle and Babar do with  $D^+$  and  $D_s$
- Calorimeter performance for jets has to improve by a factor 2
- Rather young and dynamic development



# Hadron and jet calorimetry:

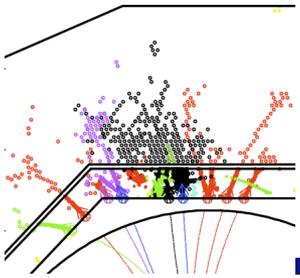
- Hadron showers: large variety of physics processes
  - With different detector responses
  - In general non-linear
  - Inevitably invisible energy; ultimate limit
  - Large fluctuations
  - Large volume, small signals
  - Difficult to model
- Jet energy performance = hadron performance or worse

# New concepts

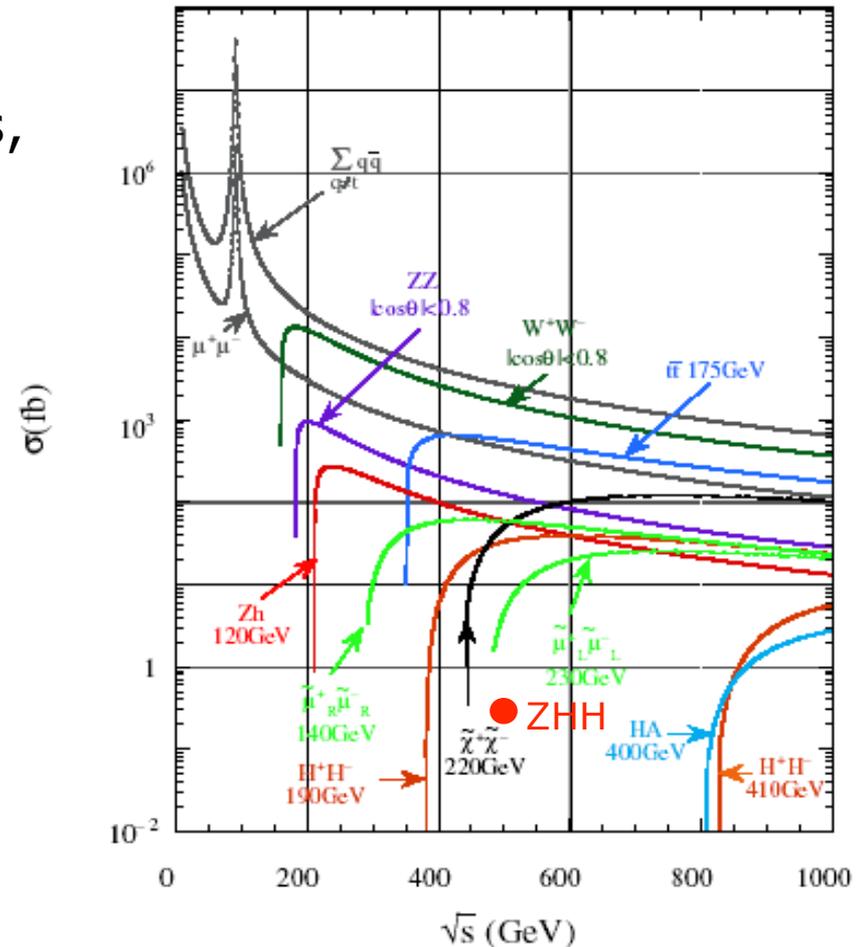


- Hardware (and software): ultimate compensation by directly measuring the electromagnetic component in each event, in addition to the total energy, and correcting for it
- → dual readout calorimeters (scint and Cerenkov light)
- Software (and hardware): measure each particle in a jet individually and limit the problems of hadron calorimetry to the 10% or so of  $K_L$  and  $n$  in the jet; needs imaging granularity
- → particle flow approach

# LC jet energies



- Q-Qbar events are boring
- $E_{\text{jet}} = \sqrt{s}/2$  is wrong
- Mostly 4-, 6-fermion final states,  $ee \rightarrow ttH \rightarrow 8-10$  jets
- At ILC 500:  $E_{\text{jet}} = 50 \dots 150$  GeV
  - Mean pion energy 10 GeV
- At ILC 1 TeV:  $E_{\text{jet}} < \sim 300$  GeV
- At CLIC (3 TeV)  $< \sim 500$  GeV
- W reconstruction with
  - $\sigma_m/m = 2.5/91$
  - need  $\sigma_E/E = 3.8\%$



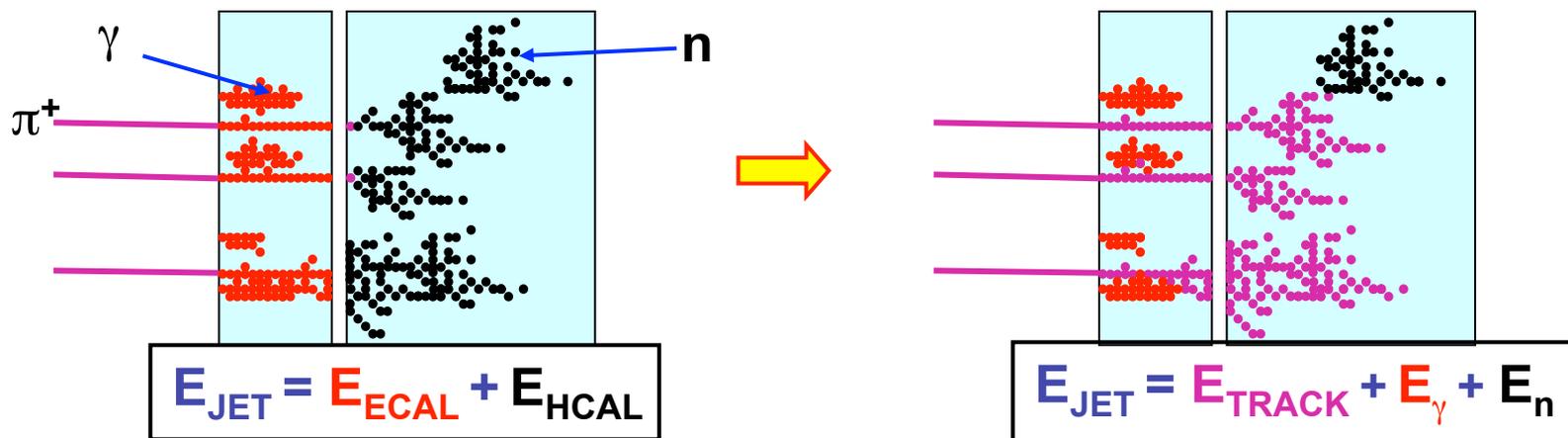
★ In a typical jet :

- ◆ 60 % of jet energy in charged hadrons
- ◆ 30 % in photons (mainly from  $\pi^0 \rightarrow \gamma\gamma$ )
- ◆ 10 % in neutral hadrons (mainly  $n$  and  $K_L$ )



★ Traditional calorimetric approach:

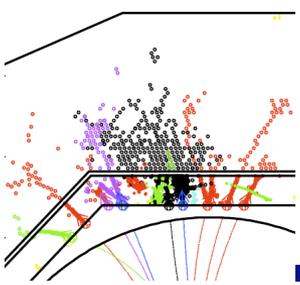
- ◆ Measure all components of jet energy in ECAL/HCAL !
- ◆ ~70 % of energy measured in HCAL:  $\sigma_E/E \approx 60\% / \sqrt{E(\text{GeV})}$
- ◆ Intrinsically “poor” HCAL resolution limits jet energy resolution



★ Particle Flow Calorimetry paradigm:

- ◆ charged particles measured in tracker (essentially perfectly)
- ◆ Photons in ECAL:  $\sigma_E/E < 20\% / \sqrt{E(\text{GeV})}$
- ◆ Neutral hadrons (ONLY) in HCAL
- ◆ Only 10 % of jet energy from HCAL ➔ much improved resolution

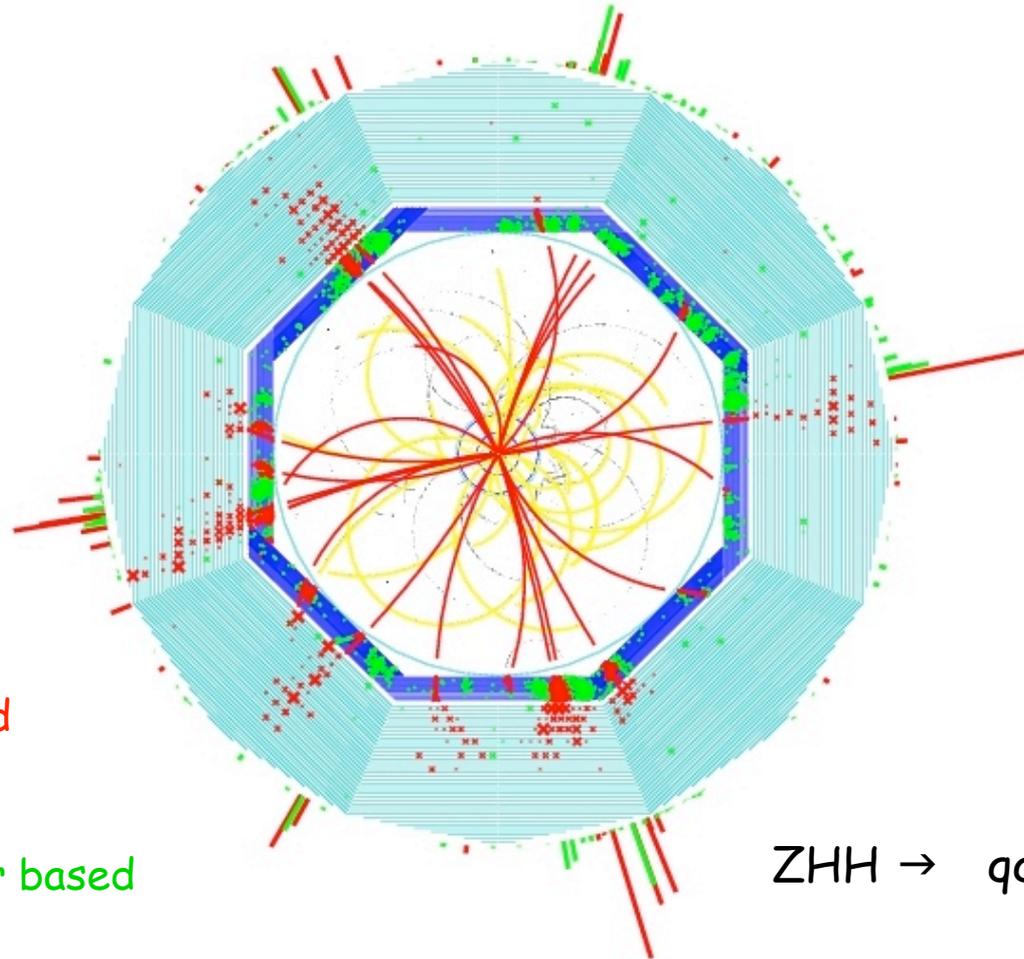
# Imaging calorimetry



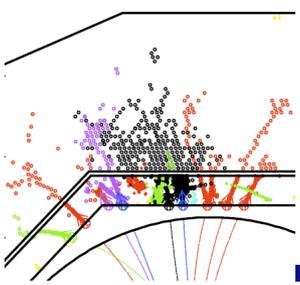
Reconstruct each  
particle individually

red:  
track based

green:  
calorimeter based

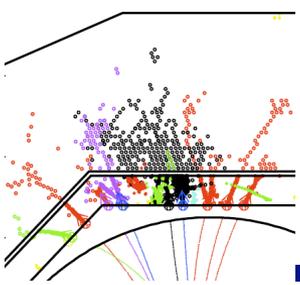


ZHH  $\rightarrow$  qqbbbb



# Calorimeter concept

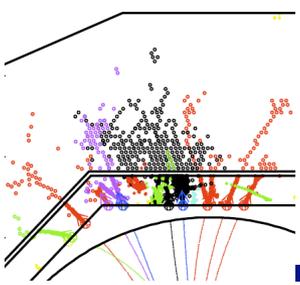
- large radius and length
  - to separate the particles
- large magnetic field
  - to sweep out charged tracks
- “no” material in front
  - stay inside coil
- small Moliere radius
  - to minimize shower overlap
- small granularity
  - to separate overlapping showers



# Calorimeter concept

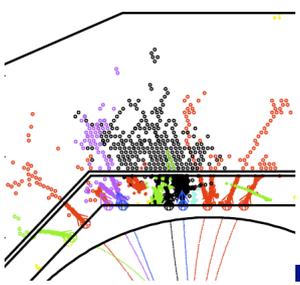
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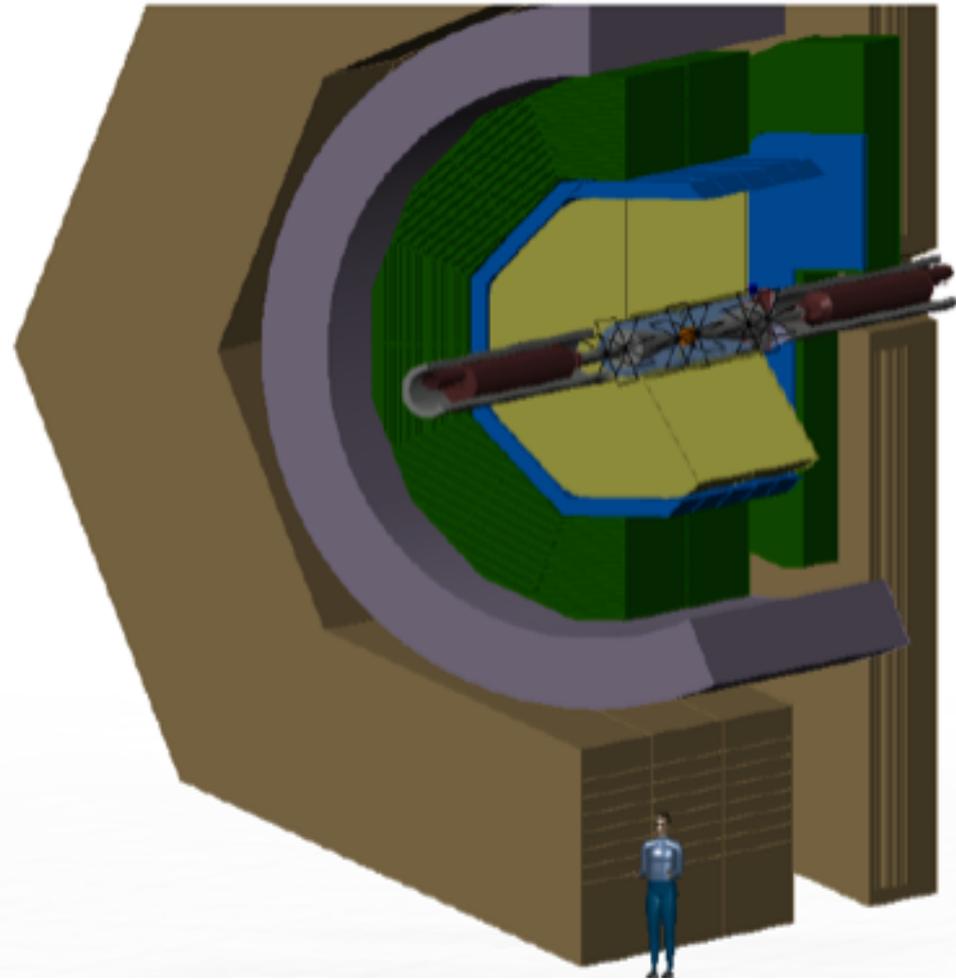
# ILC detector concepts

- PFLOW involves entire detector, not just calorimetry
- ILD: TPC for highest pattern recognition efficiency
- $B=3.5T$
- ECAL and HCAL inside (CMS-like) solenoid
- Highly segmented and compact calorimeters
- 2<sup>nd</sup> PFLOW-based concept: SiD, higher  $B$ , smaller  $R$ , Si tracker, same calorimeter technologies

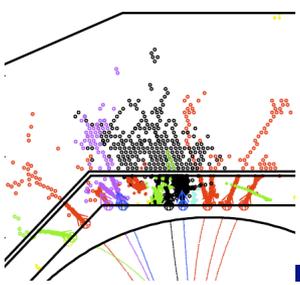


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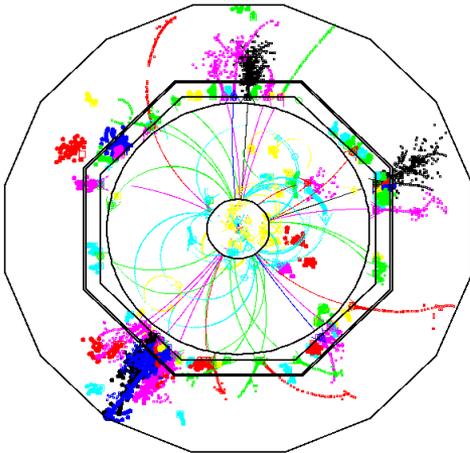


