

# **Bulk properties of QCD from the hard sector at RHIC**

**Institut de Physique – Université de Liège**

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# Overview

## 1. Introduction:

- The goal: study **Quantum Chromo many-body Dynamics**: QGP, CGC.
- The means: compare hard scattering production in diff. colliding systems.

## 2. “QCD vacuum” production – high $p_T$ spectra in **p+p**:

- Baseline reference data of hard scattering in **free space**.

## 3. “Hot QCD medium” production – high $p_T$ spectra in **central A+A**:

- **Suppressed** hadron production (compared to free space):  
 $\sqrt{s}$ ,  $p_T$ ,  $y$ , centrality, and particle species dependence. } **QGP ?**

## 4. “Cold QCD medium” production – high $p_T$ spectra in **d+Au**:

- **Enhanced** hadron production at  $y \leq 0$  (mid-rapidity & high  $x_2$  in Au):  
 $p_T$ , centrality, and particle species dependence.
- **Suppressed** at  $y \geq 1$  (small  $x_2$  in Au). → **CGC ?**

## 5. What have we learnt ? Data vs. theory.

## 6. Summary

# Review of QCD properties

## The QCD Lagrangian:

- Degrees of freedom: quarks and gluons
- Non-abelian gauge theory
- Internal symmetry group:  $SU(3)_{\text{color}}$

$$\mathcal{L} = \frac{1}{4g^2} G_{\mu\nu}^a G^{\mu\nu a} + \sum_j \bar{\psi}_j (i \gamma^\mu D_\mu + m_j) \psi_j$$

where  $G_{\mu\nu}^a \equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + gf_{abc} A_\mu^b A_\nu^c$   
and  $D_\mu = \partial_\mu + i t^a A_\mu^a$  ( $\alpha_s = g^2/4\pi$ )

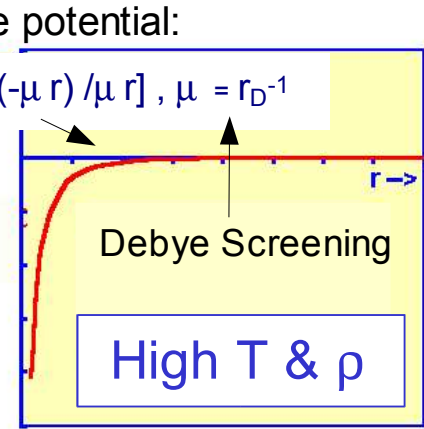
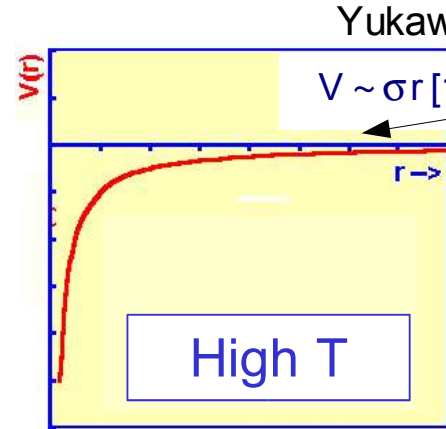
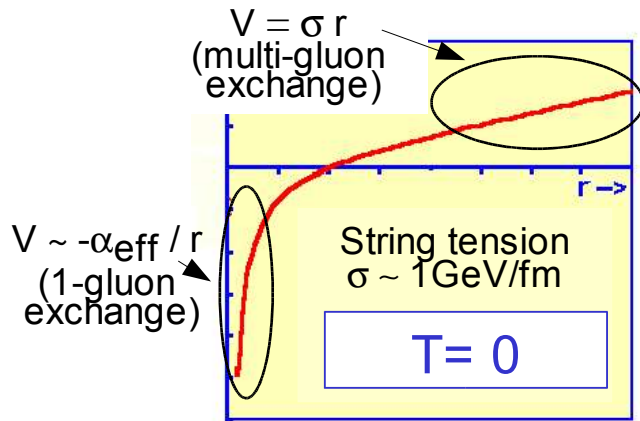
$$\alpha_s(Q^2) \sim 1/\ln(Q^2/\Lambda^2), \Lambda \sim 200 \text{ MeV}$$

## Properties of the strong interaction:

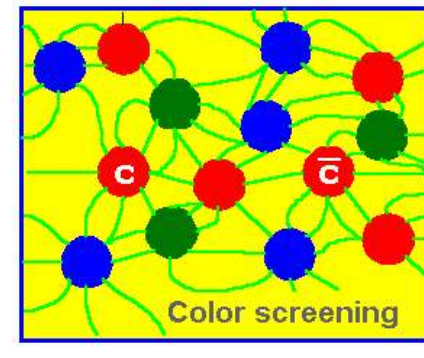
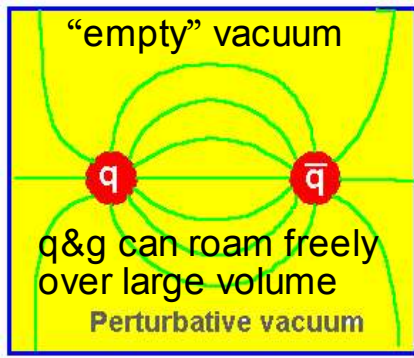
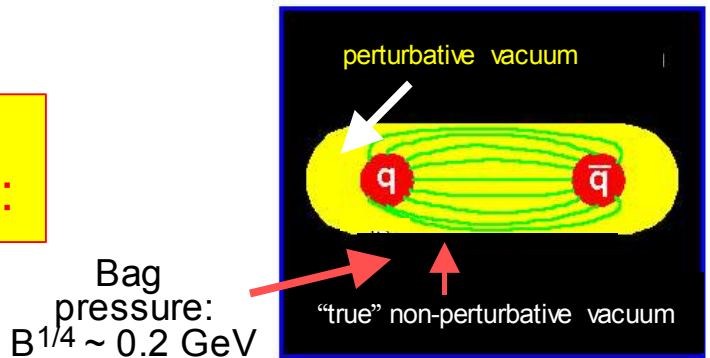
1. **Confinement**: Quarks do not occur isolated in nature: only in hadronic (colorless) bound-states as mesons  $[q\bar{q}]$  & baryons  $[qqq]$ .  $\alpha_s(Q^2)$  **strong at large distances** (low  $Q^2$ ).
2. **Asymptotic freedom**: At short distances (high  $Q^2$ ),  $\alpha_s(Q^2)$  **decreases** logarithmically: quarks & gluons weakly coupled. Perturbative methods applicable (pQCD).
3. **Chiral symmetry breaking**:
  - Quarks acquire dynamically a mass in a confined medium:  
**Current  $m_q$**  (5-10 MeV)  $\ll$  **Constituent  $m_q$**  ( $\sim 300$  MeV)
  - Non-zero QCD **ground-state** (non-perturbative vacuum, "sea") **filled** with quark-antiquark and gluon-gluon virtual pairs :  
quark & gluon condensates:  $\langle \Psi \Psi \rangle \approx -(235 \text{ MeV})^3$ ,  $\langle \alpha_s G_{\mu\nu} G^{\mu\nu} \rangle \approx -(500 \text{ MeV})^4$

# QCD at finite T and $\rho$

**QCD color potential:**

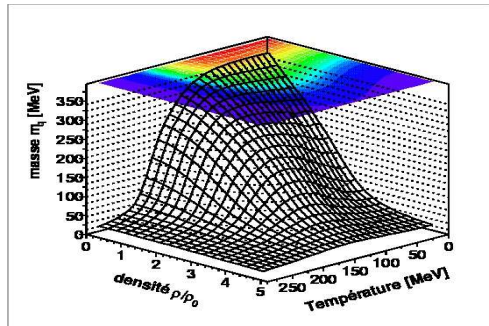


**QCD vacuum:**

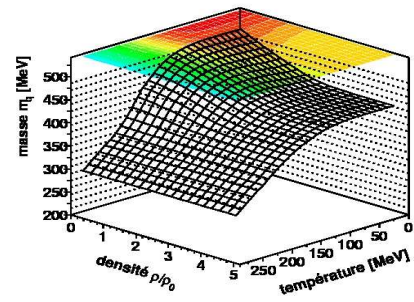


**Quark masses:**

u/d quarks:



s quark :



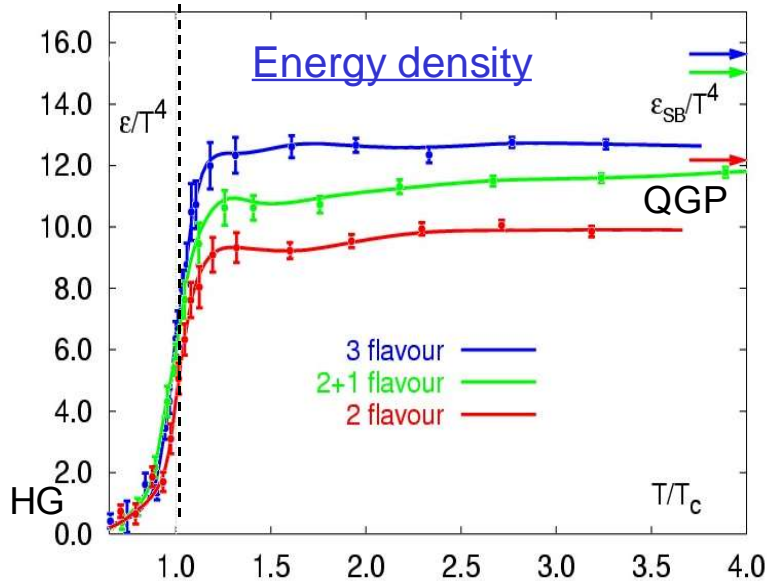
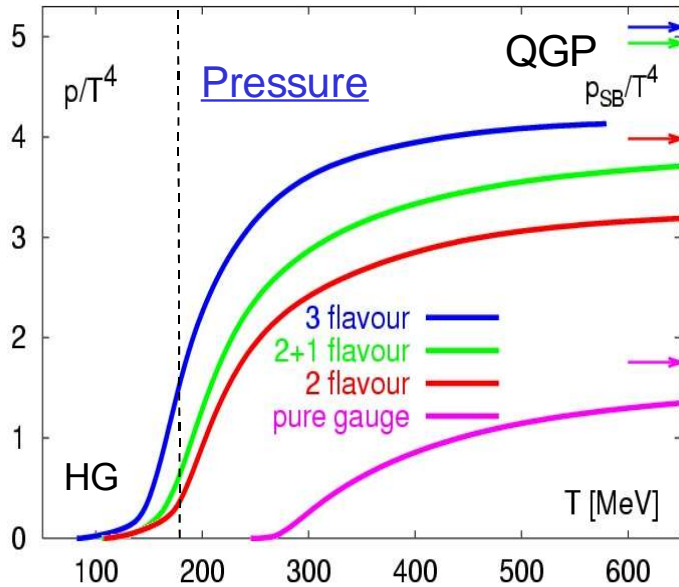
$$m_q \sim m_0 + C \langle \bar{\Psi} \Psi \rangle \quad (\text{NJL model})$$

Nuclear matter at high temperatures and densities exhibits:

- Color deconfinement:  $\alpha_{\text{eff}}(T) \sim 1/\ln(T, \rho) \rightarrow 0$
  - Chiral symmetry restoration:  $m_q(T, \rho) \rightarrow 0$
- } New state of matter

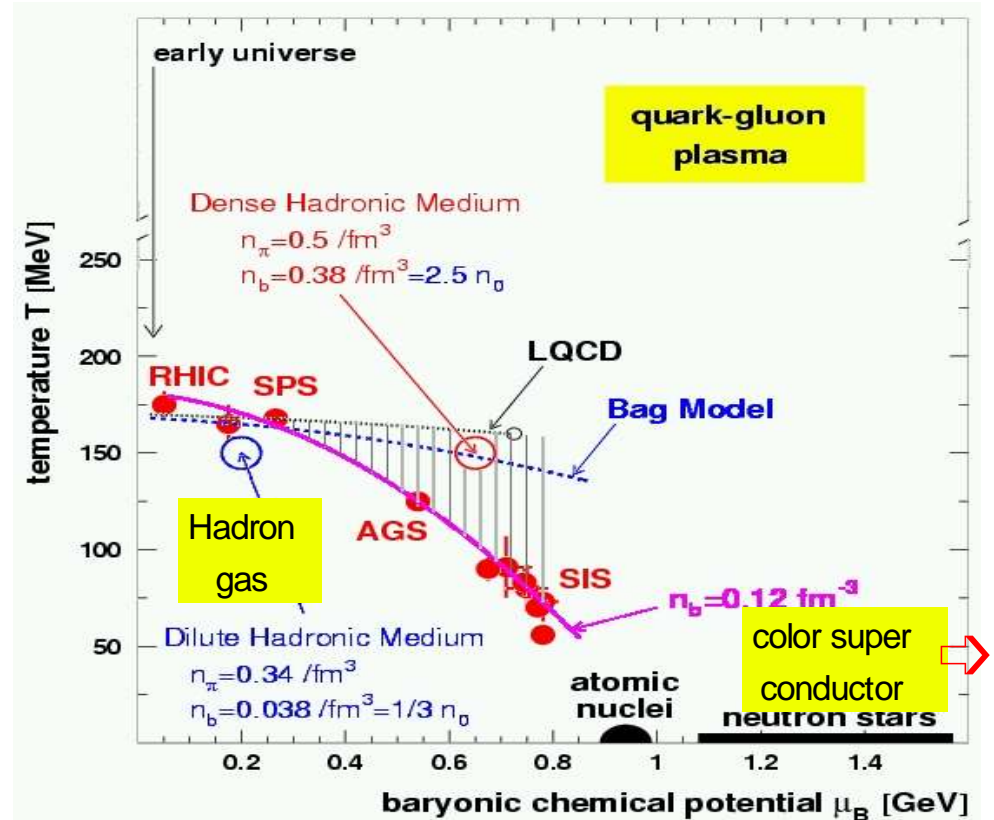
# QCD thermodynamics on the lattice (\*)

QCD Equation of State



(\*) At zero baryon number density ( $\mu_B=0$ )

Phases of strongly interacting matter



**QCD critical temperature:**

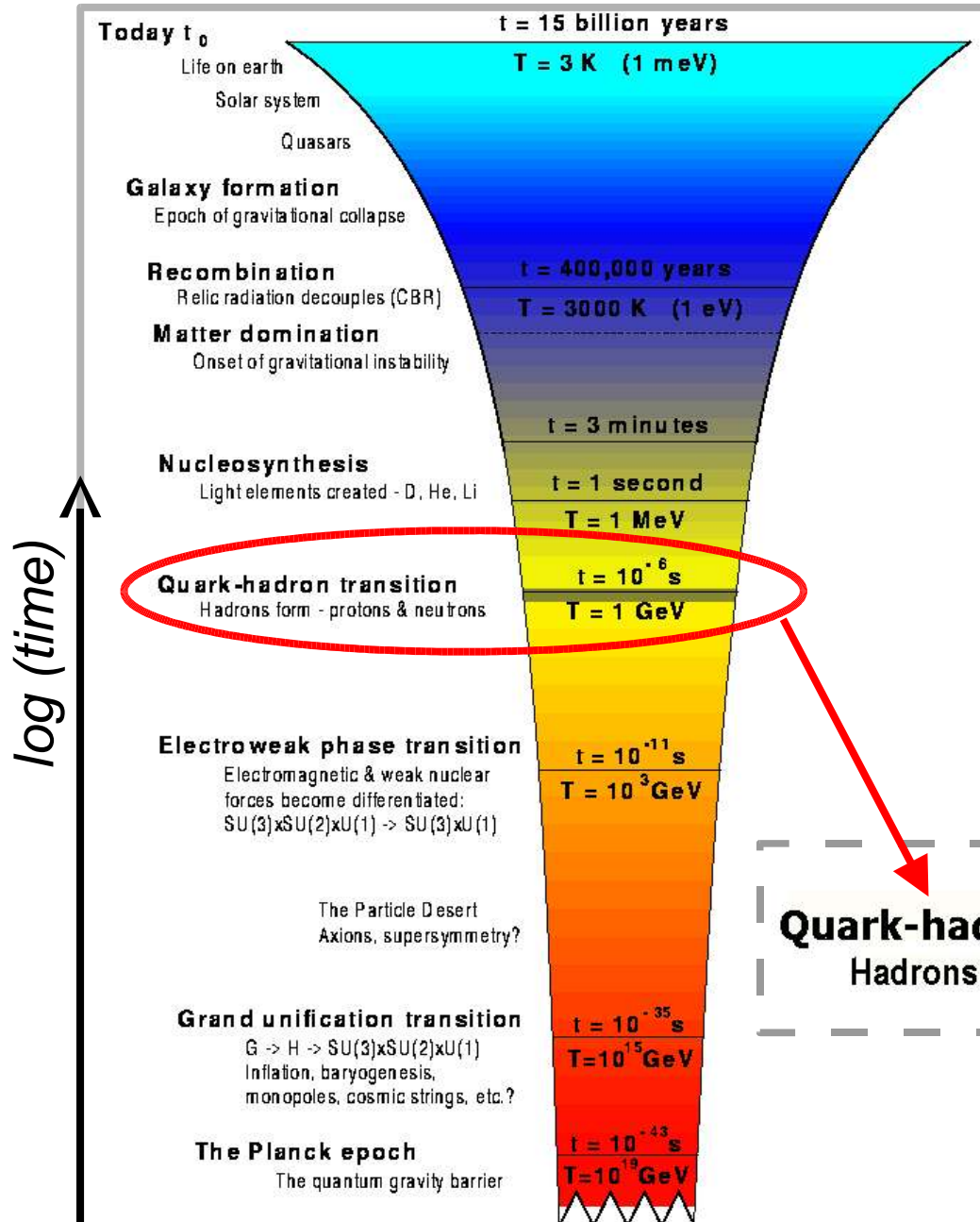
$$T_c = (173 \pm 10) \text{ MeV } [n_f = 2]$$

$$T_c = (154 \pm 10) \text{ MeV } [n_f = 3]$$

**Critical energy density:**

$$\epsilon_c = (6 \pm 2) T_c^4 \Rightarrow \epsilon_c = (0.7 \pm 0.3) \text{ GeV/fm}^3$$

# Cosmological QCD transition



The universe was in the QGP state  $\sim 10 \mu\text{s}$  after the “Big Bang”



Insights into the cosmology and phase transitions of the early universe

**Quark-hadron transition**  
Hadrons form protons & neutrons

$t = 10^{-6} \text{ s}$

$T = 1 \text{ GeV}$

phase transition:  $O(\mu\text{s})$

# Small-x and saturation physics

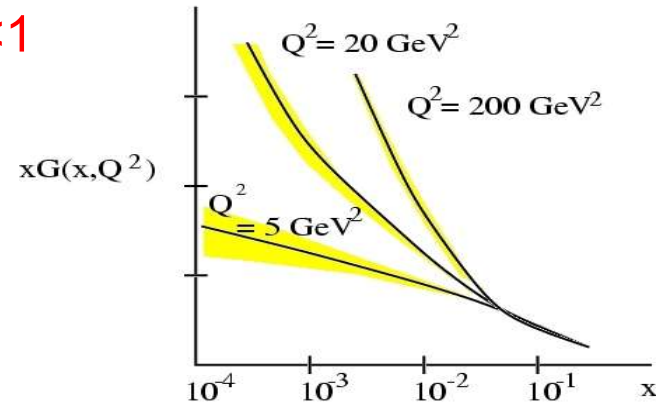
- Initial conditions at RHIC: **high-energies + large nuclei**

Values of small-x:  $x_{Bj} = 2p_T/\sqrt{s} \ll 1$

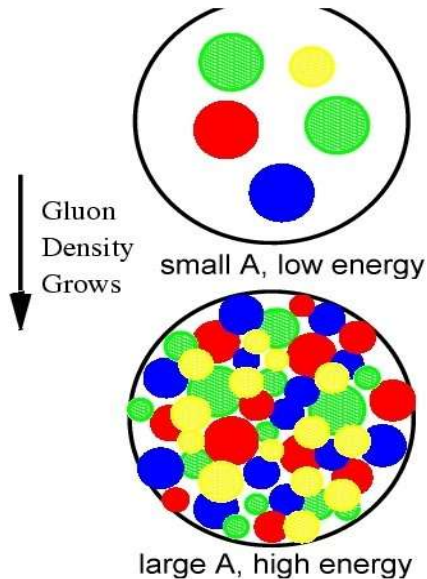
Large gluon densities

$$\rho_A \simeq \frac{xG_A(x, Q^2)}{\pi R_A^2} \sim A^{1/3}$$

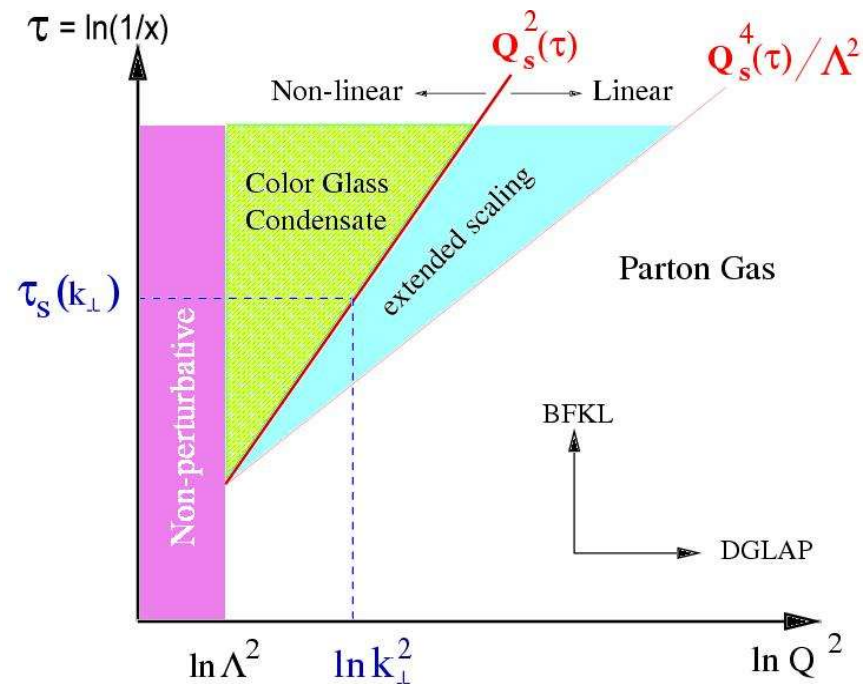
**RHIC ~ HERA  $\times A^{1/3}$**



- Colliding nuclei described via a colored highly saturated gluonic wave-function (“**Color Glass Condensate**”):

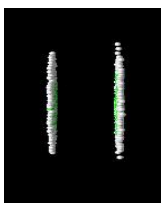
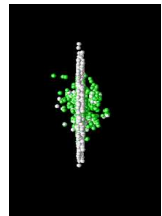
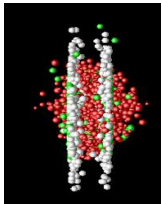
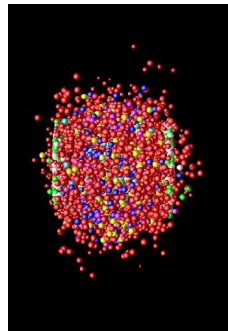
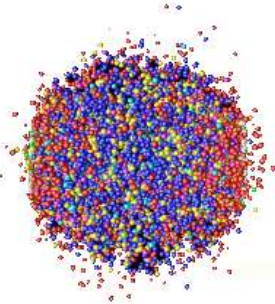


Study regime of **non-linear** (high density) many-body **parton dynamics** @ small-x (**CGC**).

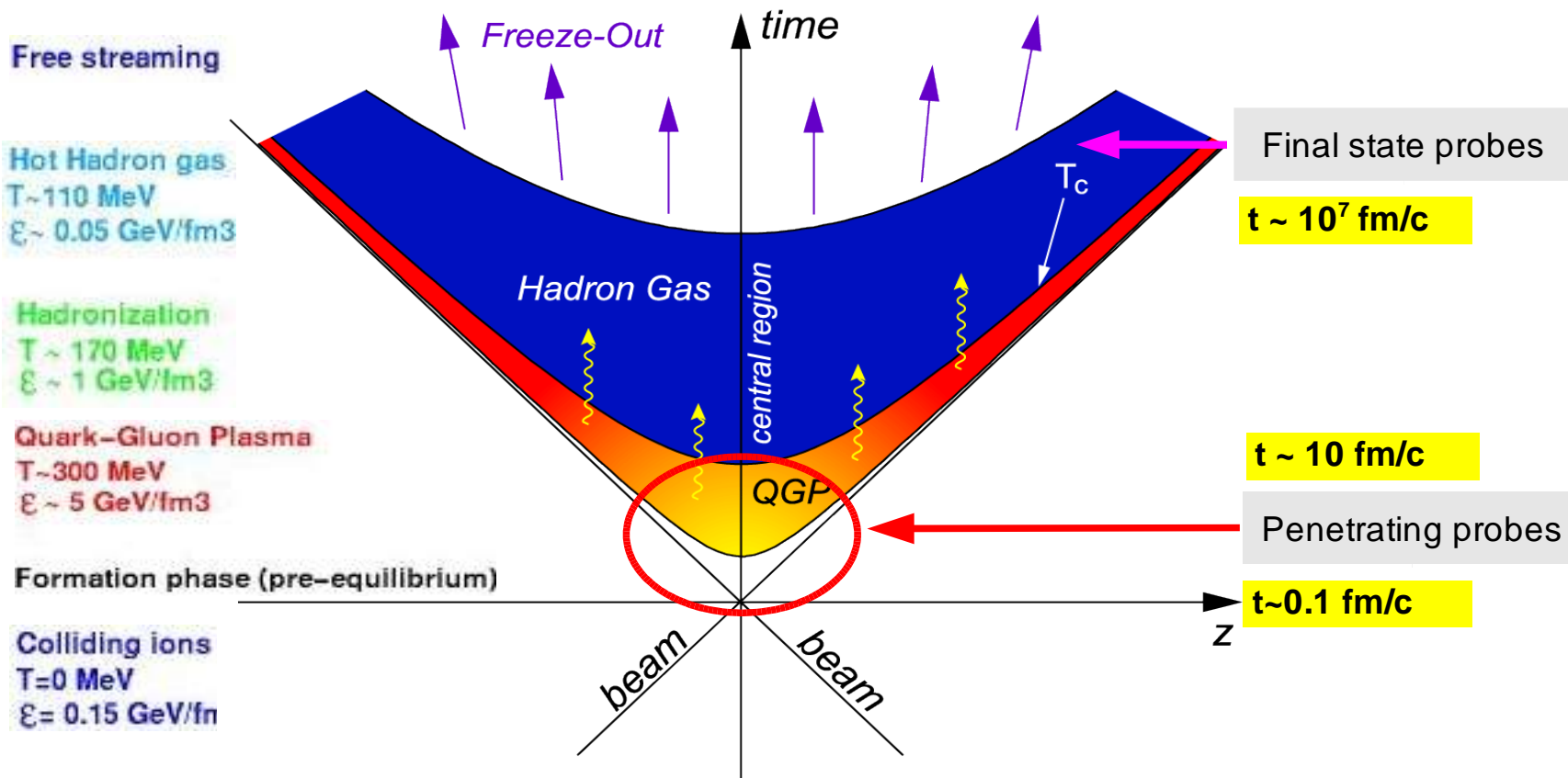


# The "Little Bang" in the lab.

- High-energy **nucleus-nucleus collisions**: in fixed-target reactions ( $\sqrt{s} \sim 17$  GeV, SPS) or at colliders ( $\sqrt{s} \sim 200$  GeV, RHIC)
- QGP** expected to be formed in a **tiny region** ( $\sim 10^{-14}$  m) and to last **very short times** ( $\sim 10^{-23}$  s).
- Collision dynamics**: Diff. observables probe diff. reaction stages



Time ↑



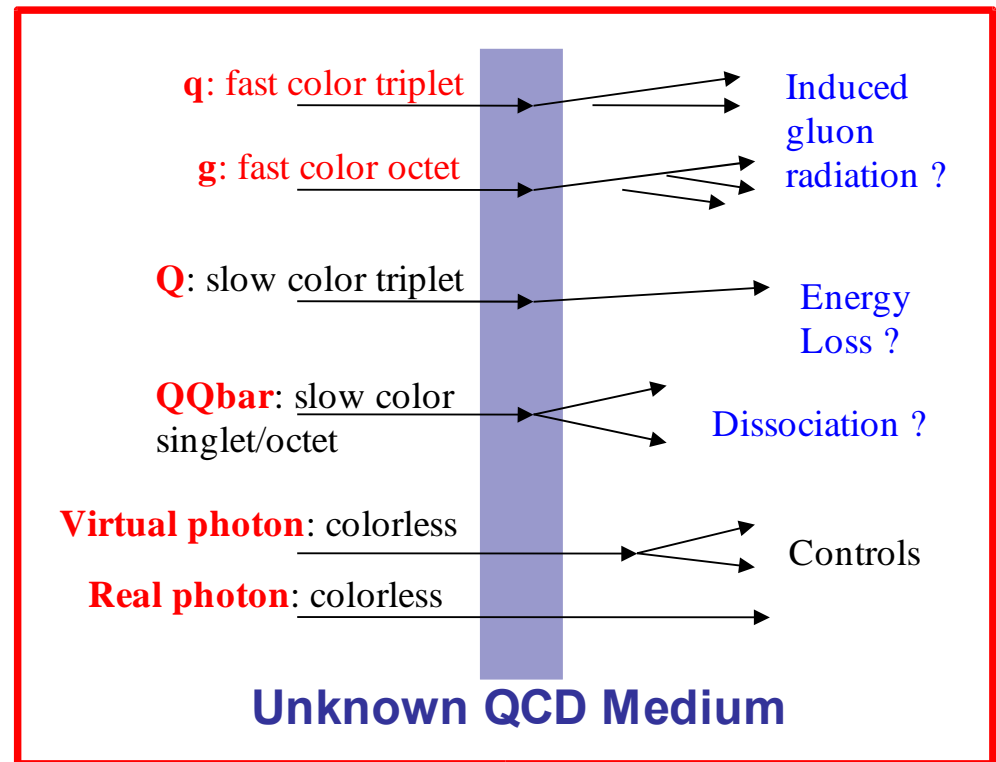
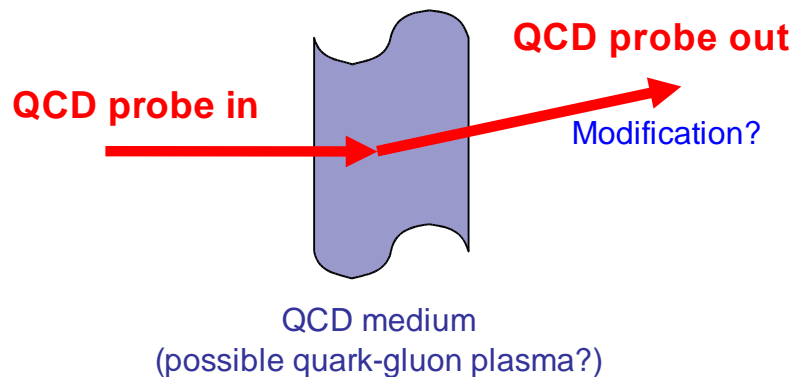


# Hard QCD probes. Motivation (I)

● Hard probes: High- $p_T$ , jets, direct  $\gamma$ , heavy-quarks (D, B), ...