# Tile granularity

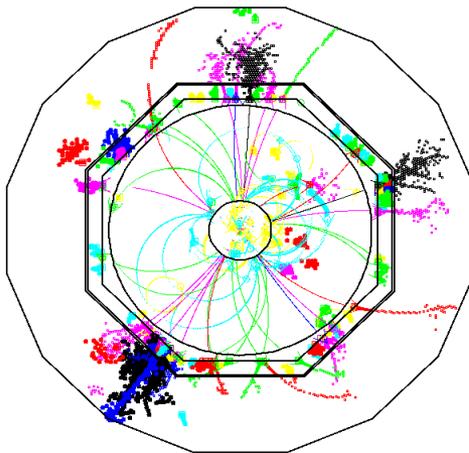


- Recent studies with PFLOW algorithm, full simulation and

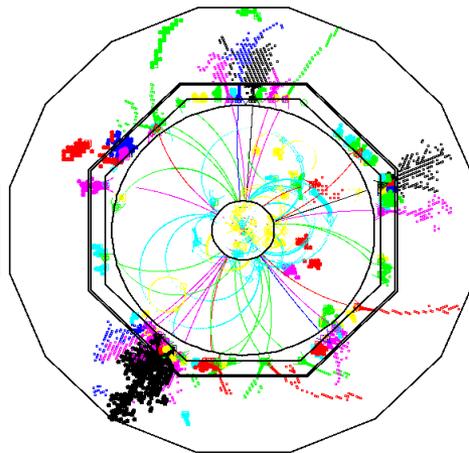
1x1



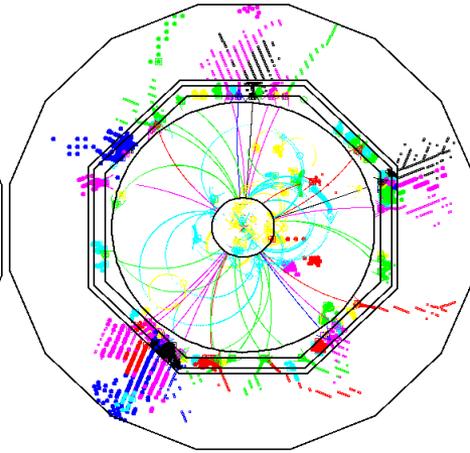
3x3



5x5

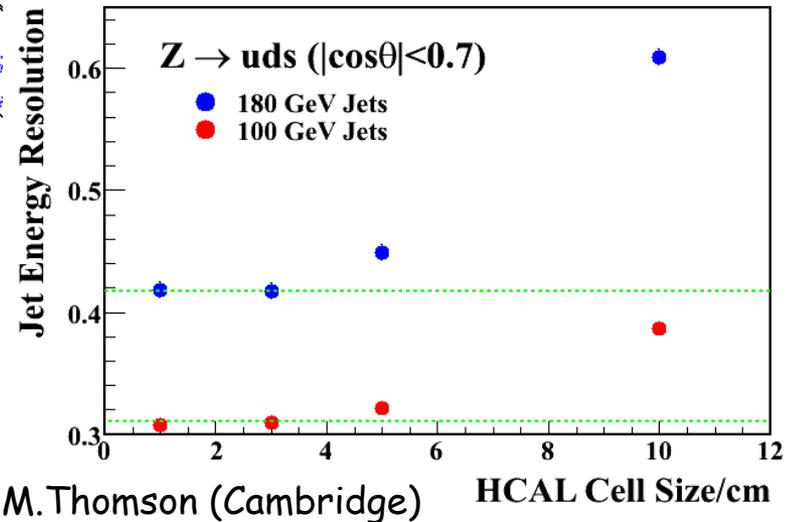
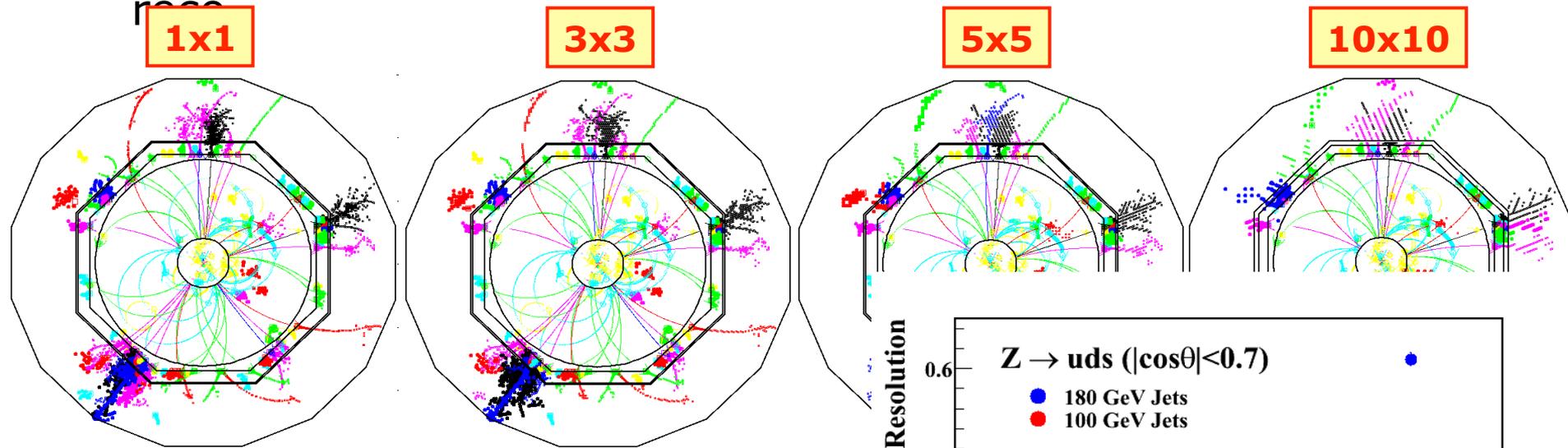


10x10



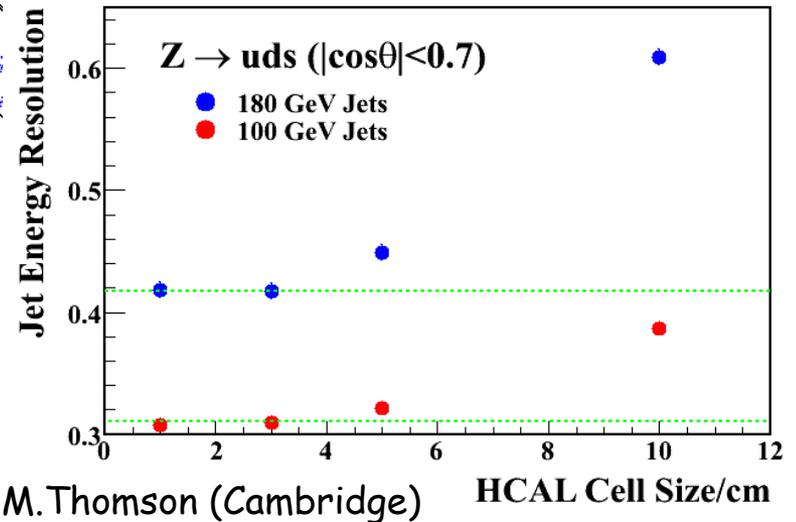
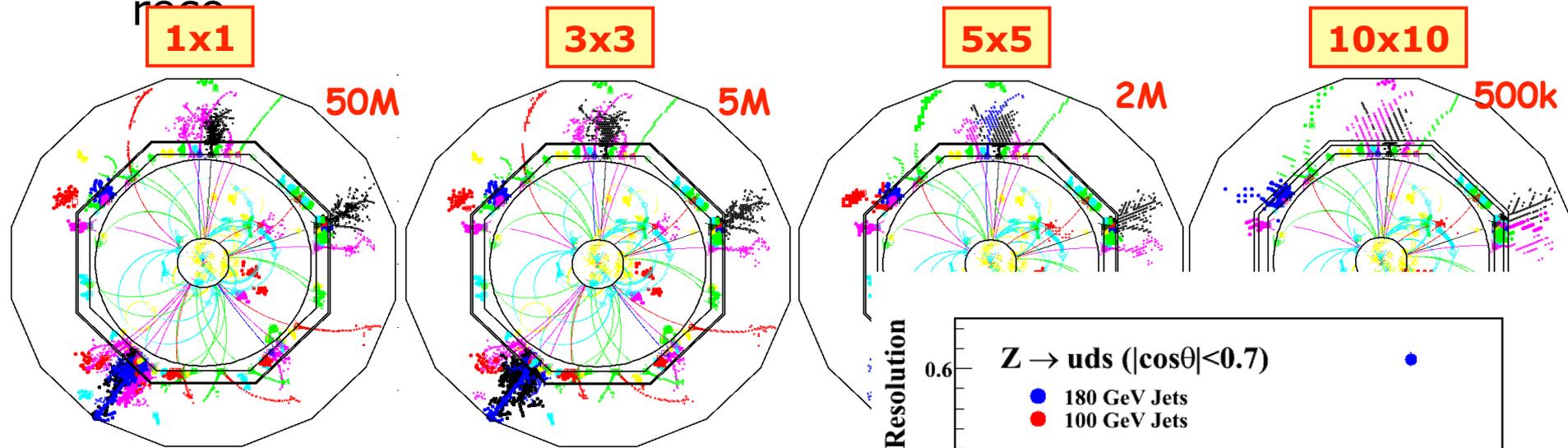
# Tile granularity

- Recent studies with PFLOW algorithm, full simulation and



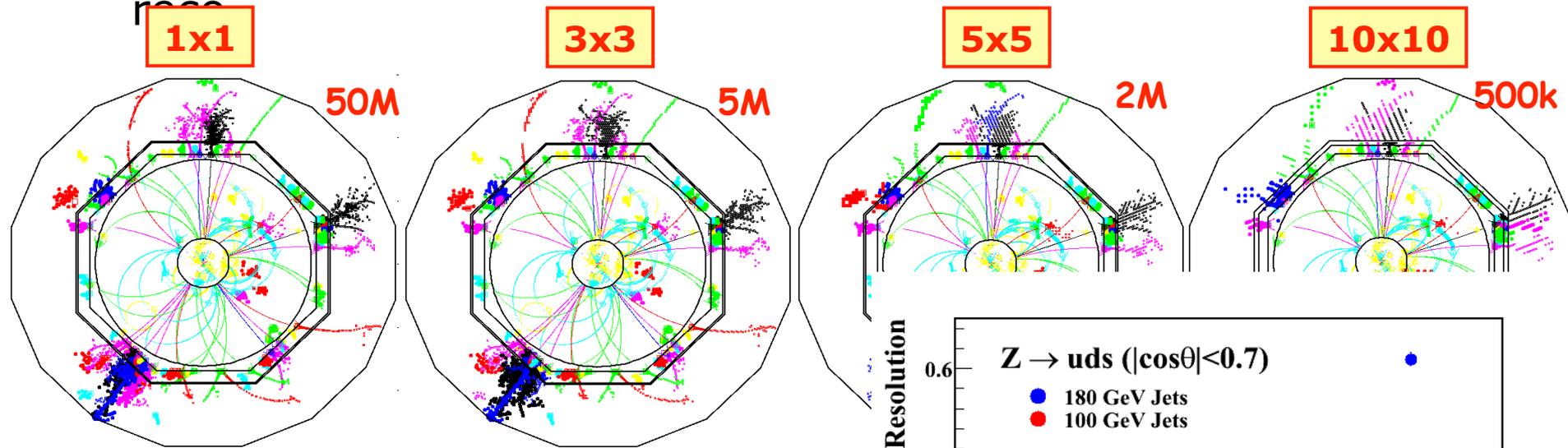
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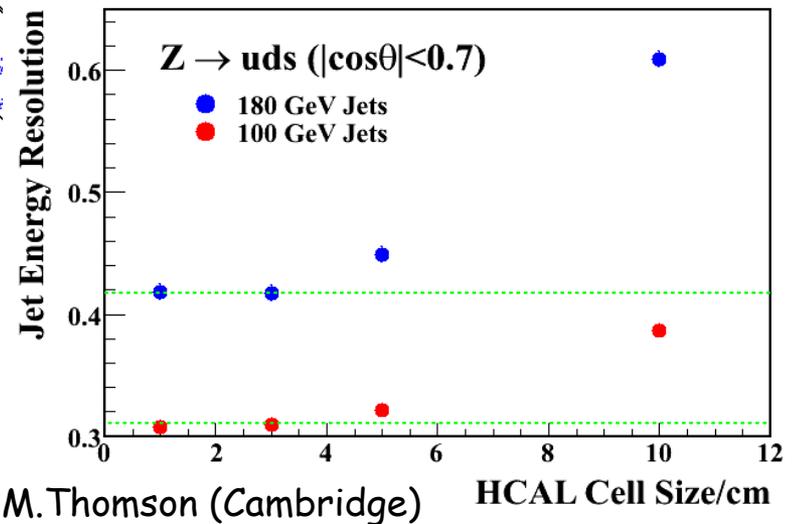


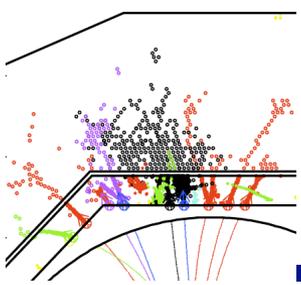
# Tile granularity

- Recent studies with PFLOW algorithm, full simulation and



- Confirms earlier studies for test beam prototype
- 3x3 cm<sup>2</sup> nearly optimal

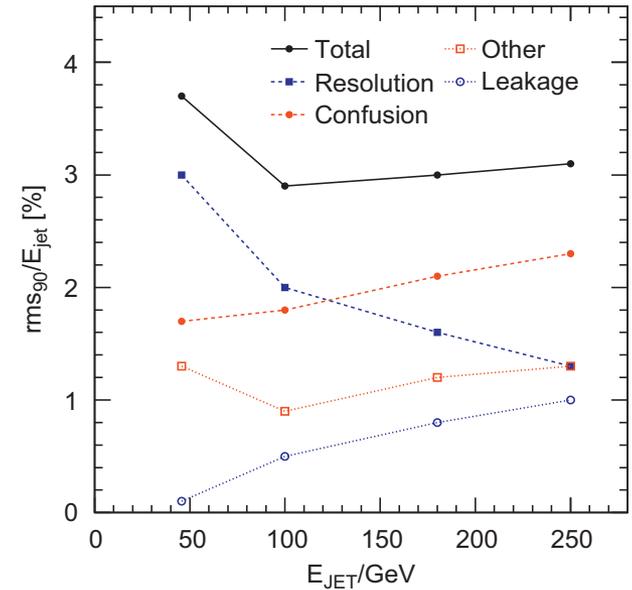
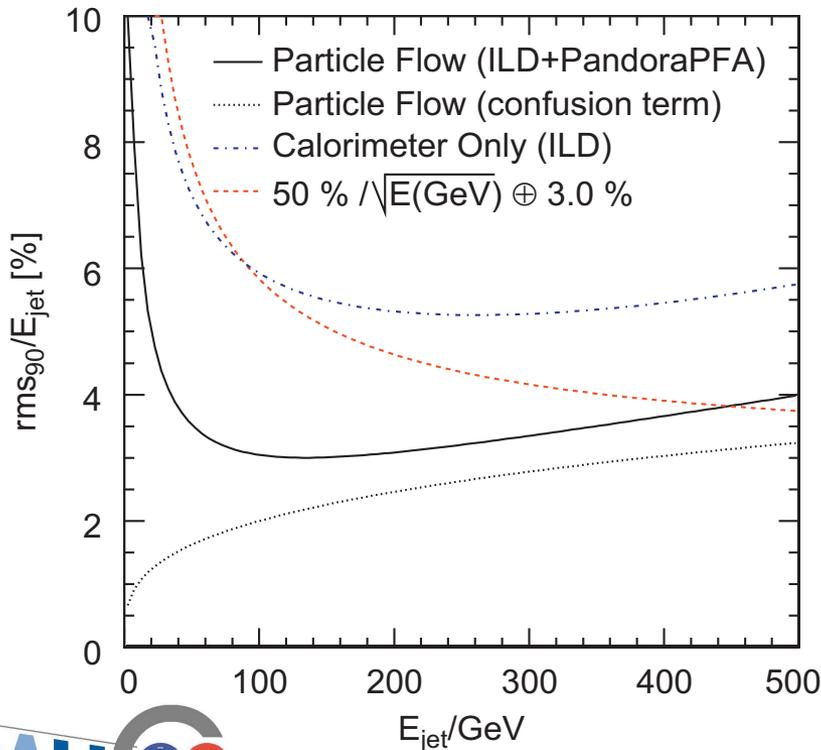




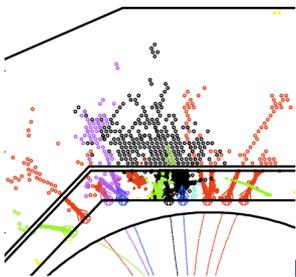
# Understand particle flow performance

$$\frac{\sigma_E}{E} = \frac{21}{\sqrt{E}} \oplus 0.7 \oplus 0.004E \oplus 2.1 \left( \frac{E}{100} \right)^{+0.3} \%$$

Resolution      Tracking      Leakage      Confusion

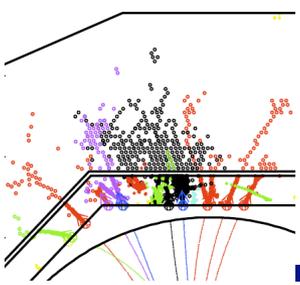


- Particle flow is always better
  - even at high jet energies
- HCAL resolution does matter
  - also for confusion term
- Leakage plays a role, too



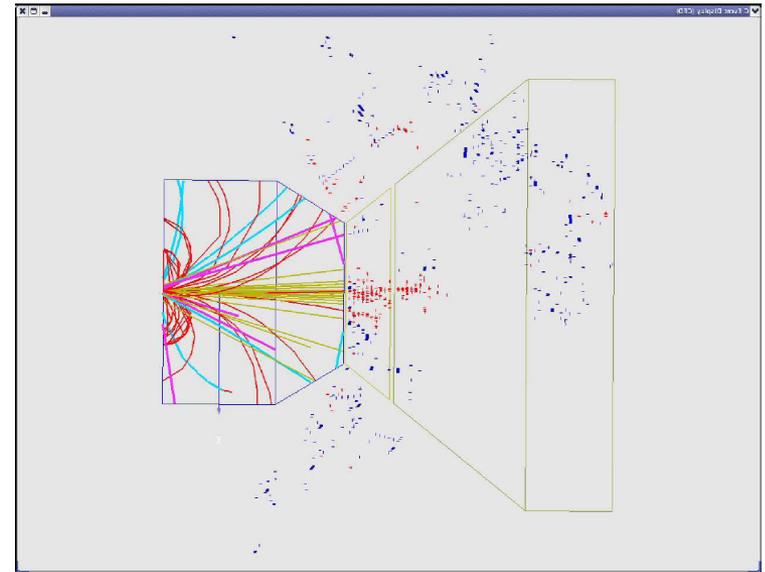
# PFLOW detector concept

- Optimal use of all detector components: reconstruct each particle individually
- Interplay of highly granular detectors and sophisticated pattern recognition (clustering) algorithms
- Following detailed simulation and reconstruction studies, LC performance goals can be met
- Basic detector parameters thoroughly optimized
- A PFLOW detector is not cheap: do we believe in simulations?