[1] Early production ( $\tau \sim 1/p_T < 0.1$  fm/c) in parton-parton scatterings with large  $Q^2$ :  
Closest experimental probes to underlying QCD (q,g) degrees of freedom.

[2] Direct probes of partonic phase(s)  $\Rightarrow$  Sensitive to QCD medium properties:



[3] Incoherent processes: Direct comparison A+A to p+p yields via " $N_{coll}$  scaling" :

$$d\sigma_{AB \rightarrow \text{hard}} = A \cdot B \cdot d\sigma_{pp \rightarrow \text{hard}}$$

At impact parameter b:  $d\sigma_{AB}(\text{hard}) = T_{AB}(b) d\sigma_{pp}(\text{hard})$

Nuclear overlap:  $T_{AB}(b) \propto N_{coll}(b)$  : number of inel. NN collisions.

# Hard QCD probes. Motivation (I)

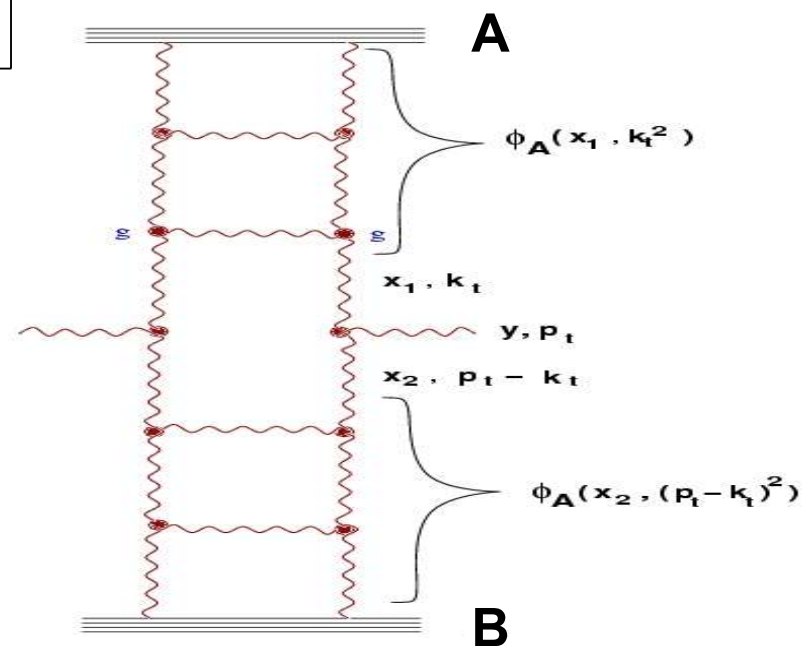
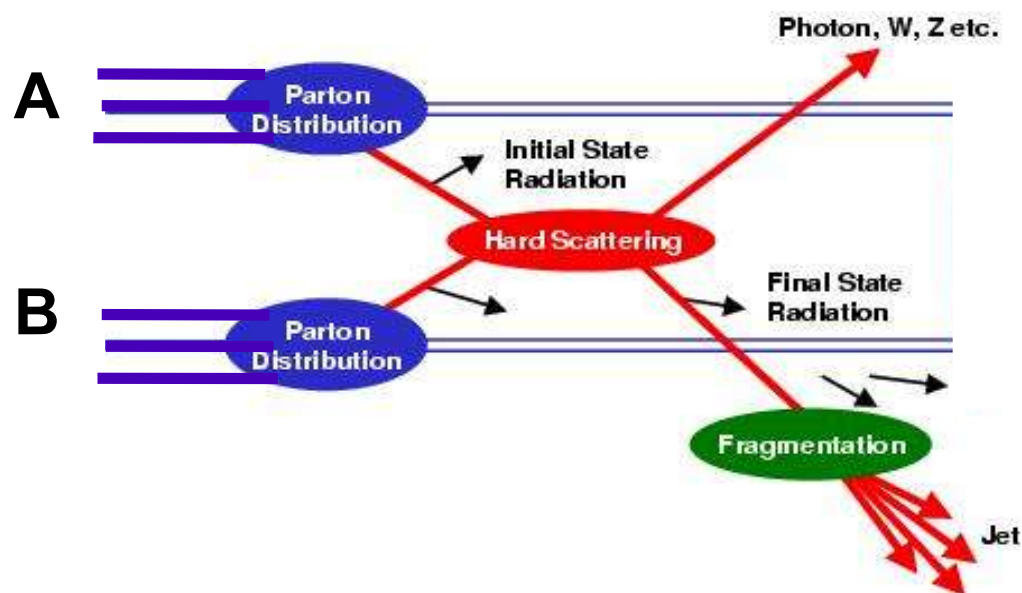
[4] Production yields theoretically **calculable** via:

**perturbative-QCD** or ...

**classical-field QCD:**

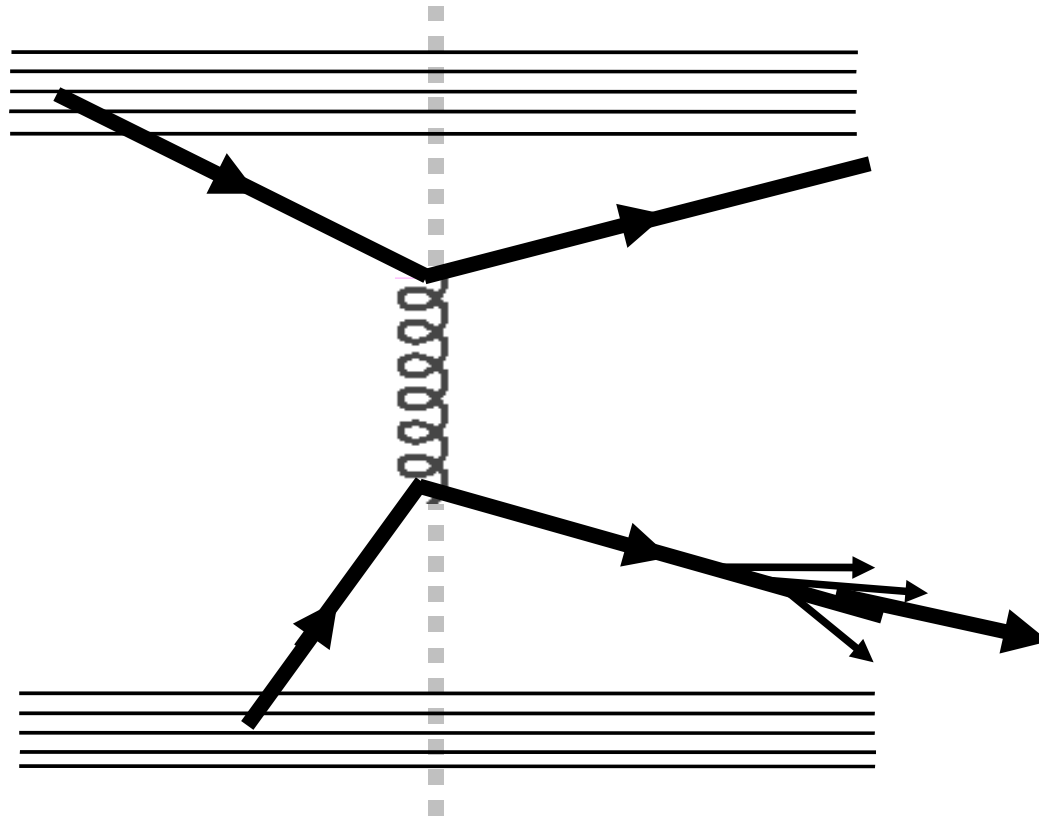
at small-x ...

$$d\sigma_{AB \rightarrow hX} = A \cdot B \cdot f_{a/A}(x_a, Q_a^2) \otimes f_{b/B}(x_b, Q_b^2) \otimes d\sigma_{ab \rightarrow cd} \otimes D_{h/c}(z_c, Q_c^2)$$



Mueller diagram for classical glue radiation

# Hard scattering in A+A collisions



# Hard scattering in A+A collisions

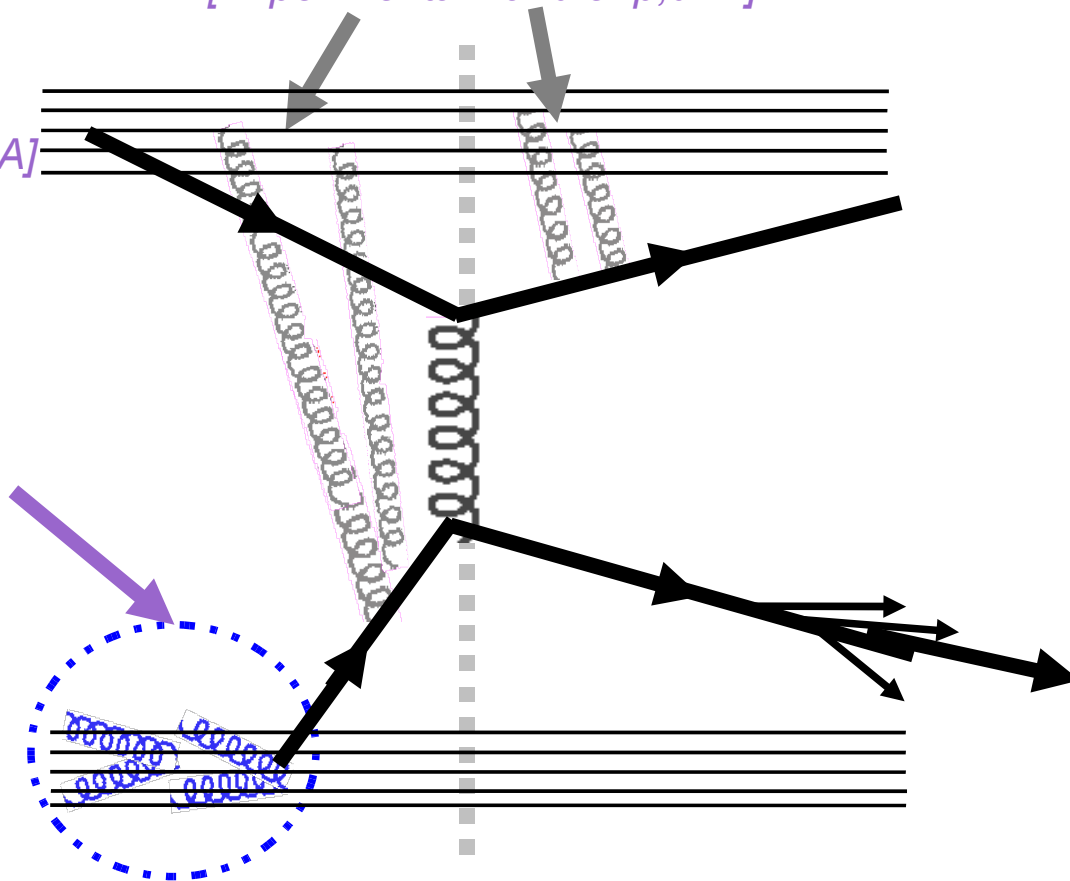
$p_T$  broadening  
(Cronin enhancement)

[Experimental handle:  $p, d+A$ ]

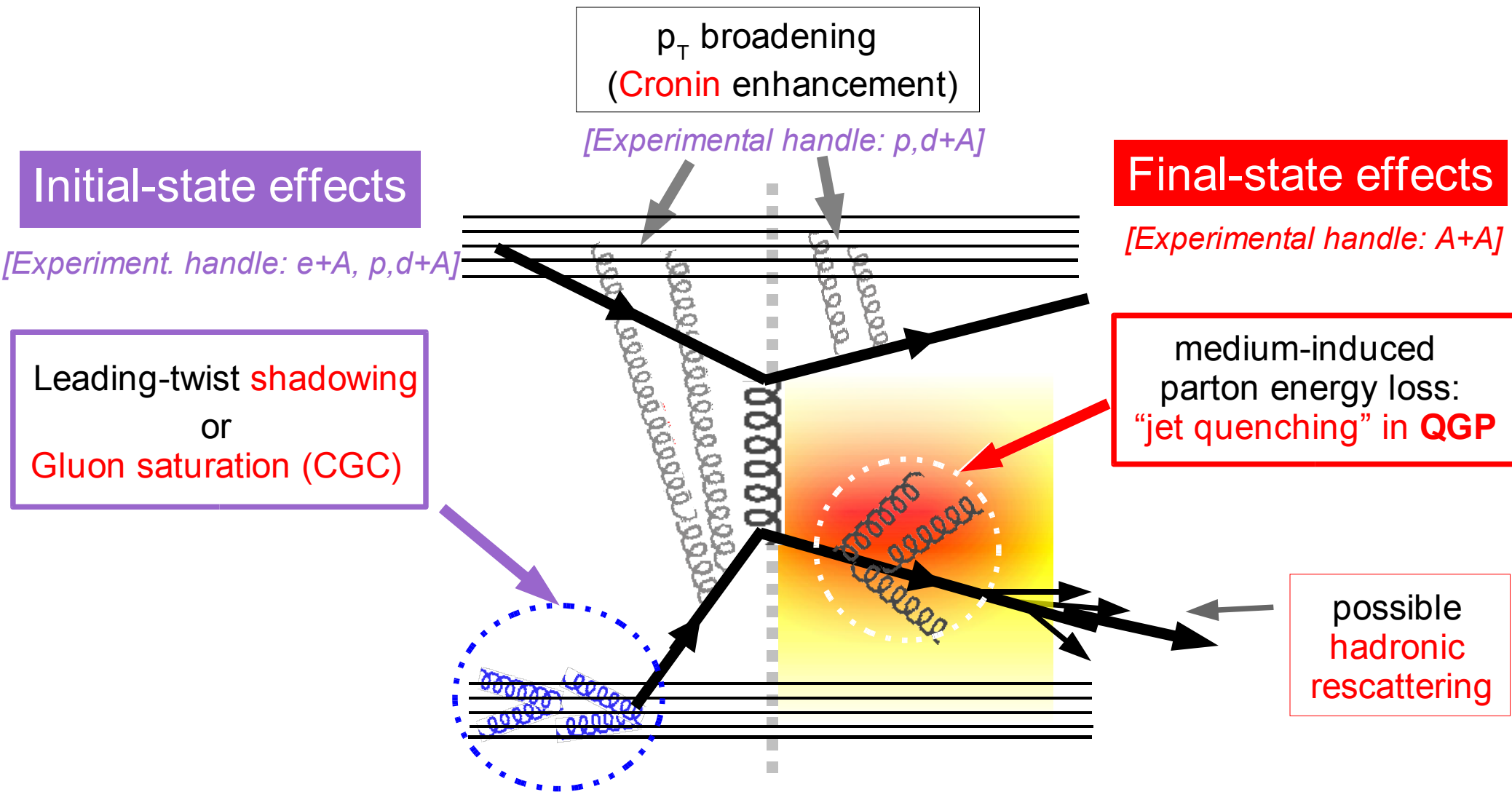
Initial-state effects

[Experiment. handle:  $e+A, p, d+A$ ]

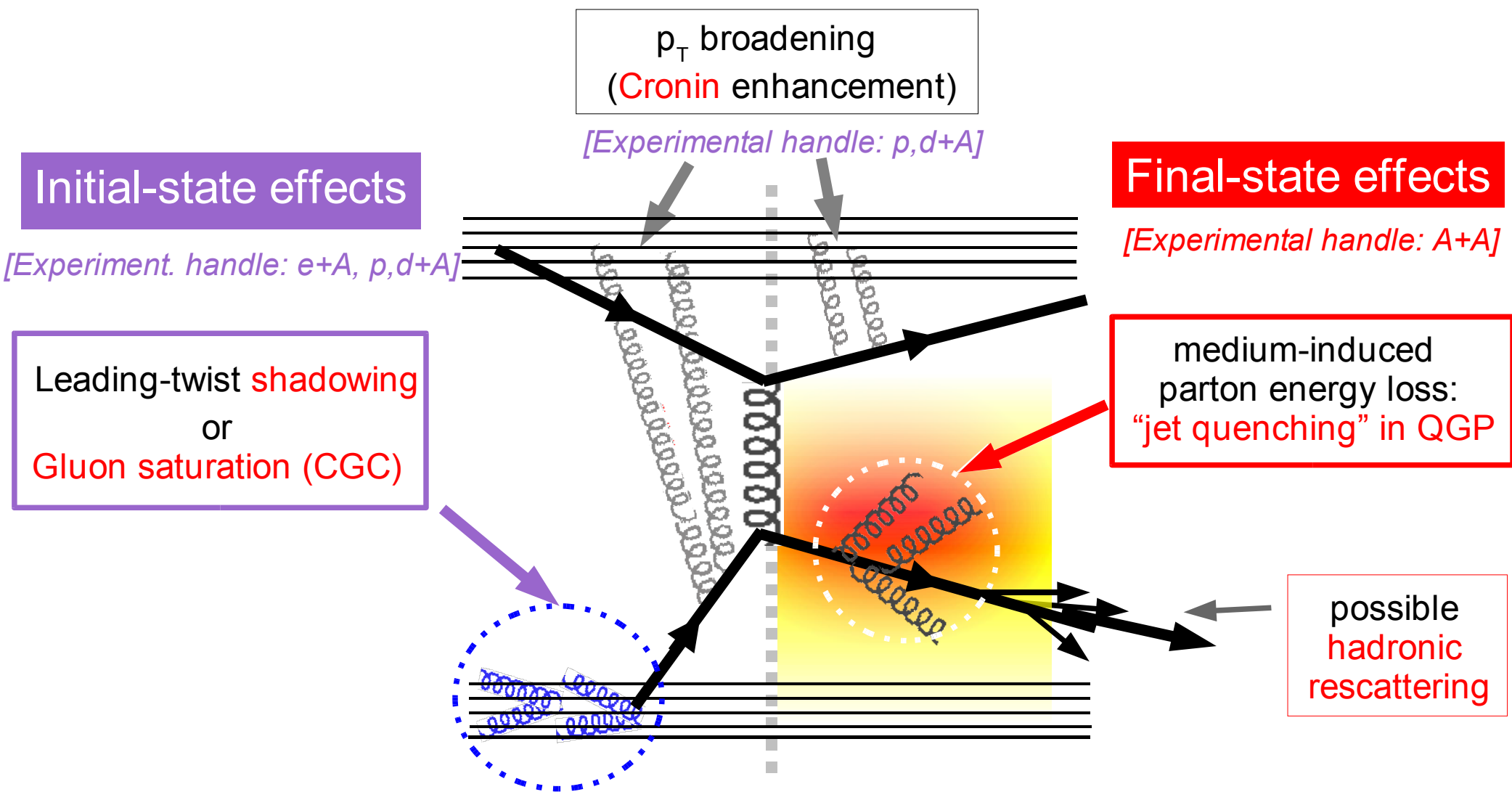
Leading-twist shadowing  
or  
Gluon saturation (CGC)



# Hard scattering in A+A collisions



# Hard scattering in A+A collisions



- Approach: Study modifs. (incl. spectra, partic. composition) of **high  $p_T$  production** in A+A with respect to p+p, p+A to learn about QCD many-body dynamics:
  - “Quark Gluon Plasma” (final-state A+A) and/or
  - “Color Glass Condensate” (initial-state A).

# Final-state QGP effects

- Multiple final-state **gluon radiation** off the produced hard parton induced by the traversed dense colored medium:

- Mean parton **energy loss** probes medium properties:

$$\Delta E_{\text{loss}} \sim \rho_{\text{gluon}} \quad (\text{gluon density})$$

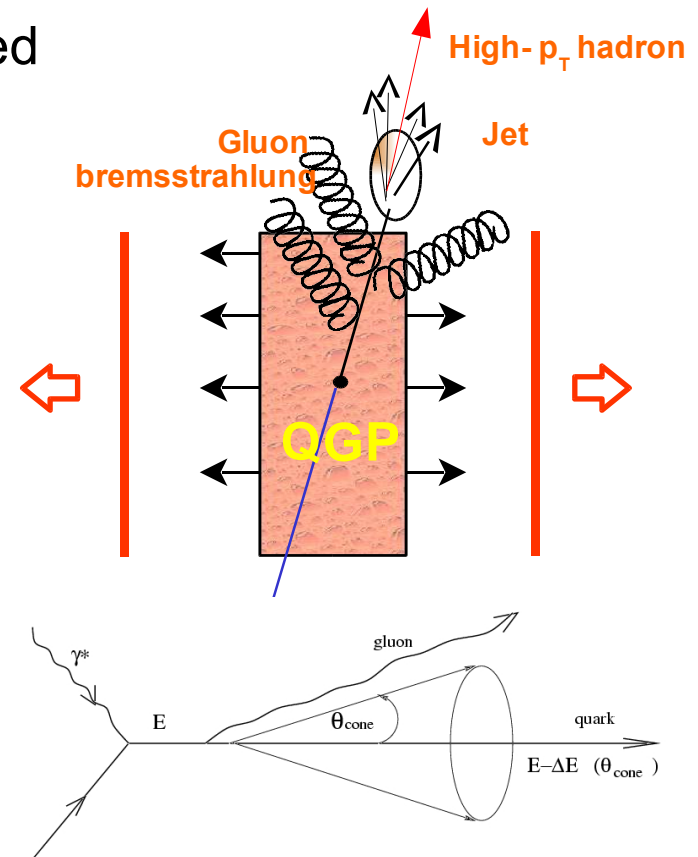
$$\Delta E_{\text{loss}} \sim \Delta L^2 \quad (\text{medium length})$$

- Energy is carried away by gluonstrahlung **outside jet cone**:  $dE/dx \sim \alpha_s \langle k_{\text{T}}^2 \rangle$

- Formalisms**: **BDMPS** (thick plasma), **GLV** (thin plasma),

- Correction for **expanding** plasma (1-D):

$$\Delta E_{1\text{-D}} = (2\tau_0/R_A) \cdot \Delta E_{\text{static}} \sim 15 \cdot \Delta E_{\text{static}} \quad (\tau_0=0.2 \text{ fm}/c, R_A=6 \text{ fm})$$



- Expected result: **Suppression** of high p<sub>T</sub> leading hadrons due to non-abelian **final-state gluon radiation**.

# Initial-state CGC effects

- Nucleus-nucleus collisions described as a collision of two “**classical**” gluonic **wave-functions** (“Color Glass Condensate”).

- Approximation valid around “saturation scale”:

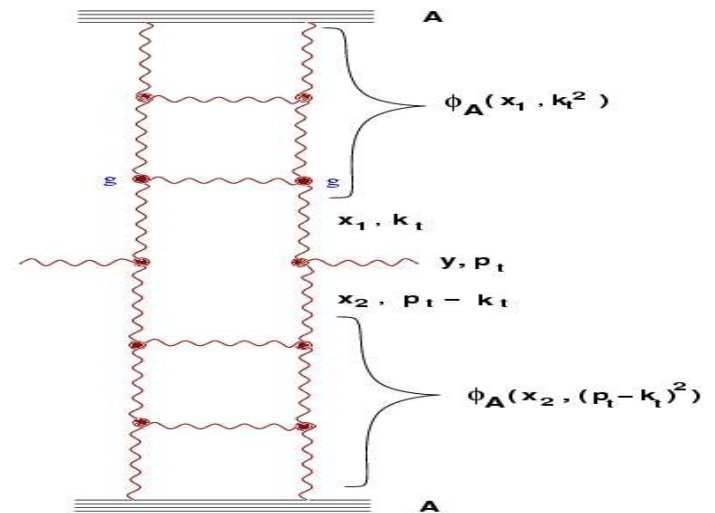
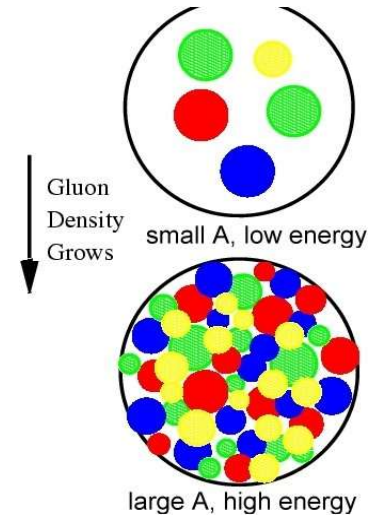
$$Q_s^2 \sim \alpha_s \frac{x G_A(x, Q_s^2)}{\pi R_A^2} \sim 1.5 \text{ GeV}^2/c^2 @ \text{RHIC}$$

$$Q_s^2 \gg \Lambda_{\text{QCD}}^2 \Rightarrow \alpha_s \ll 1 \quad (\text{weak coupling})$$

“**Classical**” (Chromo-Dynamics) methods applicable

- Particle production via **glue-gluon collisions**:

**Extension** to  $p_T > Q_s$  (“**geometric scaling**”) via quantum evolution.



- Expected result: gluon fusion at low  $x$  leads to an effective **depletion** of the number of **partonic scattering centers** in the initial state.



# Relativistic Heavy-Ion Collider (RHIC) @ BNL

## Specifications:

**3.83 km** circumference

**2** independent rings:

- 120 bunches/ring
- 106 ns crossing time

**A + A collisions @  $\sqrt{s} = 200$  GeV**

Luminosity:  $2 \cdot 10^{26} \text{ cm}^{-2} \text{ s}^{-1}$  ( $\sim 1.4$  kHz)

**p+p collisions @ 500 GeV**

**p+A collisions @ 200 GeV**

## 4 experiments:

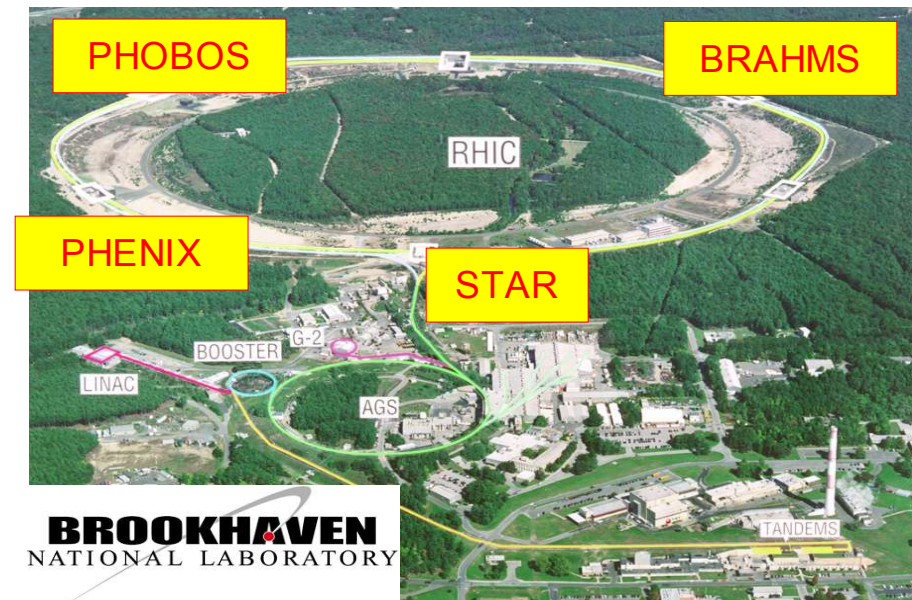
**BRAHMS, PHENIX, PHOBOS, STAR**

Run-1 (2000): **Au+Au @ 130 GeV**

Run-2 (2001-2): **Au+Au, p+p @ 200 GeV**

Run-3 (2002-3): **d+Au, p+p @ 200 GeV**

Run-4 (2004): **Au+Au, p+p @ 200 GeV**



# The PHENIX collaboration

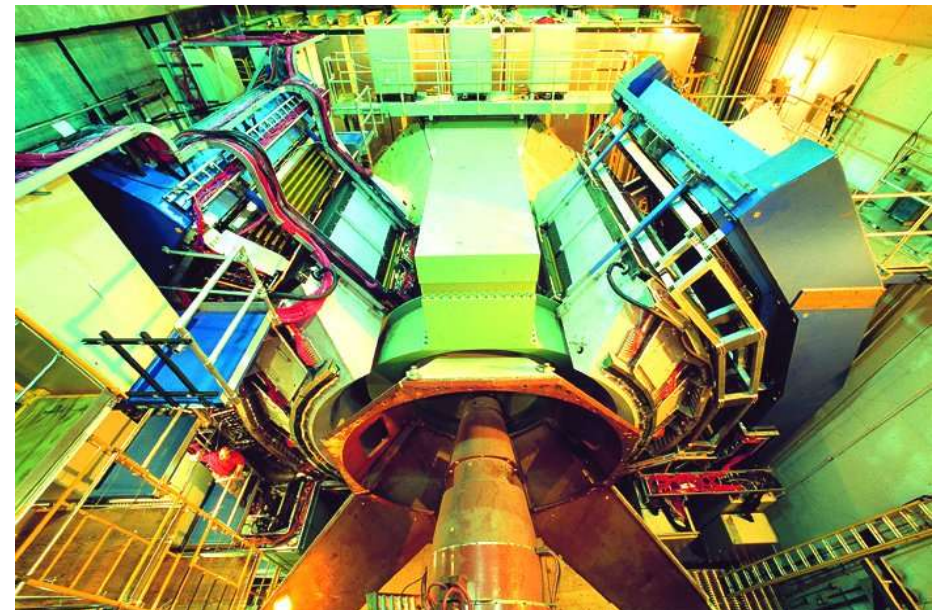
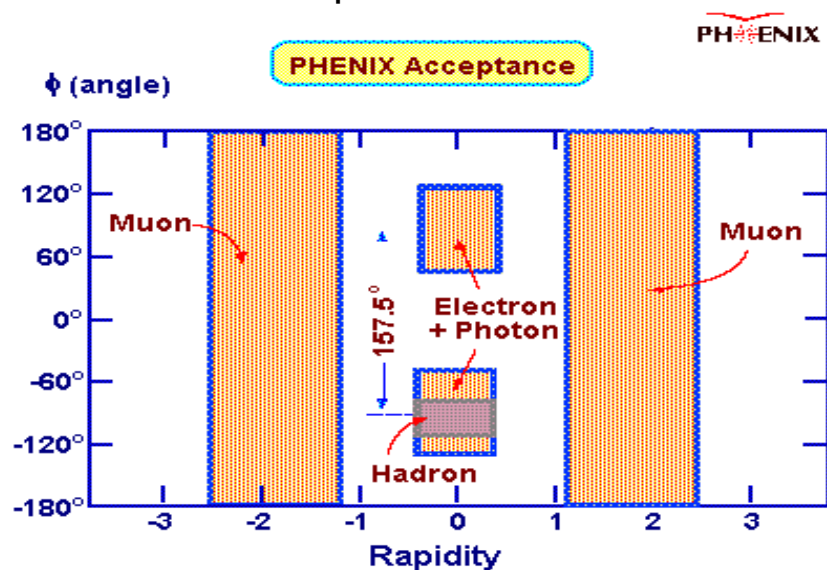
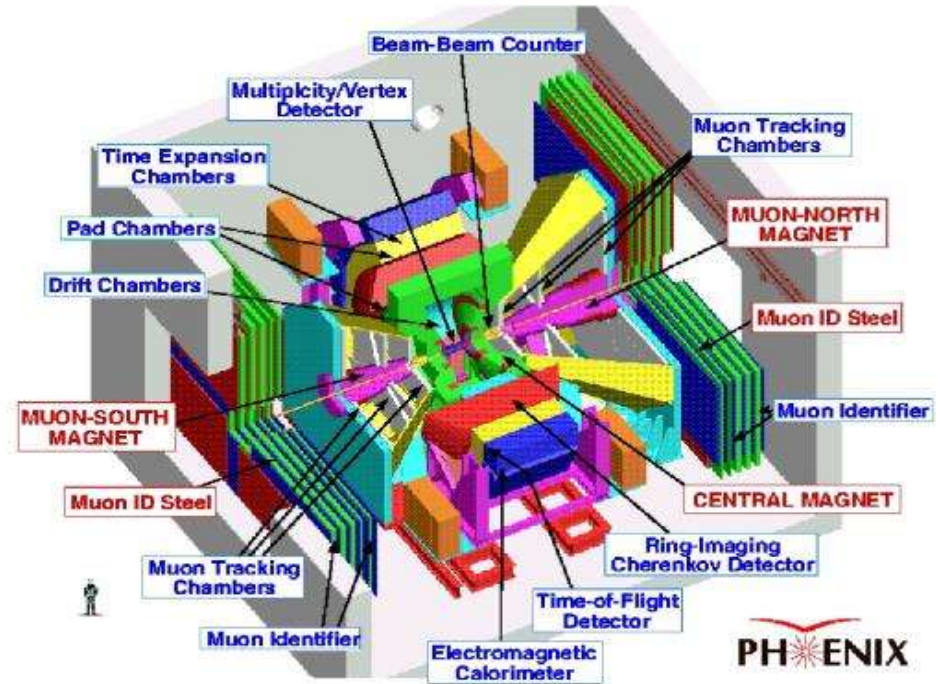
## Pioneering High-Energy Ion eXperiment



University of São Paulo, São Paulo, Brazil  
Academia Sinica, Taipei 11529, China  
China Institute of Atomic Energy (CIAE), Beijing, P. R. China  
Laboratoire de Physique Corpusculaire (LPC), Université de Clermont-Ferrand, 63170 Aubiere, Clermont-Ferrand, France  
Dapnia, CEA Saclay, Bat. 703, F-91191, Gif-sur-Yvette, France  
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LPNHE-Palaiseau, Ecole Polytechnique, CNRS-IN2P3, Route de Saclay, F-91128, Palaiseau, France  
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RIKEN, Institute for Physical and Chemical Research, Hirosawa, Wako, Japan  
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Tokyo Institute of Technology, Ohokayama, Meguro, Tokyo, Japan  
University of Tsukuba, Tsukuba, Japan  
Waseda University, Tokyo, Japan  
Cyclotron Application Laboratory, KAERI, Seoul, South Korea  
Kangnung National University, Kangnung 210-702, South Korea  
Korea University, Seoul, 136-701, Korea

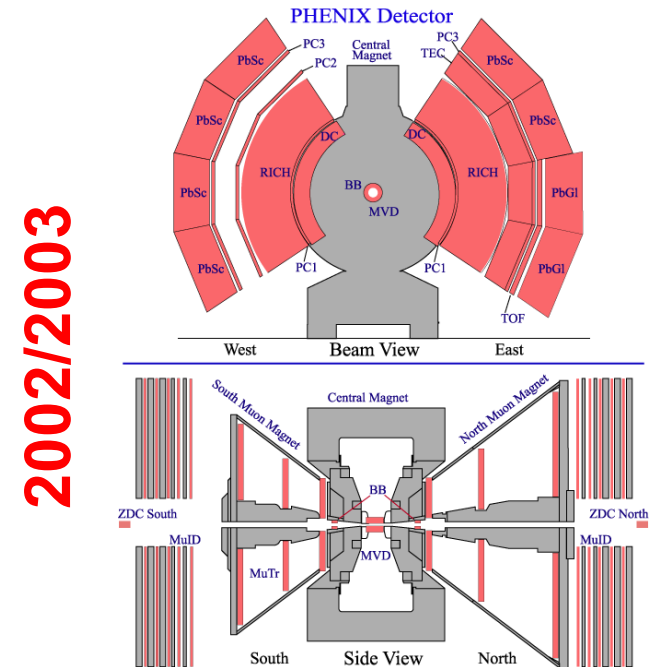
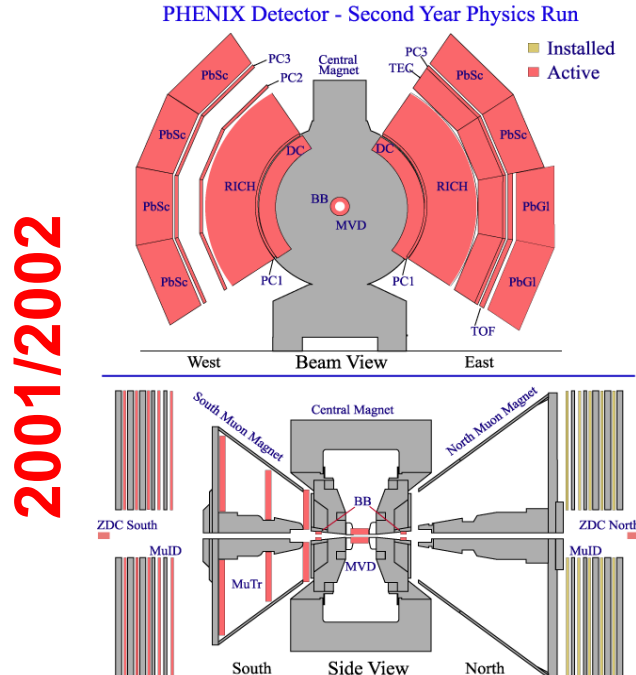
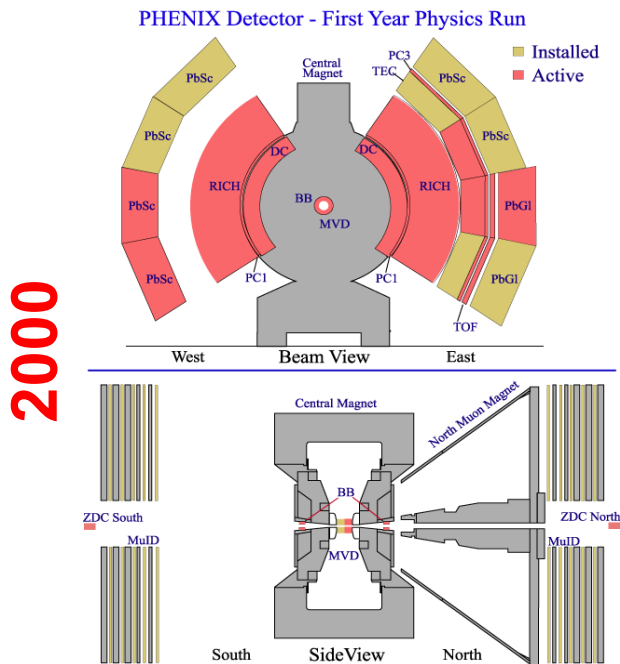
Myong Ji University, Yongin City 449-728, Korea  
System Electronics Laboratory, Seoul National University, Seoul, South Korea  
Yonsei University, Seoul 120-749, KOREA  
Institute of High Energy Physics (IHEP-Protvino or Serpukhov), Protvino, Russia  
Joint Institute for Nuclear Research (JINR-Dubna), Dubna, Russia  
Kurchatov Institute, Moscow, Russia  
PNPI: St. Petersburg Nuclear Physics Institute, Gatchina, Leningrad, Russia  
Lund University, Lund, Sweden  
Abilene Christian University, Abilene, Texas, USA  
Brookhaven National Laboratory (BNL), Upton, NY 11973  
University of California - Riverside (UCR), Riverside, CA 92521, USA  
Columbia University, Nevis Laboratories, Irvington, NY 10533, USA  
Florida State University (FSU), Tallahassee, FL 32306, USA  
Georgia State University (GSU), Atlanta, GA, 30303, USA  
Iowa State University (ISU) and Ames Laboratory, Ames, IA 50011, USA  
LANL: Los Alamos National Laboratory, Los Alamos, NM 87545, USA  
LLNL: Lawrence Livermore National Laboratory, Livermore, CA 94550, USA  
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Oak Ridge National Laboratory (ORNL), Oak Ridge, TN 37831, USA  
University of Tennessee (UT), Knoxville, TN 37996, USA  
Vanderbilt University, Nashville, TN 37235, USA

- 11 detector sub-systems
- 2 Arm central spectrometers:
  - $|\eta| < 0.35$ ,  $\Delta\phi = \pi$  (e,  $\gamma$ , hadrons)
  - Open geometry axial field
- 2 forward spectrometers:
  - $1.2 < |\eta| < 2.5$ ,  $\Delta\phi = 2\pi$  (muons)
  - Radial magnetic field
- 3 global (inner) dets.: trigger, centrality
- Designed to measure rare probes:
  - + high rate capability & granularity
  - + good mass resolution and PID
  - limited acceptance

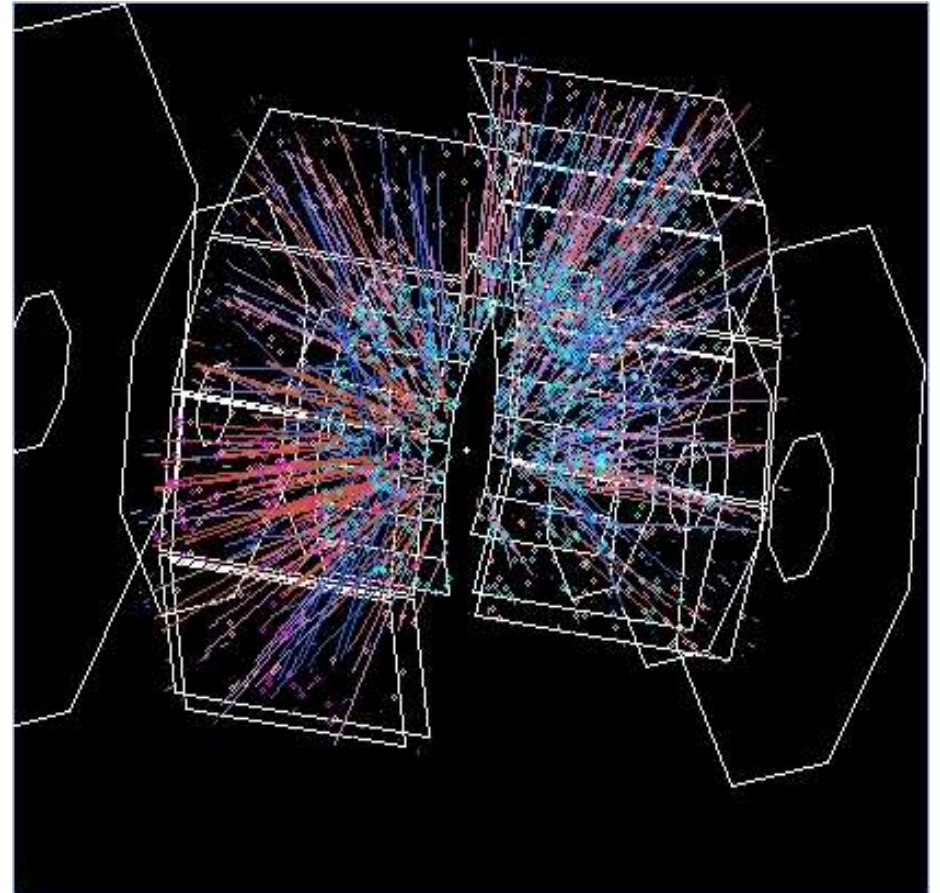
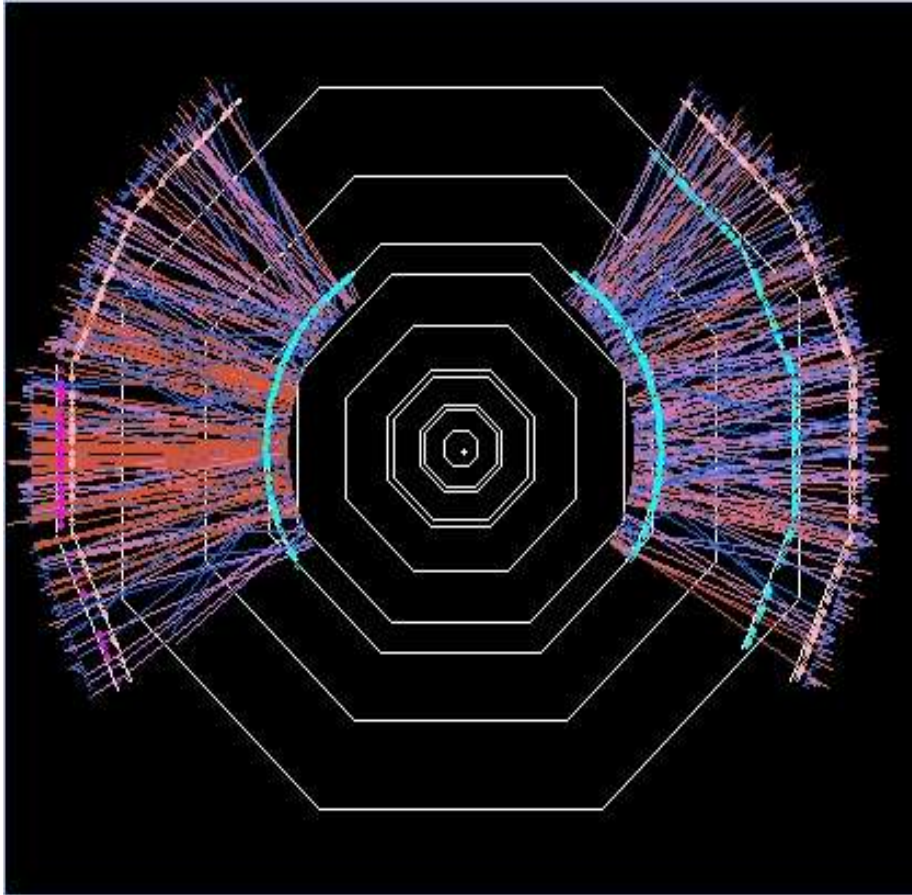


# PHENIX run history

| Run | Year      | Species | $s^{1/2}$ [GeV] | $\int Ldt$      | $N_{tot}$ | tot. data |
|-----|-----------|---------|-----------------|-----------------|-----------|-----------|
| 01  | 2000      | Au - Au | 130             | $1 \mu b^{-1}$  | 10M       | 3 TB      |
| 02  | 2001/2002 | Au - Au | 200             | $24 \mu b^{-1}$ | 170M      | ~20 TB    |
|     |           | p - p   | 200             | $0.15 pb^{-1}$  | 3.7G      | ~10 TB    |
| 03  | 2002/2003 | d - Au  | 200             | $2.74 nb^{-1}$  | 5.5G      | 46 TB     |
|     |           | p - p   | 200             | $0.35 pb^{-1}$  | 4.0G      | 35 TB     |



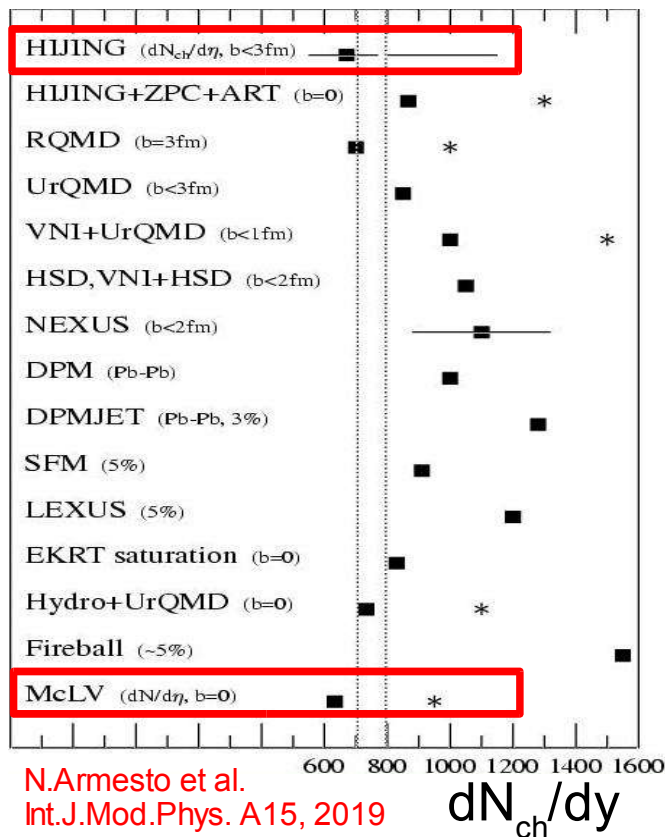
# Au+Au @ 200 GeV in PHENIX



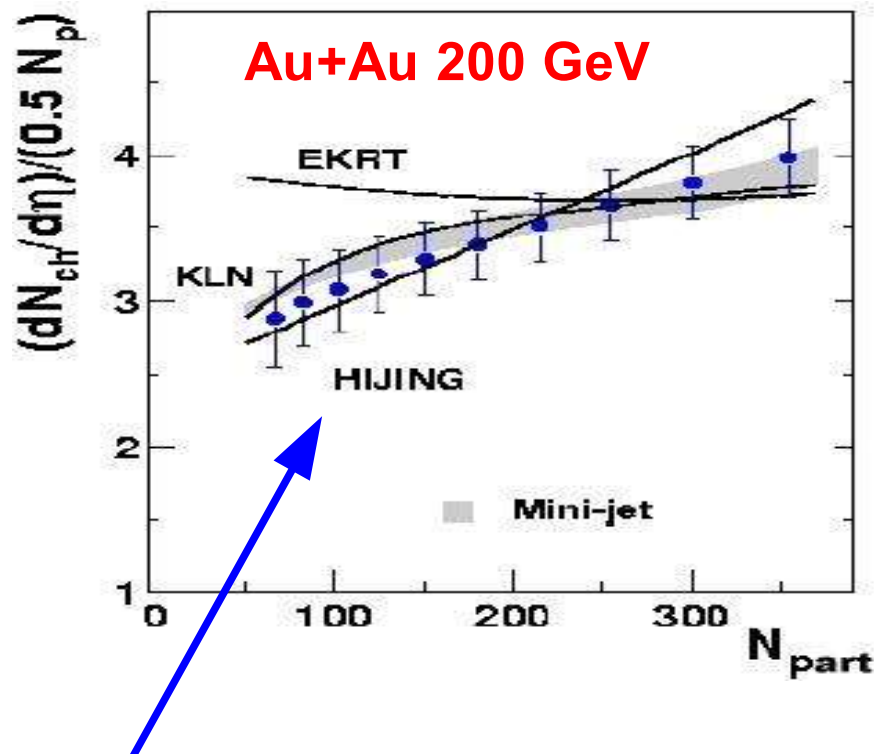
~ 600 charged particles per unit rapidity at midrapidity (top 10% central)

# Foreword: central rapidity densities in Au+Au

- $dN_{ch}/dy$  constraints mechanisms of initial multi-particle production:

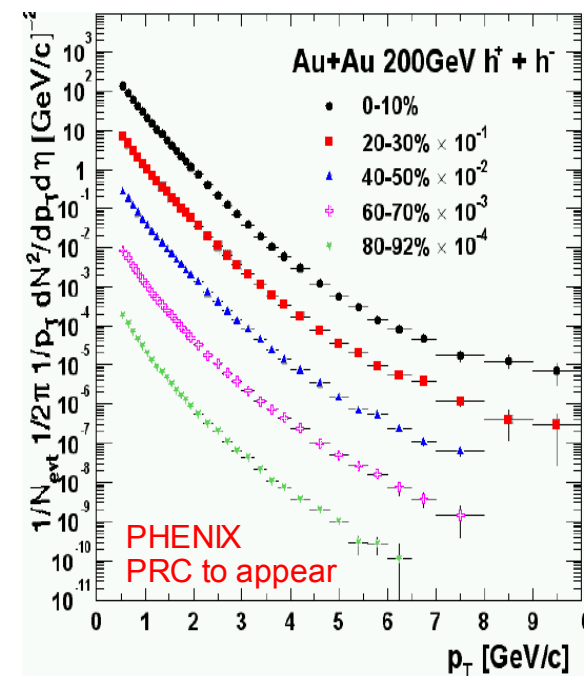
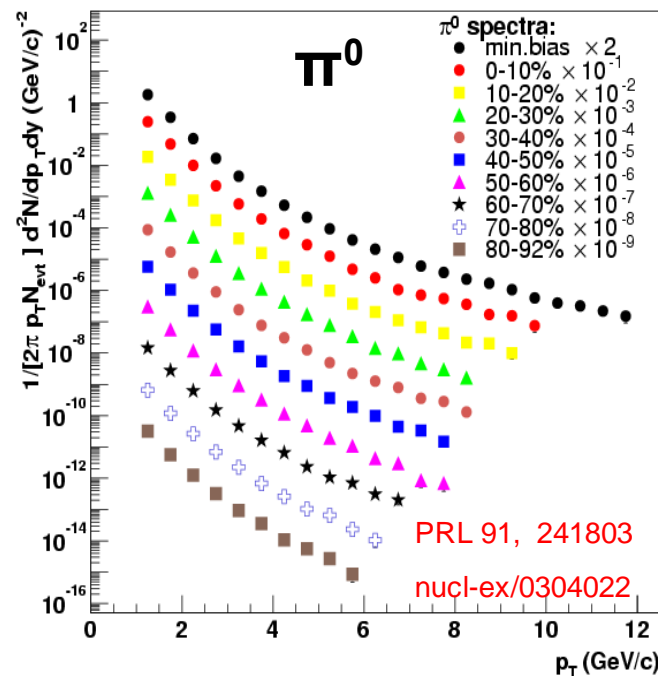
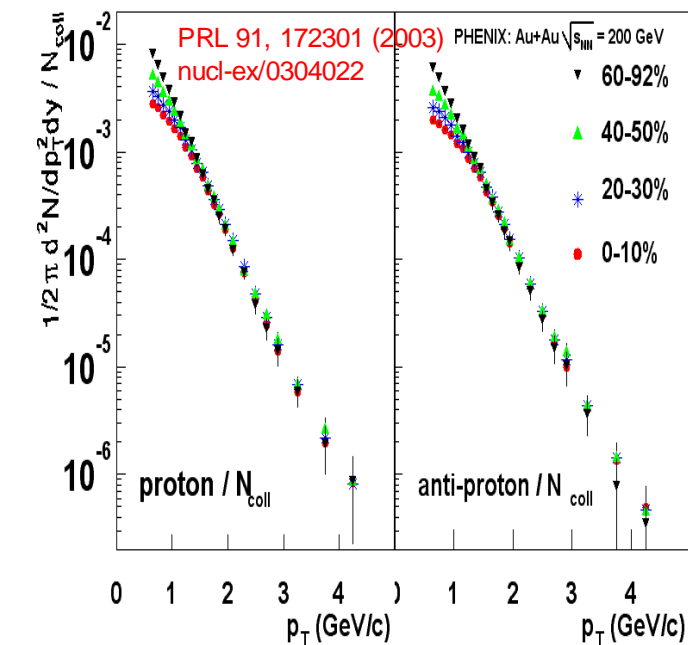
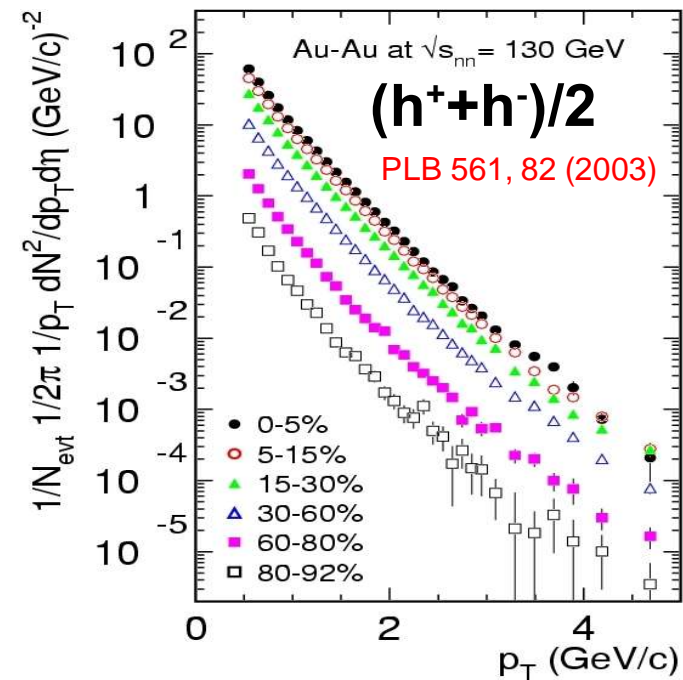
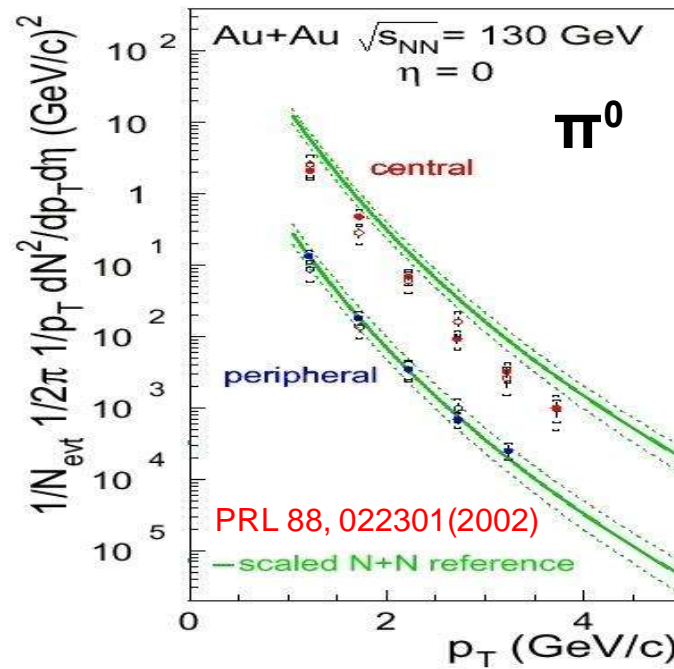
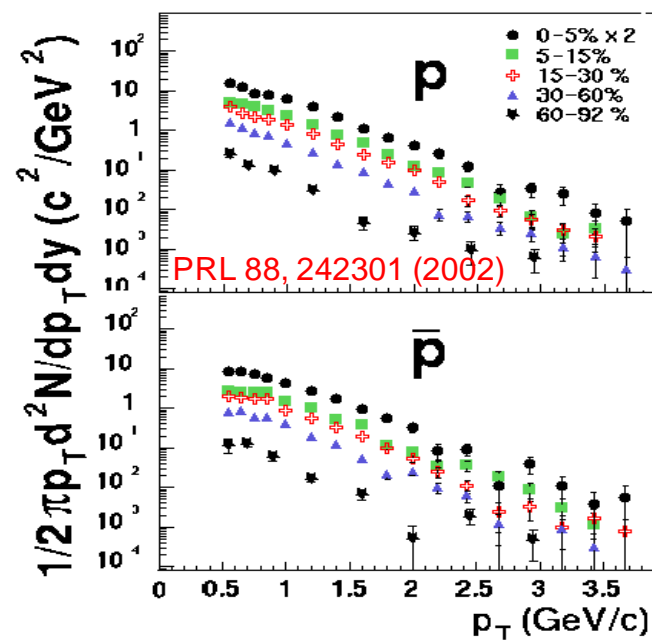


centrality dependence:

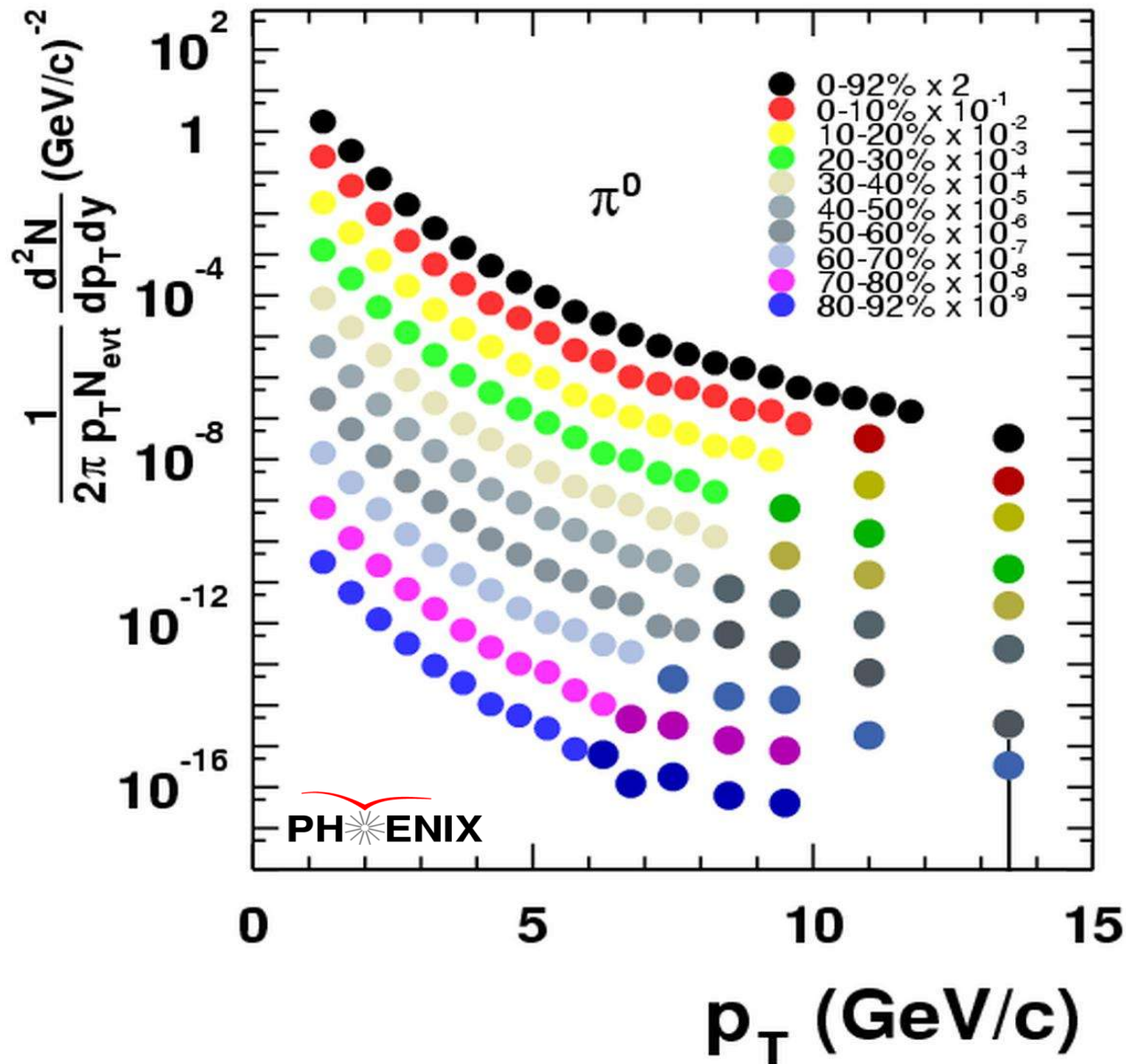


- $dN_{ch}/dy$  (per participant pair) increases faster than linearly with  $N_{part}$  :
- Particle density at  $y=0$  well described by pQCD- & CGC- based models alike:
  - ✓ "Soft + hard" (string + pQCD "minijet"): increased hard contribution ( $\propto N_{coll}$ )
  - ✓ Initial-state gluon saturation (CGC):  $dN_{ch}/dy \sim dN_{gluon}/dy \sim 1/\alpha_s \sim N_{part} \ln(N_{part})$