# How to test it experimentally?

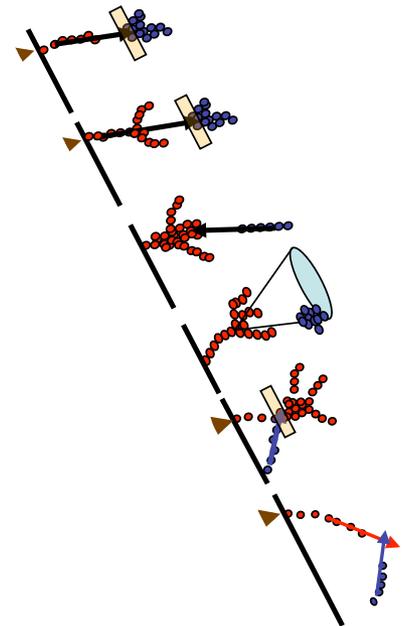
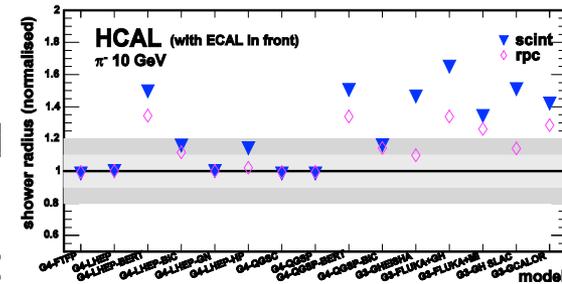
- “Jets” from thin targets?
  - Would require magnet spectroscopy and large acceptance ECAL + HCAL
    - Simulation study
  - Multi-million \$ experiment
  - and still inconclusive
    - need to control target losses and acceptance losses at 1-2% level
    - model dependence
- Factorize the problem: check the ingredients
  - simulation
  - algorithms
  - technical performance



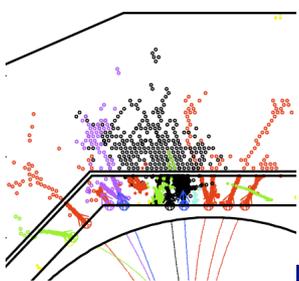
20 GeV pion, 0.8 T

# Critical questions

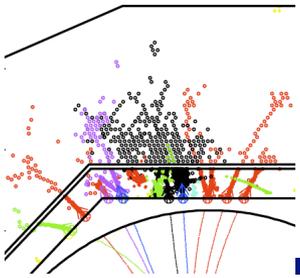
- Are the basic detector **performance** predictions confirmed?
- Are the **shower parameters** well enough simulated to predict PFLOW?
- Is the **substructure** actually there and well modeled?
- Can one realize the potential of **software compensation** for gain and linearity?
- Can we verify the "**double track resolution**" of a tracking calorimeter?
- Are **detector effects** under control?
- Can we **calibrate** millions of cells and control stability?
- Can we build the detector without spoiling it by **dead material** everywhere?
- What are the relative merits of **different technologies** for PFLOW?



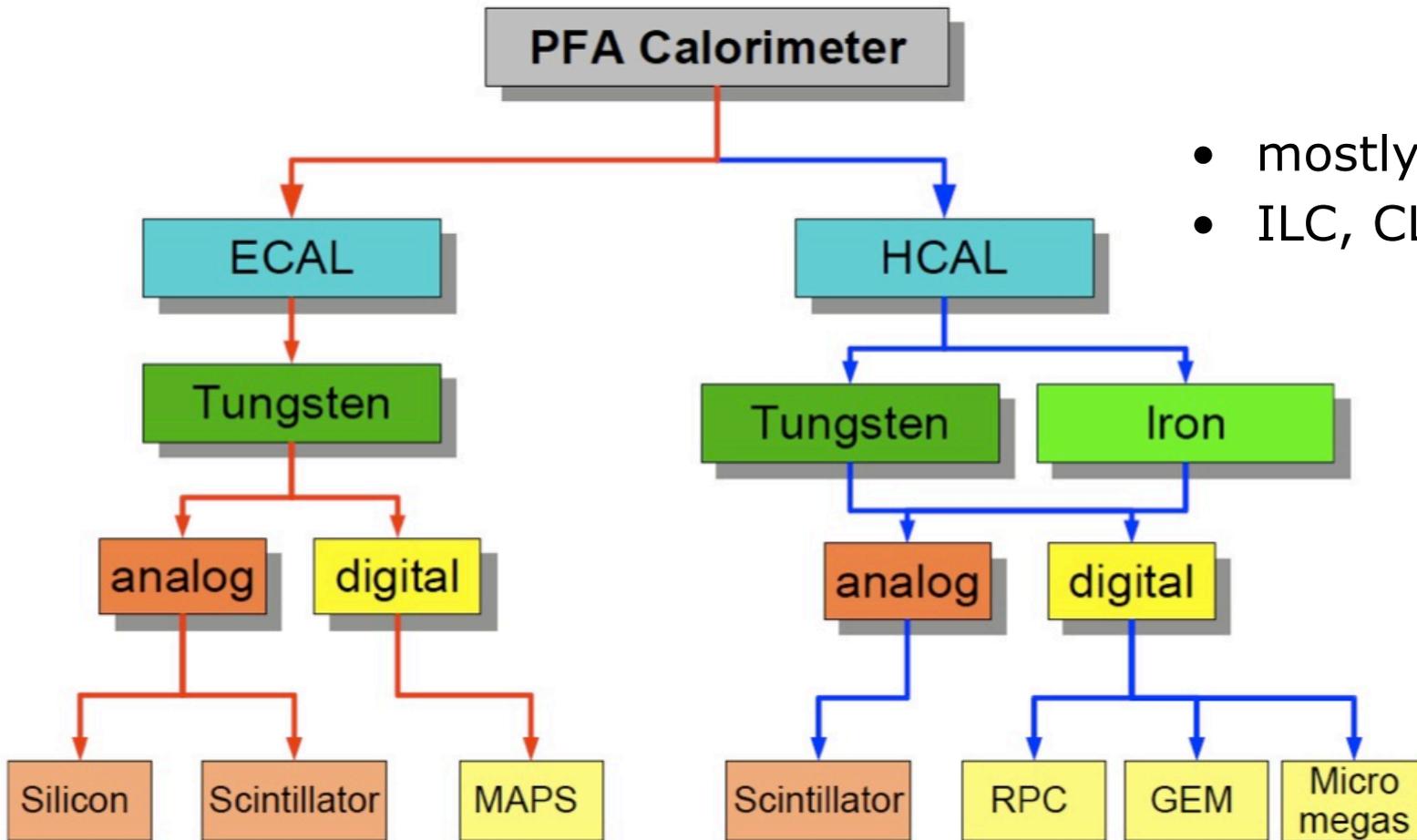
# CALICE



- We are more than 300 physicists and engineers from 57 institutes in Africa, America, Europe and Asia
- Our goal: develop highly granular calorimeter options based on the particle flow approach for an  $e^+e^-$  linear collider
- Twofold approach:
  - Physics prototypes and test beam
    - Operational experience with new technologies, Test of shower simulation models, Development of reconstruction algorithms with real data
  - Technical prototypes
    - Realistic, scalable design (and costing) early next decade

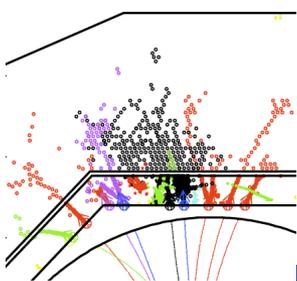


# Technology tree

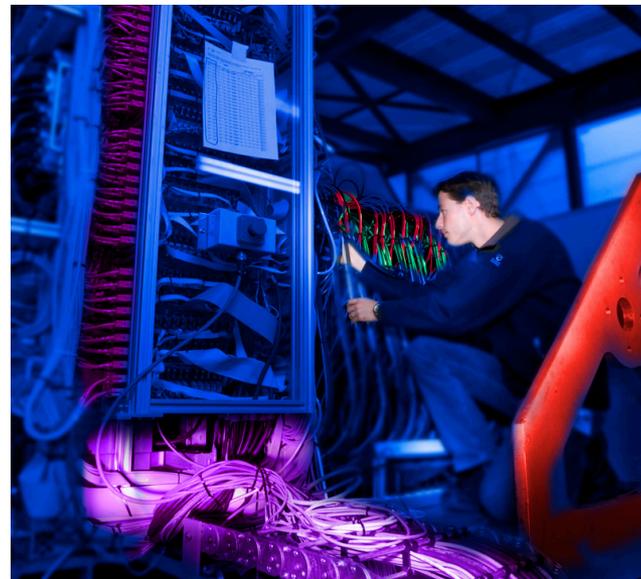


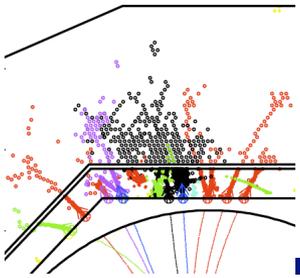
- mostly ILD, SiD
- ILC, CLIC

# Overall status



- Major test beam campaigns at DESY, CERN and Fermilab
- 1st generation **“physics” prototypes**
- Mostly combined set-ups
  - ECAL-HCAL-TCMT
- Si W ECAL 2005-08
- Scint W ECAL 2007-09
- Scint Fe HCAL 2006-09
- W HCAL started Sept 2010
- RPC Fe HCAL started Oct 2010
- 2nd generation **“technical” prototypes**: construction and commissioning ongoing, single or few layers available
  - Scint, RPCs, GEMs, MicroMEGAS
- Complete detectors to start with RPC-Fe HCAL June 2011
- ECAL, Scint Fe HCAL later

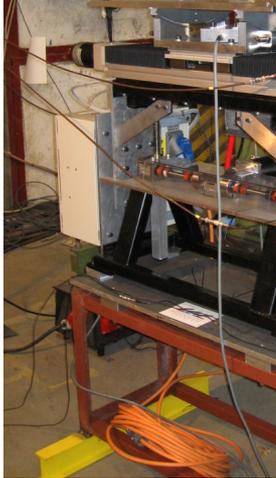




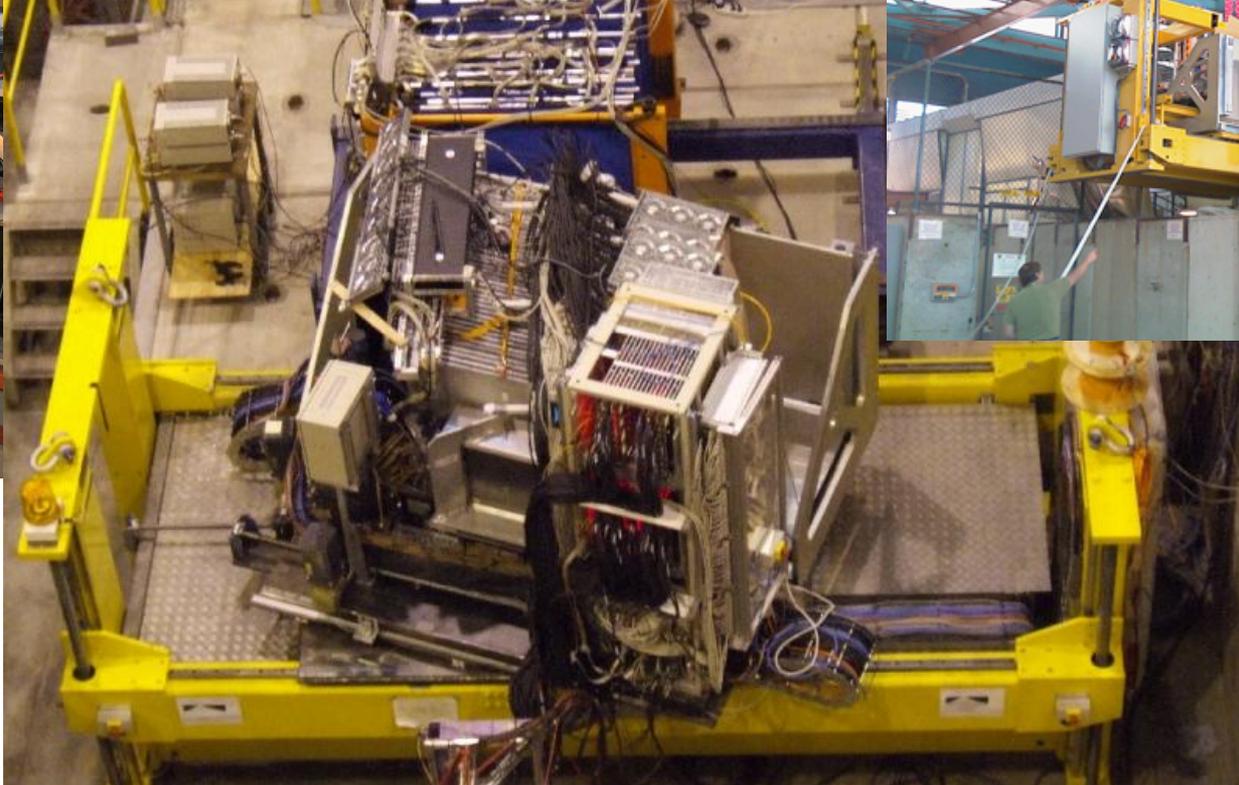
# Test beam experiments



CERN 2006-2007



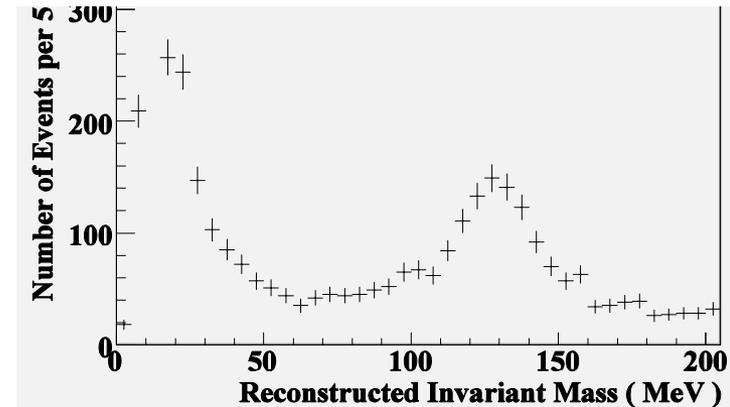
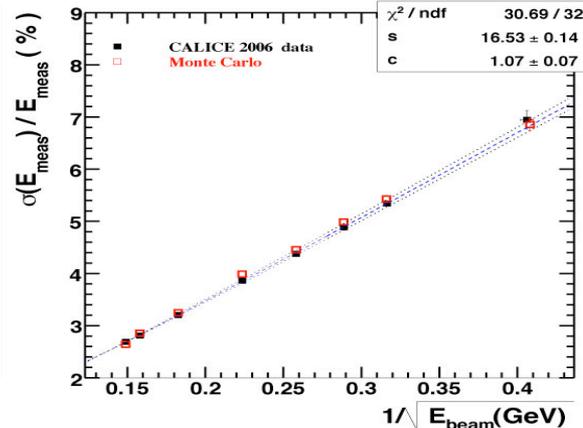
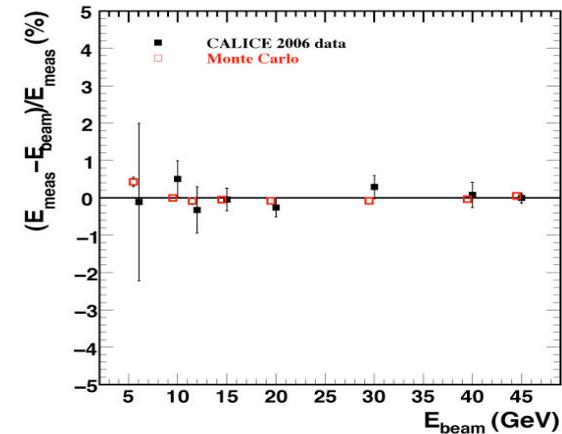
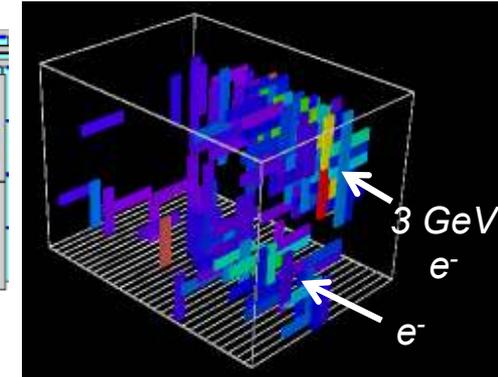
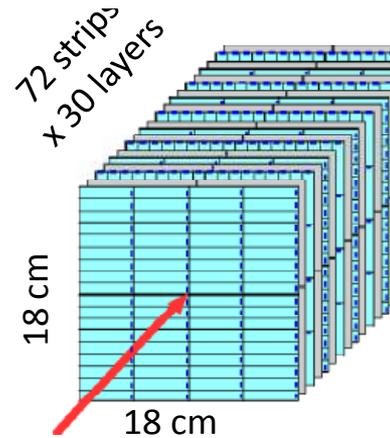
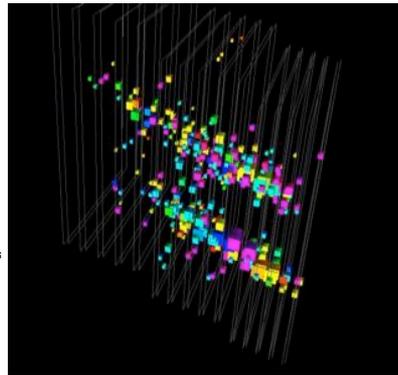
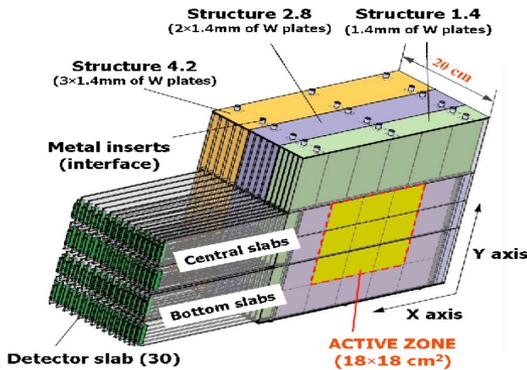
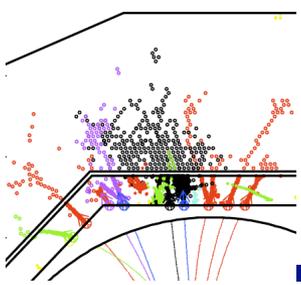
DESY 2005



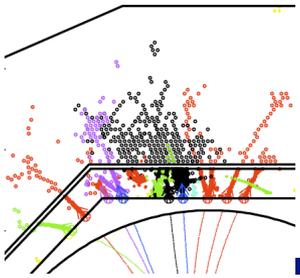
FNAL 2008..