# Au+Au: high $p_T$ spectra



# Au+Au: latest $\pi^0$ high $p_T$ spectra ...



10 centralities

8 orders of magnitude

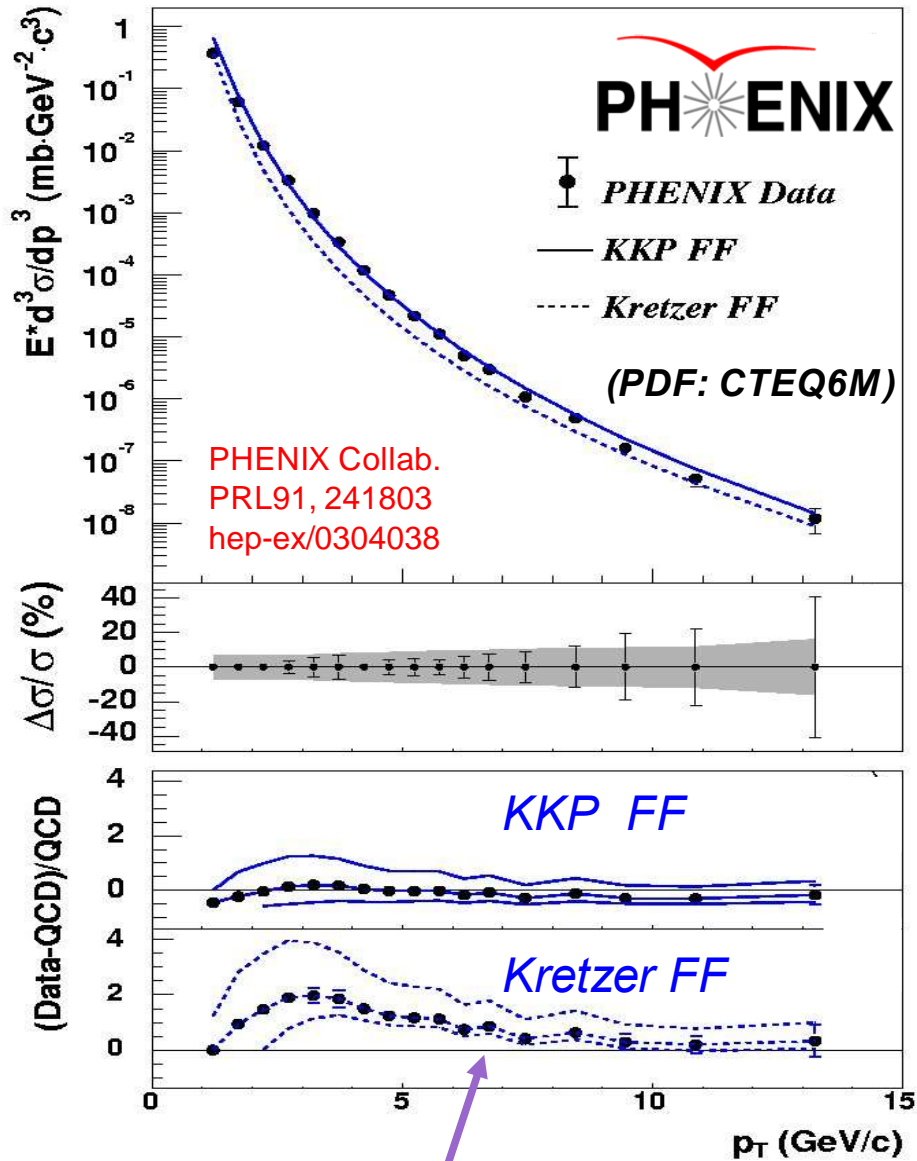
$p_T^{\max} = 15$  GeV/c

large amount of  
high quality high  $p_T$  data

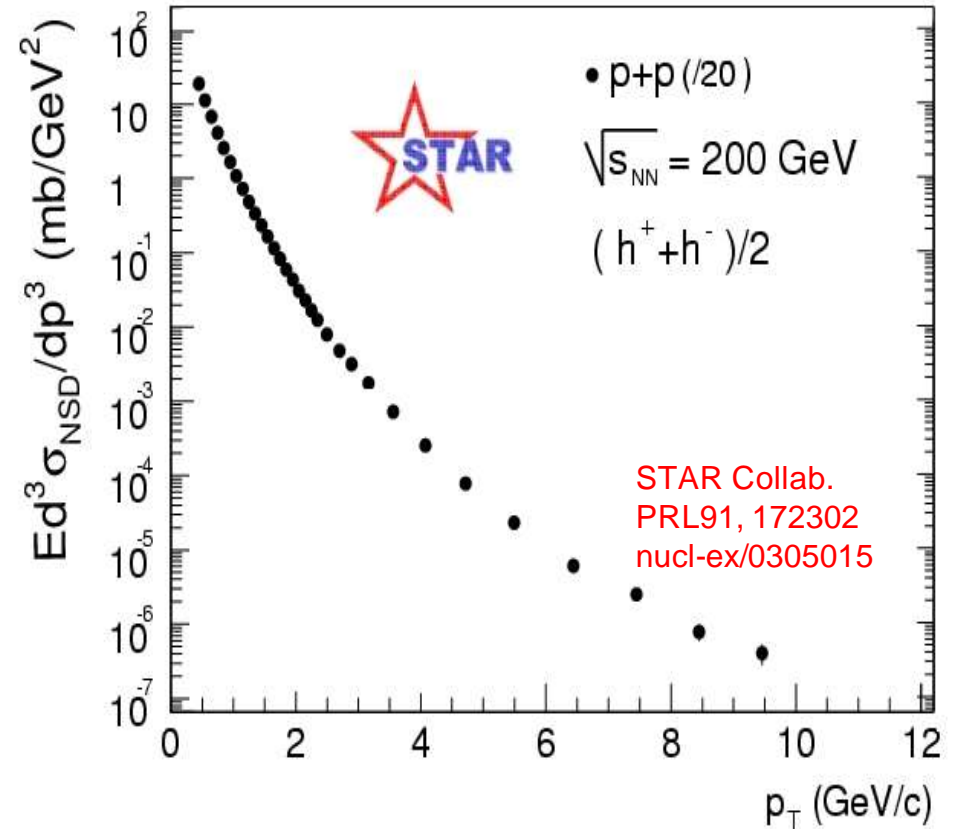


# High $p_T$ p+p @ 200 GeV: “baseline” data

$p+p \rightarrow \pi^0 X$



$p+p \rightarrow h^\pm X$  (Non Singly Diffractive)



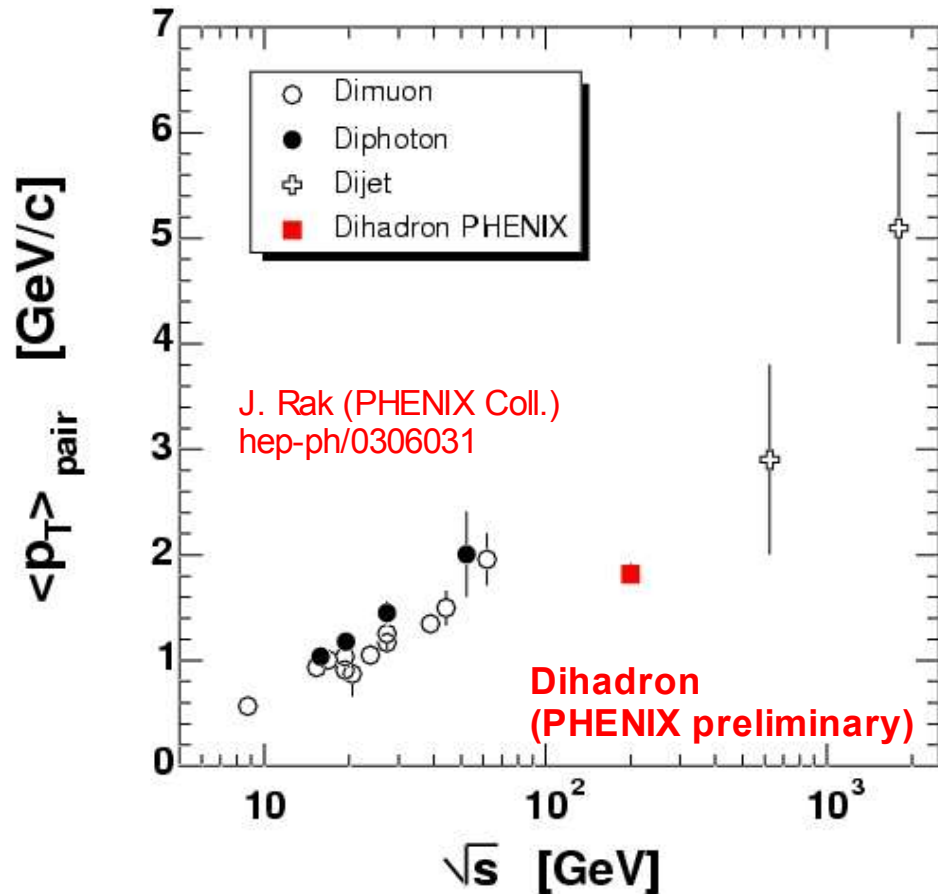
- Well calibrated (experimentally & theoretically) p+p references at hand !

Good theoretical (NLO pQCD) description

# Parenthesis (1): p+p physics @ high $p_T$

$p+p \rightarrow di-X$

Intrinsic  $\langle k_T \rangle = 725 \pm 34 \text{ MeV}/c$



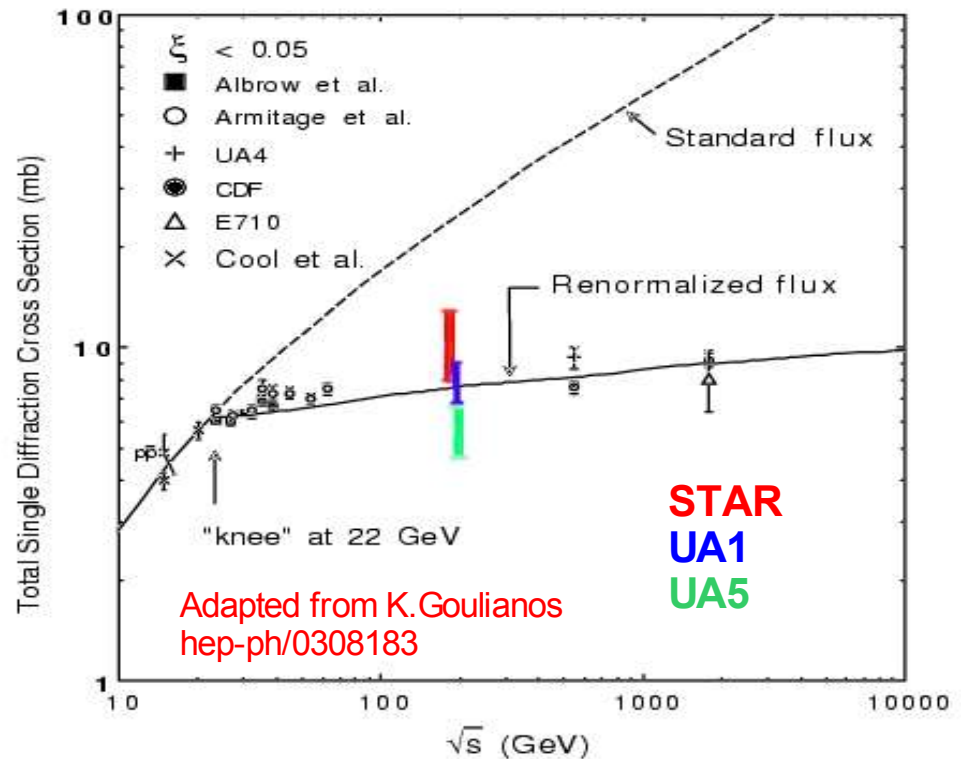
● Jet characterization ( $k_T, j_T \dots$ )

$p+p, p\bar{p} \rightarrow h^\pm X$  (Singly Diffractive)

$\sigma_{SD}(\text{STAR}) \sim 9 \pm 3.5 \text{ mb}$

$\sigma_{SD}(\text{UA1}) = 7 \pm 1 \text{ mb}$

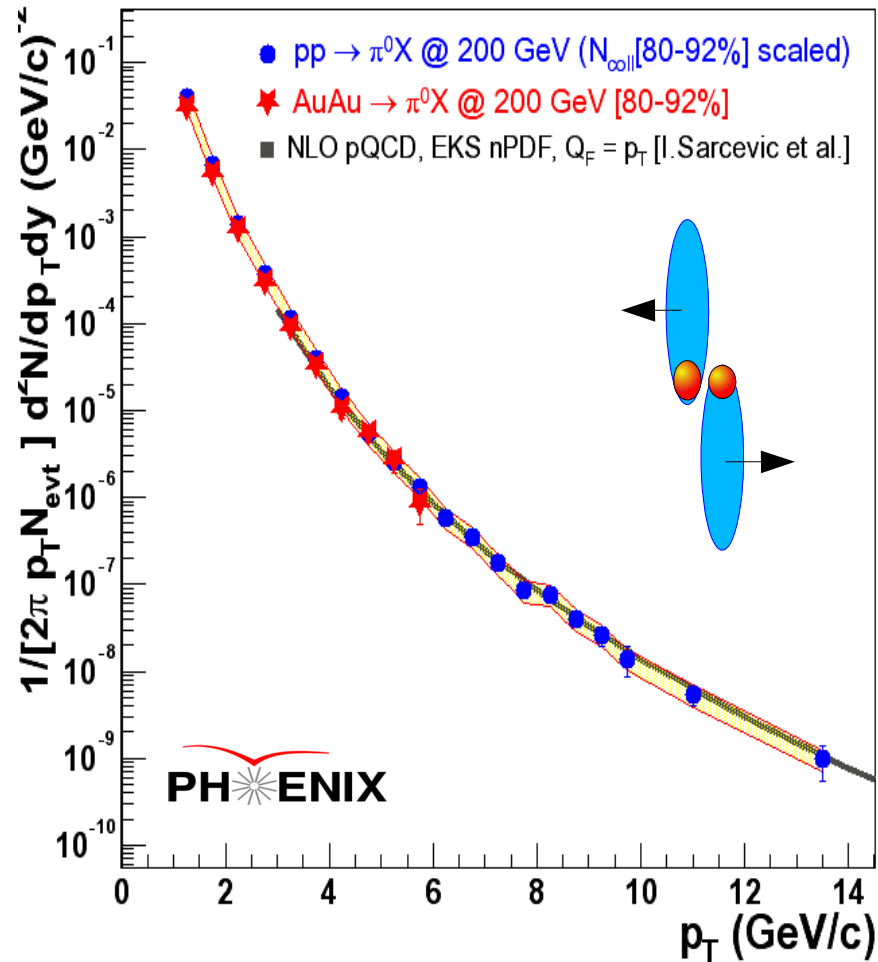
$\sigma_{SD}(\text{UA5}) = 4.8 \pm 0.9 \text{ mb}$



● Diffractive physics

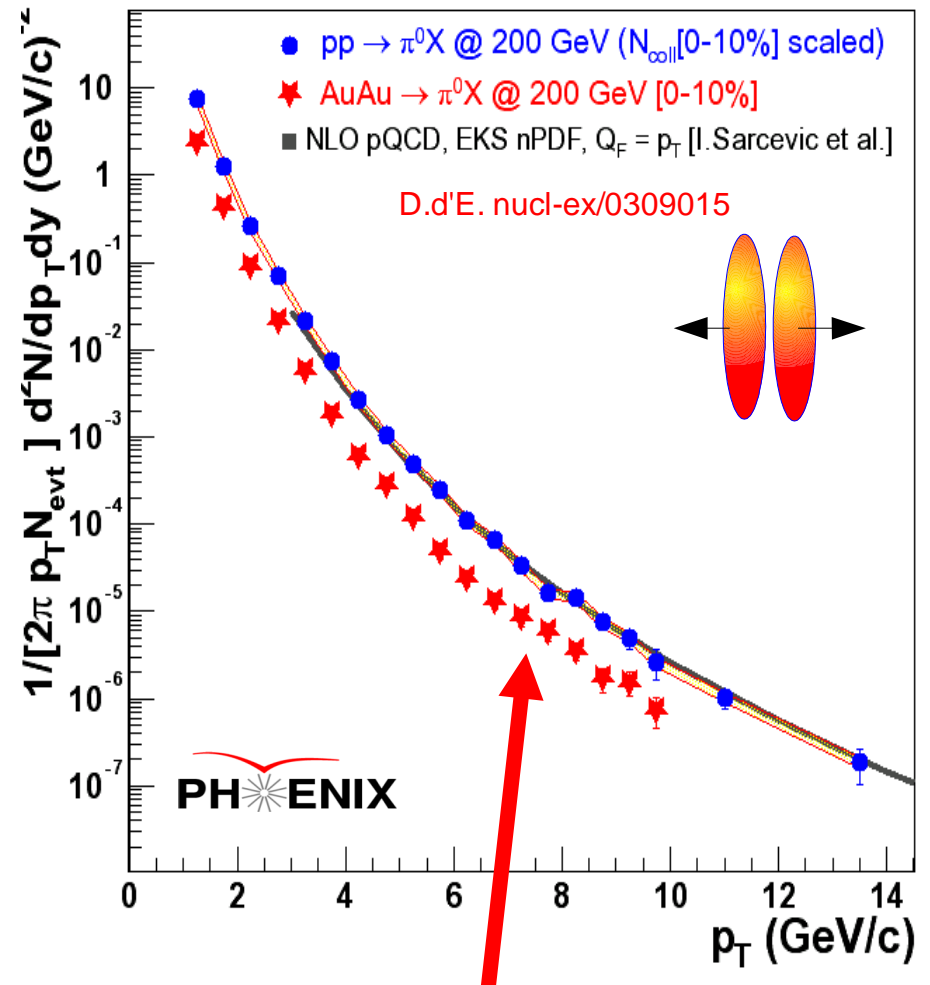
# Au+Au vs. p+p @ 200 GeV ( $\pi^0$ )

Au+Au  $\rightarrow \pi^0 X$  (peripheral)



Peripheral data **agree** well with  
p+p (data&pQCD) plus  $N_{\text{coll}}$  scaling

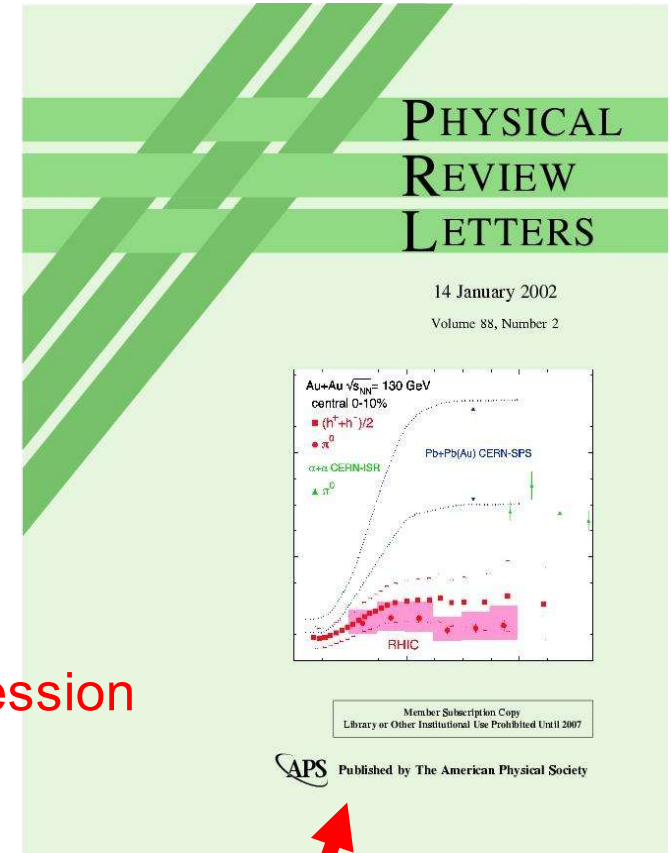
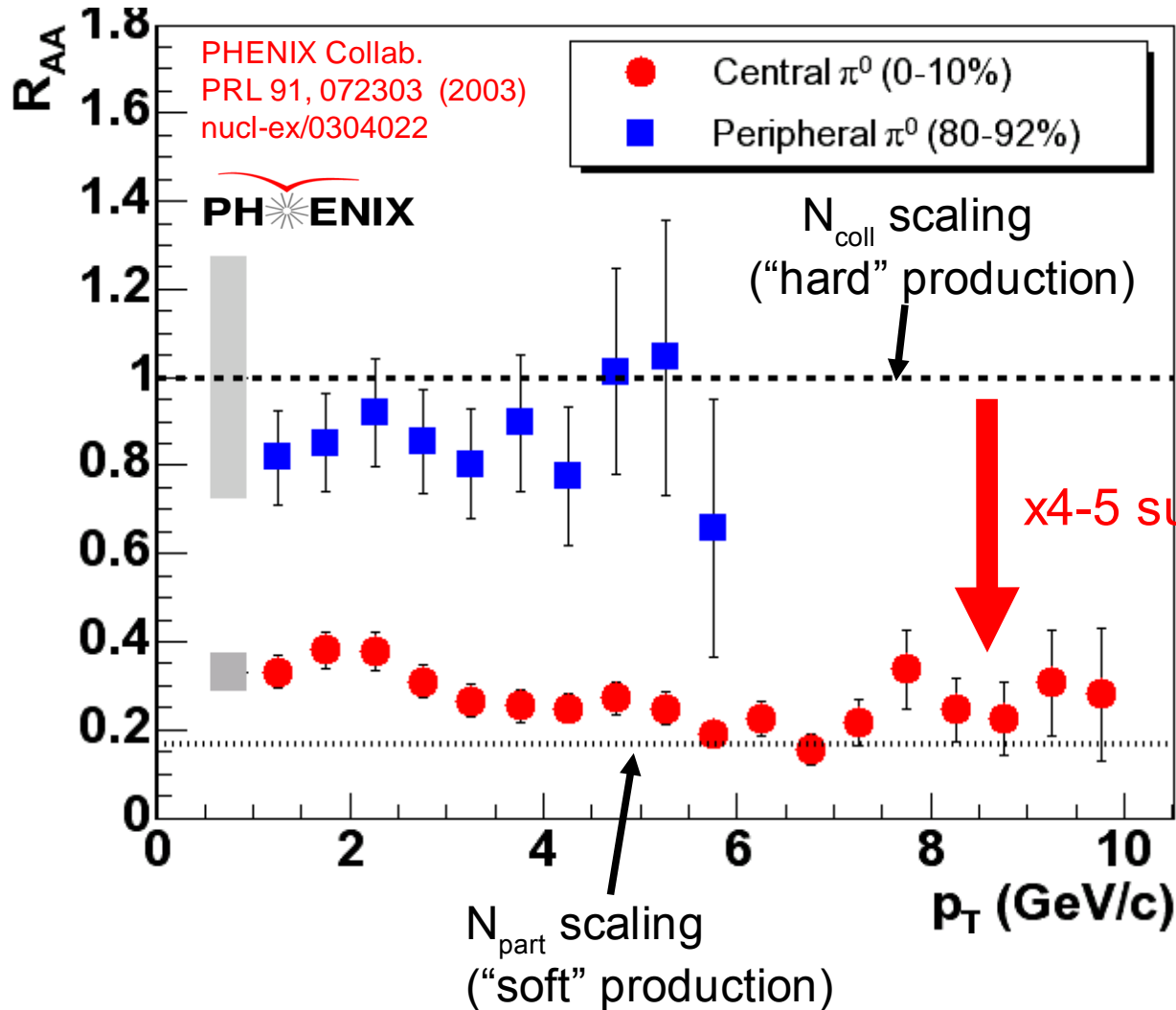
Au+Au  $\rightarrow \pi^0 X$  (central)



Strong **suppression** in  
central Au+Au collisions

# Nuclear modification factor ( $\pi^0$ )

$$R_{AA}(p_T) = \frac{d^2 N_{AA}/dydp_T}{\langle T_{AB}(b) \rangle \cdot d^2 \sigma_{pp}/dydp_T}$$

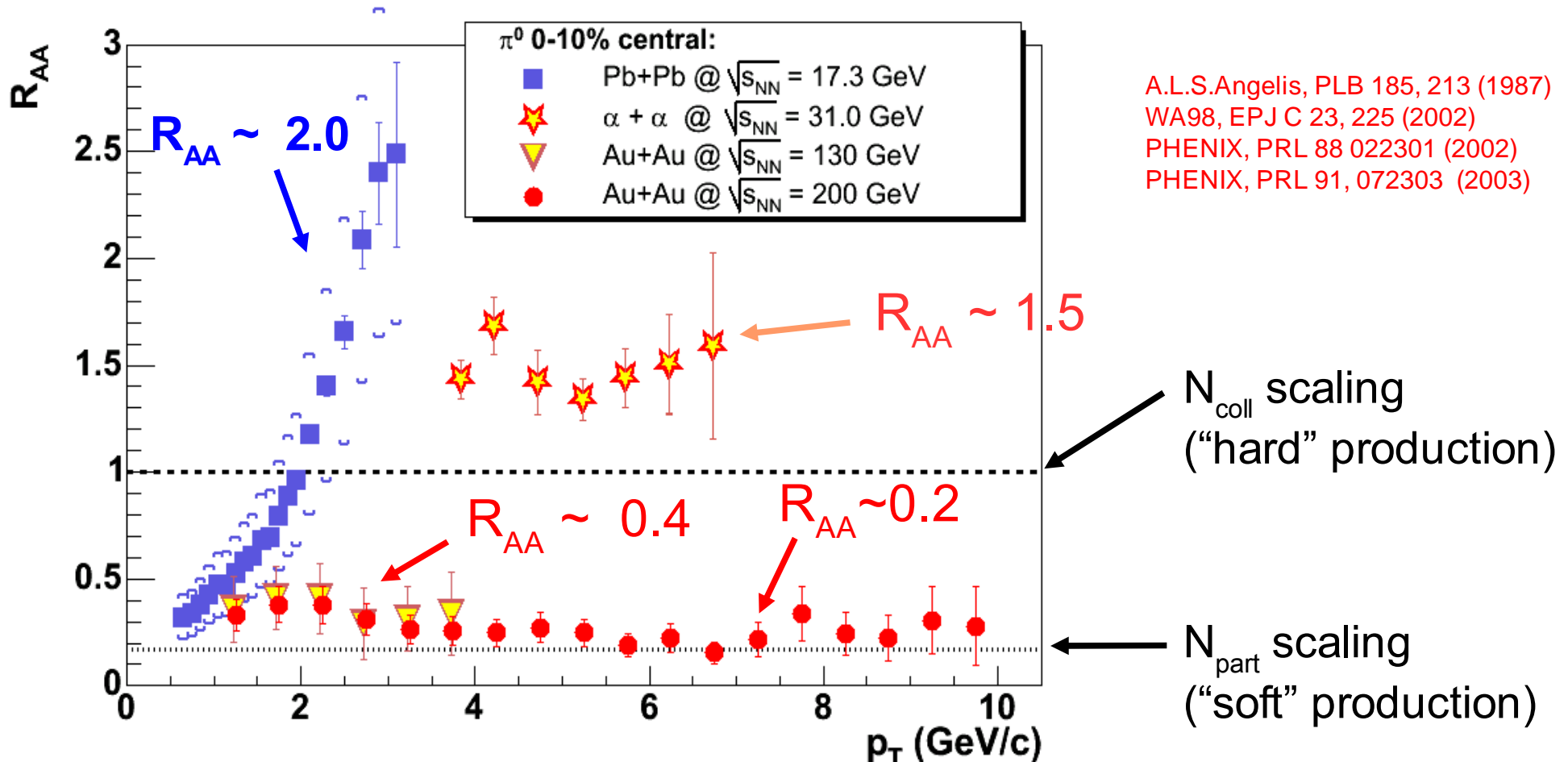


Discovery of  
high  $p_T$  suppression  
(one of most significant  
results @ RHIC so far)

# Nuclear modification factor: $\sqrt{s_{NN}}$ dependence

$R_{AA}(\pi^0)$  compilation in nucleus-nucleus collisions:

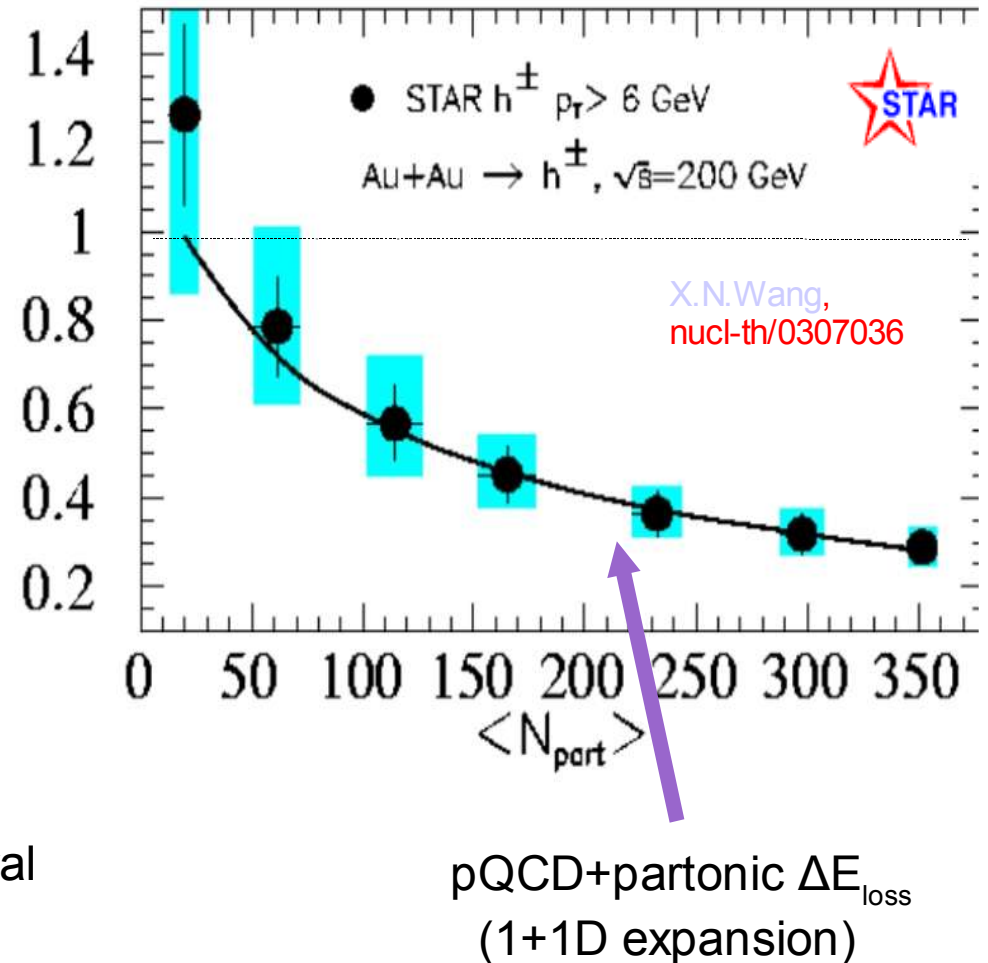
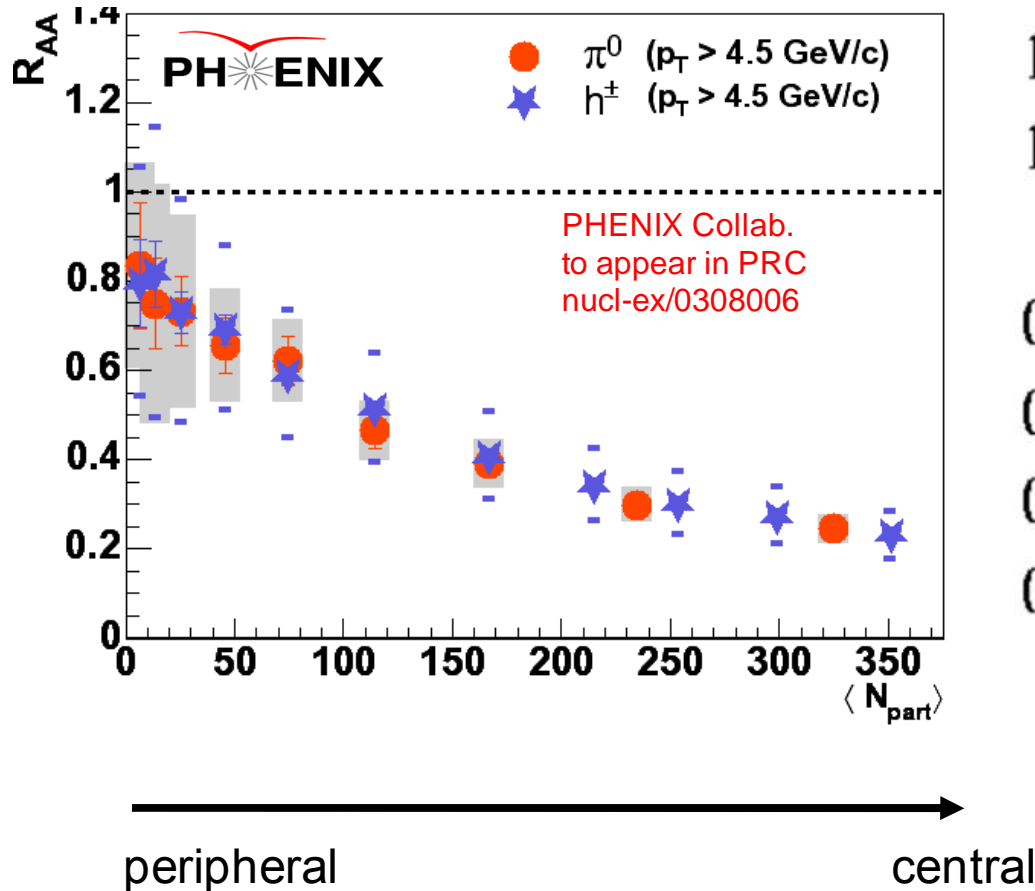
- **CERN:** Pb+Pb ( $\sqrt{s_{NN}} = 17.3$  GeV),  $\alpha+\alpha$  ( $\sqrt{s_{NN}} = 31$  GeV): **Cronin enhancement.**
- **RHIC:** Au+Au ( $\sqrt{s_{NN}} = 130, 200$  GeV): **x 4-5 suppression.**