Validation of the simulations  
detector performance  
shower models

# ECAL options

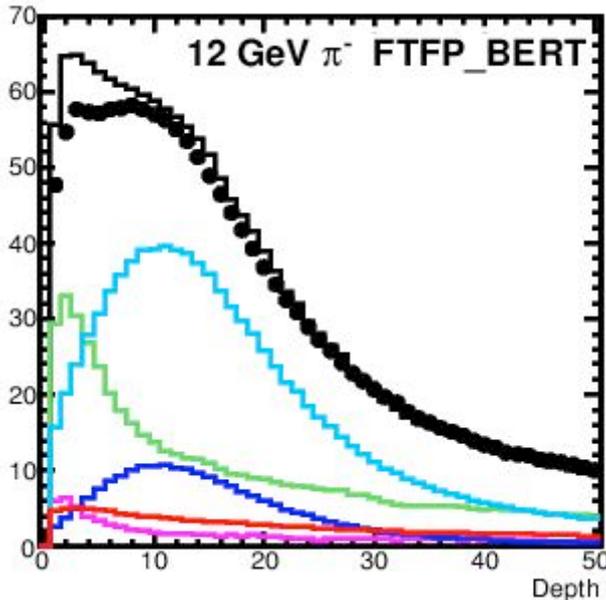
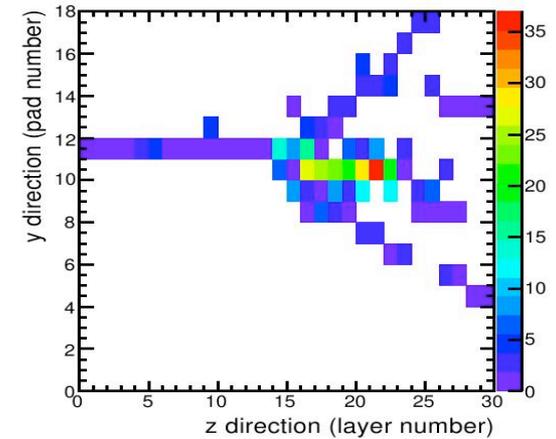


- W Si or Sci: common mechanics, similar electronics



# Pions in the SiW ECAL

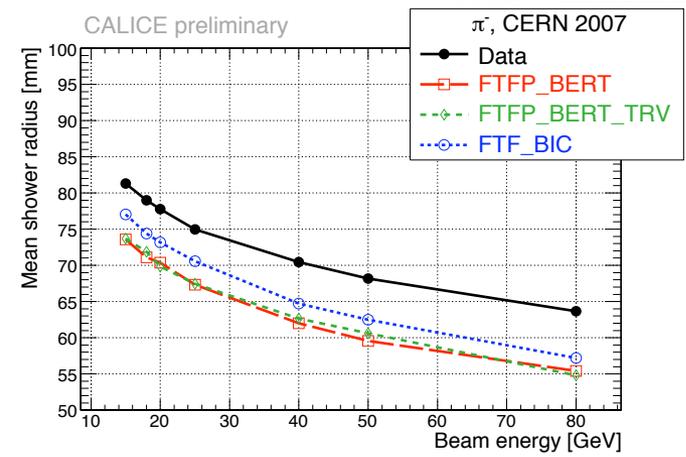
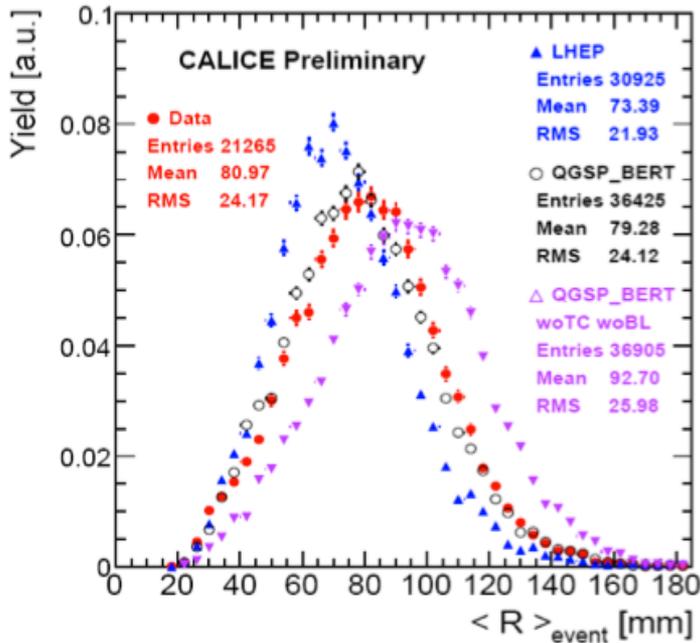
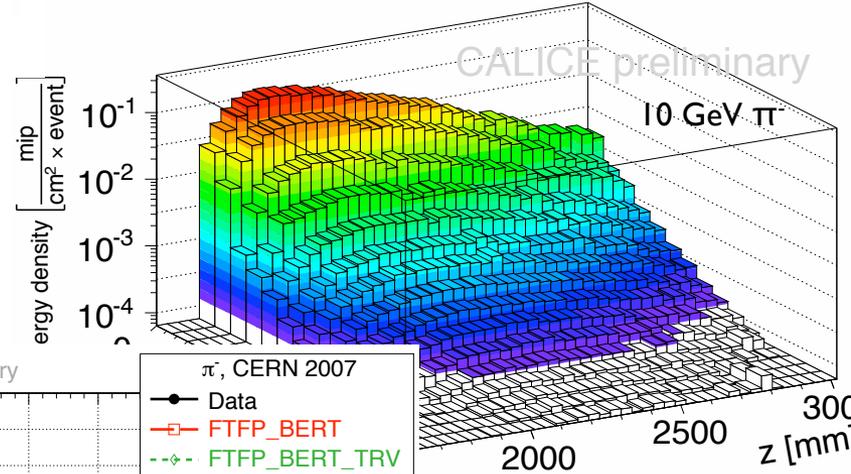
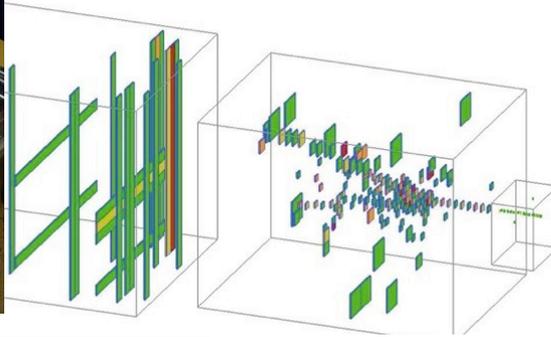
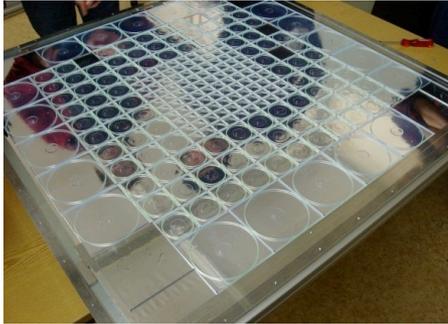
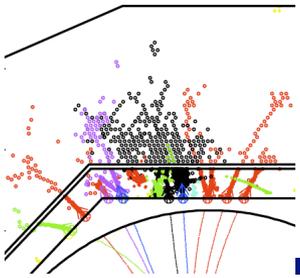
- test Geant 4 predictions with 1 cm<sup>2</sup> granularity
- sensitive to shower decomposition
- favor recent G4 physics lists
- certainly not perfect - certainly not bad either!



## Shower Components:

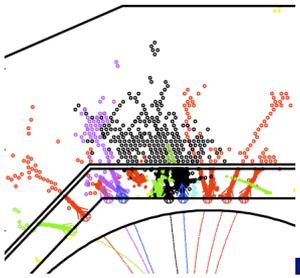
- **electrons/positrons**  
knock-on, ionisation, etc.
- **protons**  
from nuclear fragmentation
- **mesons**
- **others**
- **sum**

# Fe Scint tile HCAL

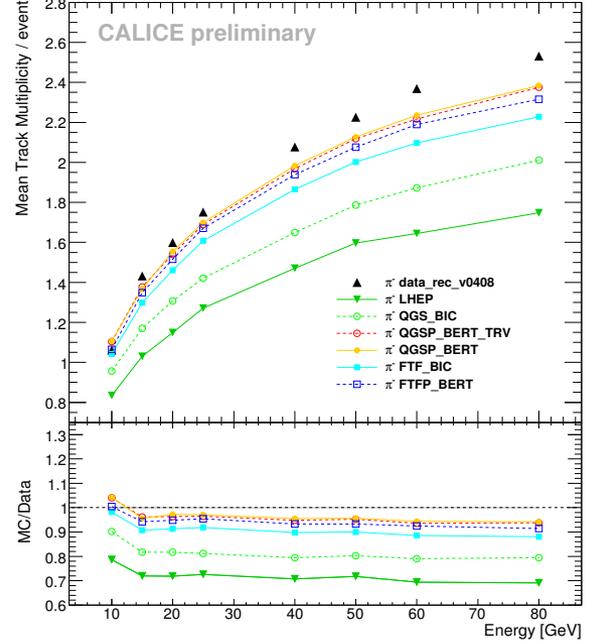
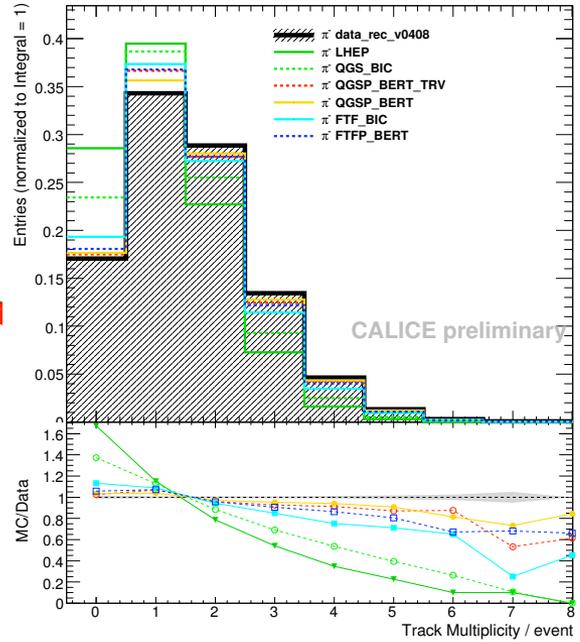
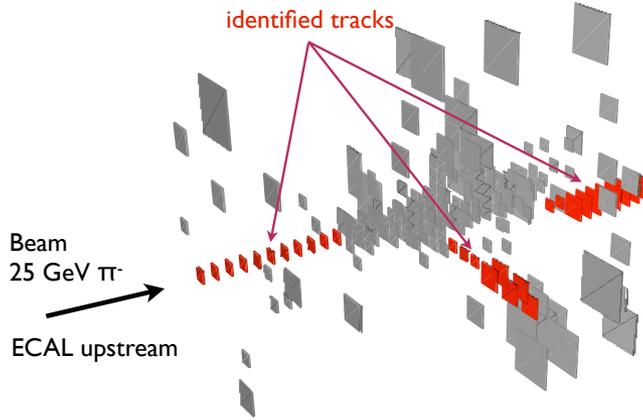


2D profile from starting point

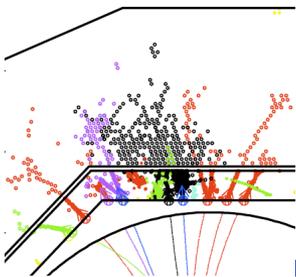
- Present-day simulation quality requires good detector understanding to discriminate
- Fluctuations also well reproduced



# Shower fine structure



- Could have the same global parameters with “clouds” or “trees”
- Powerful tool to check models
- Surprisingly good agreement already

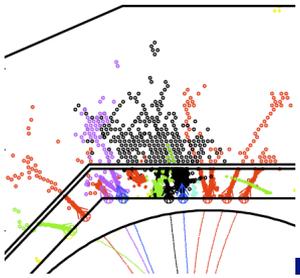


# Summary on validation:

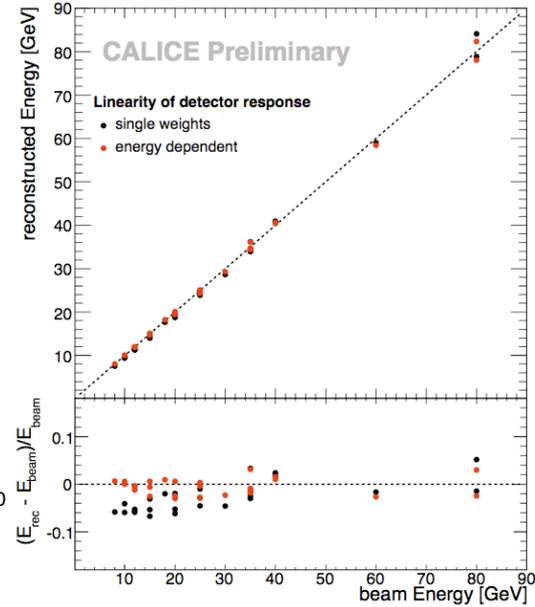
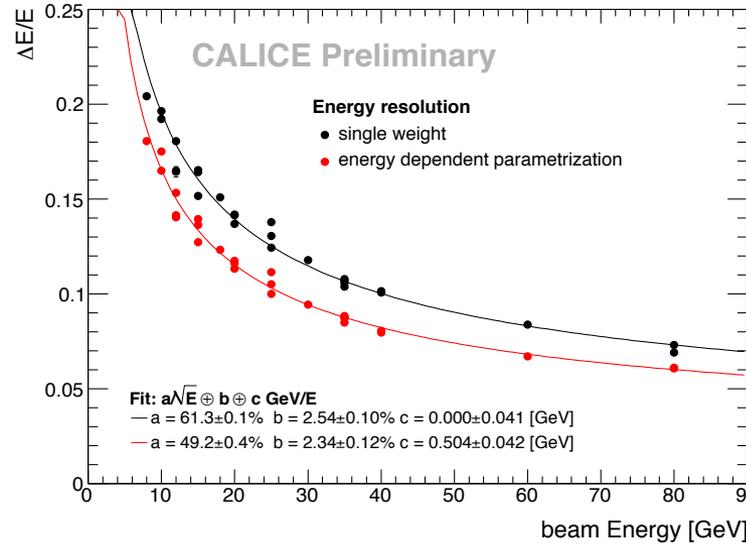
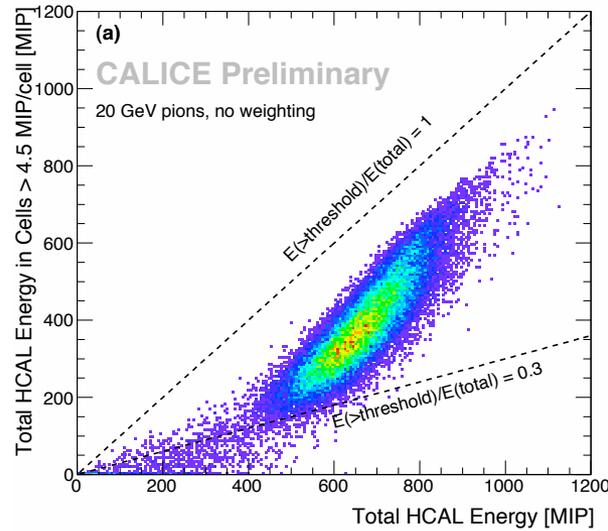
---

- The particle flow detectors perform as expected
  - support predictions for full-scale detector
- Geant 4 simulations not perfect, but also not as far off as feared a few years ago
  - fruitful close cooperation with model builders ongoing
- Predicted shower sub-structure is seen
  - detailed checks possible, benefits for all calorimeters

Test the algorithms  
with real data

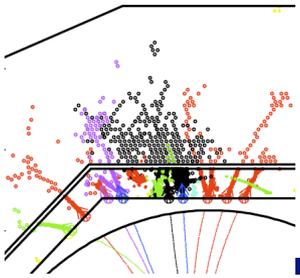


# Resolution, compensated

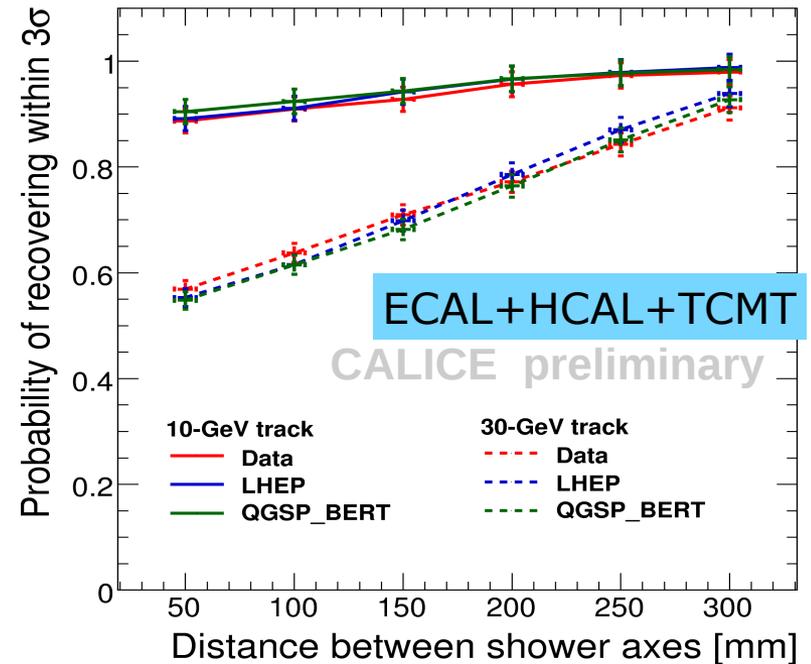
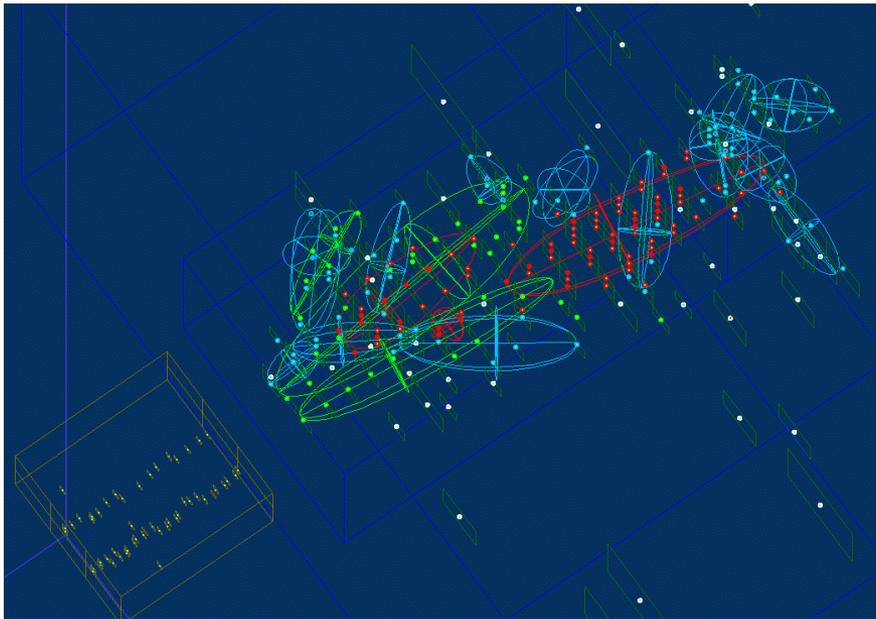


ECAL+HCAL+TCMT

- Poor man's dream: s/w compensation
- Significantly improved resolution AND linearity
- High granularity - many possibilities