# High $p_T$ suppression: centrality dependence (I)

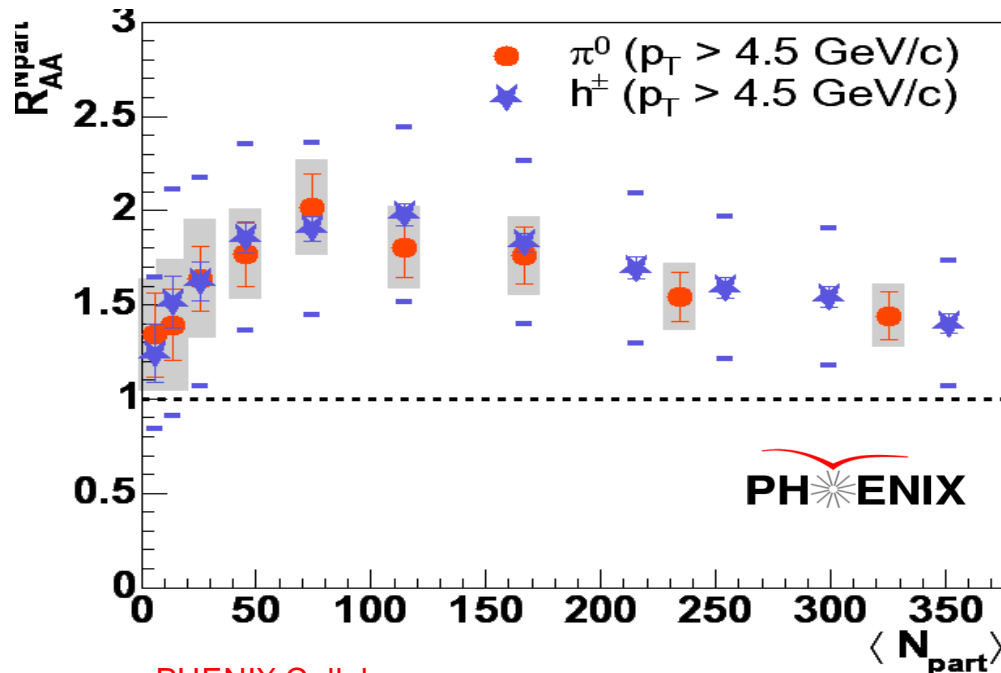
back to RHIC energies ....

- Smooth evolution of suppression w.r.t.  $N_{\text{coll}}$  scaling  
(in agreement with **pQCD+parton energy loss** expectations):

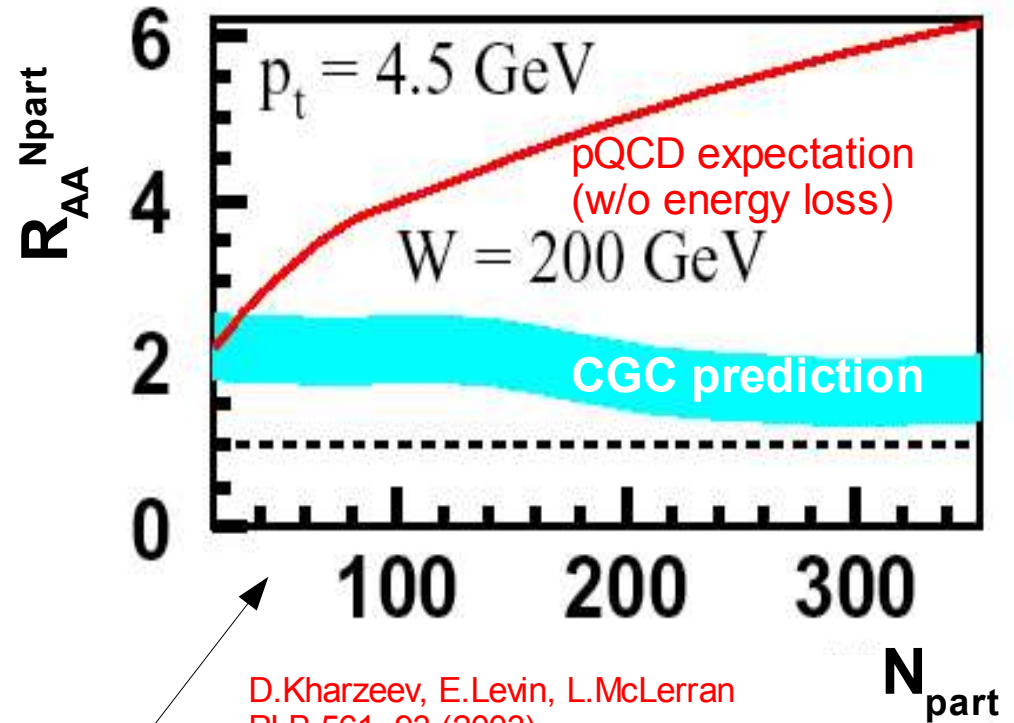


# High $p_T$ suppression: centrality dependence (II)

- Let's change the A+A/p+p scaling factor now:  $N_{\text{coll}}$  (hard)  $\rightarrow$   $N_{\text{part}}$  (soft)
- Approx.  $N_{\text{part}}$  scaling:** high  $p_T$  production per participant pair  $\sim$ const. in wide range of centralities



PHENIX Collab.  
to appear in PRC  
nucl-ex/0308006

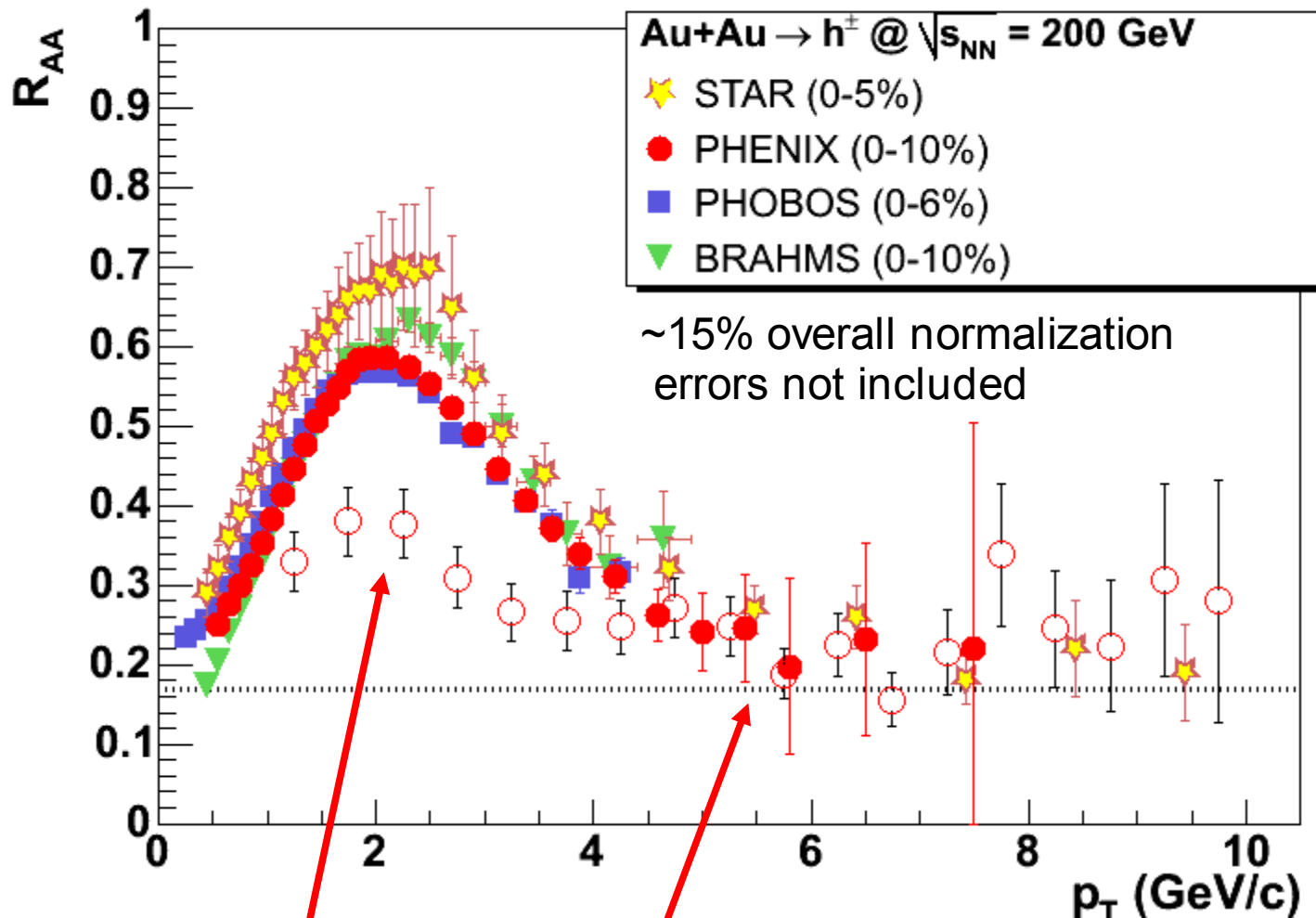


D.Kharzeev, E.Levin, L.McLerran  
PLB 561, 93 (2003)

- In accord with **Color Glass Condensate** predictions too

# High $p_T$ suppression. Particle dependence (I): $h^\pm$ vs. $\pi^0$

- Inclusive **charged** hadrons **suppressed** a factor  $\sim 4 - 5$  at  $p_T > 5$  GeV/c



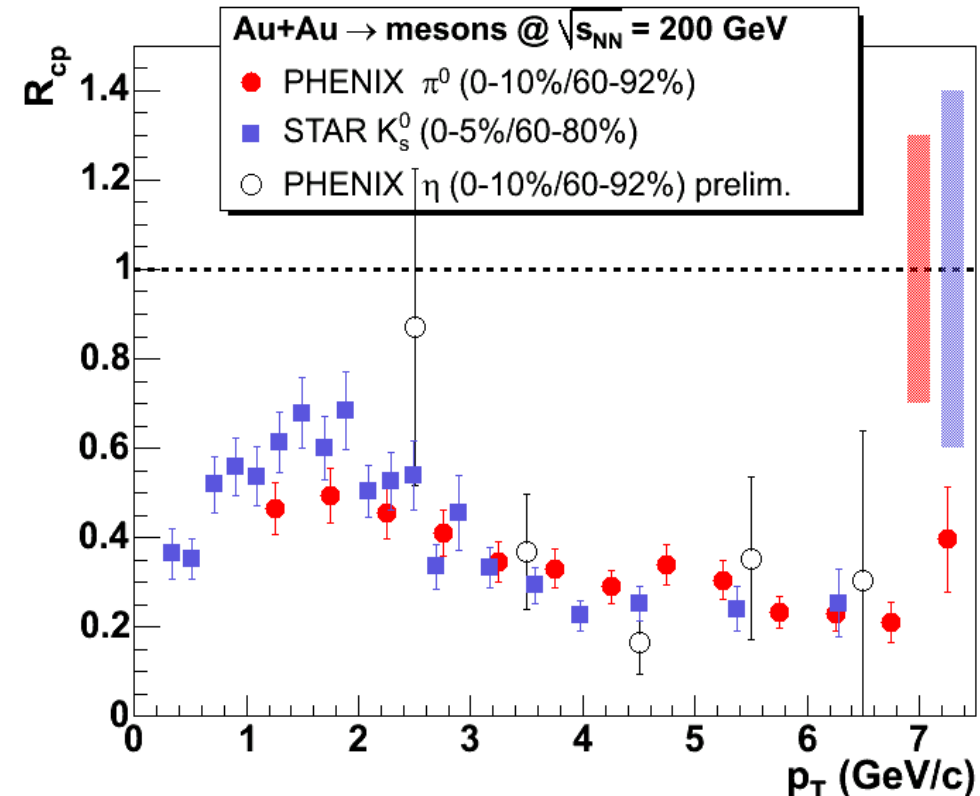
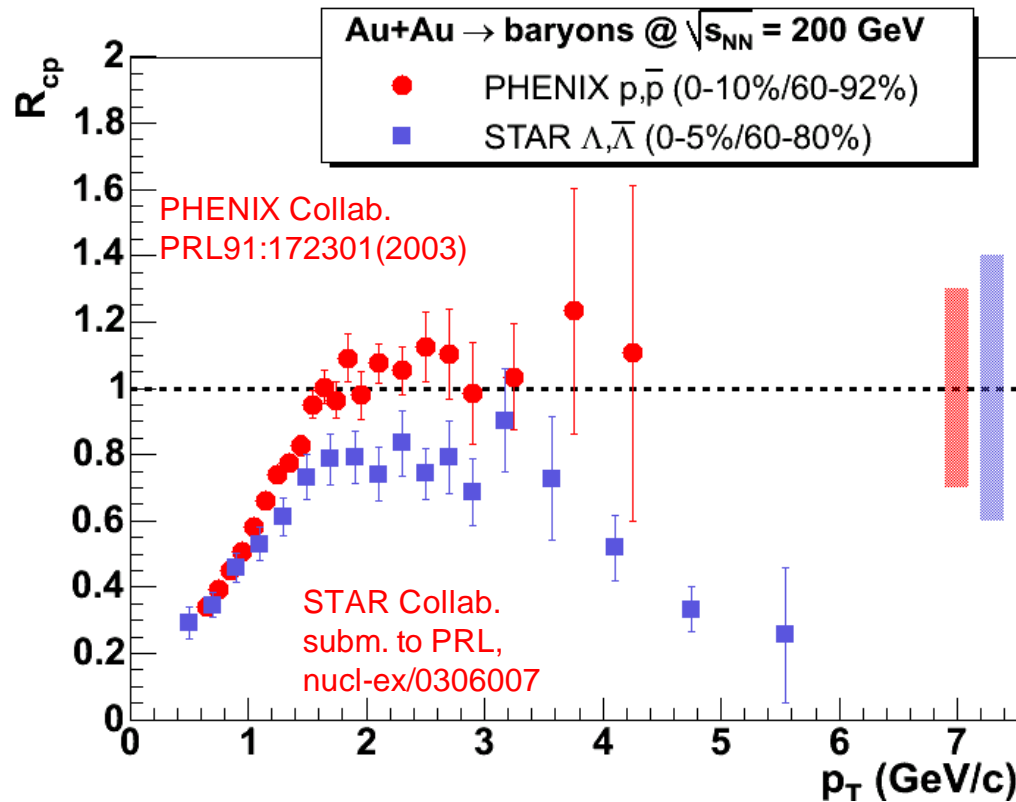
- ... but **less suppressed than  $\pi^0$**  within  $p_T = 2 - 5$  GeV/c.
- Universal** (PID-wise) suppression **above  $p_T = 5$  GeV/c**



# High $p_T$ suppression - Particle depend. (II): baryons vs. mesons

●  $R_{cp}$  (ratio central/peripheral) at intermediate  $p_T = 2 - 4$  GeV/c:

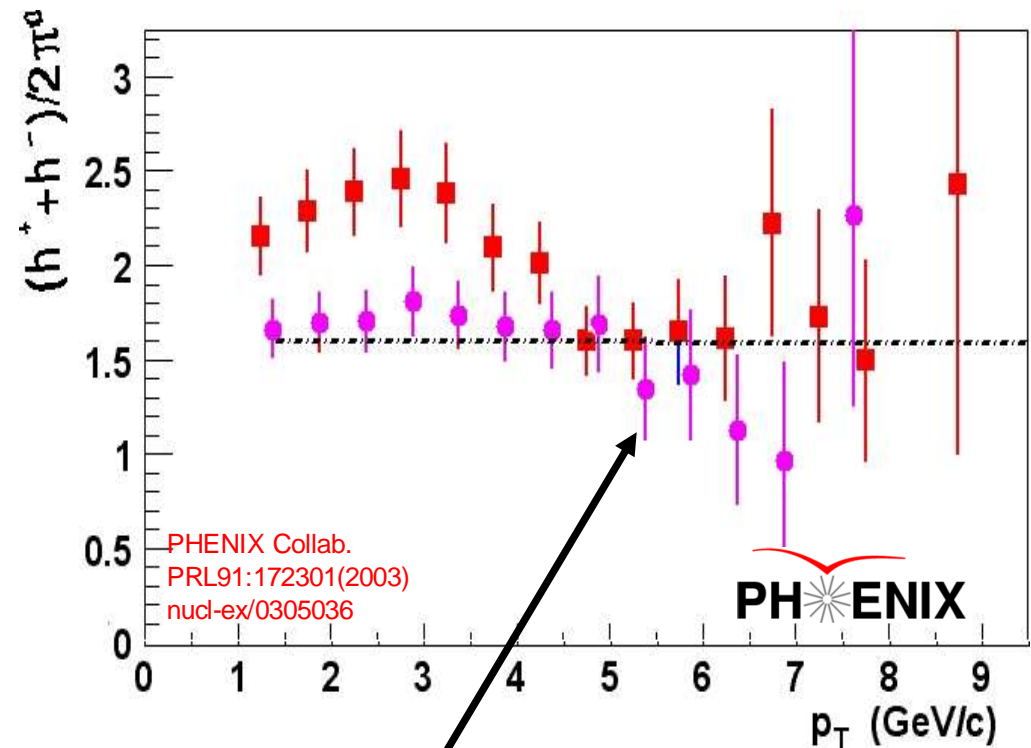
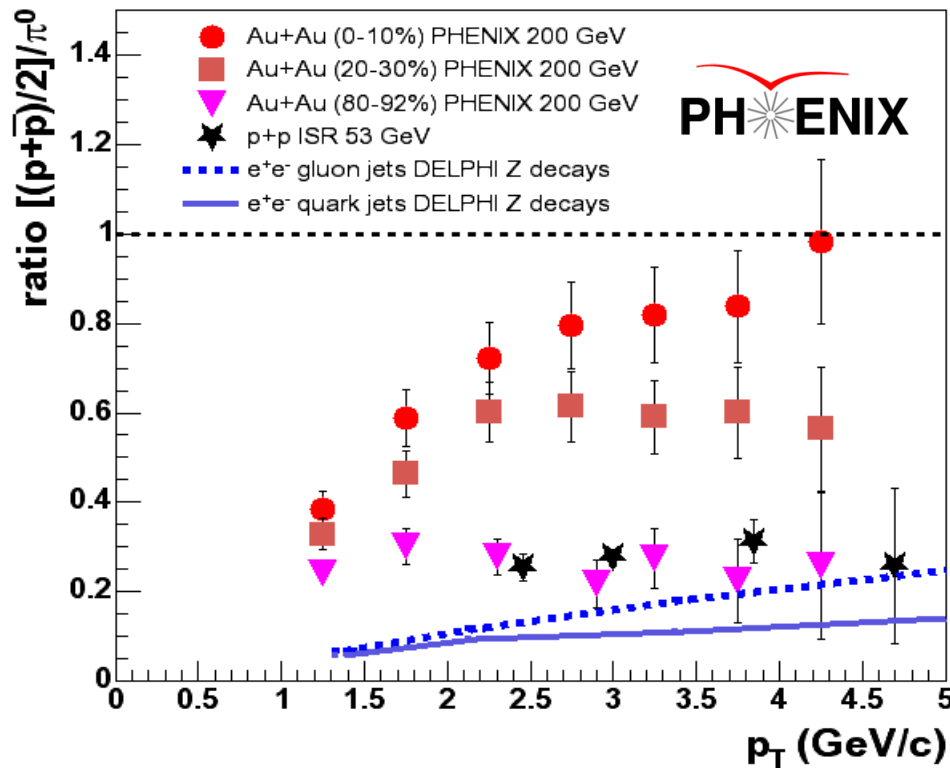
1. **Baryons:**  $p, \bar{p}, \Lambda, \bar{\Lambda}$  **NOT suppressed** in central Au+Au.
2. **Mesons:**  $\pi^0, k_s^0, \eta$  equally suppressed.



- Particle composition **inconsistent with** known fragmentation functions.
- **Different production mechanism** for baryons and mesons in the intermediate  $p_T$  range (recombination vs. fragmentation?).

# High $p_T$ suppression - Particle dependence (III): $p/\pi$ , $h^\pm/\pi$

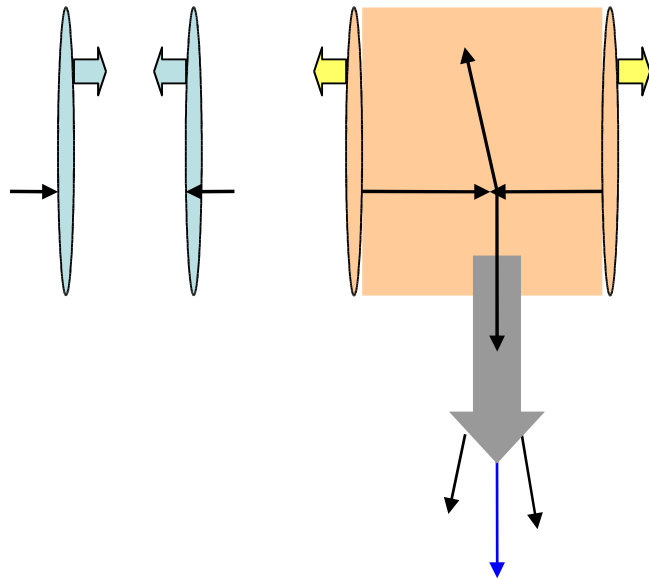
- **Central colls.:**  $p/\pi \sim 0.8$  (at  $p_T = 2 - 4$  GeV/c) at variance with perturbative production mechanisms (favour lightest meson).
- **Periph. colls.:**  $p/\pi \sim 0.2$  as in  $p+p, p$  (ISR, FNAL) & in  $e^+e^-$  jet fragmentation



- **Baryon enhancement** limited to  $p_T < 4.5$  GeV/c: Charged hadron ( $h^\pm = \pi^\pm + p$  (pbar) +  $K^\pm$ ) and  $\pi^0$  equally suppressed above  $p_T \sim 5$  GeV/c:  
 $h/\pi \sim 1.6$  as in  $p+p$  (perturbative ratio)

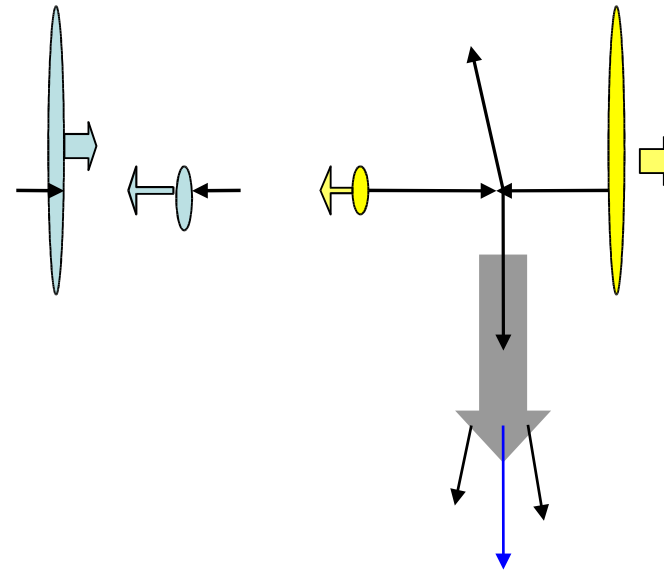
# High $p_T$ in d+Au (“control” experiment)

A+Au collision



hot & dense medium  
(initial+final-state effects)

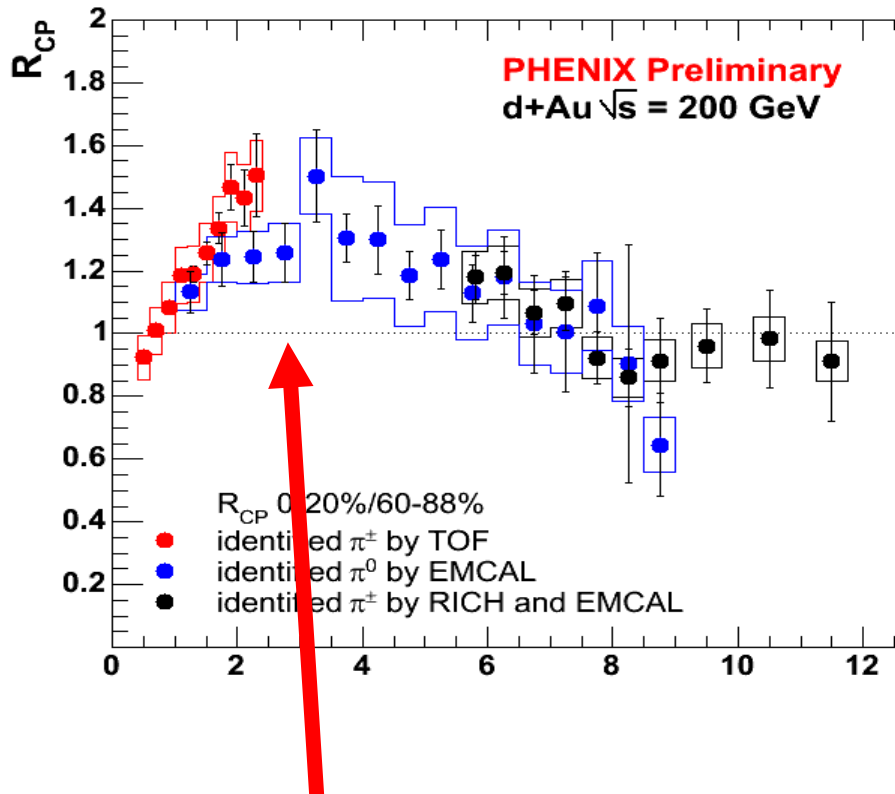
p,d+Au collision



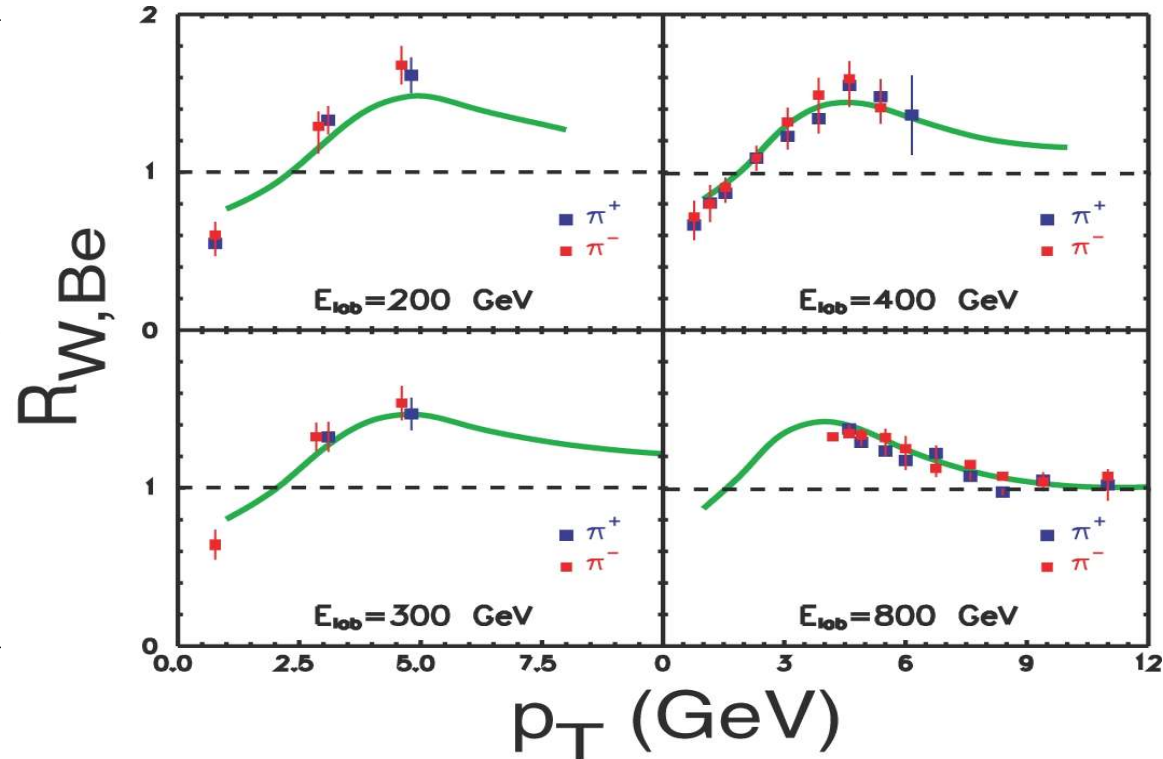
cold medium  
(initial- state effects only)

# d+Au nuclear modification factor (at $y=0$ )

d+Au @  $\sqrt{s_{NN}} = 200$  GeV

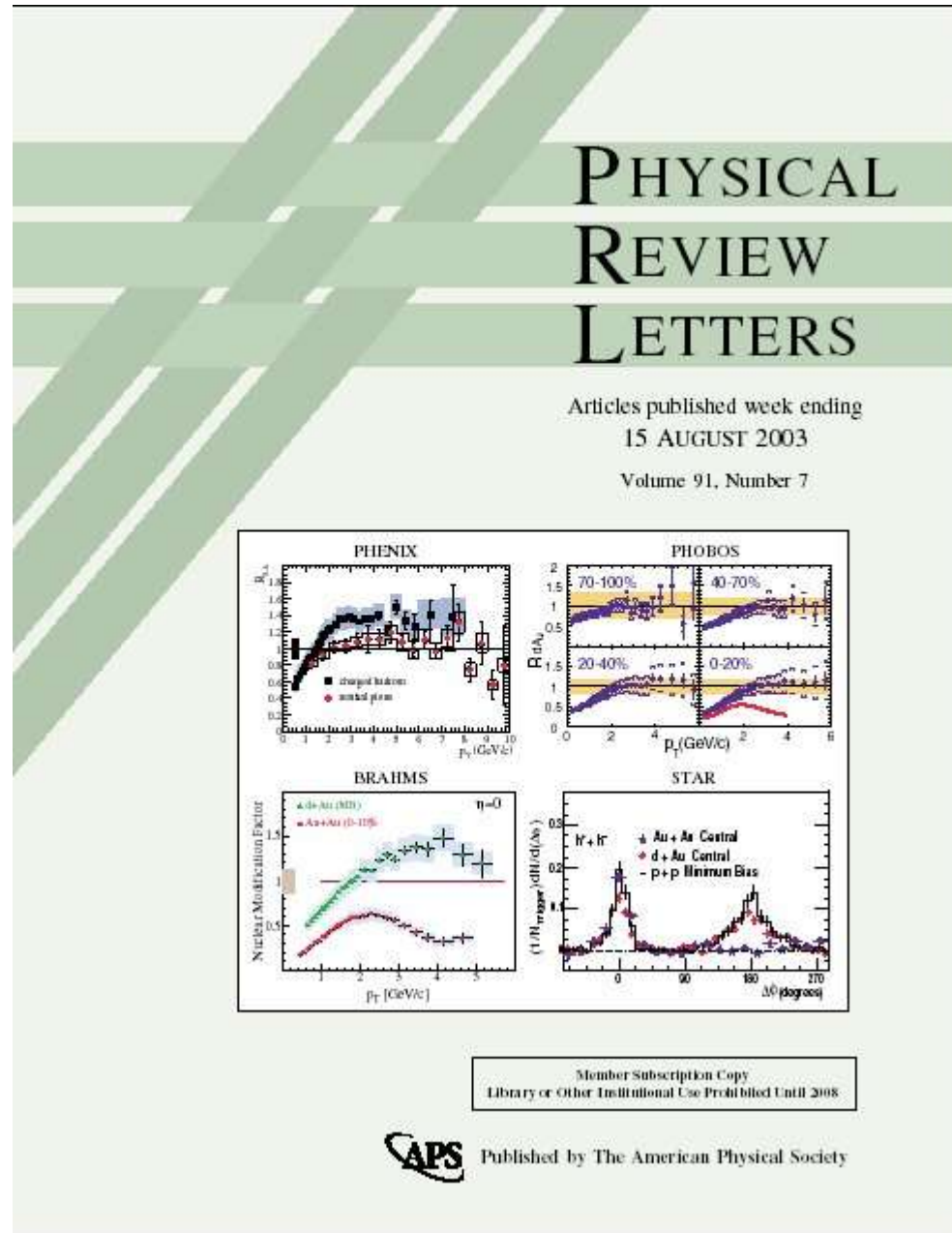


p+A @  $\sqrt{s_{NN}} = 20 - 40$  GeV

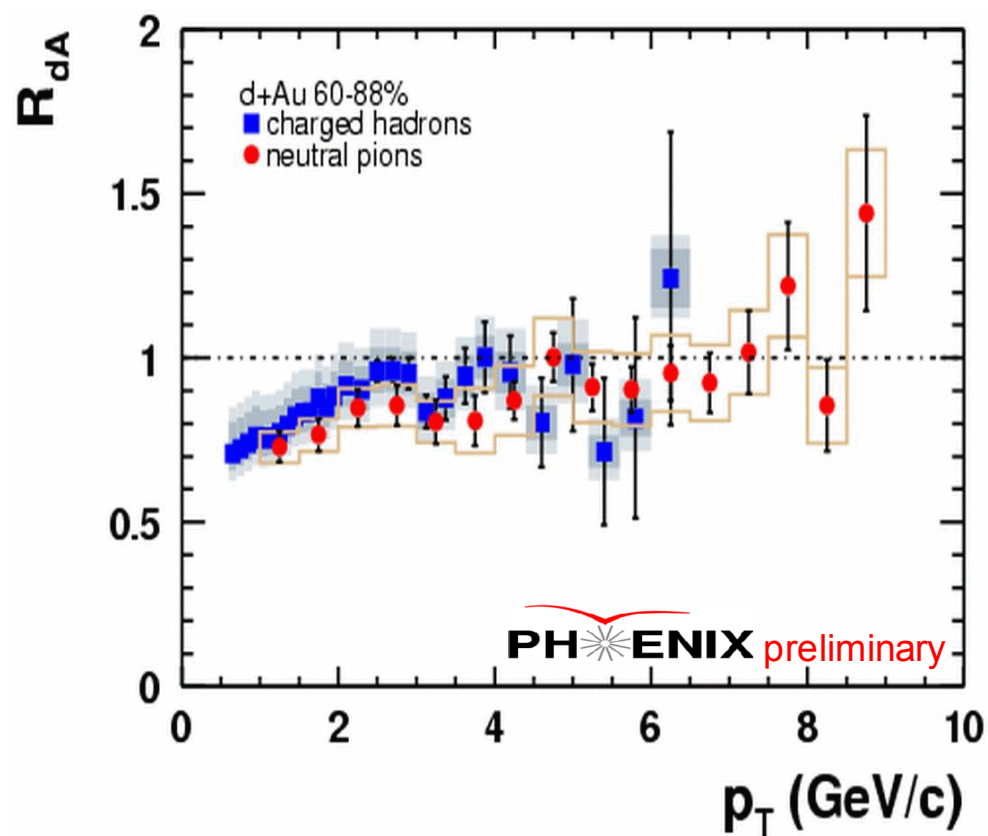
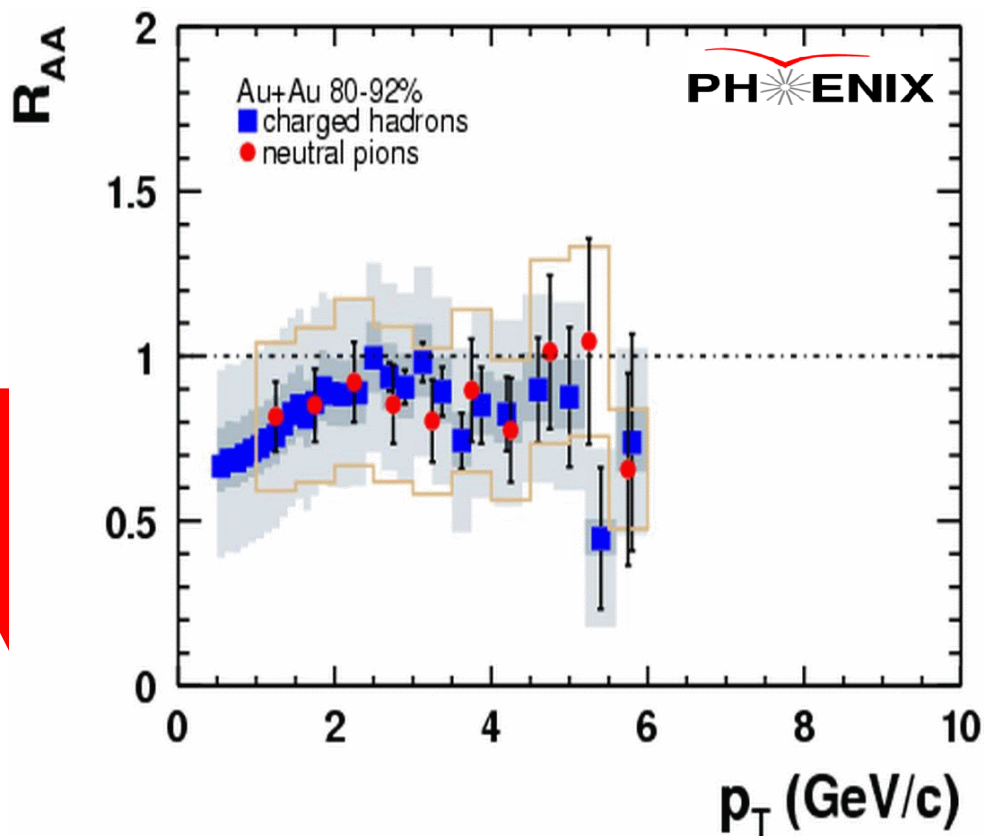


- High  $p_T$  d+Au **unquenched!**  $R_{dAu} > 1$ .
- Reminiscent of p+A “**Cronin enhancement**” (initial-state soft & semihard scattering).
- **No Au gluon saturation** effects in kinematic region probed ( $y=0$ ).

# d+Au nuclear modification factor

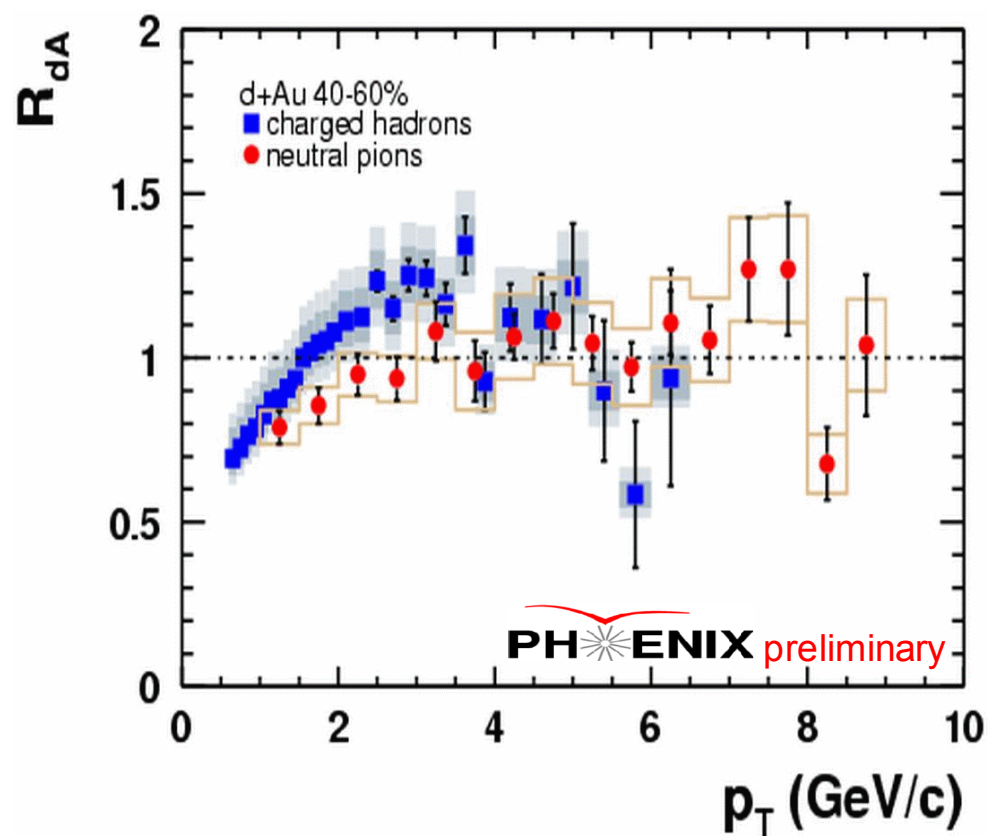
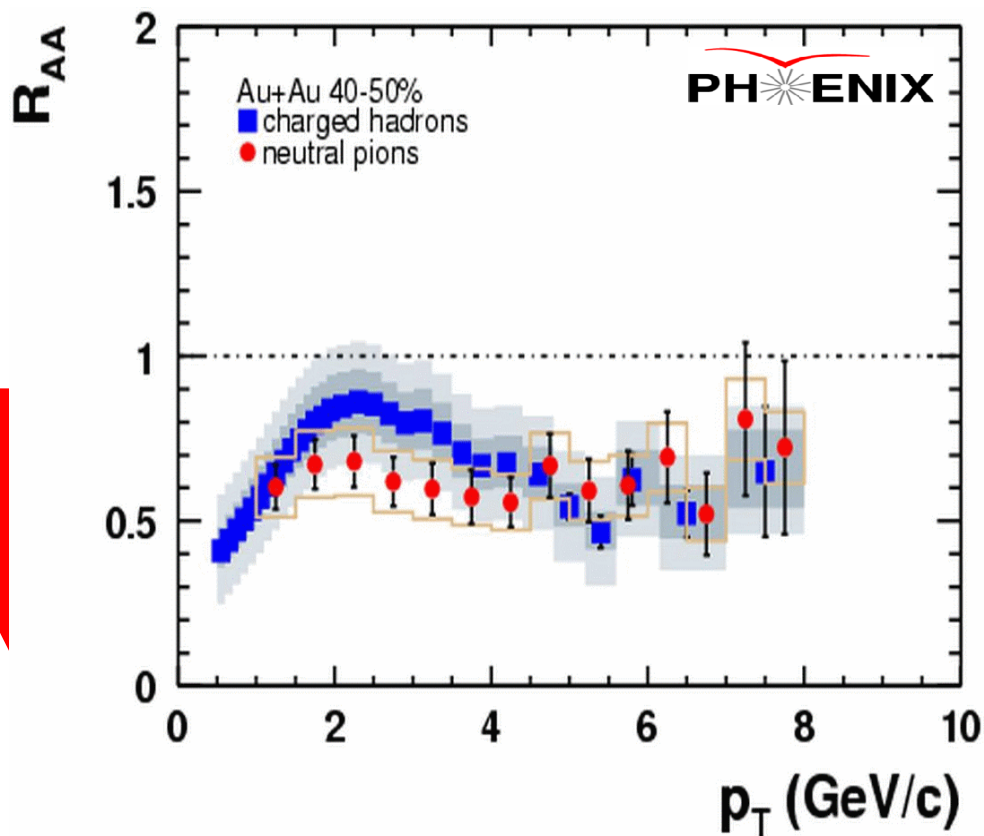


# $R_{AA}$ vs. $R_{dA}$ ( $y = 0$ ) : centrality dependence



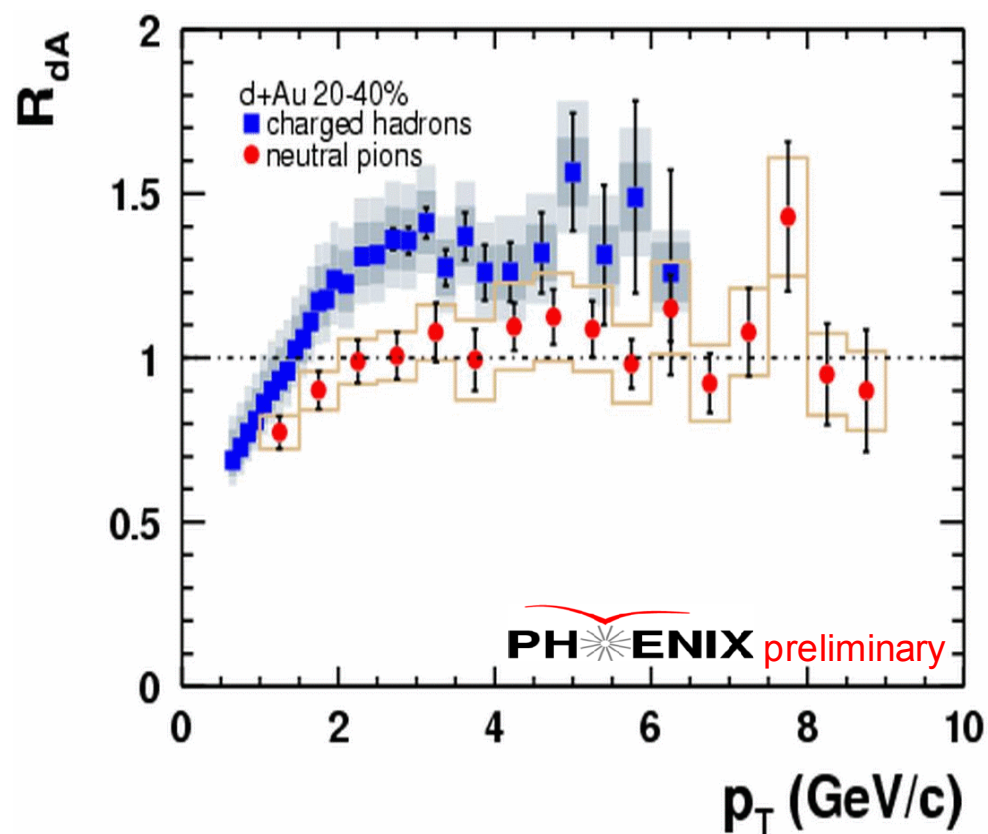
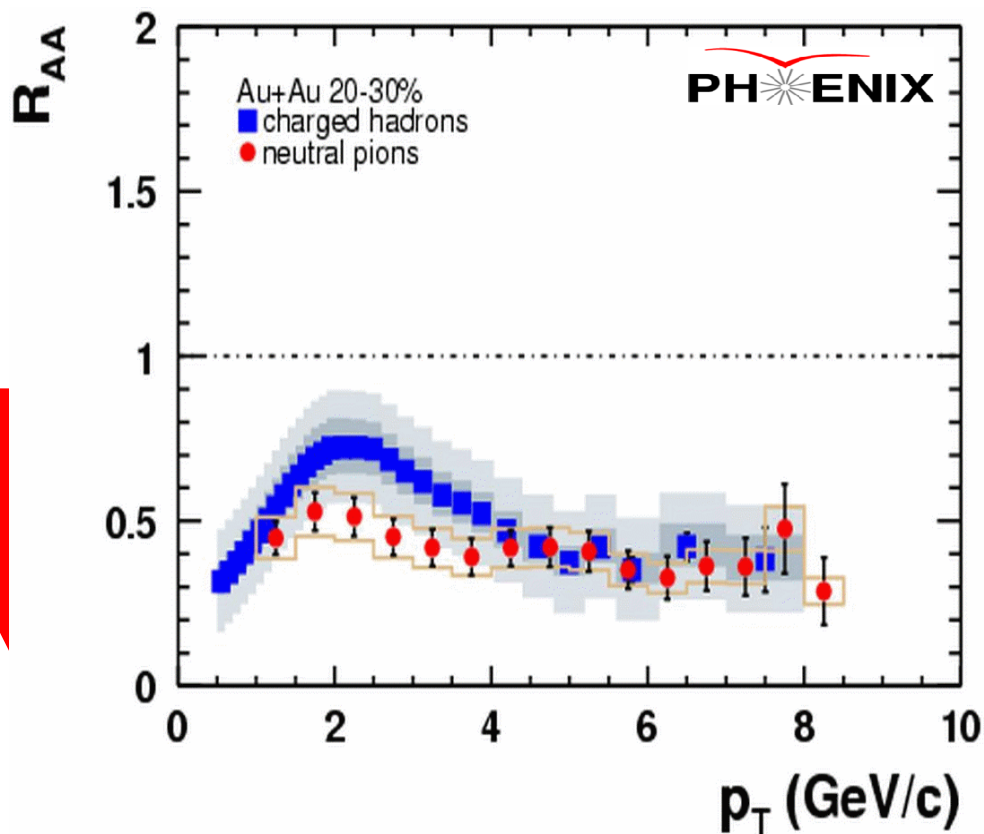
● PERIPHERAL Au+Au & d+Au

# $R_{AA}$ vs. $R_{dA}$ ( $y = 0$ ) : centrality dependence



● MID-PERIPHERAL Au+Au & d+Au

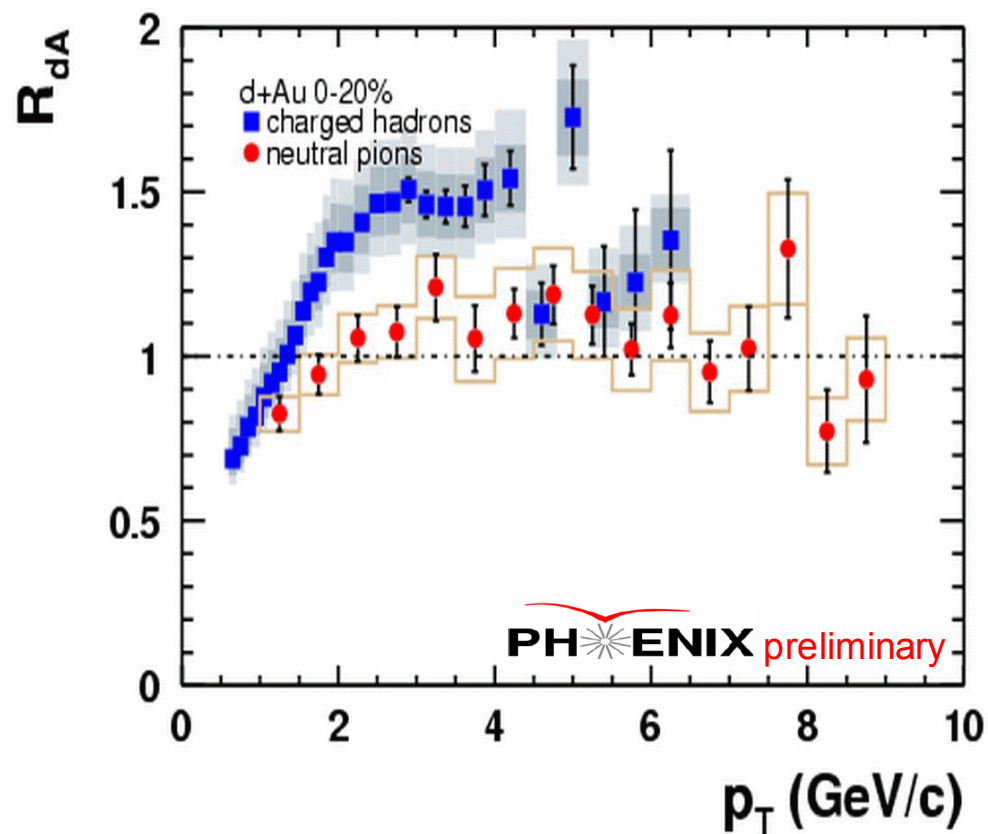
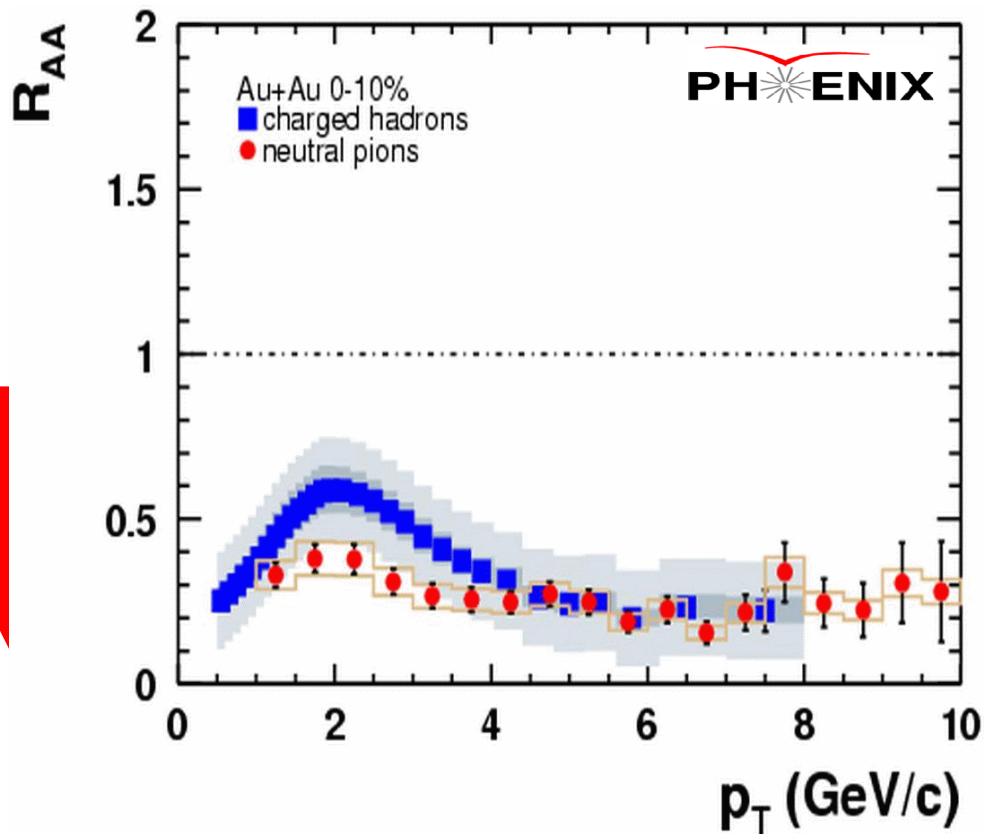
# $R_{AA}$ vs. $R_{dA}$ ( $y = 0$ ) : centrality dependence



● MID-CENTRAL Au+Au & d+Au

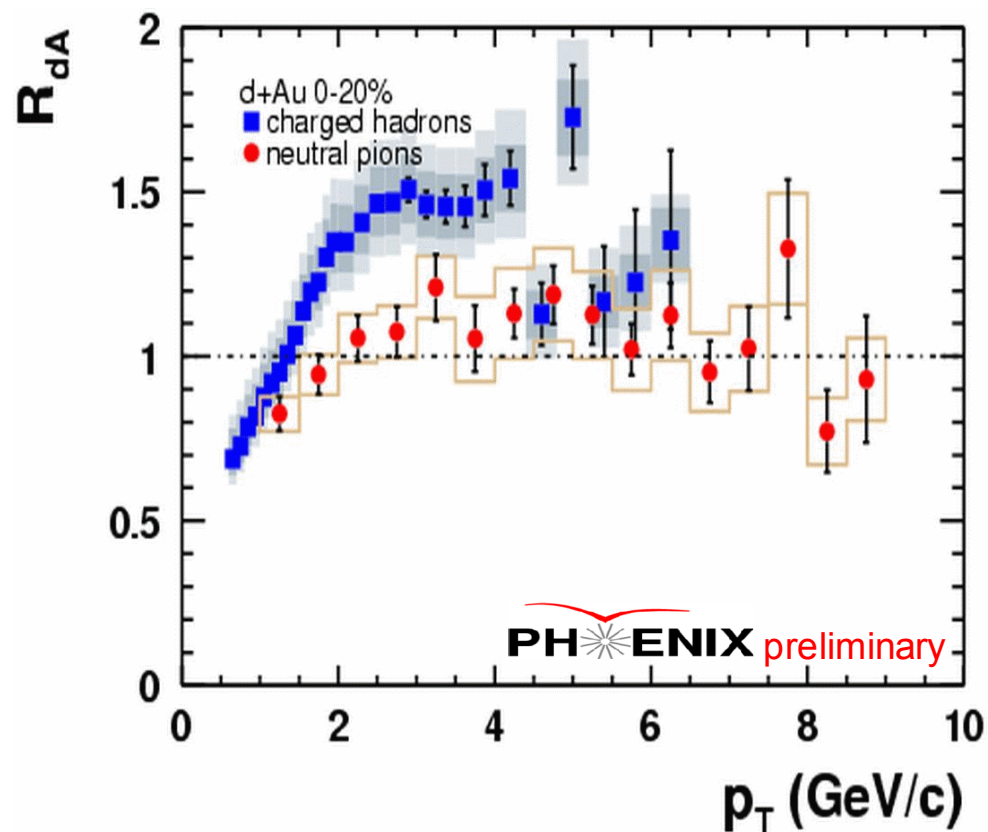
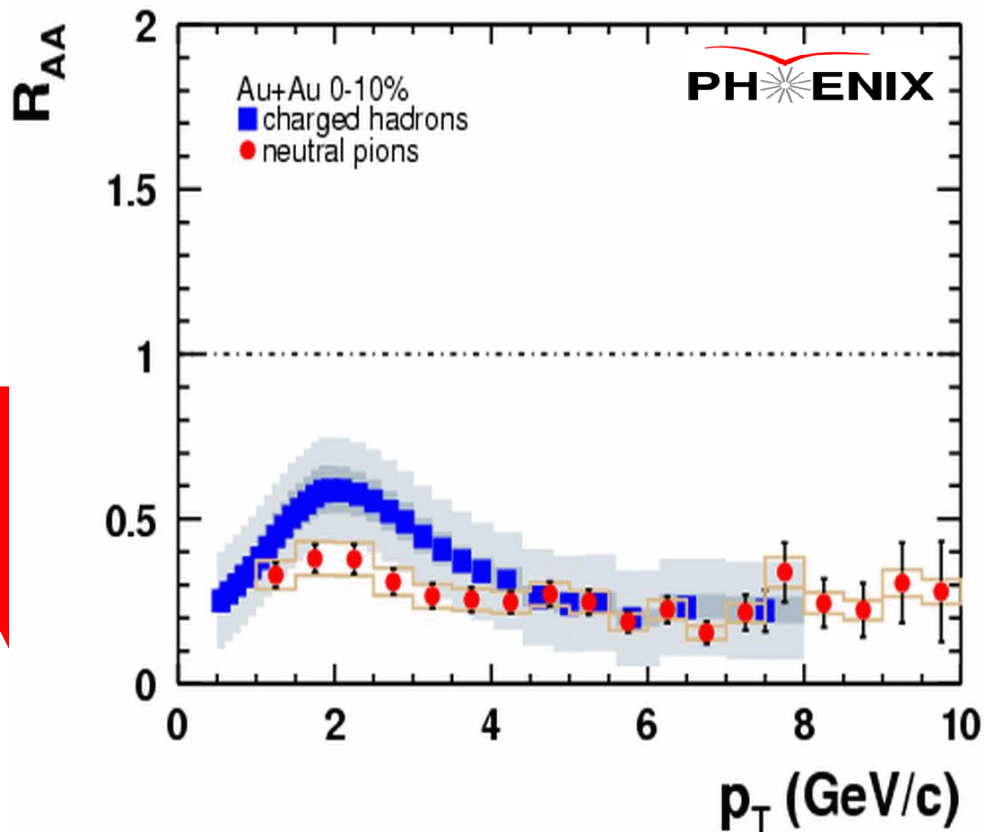


# $R_{AA}$ vs. $R_{dA}$ ( $y = 0$ ) : centrality dependence



● CENTRAL Au+Au & d+Au

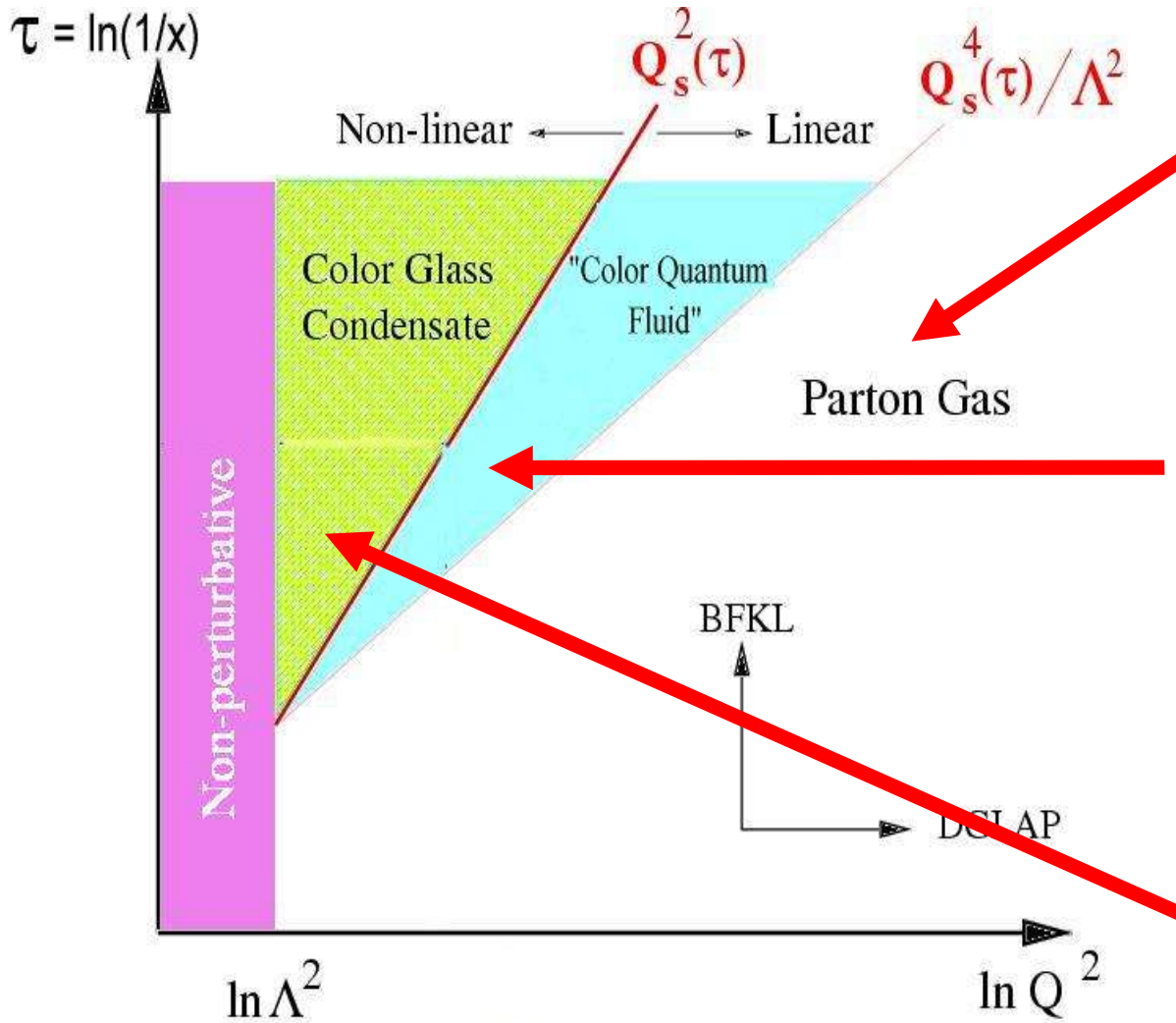
# $R_{AA}$ vs. $R_{dA}$ ( $y = 0$ ) : centrality dependence



- **Opposite centrality dependence** of d+Au nuclear enhancement compared to Au+Au nuclear suppression.
- (Model-independent) conclusion: Au+Au **suppression** at  $y = 0$  **not due to a “cold”** (initial-state) nuclear matter effect: gluon saturation effects not relevant, final-state (QGP) interpretation favoured.