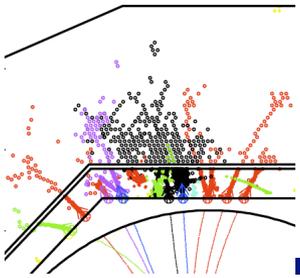


# PFLOW: two-particle separation



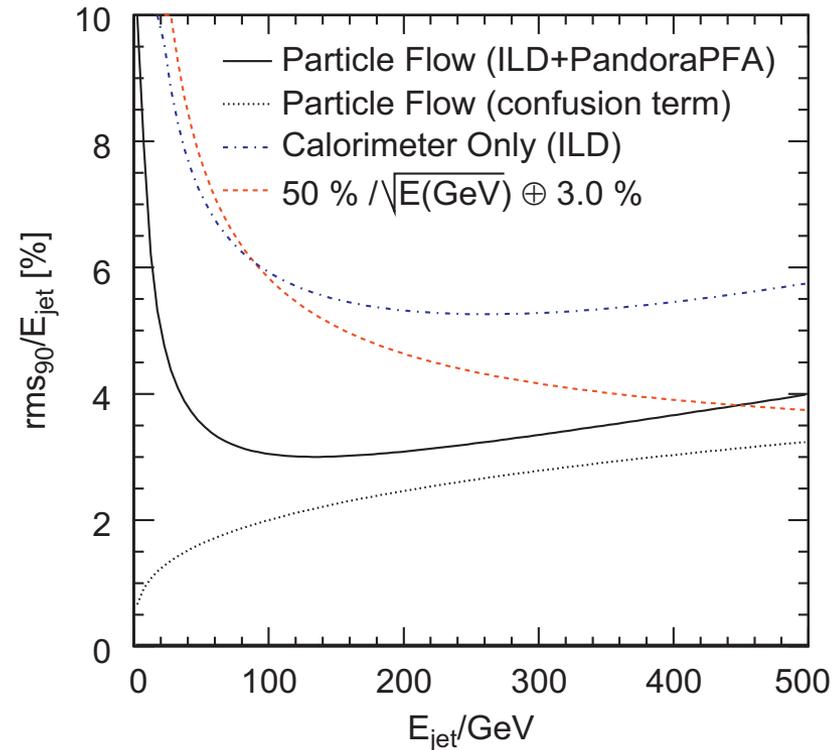
- The “double-track resolution” of an imaging calorimeter
- Small occupancy: use of event mixing technique possible
- Important: agreement data - simulation
  - sharing the same limitations

to be done with photons, too

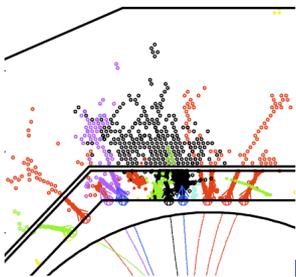


# Summary on algorithms

- Granularity is extremely powerful
- Energy resolution and imaging capabilities verified with data at sub-structure level
  - the main drivers of PFLOW performance
- Leakage estimation and software compensation not yet implemented in present Pandora

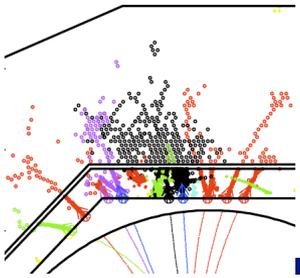


Test the technologies  
and establish feasibility



# ILD, SiD: R&D Priority issues

- Physics:
- Gaseous hadron calorimeter
  - digital or semi-digital
  - operation, calibration, detector modeling, shower modeling, energy and topology resolution
- Scintillator: study timing for PFLOW
  - particularly interesting with tungsten HCAL absorber
- Technology:
- Integration: handle the high granularity
  - compactness, dead spaces
  - power pulsing, online zero suppression
- Cost



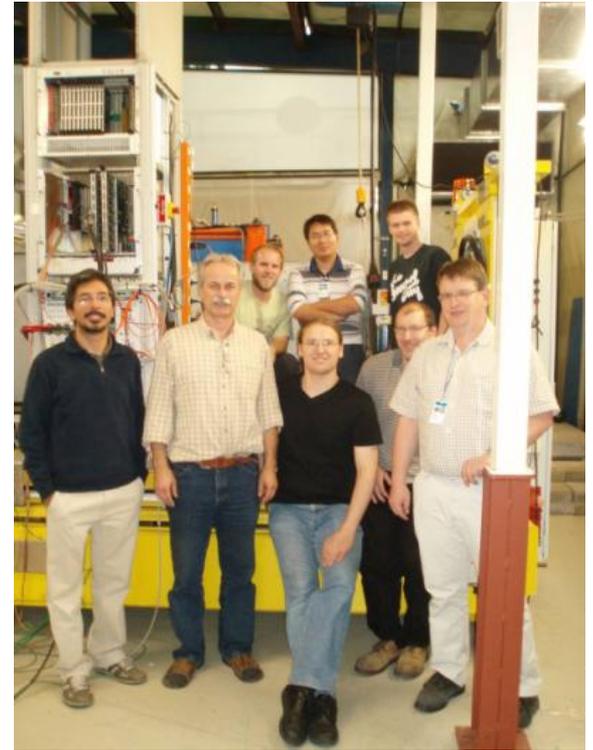
# Test beam experiments 2010



DESY

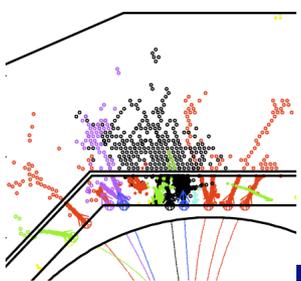
FNAL

CERN

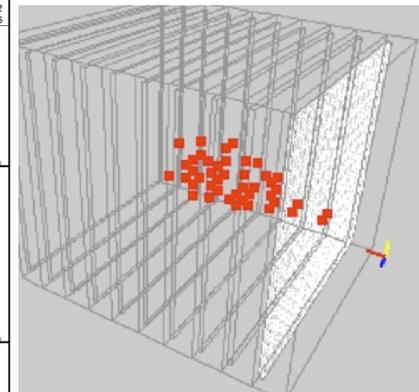
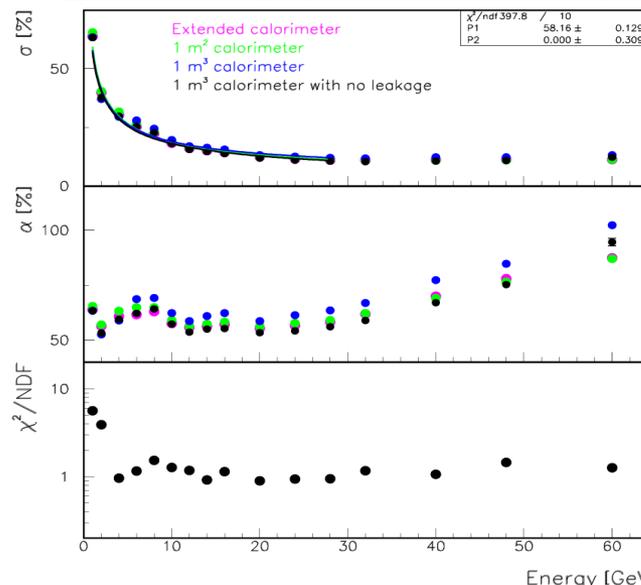


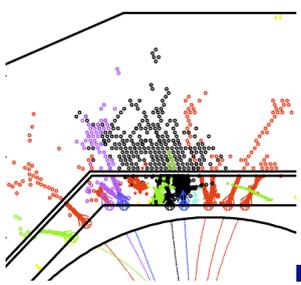
and more:  
RPCs in B field, micromegas, GEMs

# Digital calorimetry



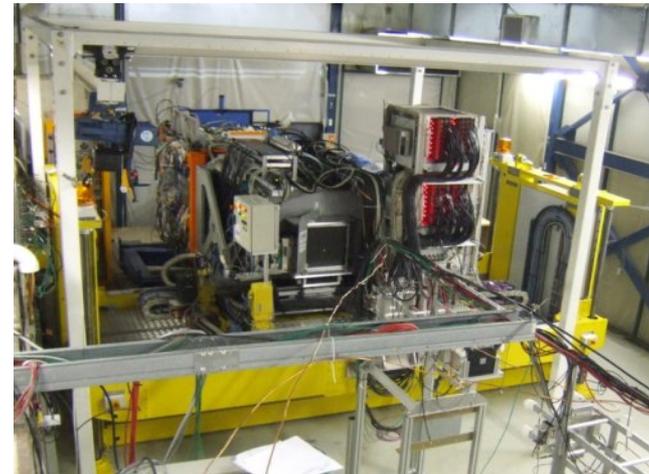
- Digital and semi-digital hadron calorimeter
  - even higher granularity
  - suppress  $dE/dx$  fluct.
  - reduced  $n$  sensitivity
  - limited at high  $E$ ?
- Small RPC proto successful
- Educated simulations
- Full-size RPC based prototypes under test and underway

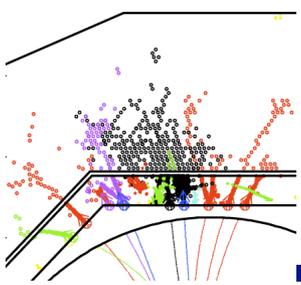




# RPC DHCAL m3 at FNAL

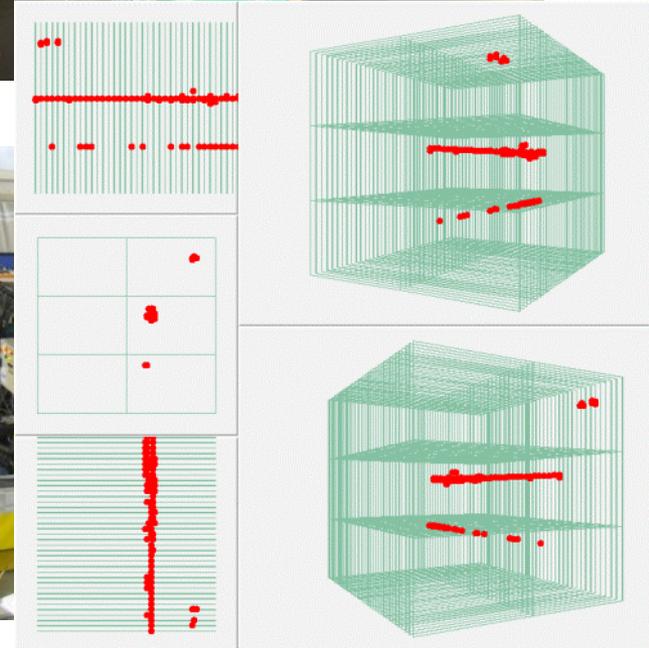
- started this week, hadrons later today
- common running with SiW ECAL
  - April 2011
  - should put DHCAL on equal footing
- TCMT instrumentation options
  - presently scintillator strips
  - will be exchanged against RPC
- Possible continuation at CERN
  - higher E, higher duty cycle, tungsten

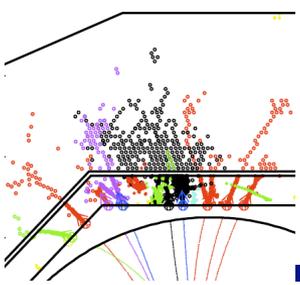




# RPC DHCAL m3 at FNAL

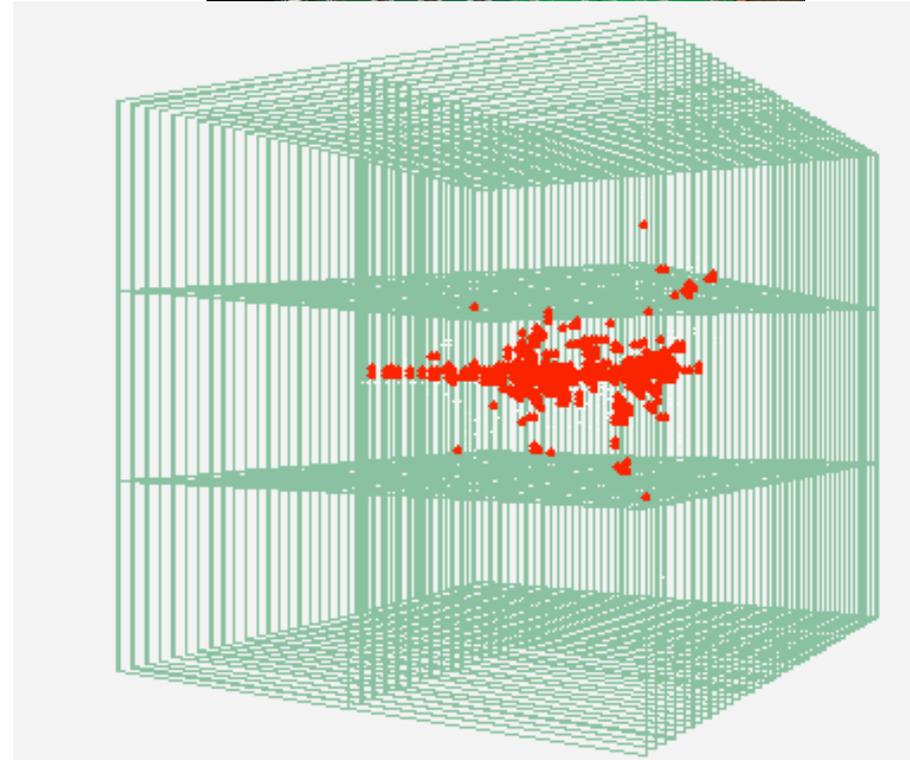
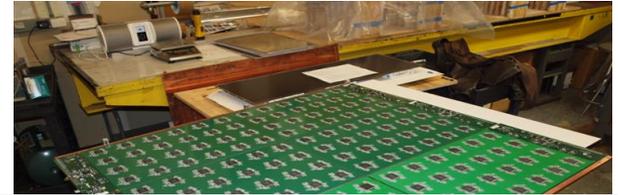
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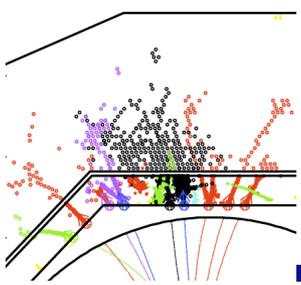




# RPC DHCAL m3 at FNAL

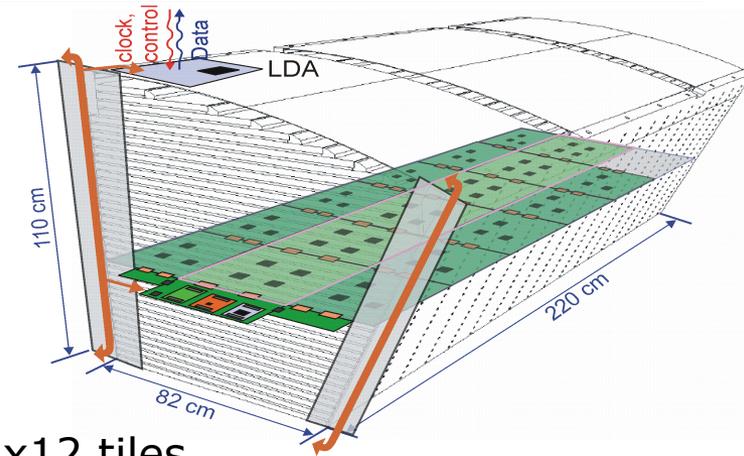
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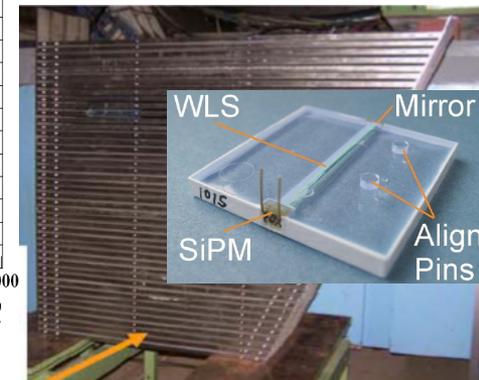
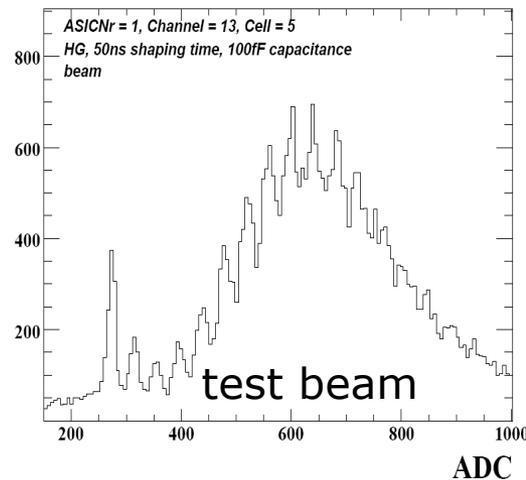


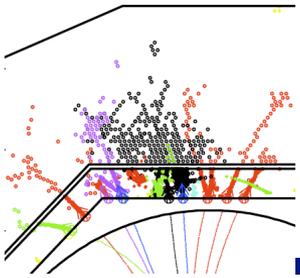
# Scint HCAL: 2nd generation

- integrate readout ASICs and LED system
  - include ADCs and **TDCs**
  - power pulsing, zero suppression
- Different options for photo-sensor
- Different options for coupling
  - via WLS fibre or direct
  - pins or SMD SiPMs (NIU)
- Interfaces to be done
  - cooperation with NIU/FNAL
- First layers: demonstrator
  - 2 m2 steel gap, start W
- Later: full tungsten HCAL
  - and steel wedge



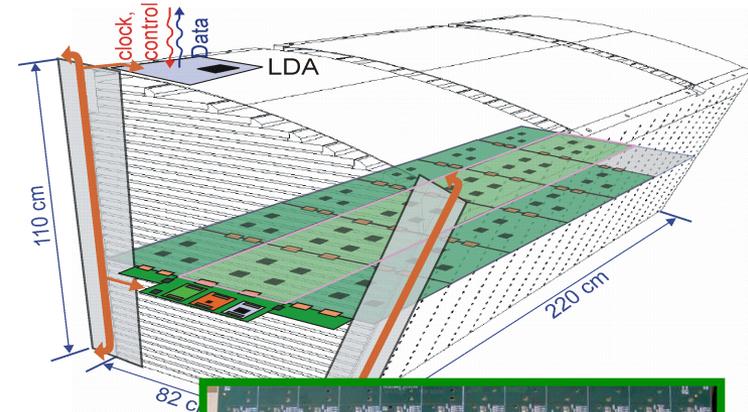
12x12 tiles,  
36x36 cm<sup>2</sup>



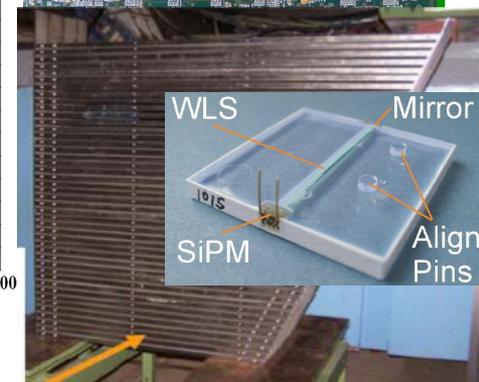
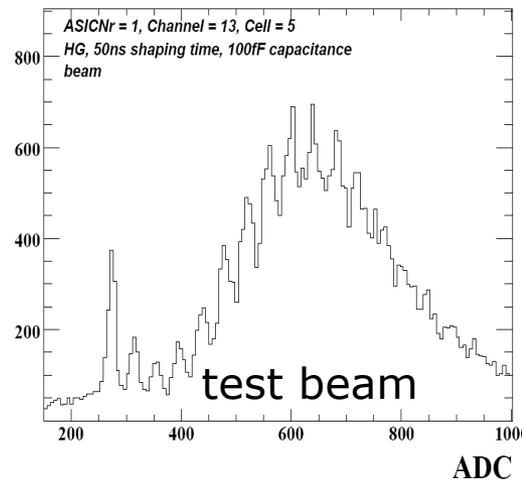