# The quest for gluon saturation effects @ RHIC ...

$$x_T = p_T / \sqrt{s} (e^{-y} + e^y) \quad (2 \rightarrow 2)$$



RHIC kinematical regime:

● High  $p_T$  @ midrapidity:

$$y = 0, \quad Q^2 = 1-100 \text{ GeV}^2/c^2$$

- pQCD collinear factorization
- DGLAP evolution (g splitting)
- small (~20%) nuclear effects in PDFs (LT shadowing).

● Moderate  $p_T$ , rapidities:

$$y \approx 1-3, \quad Q^2 \approx 10 \text{ GeV}^2/c^2$$

- $k_T$  factorization
- linear BFKL evolution (g splitt.)
- "moderate" nuclear effects.

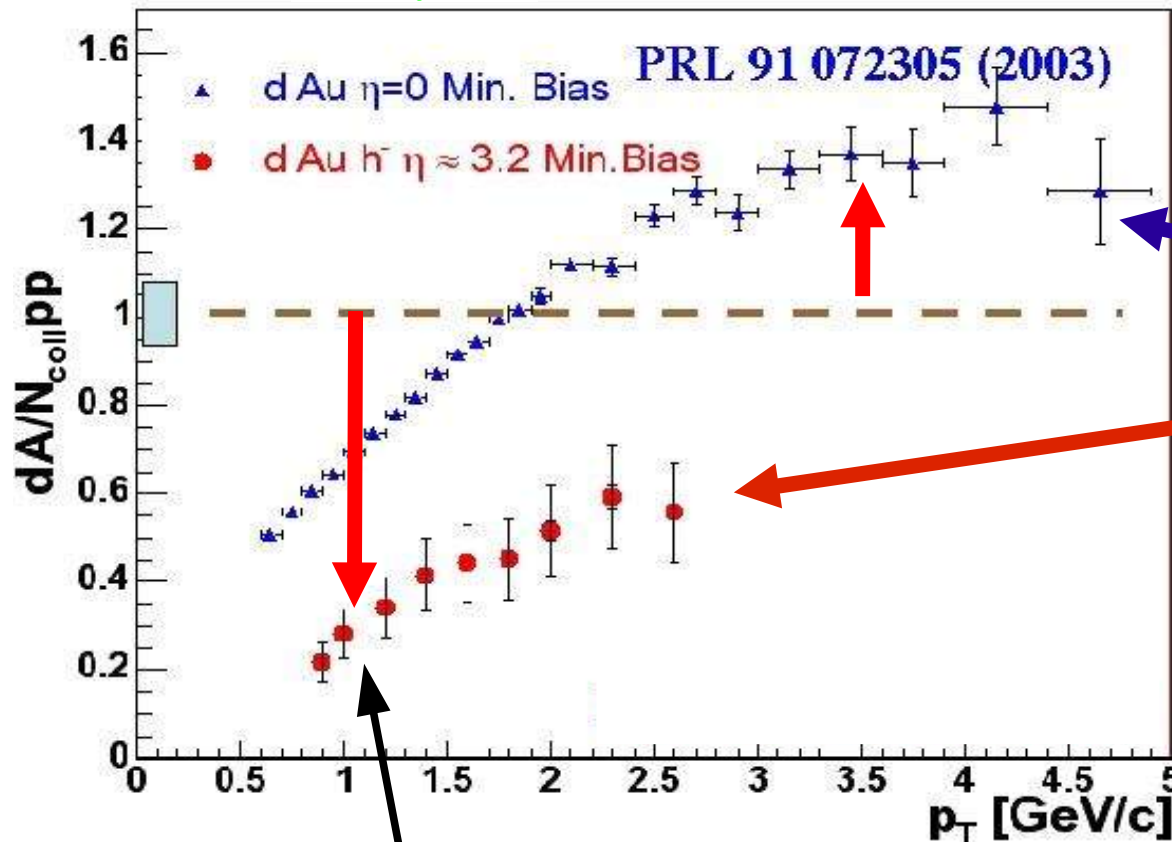
● Low  $p_T$  @ large rapidities:

$$y > 3, \quad Q^2 < Q_s^2 \approx 5 \text{ GeV}^2/c^2$$

- pQCD factorization breakdown
- non-linear evolution (g fusion)
- **strong nuclear effects in the initial-state**

# d+Au nuclear modification factor ( $\eta = 3.2$ )

BRAHMS preliminary



$$x_T = p_T / \sqrt{s} (e^{-y} + e^y)$$

for  $p_T = 2$  GeV/c:

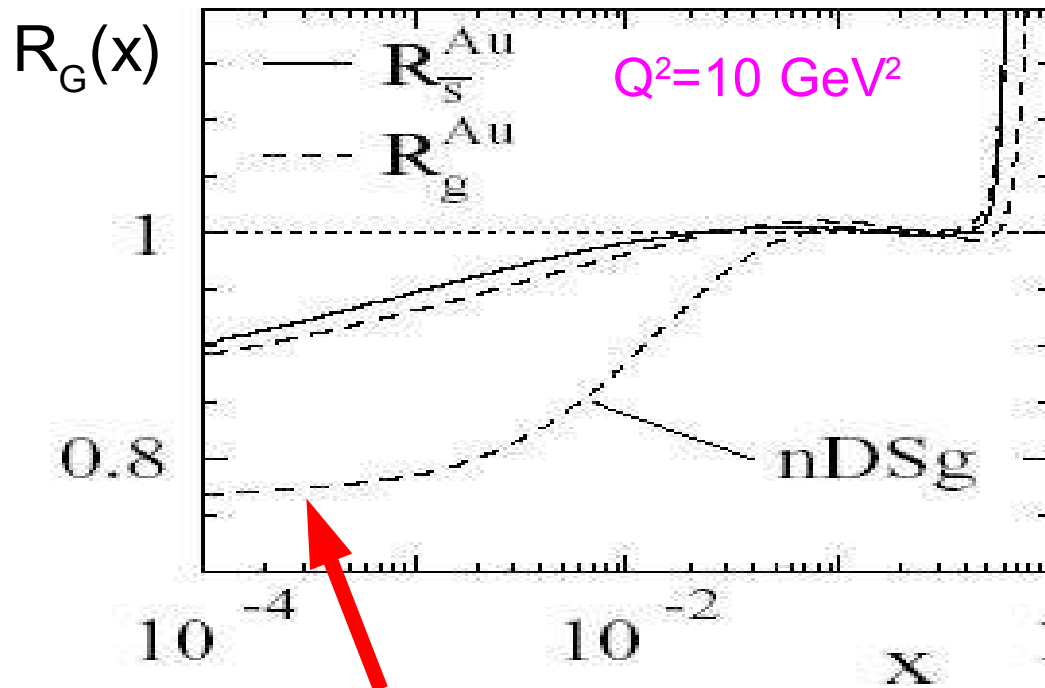
$\eta = 0$ . ( $x \approx 10^{-2}$ )

$\eta = 3.2$  ( $x \approx 5 \cdot 10^{-4}$ )

- Significant suppression (factor  $\sim 2-3$ ) of moderately high  $p_T$  hadro-production at  $\eta = 3.2$  (small  $x_2$  in Au).
- First time a large suppression is seen at small-x and high  $p_T$
- Qualitative agreement with gluon saturation / strong shadowing effects.

# why the excitement in some circles...

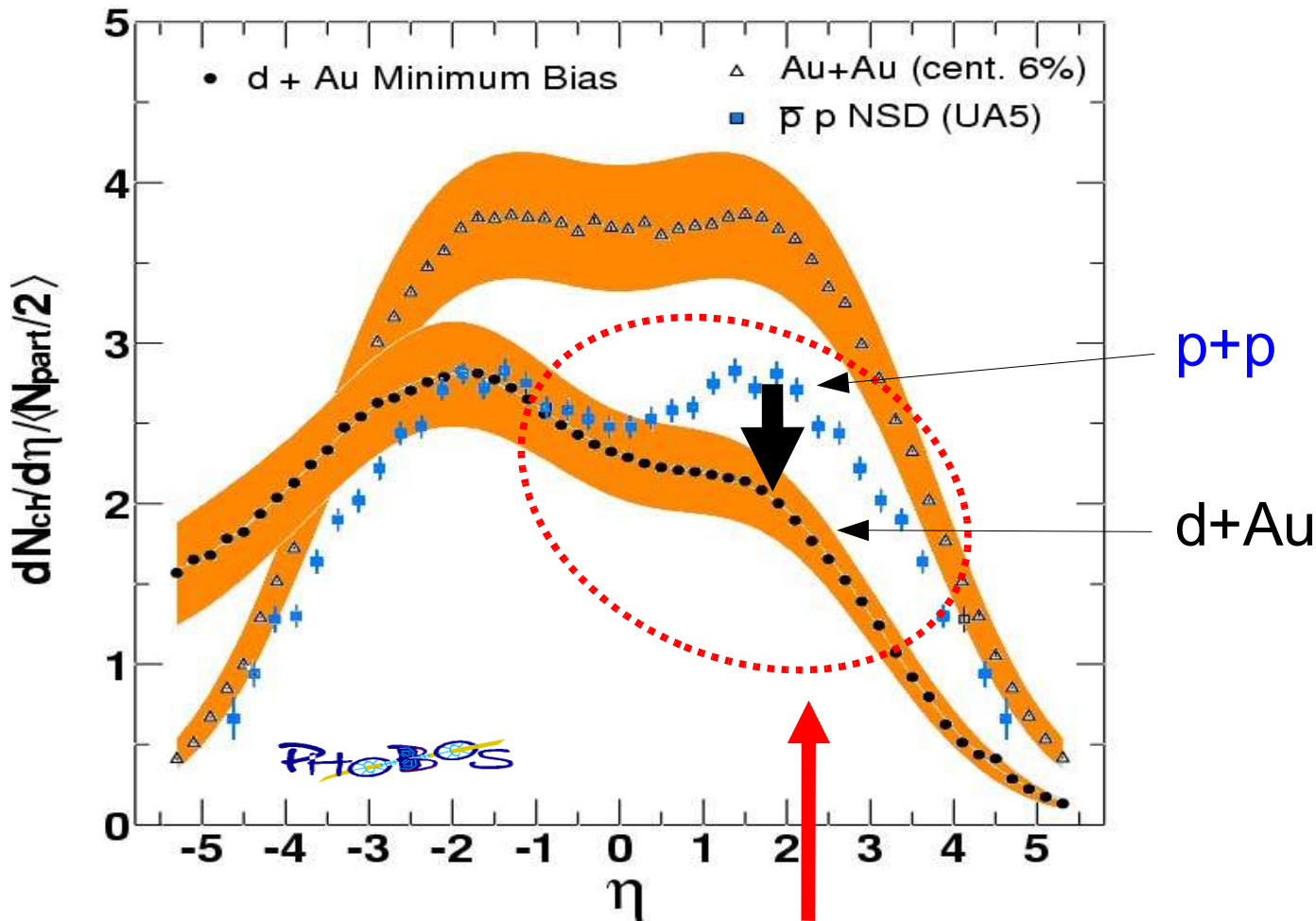
- Take the predictions of a standard “leading twist” approach ...
- NLO DGLAP global analysis of nuclear PDFs (fit to ~450 experimental points from e, $\mu$ +A, p+A Drell-Yann data):



D. de Florian & R.Sassot  
hep-ph/0311227

- Maximum gluon shadowing at  $x \sim 10^{-4}$  (indirectly) constrained by all available DIS data on nuclear targets is  $\sim 0.8$
- IF indeed  $R_G(x=10^{-4}) \approx 0.4$  (as suggested by BRAHMS), this could be an evidence of breakdown of QCD factorization at high  $p_T$  (due to high twist effects at small- $x$ ).

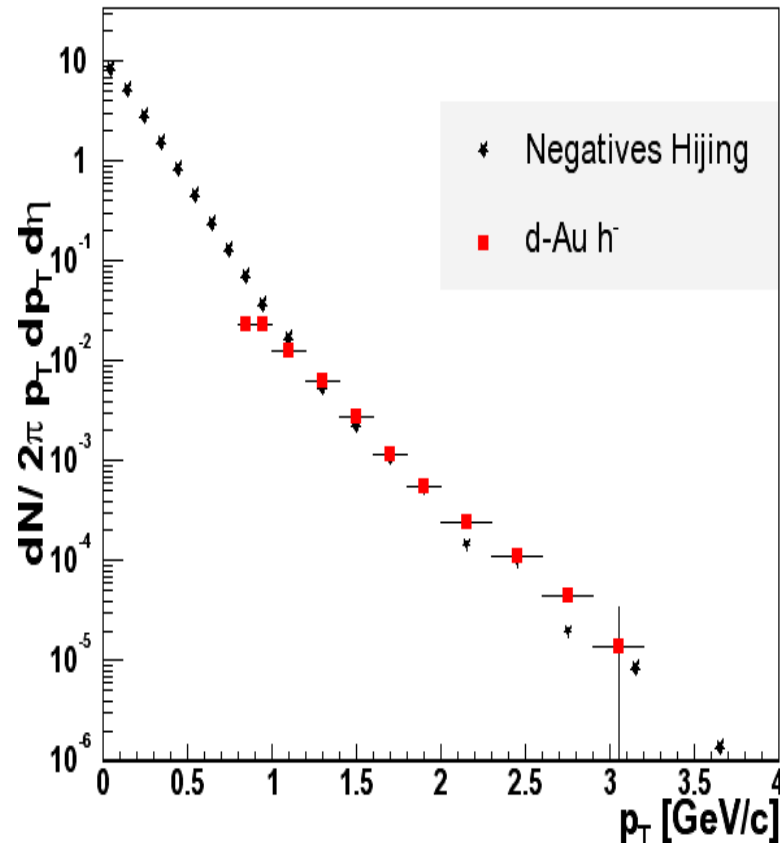
# but (1) ... soft production is also suppressed in d+Au



- Particle multiplicities (**low  $p_T$** ) in d+Au well **below** expectations from  $N_{part\ tot}$  **scaling** compared to p+p at **forward rapidities** (d fragmentation) ! Well known from p+A at lower  $\sqrt{s}$ . How this affect high  $p_T$  production?
- Bottom line: **Be careful** with blind application of “usual” **scaling laws** for particle production **at forward rapidities in asymmetric systems** !

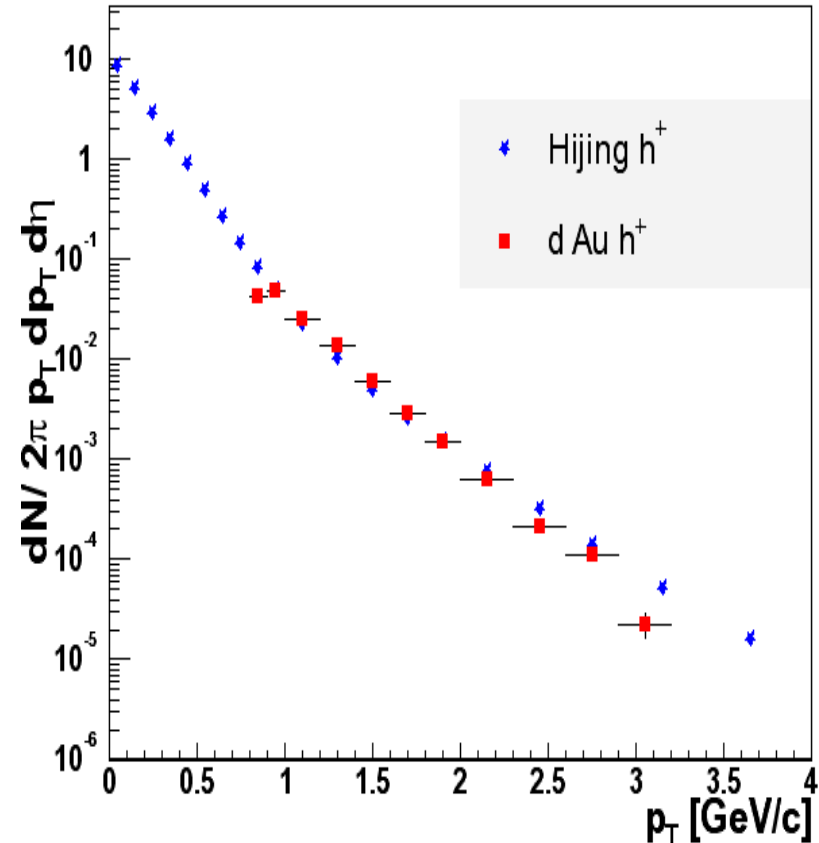
# but (2) ... valence q (not g) dominate BRAHMS data

d Au invariant yields at 4 degrees



d Au positives at 4 degrees

**BRAHMS** preliminary



- $h^+ > h^-$  : deuteron **valence quarks** (high  $x_1$ ) dominate over “wee” gluons from Au (small  $x_2$ ).

- (Personal) Conclusion:

It's **premature** to claim  $R_{\text{Gluon}}(x=10^{-4}) \approx 0.4$   
 It's **premature** to claim **CGC** effects at RHIC.

# What hard scattering data at RHIC tell us(\*) about the properties of the underlying QCD matter ...

Summary of possible physical scenarios:

1. Dense final-state partonic medium: **Parton energy loss + quark recombination.**
2. Dense initial-state partonic medium: **Gluon saturation.**
3. Dense final-state hadronic medium: **hadronic energy loss.**

(\*) *via confronting data to theory*



# Final-state “QGP” effects vs. data (I)

- **Dense medium** properties according to “jet quenching” models:

- ★ Initial gluon densities:

$$dN^g/dy \sim 1100 \quad [\text{Vitev \& Gyulassy}]$$

- ★ Opacities:

$$\langle n \rangle = L/\lambda \approx 3 - 4 \quad [\text{Levai et al.}]$$

- ★ Transport coefficients:

$$\langle q_0 \rangle \sim 3.5 \text{ GeV/fm}^2 \quad [\text{BDMPS, F.Arleo}]$$

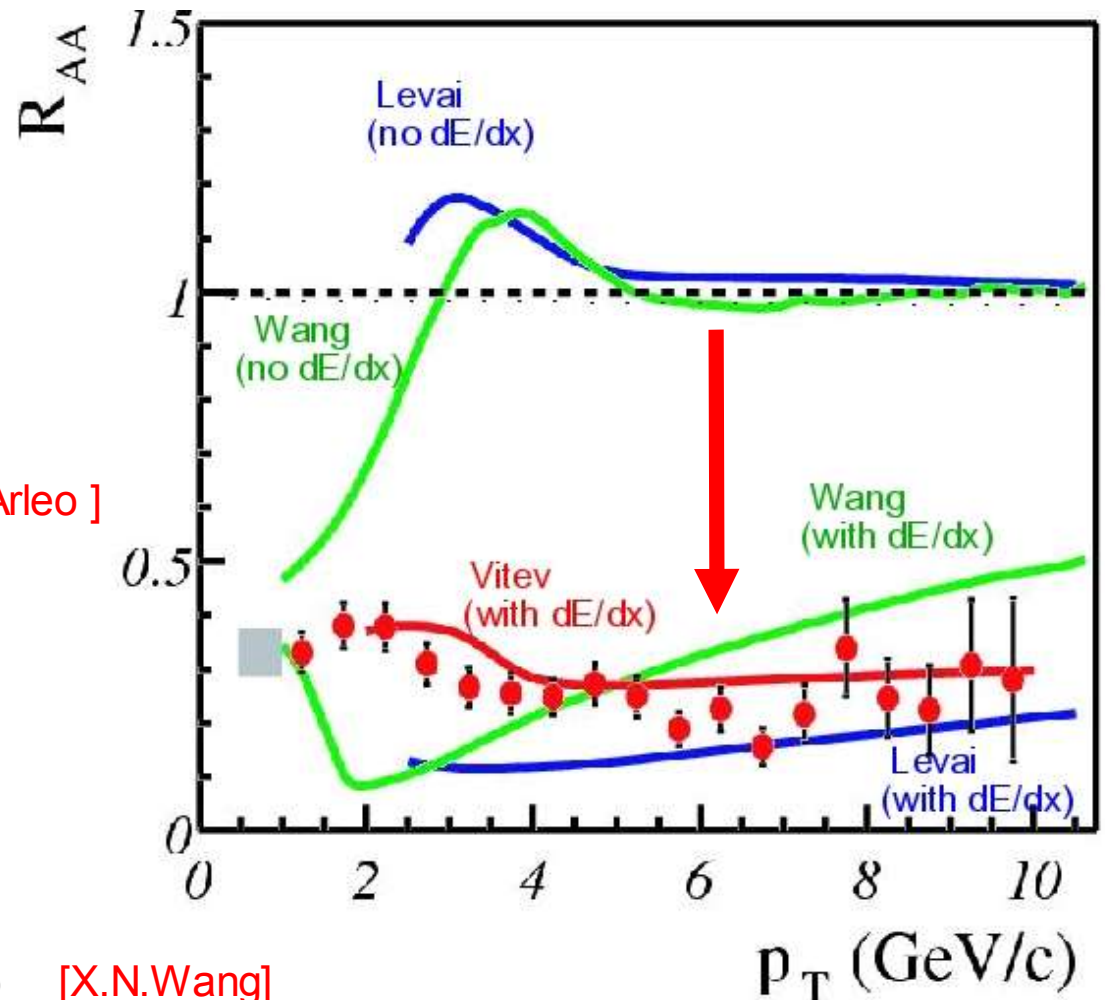
- ★ Plasma temperatures:

$$T \sim 0.4 \text{ GeV} \quad [\text{G. Moore}]$$

- ★ Medium-induced radiative energy losses:

$$dE/dx \approx 0.25 \text{ GeV/fm} \quad (\text{expanding})$$

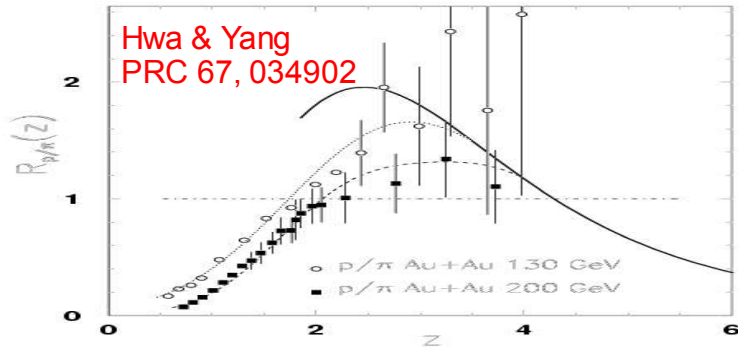
$$dE/dx|_{\text{eff}} \approx 14 \text{ GeV/fm} \quad (\text{static source}) \quad [\text{X.N.Wang}]$$



- Large opacities imply fast thermalization.
- All these values imply energy densities well above  $\epsilon_{\text{crit QCD}}$  in thermalized system.

# Final-state “QGP” effects vs. data (II)

- Quark recombination (coalescence) mechanisms provide a simple explanation of anomalous chemistry at intermediate  $p_T$ 's (2-5 GeV/c):



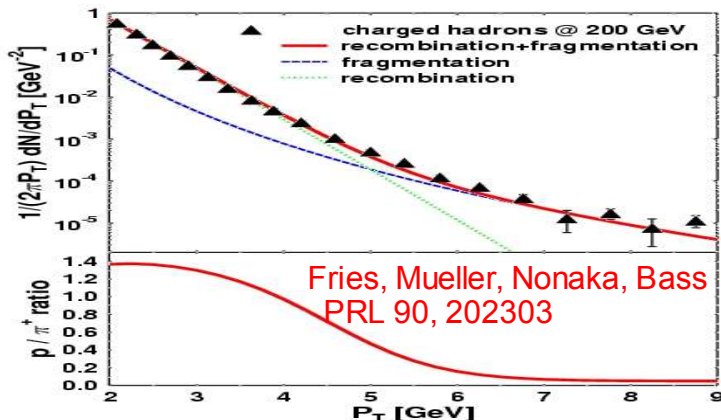
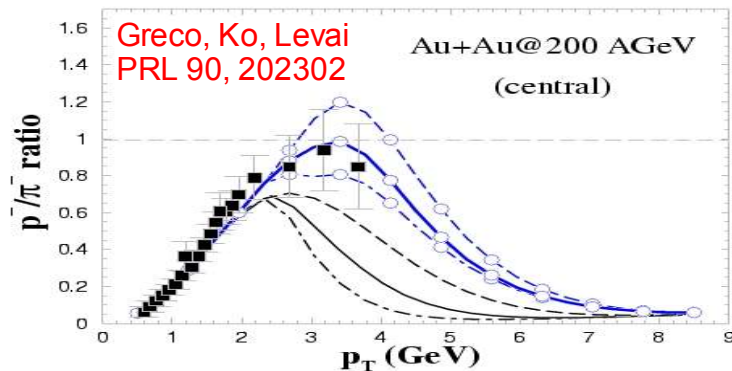
- By quark momenta addition, recombination dominates for  $p_T \sim 1-4$  GeV/c:

$$p_T(\text{baryons}) > p_T(\text{mesons}) > p_T(\text{quarks})$$

- Fragmentation dominates for  $p_T > 5$  GeV/c:  
 $p_T(\text{hadrons}) = z p_T(\text{partons})$ , with  $z < 1$

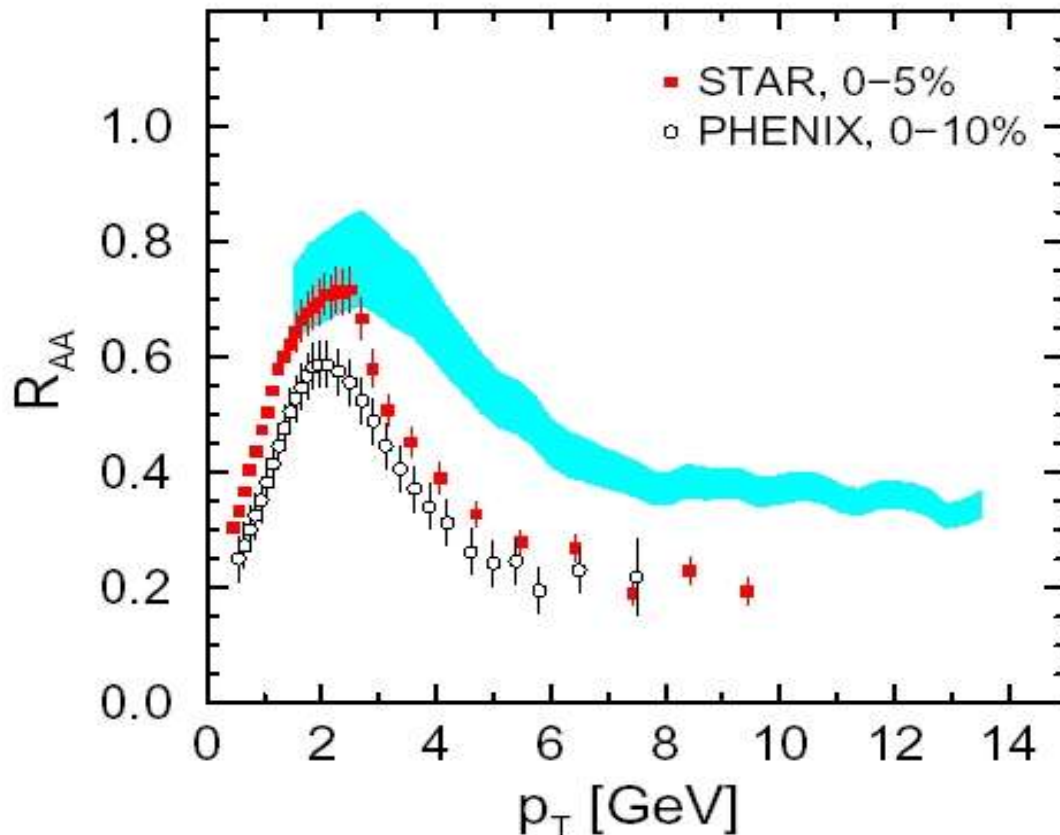
- High parton densities in a thermal medium are required.