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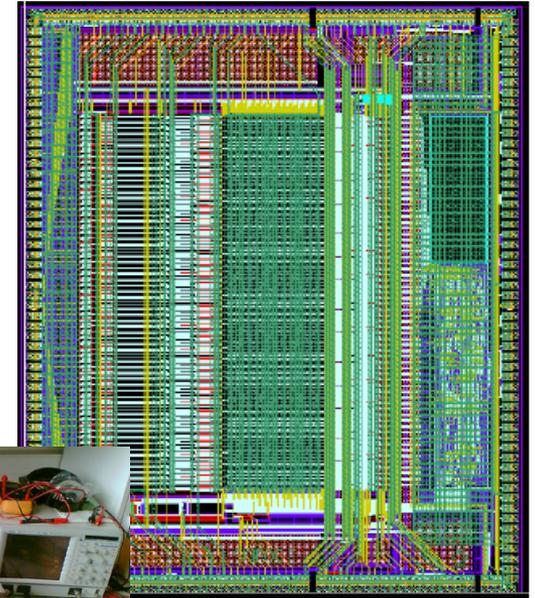
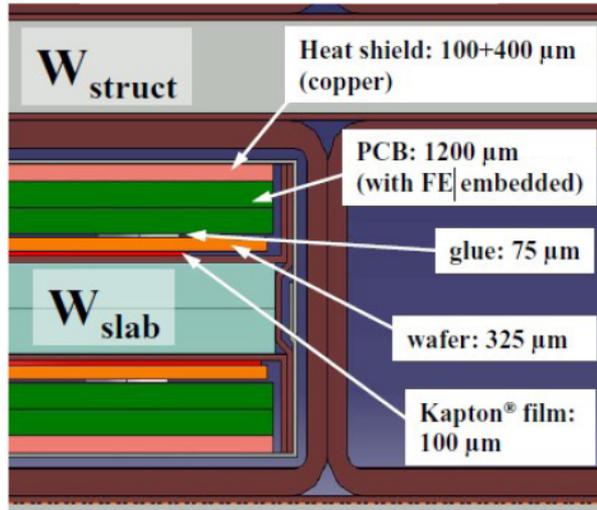
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  - and steel wedge



12x12 tiles,  
36x36 cm<sup>2</sup>



# Status: Silicon – Tungsten ECAL → Technological Prototype



## Mechanical structure

Undergoing various tests (heat, mechanical...)

## SKIROC2 (Front-end chip)

Engineering run produced 1650 samples

64 channels per chip

Option of power – pulsing implemented

## FEV8 (Front-end board)

Schematic almost complete

1024 channels on a 180 x 180 mm<sup>2</sup> board



## Plans

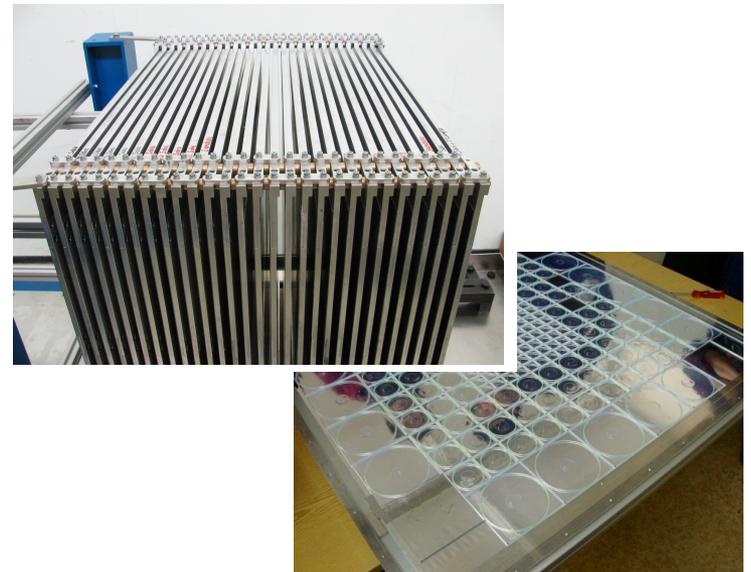
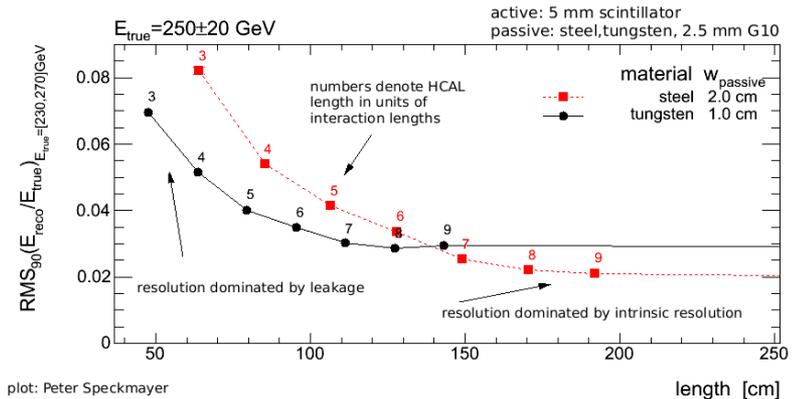
Assembly of 1<sup>st</sup> short slab 2<sup>nd</sup> half of 2011

First tests end of 2011

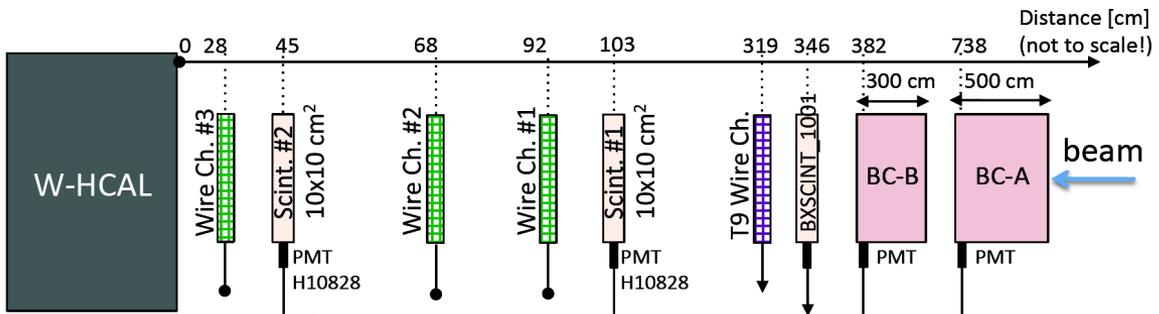
After that test of long detector slab  
and filling of module

# High energy

- Particle flow also a promising option for CLIC energies
- Leakage expected to limit PFLOW performance
  - need  $1 \lambda$  ECAL +  $7 \lambda$  HCAL
- Tungsten absorber cost-competitive with larger coil - and less risky
- Test beam validation with scintillator and gas detectors
- More neutrons:
  - different model systematics
  - timing measurements



# Test Beam Setup



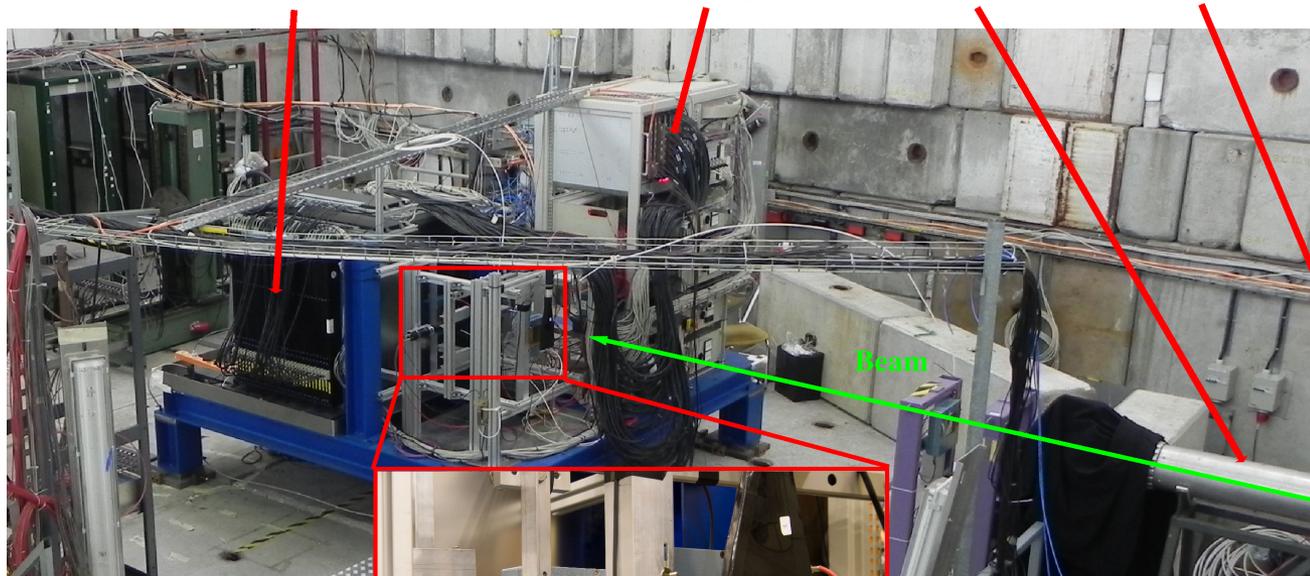
Trigger = coincidence of Scinti #1 and #2

HCAL

DAQ

Cherenkov B

Cherenkov A



Beam

2 Scintillators

3 Wire Chambers

Cherenkov A ON

300

||

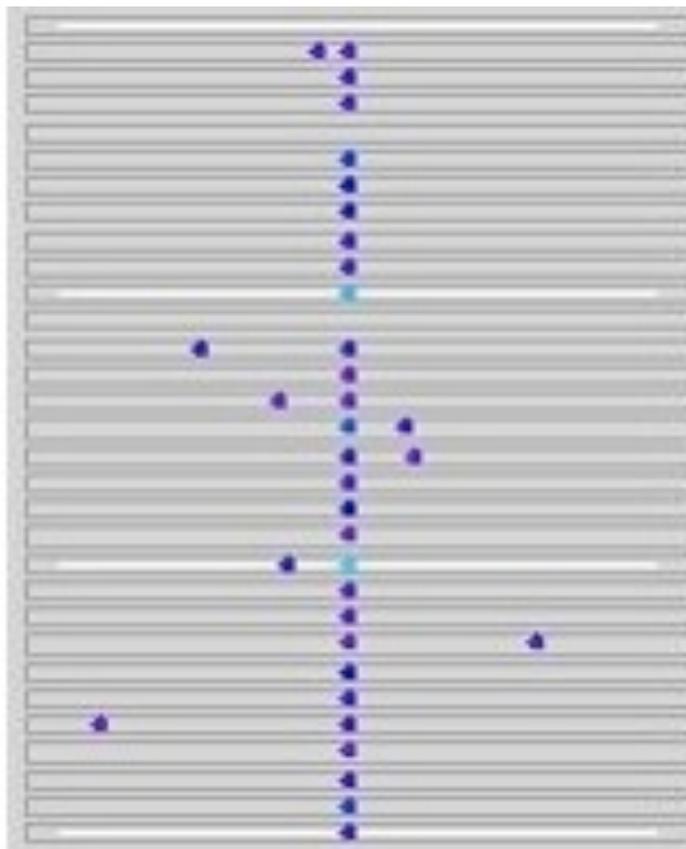
40 GeV

Cherenkov A OFF

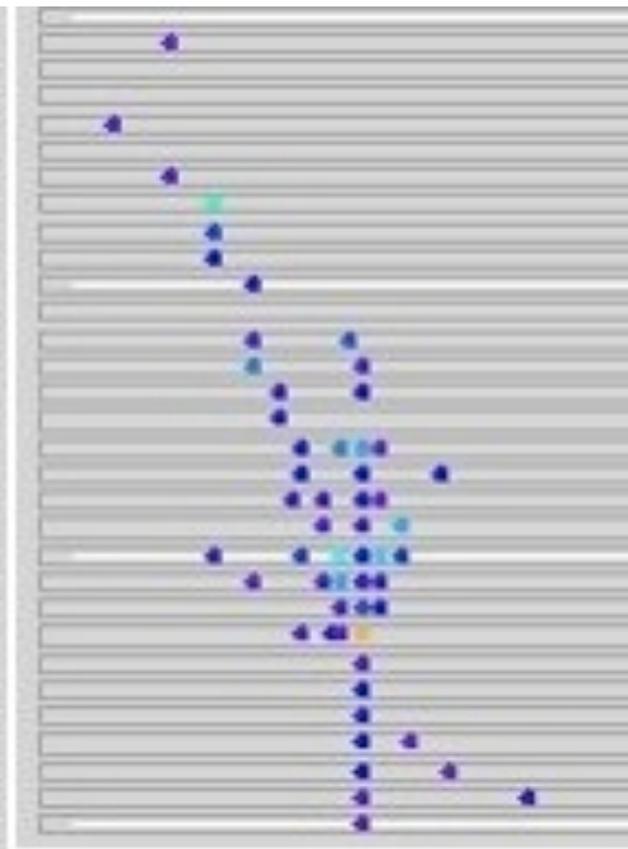
ALL PLOTS VERY PRELIMINARY



Electron

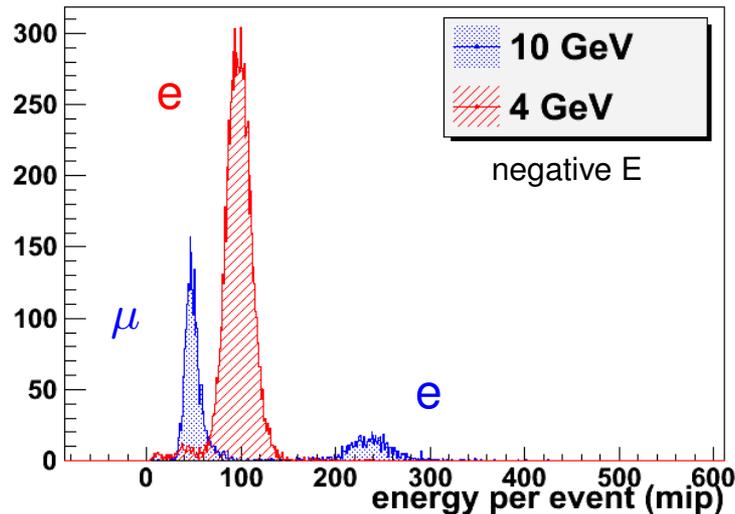


Muon



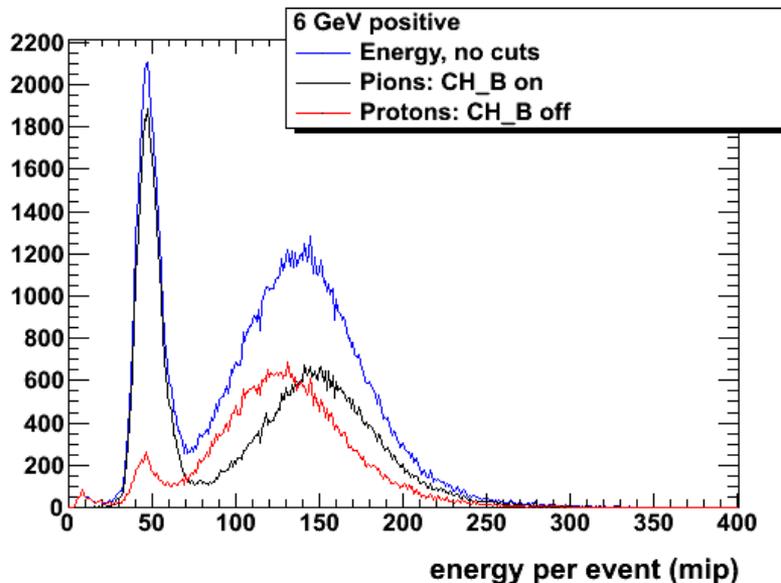
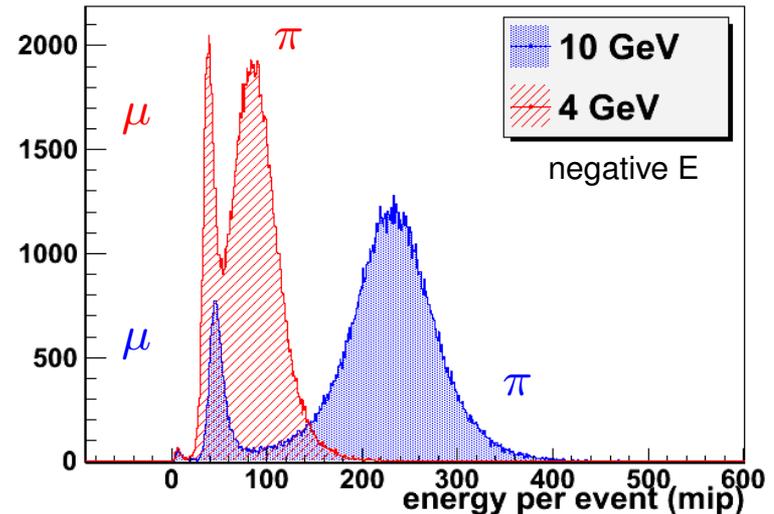
Pion [8 GeV]

Cherenkov A ON

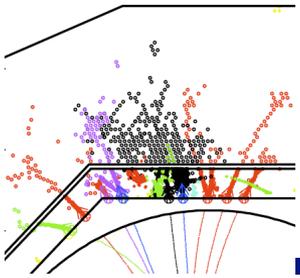


Cherenkov A OFF

ALL PLOTS VERY PRELIMINARY

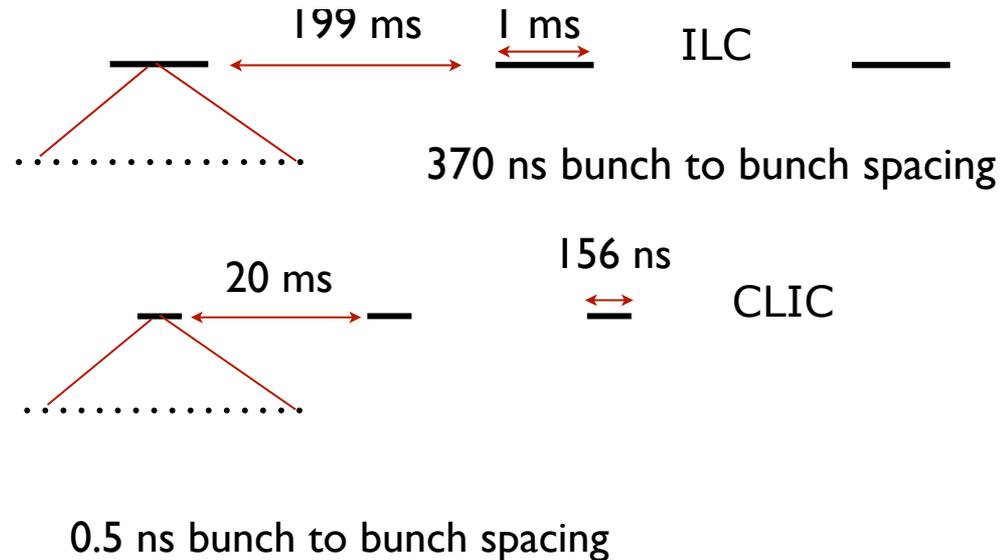


- Ch. A at low pressure (0.2 bar) to ID electrons
- Ch. B at higher pressure (3 bar) to distinguish between pions and protons
- Separation better at higher energy, also efficiency of Cherenkovs better

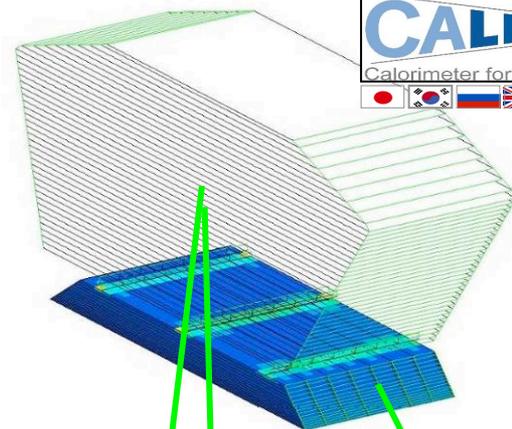


# Timing, occupancies

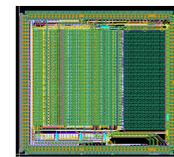
- Both ILC and CLIC have low duty cycle
  - power pulsing
  - trigger-less readout
- Occupancy in e+e- small
  - typically  $10^{-4}$
- Pile-up becomes an issues at CLIC
  - hadronic  $\gamma\gamma$  events
  - peaks in endcaps
  - needs time-stamping
    - 10 ns accuracy



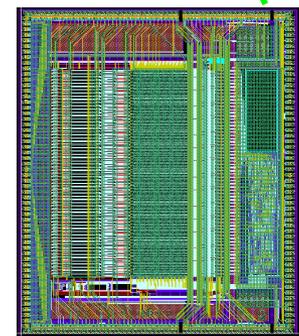
- Add auto-trigger, analog storage, digitization and token-ring readout !!!
- Include power pulsing : <1 % duty cycle
- Optimize commonalities within EUDET (readout, DAQ...)
- Dedicated run produced in march 2010
  - 25 wafers received in june (<1€/ch)
  - Plastic packaging in the US



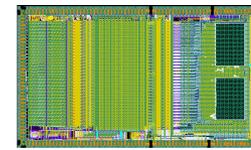
**HARDROC2**  
SDHCAL RPC  
64 ch 16 mm<sup>2</sup>



**SKIROC2**  
ECAL Si  
64 ch. 70 mm<sup>2</sup>

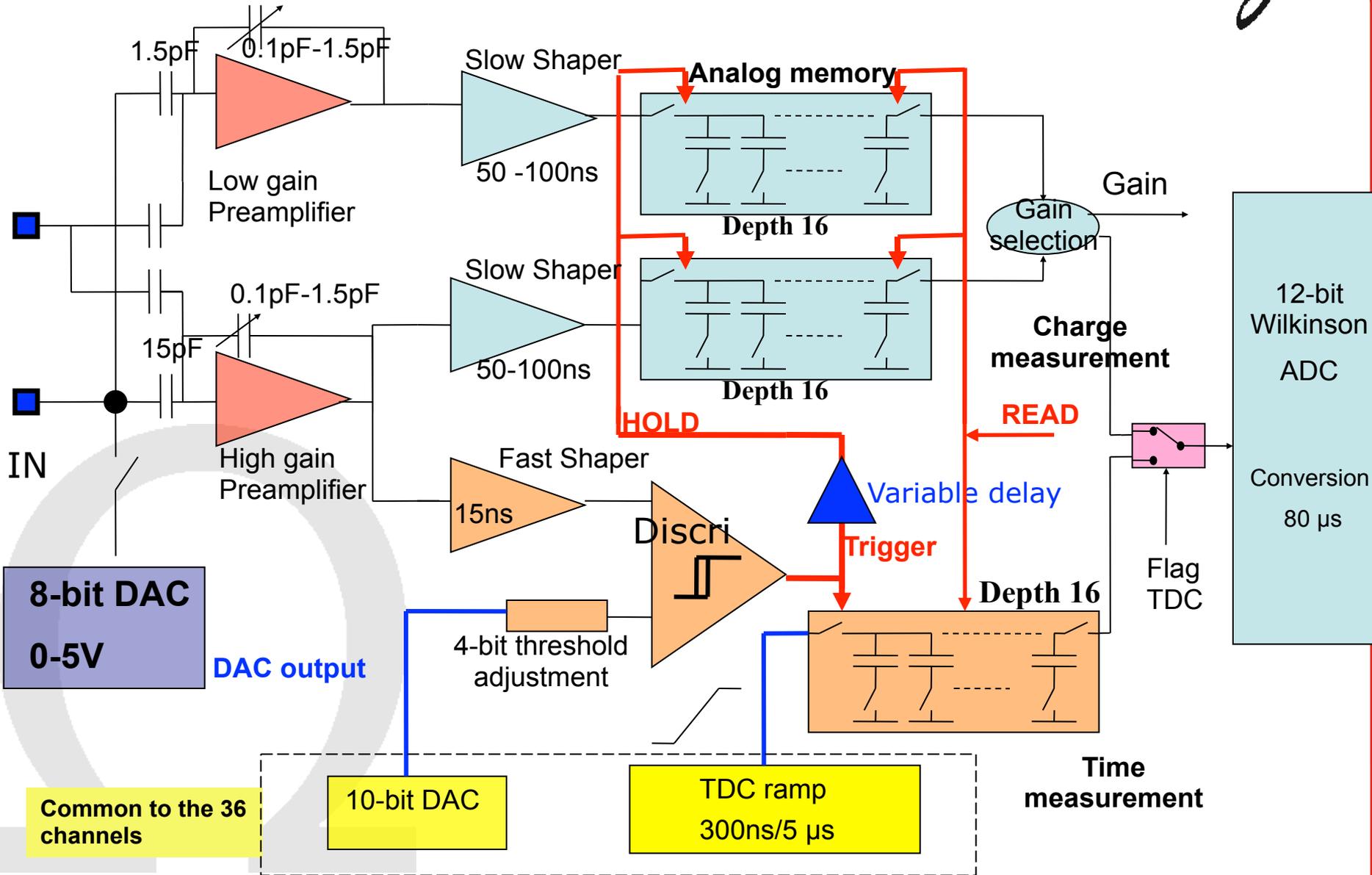


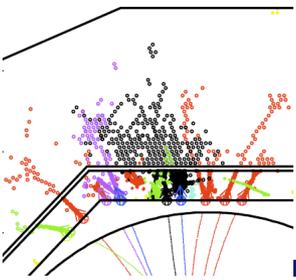
**SPIROC2**  
AHCAL SiPM  
36 ch 30 mm<sup>2</sup>



**FLC\_PHY3 (2003)**



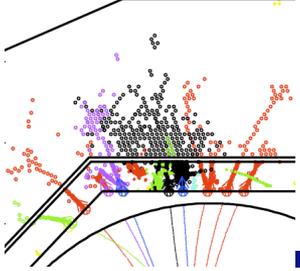




# Summary on technologies

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- a leap in several orders of magnitude in channel count
- new sensor technologies, new integration concepts
  - the latter is part of the feasibility demonstration
- progress towards realism:
  - realistic designs
  - realistic simulations
  - realistic cost
  - realistic proposal
- Digital calorimetry ready for exploration

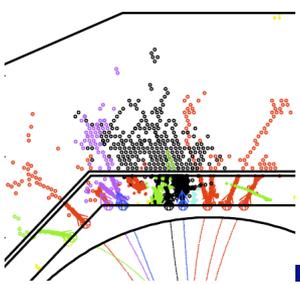


# Conclusion

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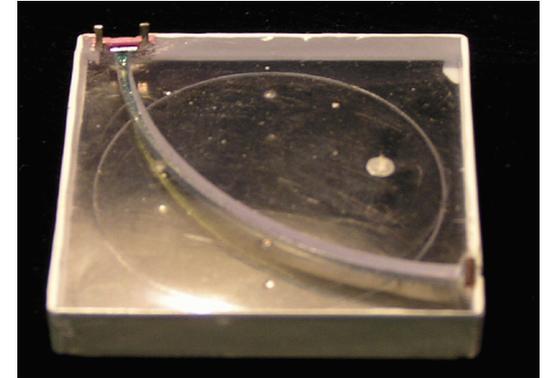
- Particle flow calorimetry does not solve the inherent problems of hadron calorimeters
- But it holds the promise of providing a highly performant work-around
- Focussed program: thrust is in
  - completing the large scale physics tests for all active and passive media
  - demonstration of integration feasibility
- Looking forward: Increased test beam activity 2011-12

# Back-up slides

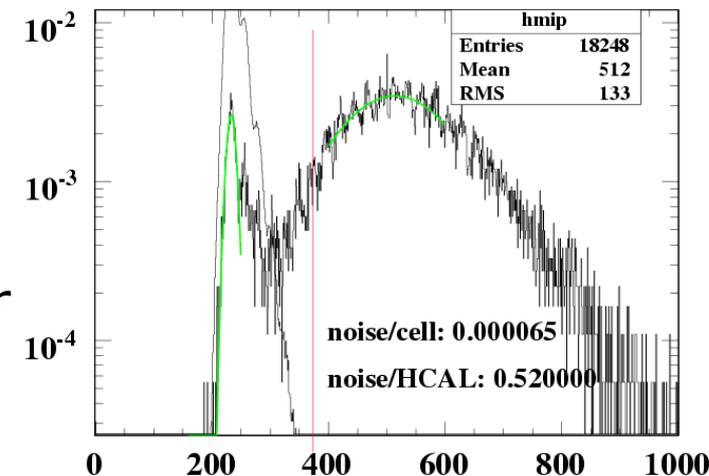


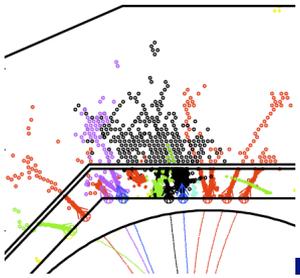
# Present test beam system

- Tiles  $3 \times 3 \times 0.5 \text{ cm}^3$ , 1 mm Kuraray WLS fibre
- 7608 SiPMs from MPhI/PULSAR
  - 1156 pixels, gain  $\sim 5e6$ , dark rate  $< 3 \text{ MHz}$
  - light yield 15 px/MIP nominal  $\sim 13$  in practice
- Critical parameter: Noise above threshold
  - 3kHz at  $\frac{1}{2}$  MIP
    - Depends on dark rate, Xtalk, effic.
  - Occupancy  $1e-3$ , just OK
  - Requires careful bias setting
  - Want factor 10 less for ILC
  - And more operational safety
- Dynamic range: OK; the more the merrier
- Temperature sensitivity: G 2%, A 5%; should not increase



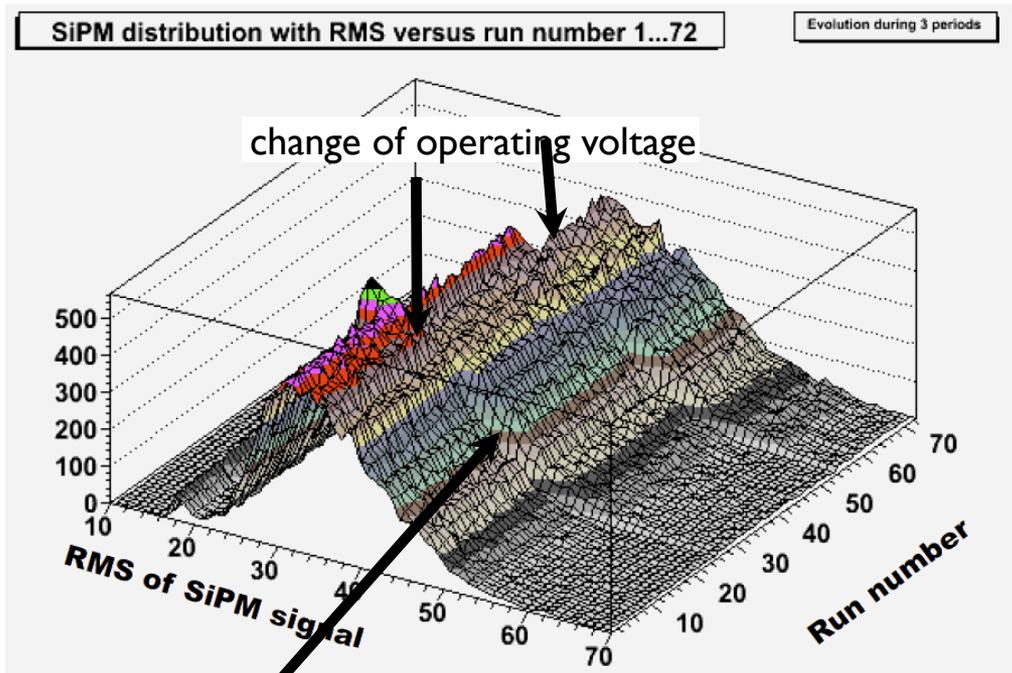
M # 3260





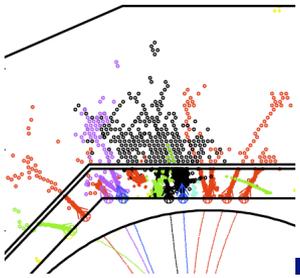
# Long-Term Stability

- Monitoring of pedestal distribution to detect changes in status and potential aging



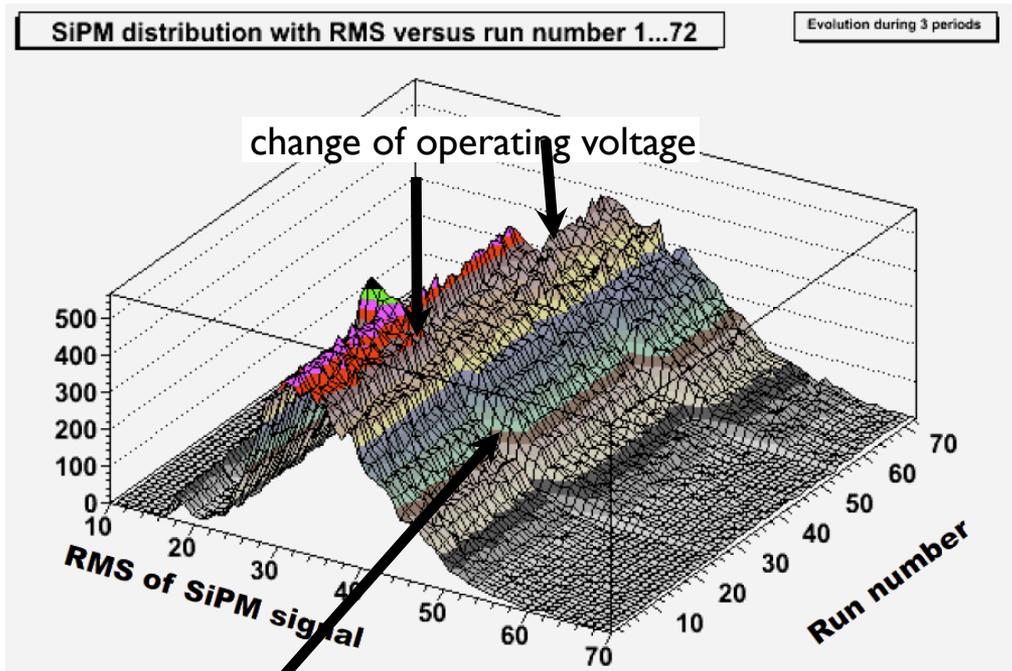
stable performance over long period CERN - FNAL 2007-08  
 small increase of dead channels (total < 3 %, bad solder)

intercontinental move: CERN to FNAL



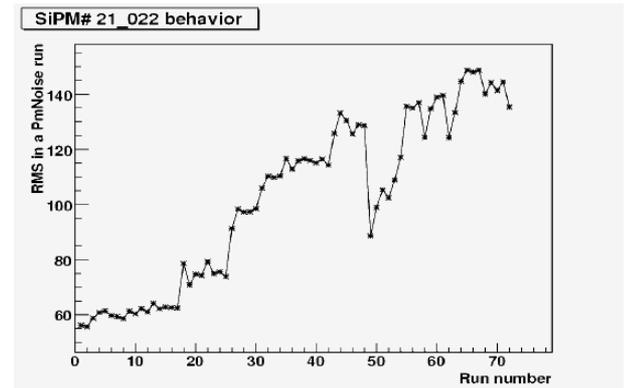
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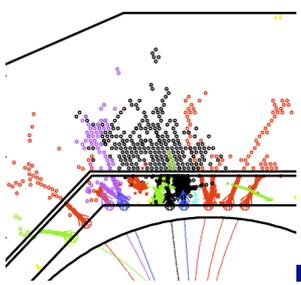


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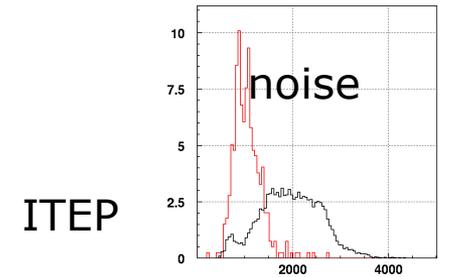
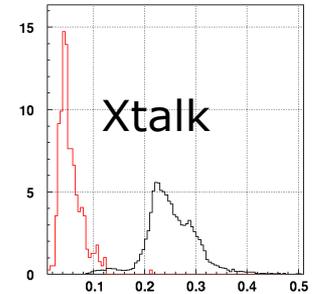
only 8 out of 7608 SiPMs show increasing noise levels with time



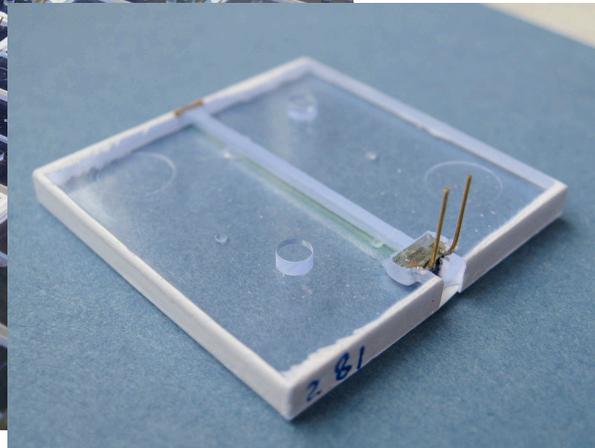
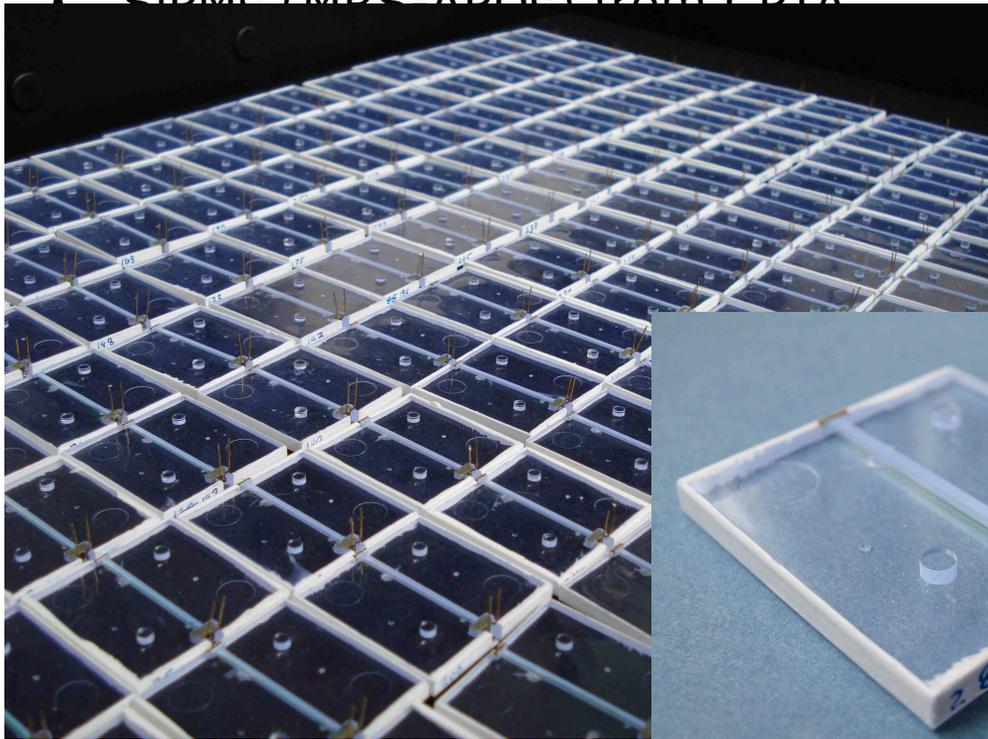
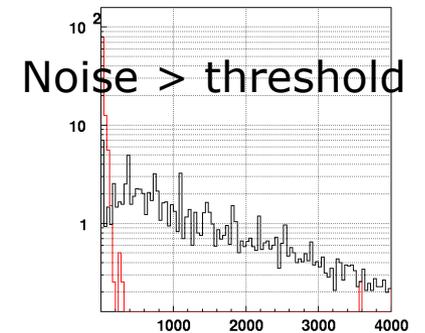
# New tiles and SiPMs

- First 144 tiles from ITEP
  - Larger set underway for 2m layer
- SiPMs (MPS APDs) from CPTA

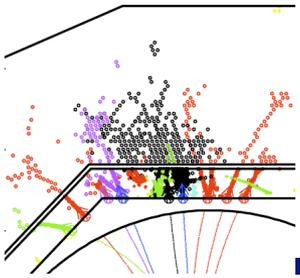
Improved properties  
w.r.t. PPT SiPMs



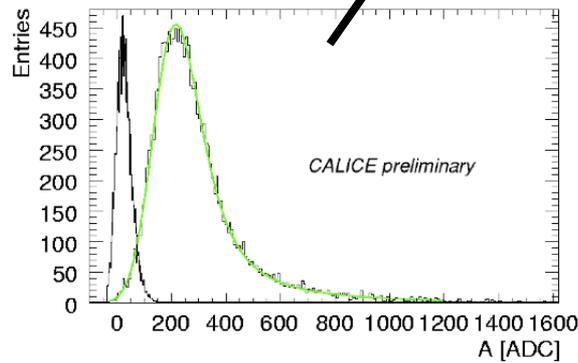
ITEP



# Calibration

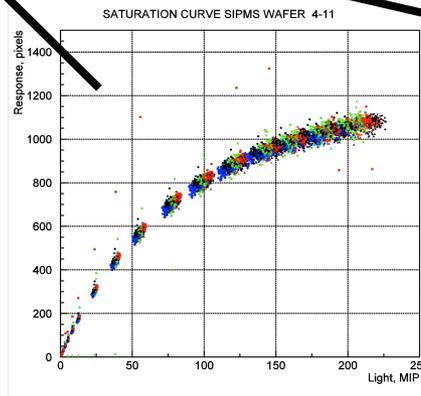


•  $E(\text{MIP}) = A / A_{\text{MIP}} * f(A/A_{\text{pixel}})$       $A = \text{signal in ADC counts}$

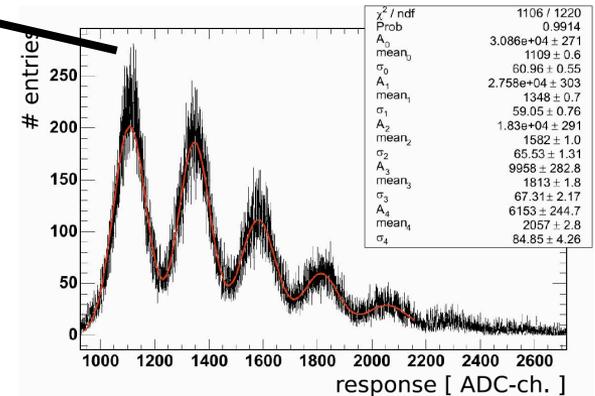


MIP calibration:  
1.5 days in test beam

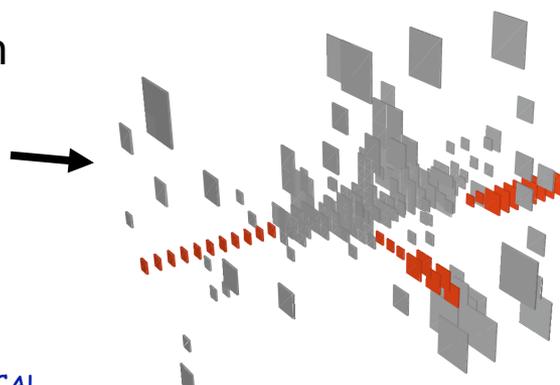
At LC: use tracks in  
hadron showers



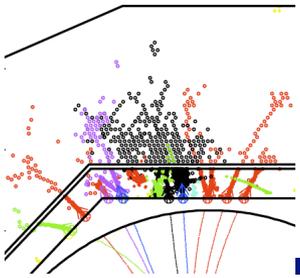
SiPM response function  
From test bench



Gain auto-calibration:  
Low intensity LED light  
Single photo-electrons

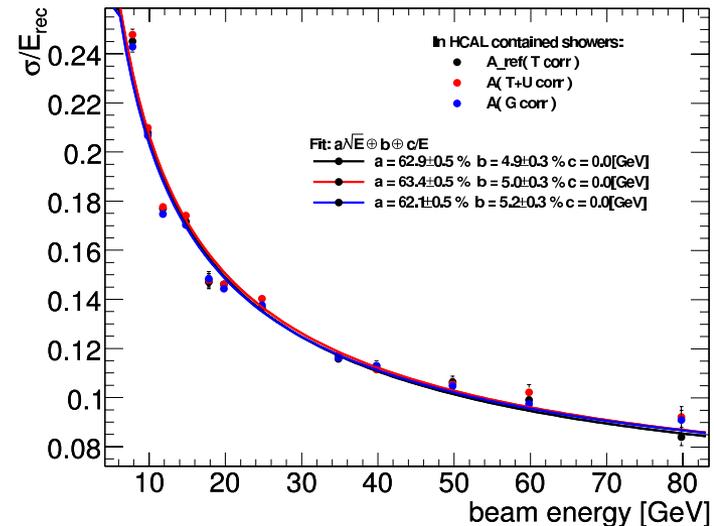


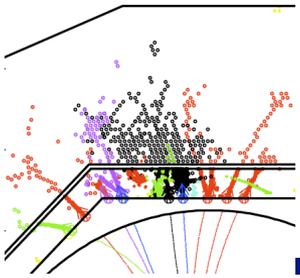
Temperature monitoring:  
Correct MIP and gain  
Future: compensate by HV  
adjustment



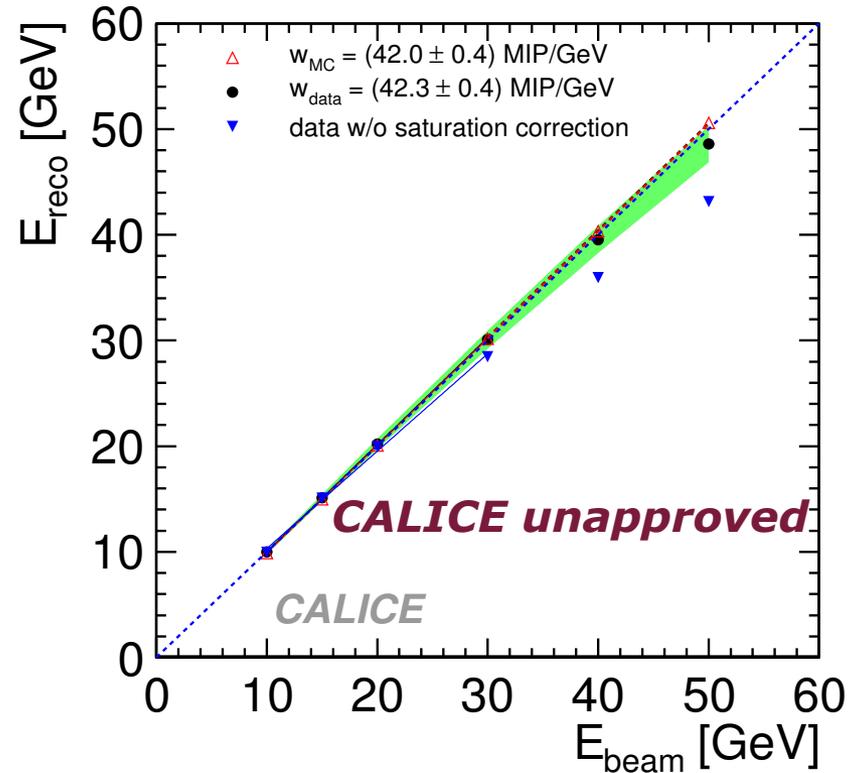
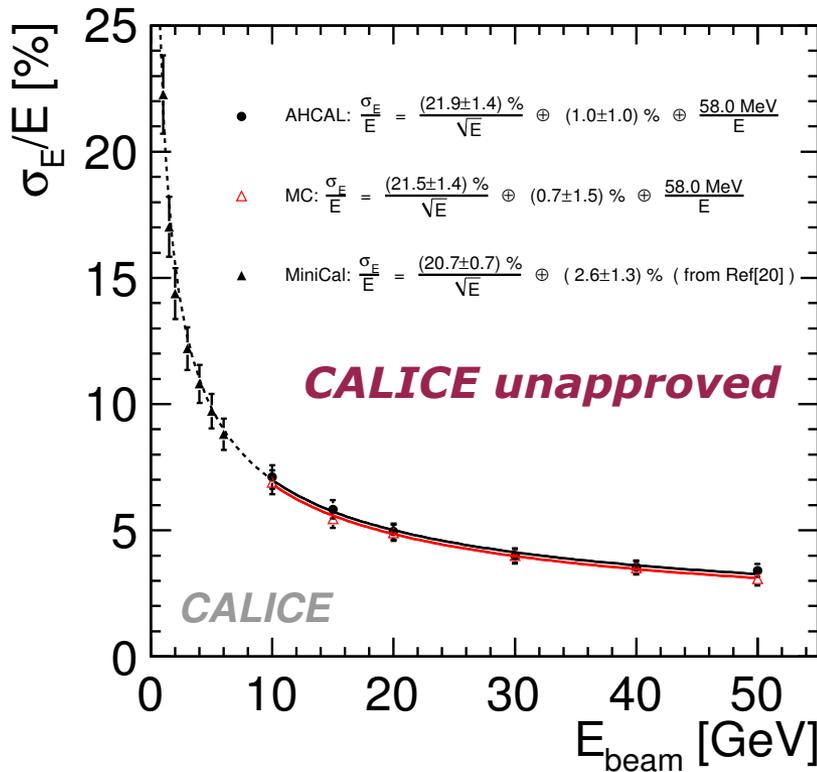
# Calibration

- Study triggered by review of LC detector LOI
- Can you calibrate millions of channels and maintain stability?
  - not really a worry for Si, but could be an issue for scintillator
  
- 1. Simulate impact of statistic (uncorrelated) and systematic (correlated) calibration errors, find  $\int L$  for in-situ calibration
  - PFLOW performance VERY robust w.r.t. channel-to-channel variations; coherent effects easy to control
  
- 2. Exercise in-situ methods (SiPM auto-calib, track segments) with test beam data from CERN and FNAL
  - transport calibration across the ocean and restore performance

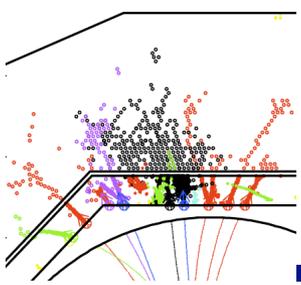




# SiPM calo with electrons

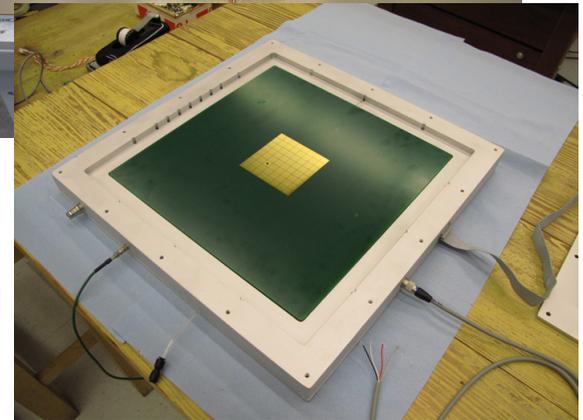


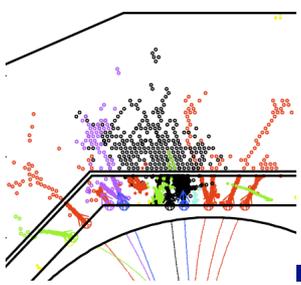
- validates detector understanding and linearity for hadrons



# (S)DHCAL options

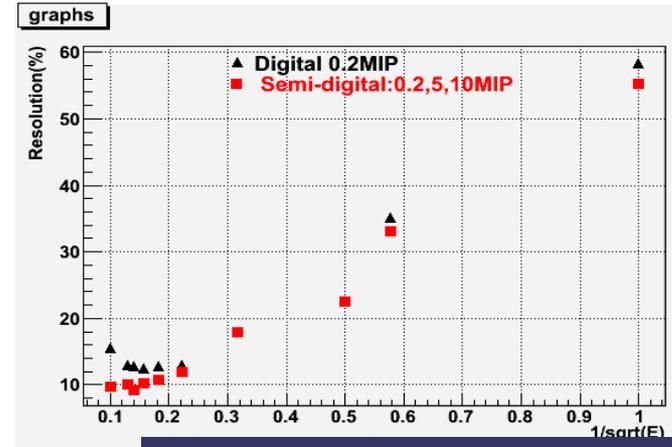
- Micromegas
  - 1m<sup>2</sup> built
  - new ASIC MicroROC
  - parasitic test with W in 2010
- GEMs
  - moving to larger area modules with KPix chips
  - beam tests 2010-11
- Most likely no full scale hadron tests, but addressing the critical integration issues





# Semi-digital GRPC HCAL

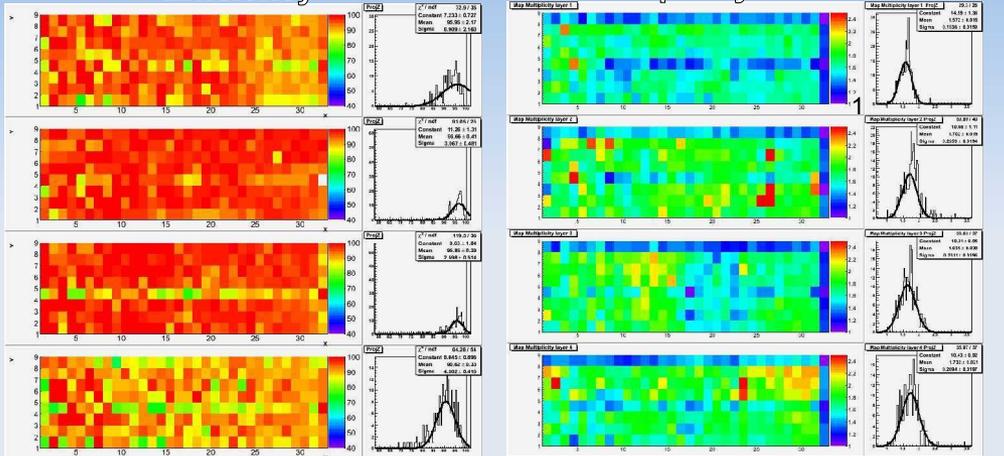
- idea: recover high energy resolution
- aim at cubic-metre  $\sim 2011$
- will need stage at some point
- 3 layers built



## Uniformity of response

Efficiency

Multiplicity



- Full train reconstruction ( $\rightarrow \times 10$  in statistics)
- Global efficiency spread ( $\Rightarrow$  statistics [25k evts] & defaults)  $\sim 3\%$
- Multiplicity spread in a chamber  $\sim 0.2$  ( $\Rightarrow$  borders & fish line)
  - ▶  $\leq 3\%$  between chambers