- However... is recomb. consistent with  $(p+p\text{-like})$  Au+Au  $dN/d\phi$  near-side widths ?



# Final-state effects in a dense hadronic medium ?

- Energy loss of “pre-hadrons” inside a dense expanding hadronic fireball.
- Nuclear modification factor for an expanding system with  $\epsilon_{\text{init}} \approx 1 \text{ GeV}/\text{fm}^3$



Cassing, Gallmeister, Bratkovskaya,  
Greiner, Stoecker, nucl-th/0312049

- State-of-the-art hadronic models (HSD, UrQMD) produce **suppression but not enough** to explain the observed suppression factor at high  $p_T$

# Summary

★ High  $p_T$  central Au+Au vs p+p at midrapidity at RHIC:

→ Observation 1: Light-flavor (u,d,s) spectra **suppressed** by a factor 4-5.  
(possible suppression already at  $\sqrt{s} \approx 20$  GeV).

→ Observation 2: Intermediate  $p_T$  light-flavor **composition inconsistent**  
with known **fragmentation functions** in free space.

★ High  $p_T$  d+Au vs p+p at midrapidity at RHIC:

→ Observation 5: Spectra **enhanced** by a factor  $\sim 1.3$

★ “Explanation” (1,2 via 4,5): pQCD hard scattering + final-state parton energy loss + parton recombination:  
⇒ Dense thermal QCD medium.

→ QGP ? (Run-4 @ RHIC): thermal  $\gamma$  from plasma ?,  $J/\Psi$  suppression ?

★ High  $p_T$  in d+Au at forward rapidities at RHIC:

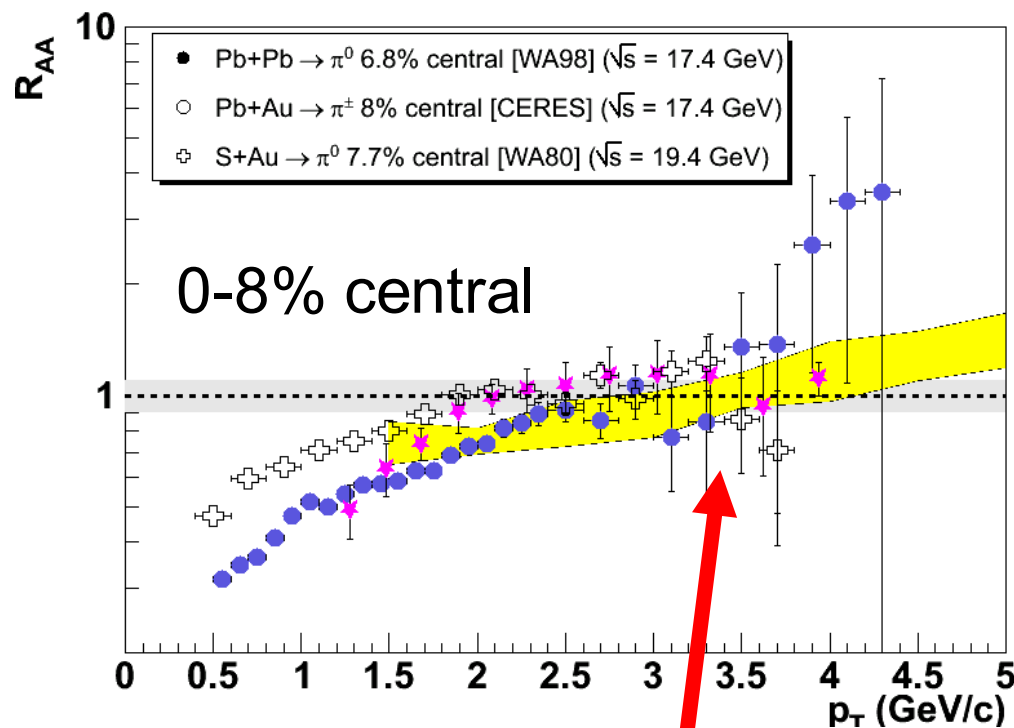
→ Observation 6: Spectra **suppressed** by a factor  $\sim 2$ .

★ “Explanation” (6): possible evidence of **high twist effects at small-x**.

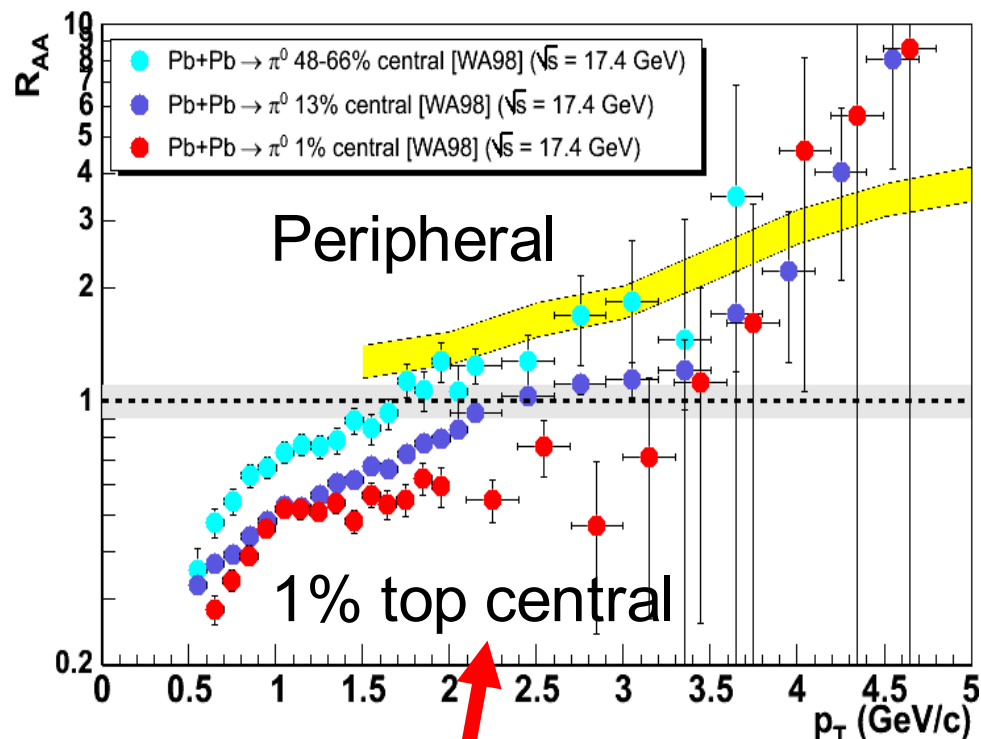
**backup slides ...**

# High $p_T$ @ CERN-SPS: “Cronin” or “quenching” ?

- New nuclear modification factor (better  $p+p \rightarrow \pi^0$  ref. @  $\sqrt{s_{NN}} = 17.3$  GeV)



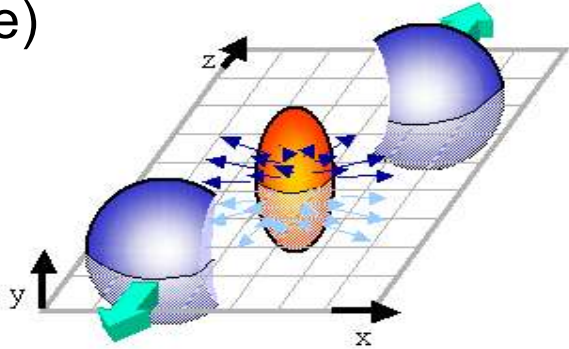
[D.d'E. to be submitted]



- No “Cronin” effect in central collisions ( $R_{AA} \sim 1$ ).
- “Cronin” enhancement in peripheral ... and **suppression** in top central ?
- Look for **onset of suppression** at RHIC Au+Au, p+p @  $\sqrt{s_{NN}} \approx 20$  GeV ?

# High $p_T$ azimuthal correlations: Elliptic flow (I)

- Initial anisotropy in coord. space (overlap) in non-central collisions translates into final **azimuthal asymmetry** in momentum space (transverse to react. plane)



Elliptic flow =  $v_2$  second Fourier coefficient

$$\frac{dN}{d\phi} \propto 1 + 2v_2 \cos 2(\phi - \Phi_{RP})$$

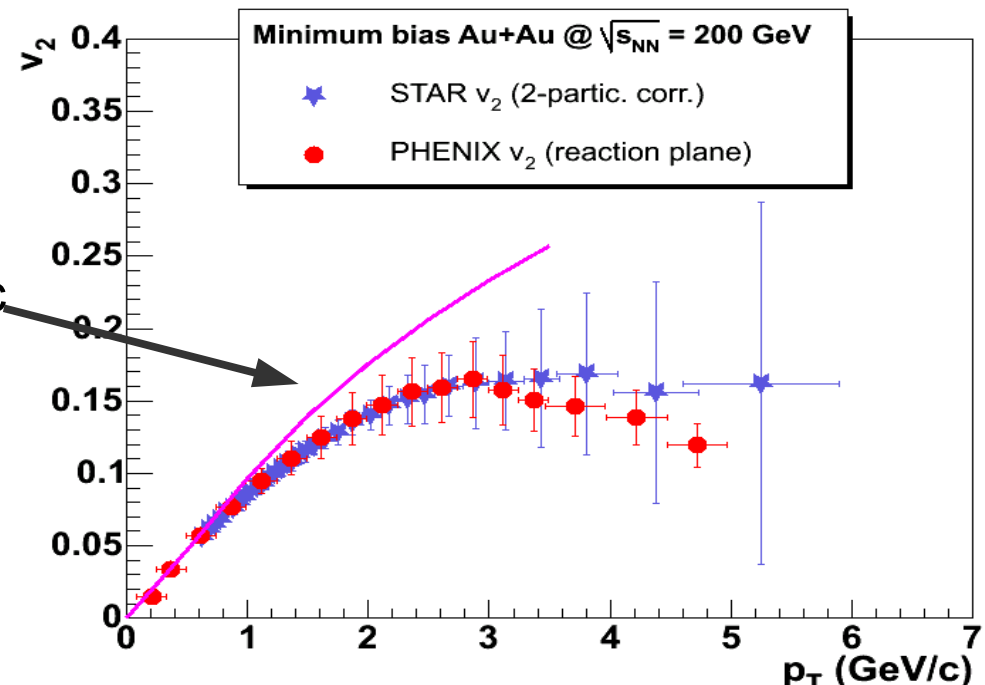
- Truly **collective** effect (absent in p+p colls.).
- “Hard” probe: develops exclusively in first instants of the reaction ( $t < 3$  fm/c).

- Large  $v_2$**  signal (saturating @ high  $p_T$ ):

Exhausting hydro limit for  $p_T < 1.5$  GeV/c

⇒ **Strong** (collective) **pressure** grads.

⇒ Large and fast ( $t < 1.0$  fm/c) **parton rescattering**: early thermalization.



# High $p_T$ azimuthal correlations: Elliptic flow (II)

- Particle species

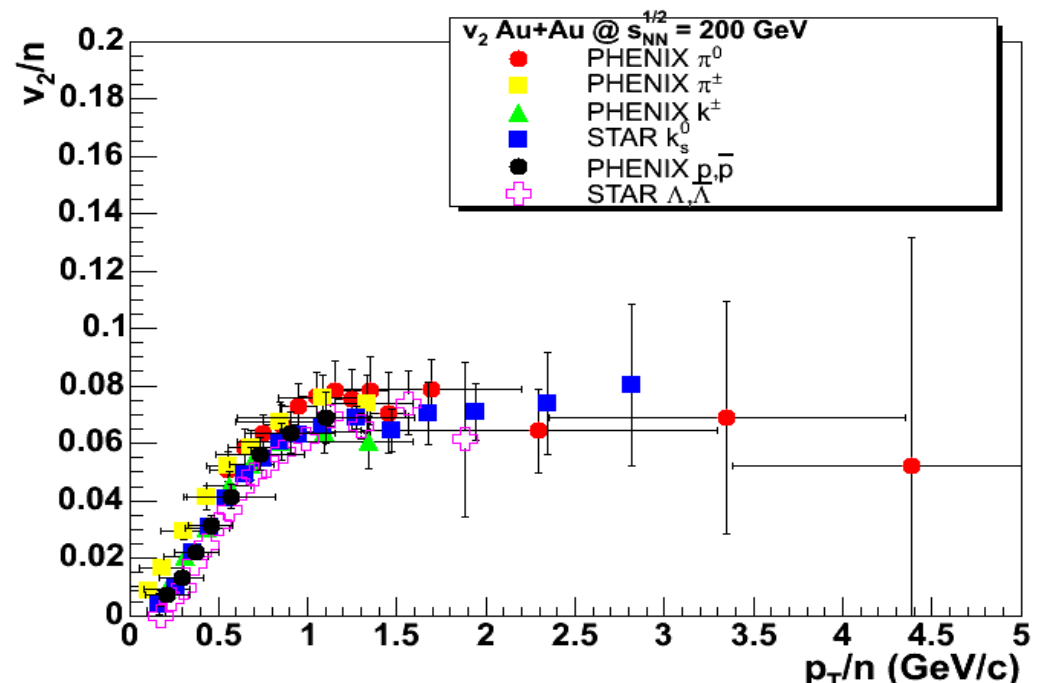
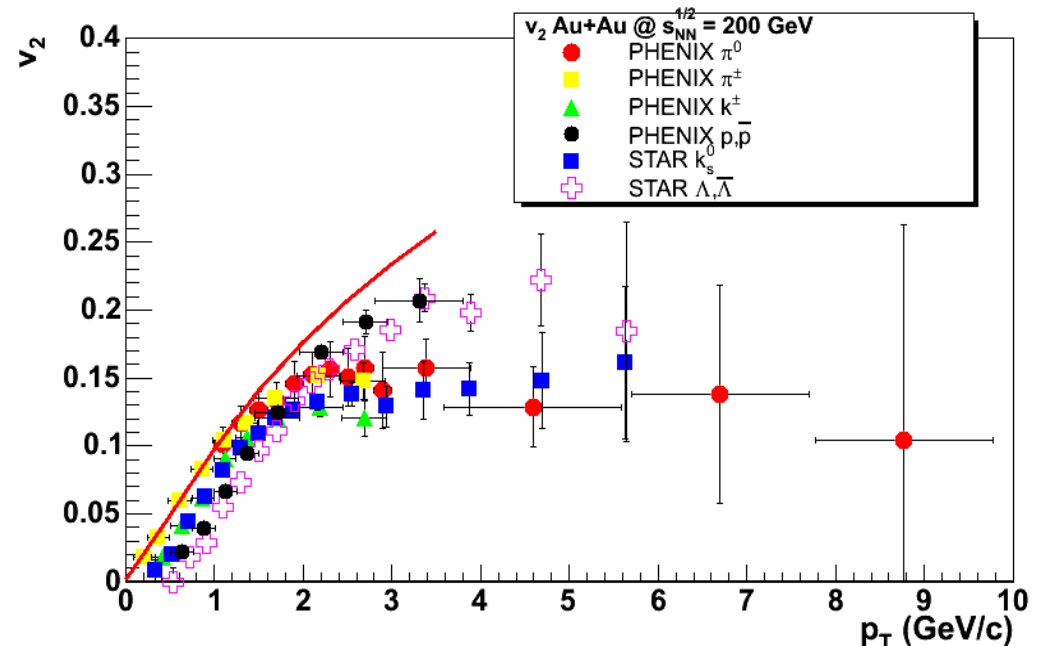
hierarchy of flow values:

- $v_2^{\text{meson}} > v_2^{\text{baryon}}$  at low  $p_T$
- $v_2^{\text{meson}} \approx v_2^{\text{baryon}}$  at  $p_T \approx 2$  GeV/c
- $v_2^{\text{meson}} < v_2^{\text{baryon}}$  at higher  $p_T$

- Simple  $v_2$  scaling behaviour predicted by **quark recombination** models:

$v_2$  and  $p_T$  normalized by # of constituent quarks:

- $n = 2$  mesons
- $n = 3$  baryons

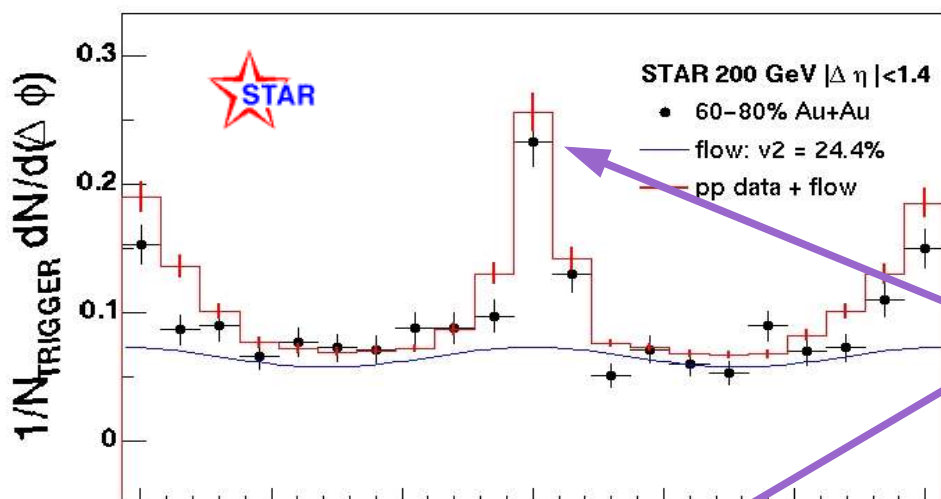




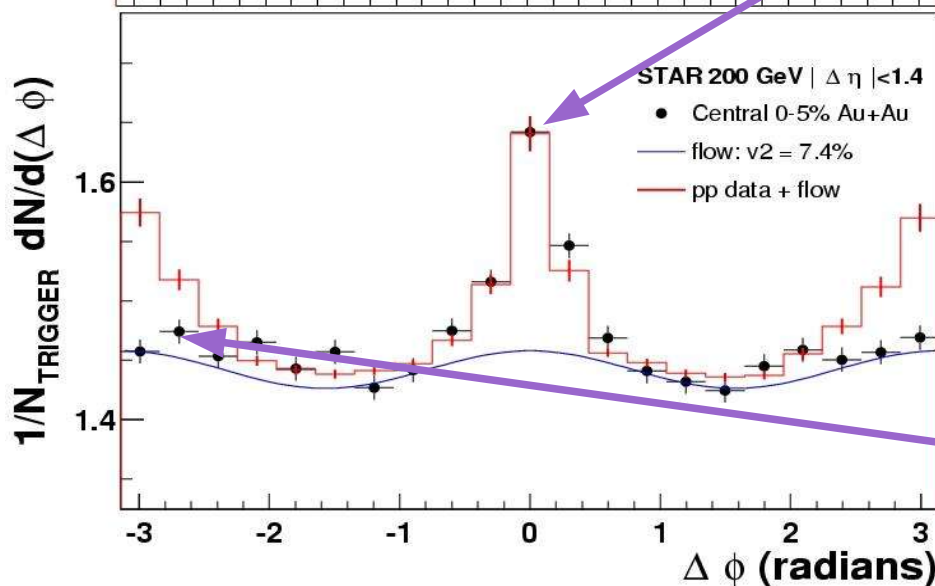
# High $p_T$ azimuthal correlations: Jet signals in Au+Au & p+p

- $dN_{\text{pair}}/d\Delta\phi$  for “trigger” ( $p_T > 4\text{GeV}/c$ ) & associated ( $p_T = 2-4\text{ GeV}/c$ ) charged hadrons:

Periph.:



Central:



*Red histogram: p+p (+flow)*  
*Black points: Au+Au*  
*Blue curve: flow contribution*

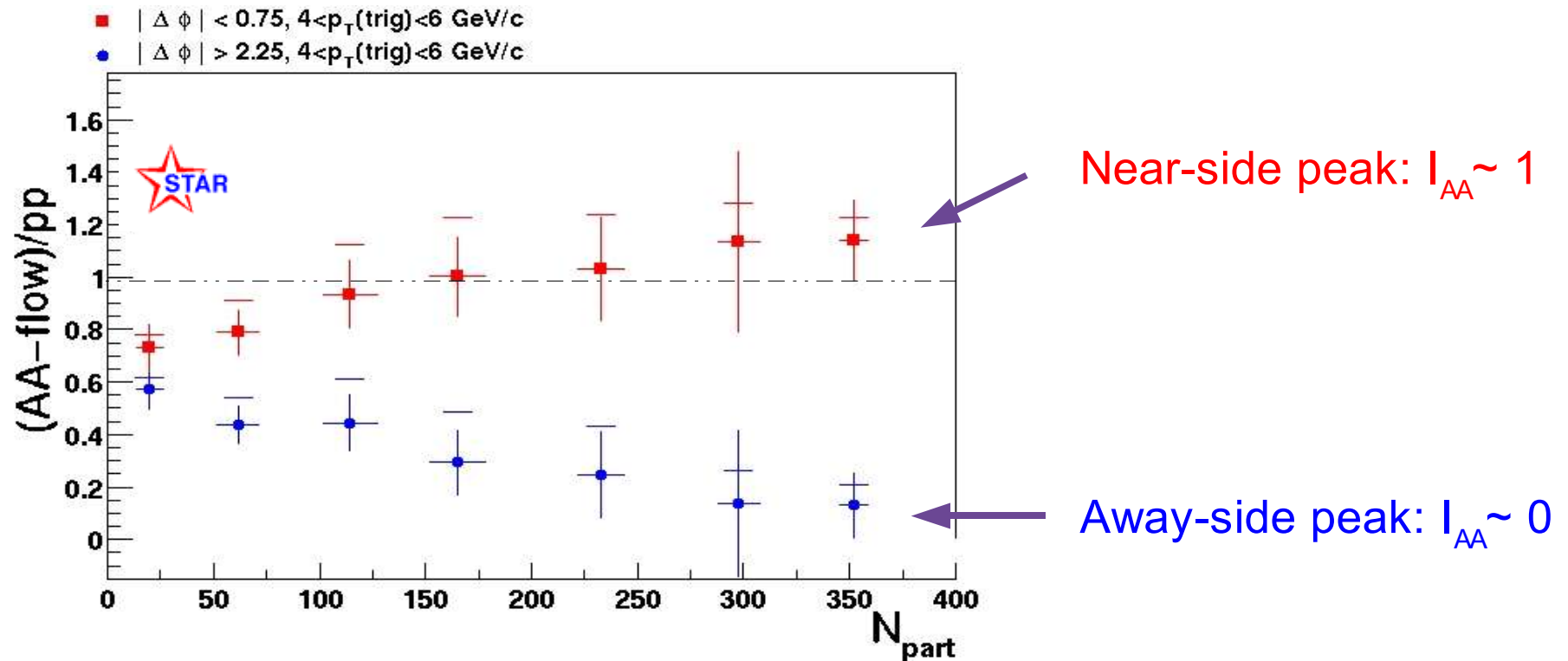
- **Near-side peak:** Au+Au = p+p. Trigger hadrons ( $p_T > 4\text{GeV}/c$ ) come from jets.

- **Away-side peak:** Au+Au  $\ll$  p+p
- Back-to-back jets suppressed in central Au+Au !**

# High $p_T$ azimuthal correlations: Au+Au dijet signal disappearance

- Ratio of **Au+Au** (- flow) **over p+p** azimuthal correlation “strengths”:

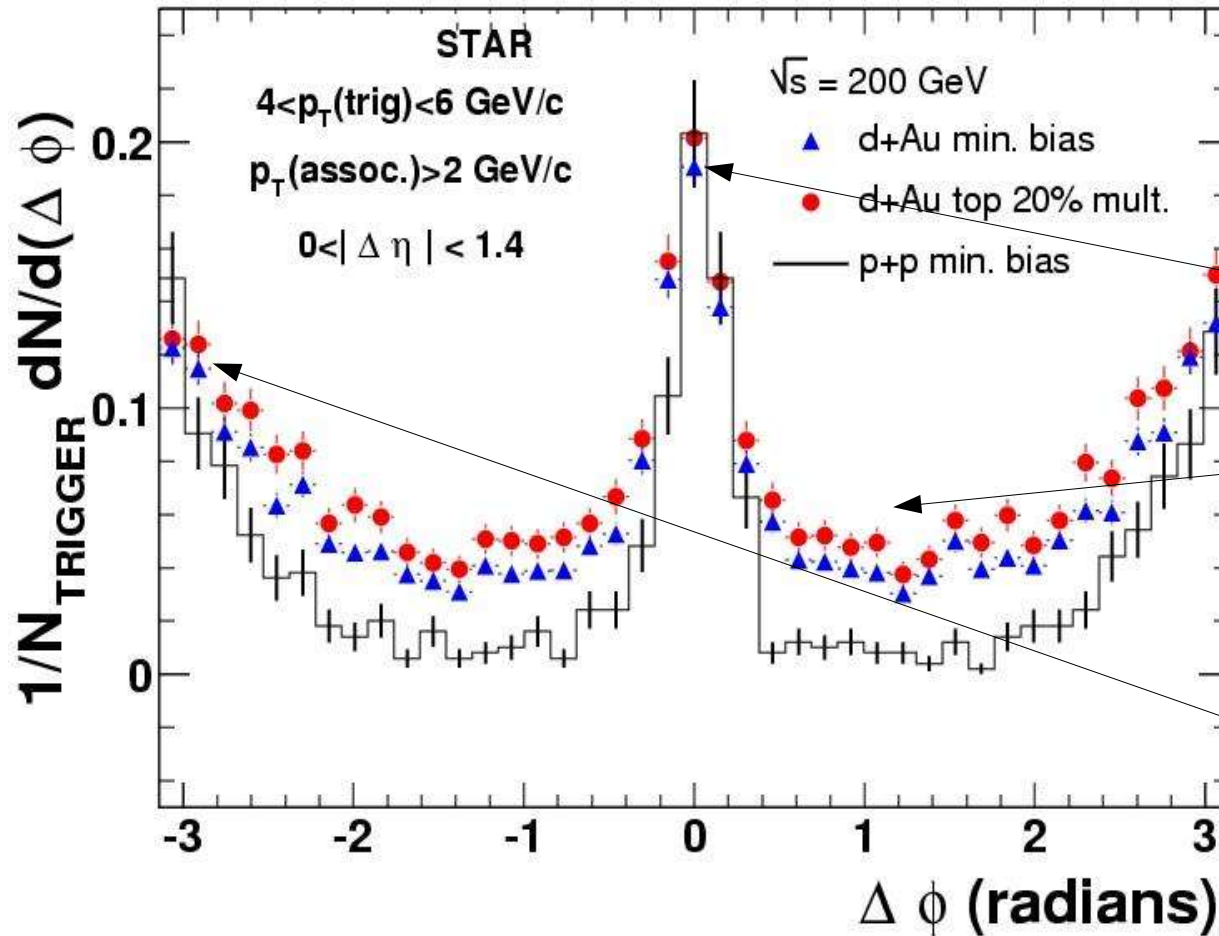
$$I_{AA}(\Delta\phi_1, \Delta\phi_2) = \frac{\int_{\Delta\phi_1}^{\Delta\phi_2} d(\Delta\phi) [D^{\text{AuAu}} - B(1 + 2v_2^2 \cos(2\Delta\phi))]}{\int_{\Delta\phi_1}^{\Delta\phi_2} d(\Delta\phi) D^{\text{pp}}}$$



- Increasing disappearance** of back-to-back correlation as a function of centrality.

Issue (8):  $I_{AA}(\text{periph}) < 1$  ... is this physics ? an experimental bias ?  
 (Other independent measurements of correlation functions needed !)

# High $p_T$ azimuthal correlations: jets in d+Au and p+p

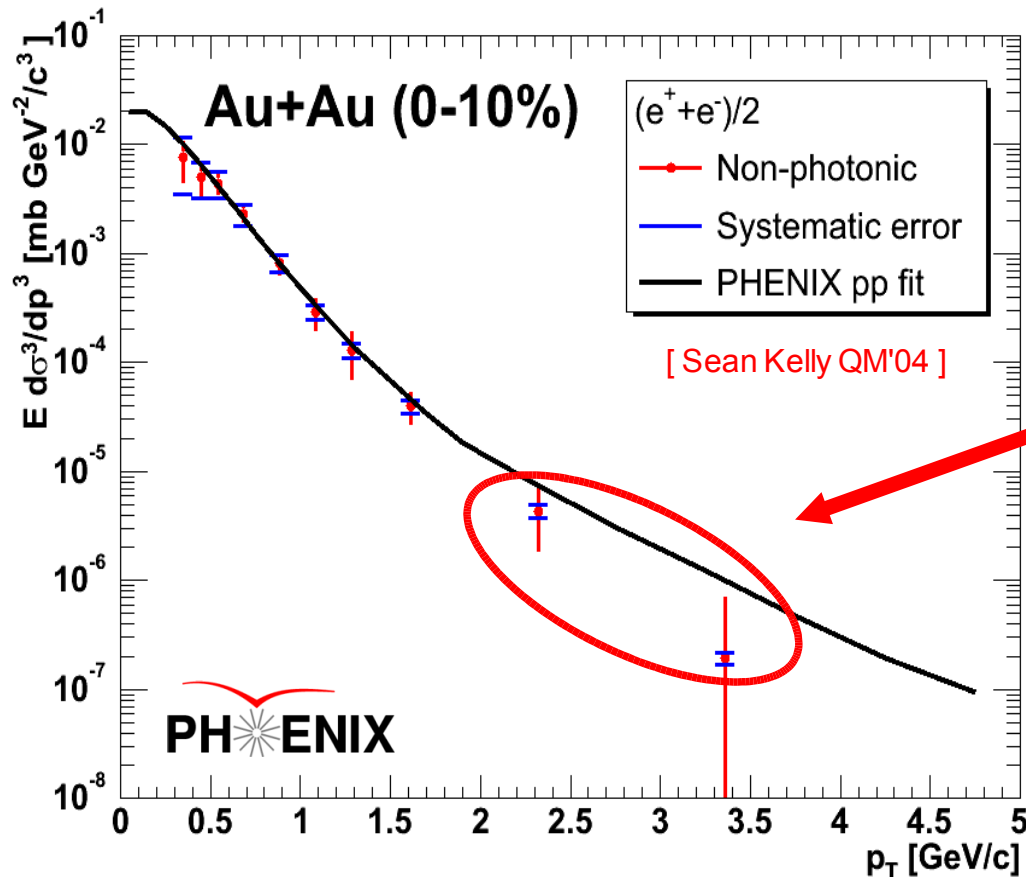


- **Near-side**: d+Au correlation strength and width **similar to p+p** (and **Au+Au**)
- Increasing “**underlying event**”:  $p+p < d+Au(\text{min.bias}) < d+Au(\text{central})$
- **Away-side**: d+Au peak **broadens** but small centrality dependence

- **Back-to-back jets** do **not disappear** in central d+Au !

# Unsuppressed (?) hard heavy-quark production

- Indirect measurement via semileptonic open-charm decays:  $D \rightarrow e^\pm X$ .
- Within uncertainties, single electron Au+Au central spectra and x-section(\*) consistent with  $N_{\text{coll}}$  scaled p+p charm production:



(\*) Charm production is intrinsically hard:  
 $N_{\text{coll}}$  scaling expected down to low  $p_T$

- Possible reduction ( $1\sigma$ ) at high  $p_T$ ?  
factor  $\sim 2$  less suppression expected for D than for  $\pi$  ( $R_{AA}=0.2$ ) in models of medium-induced energy loss

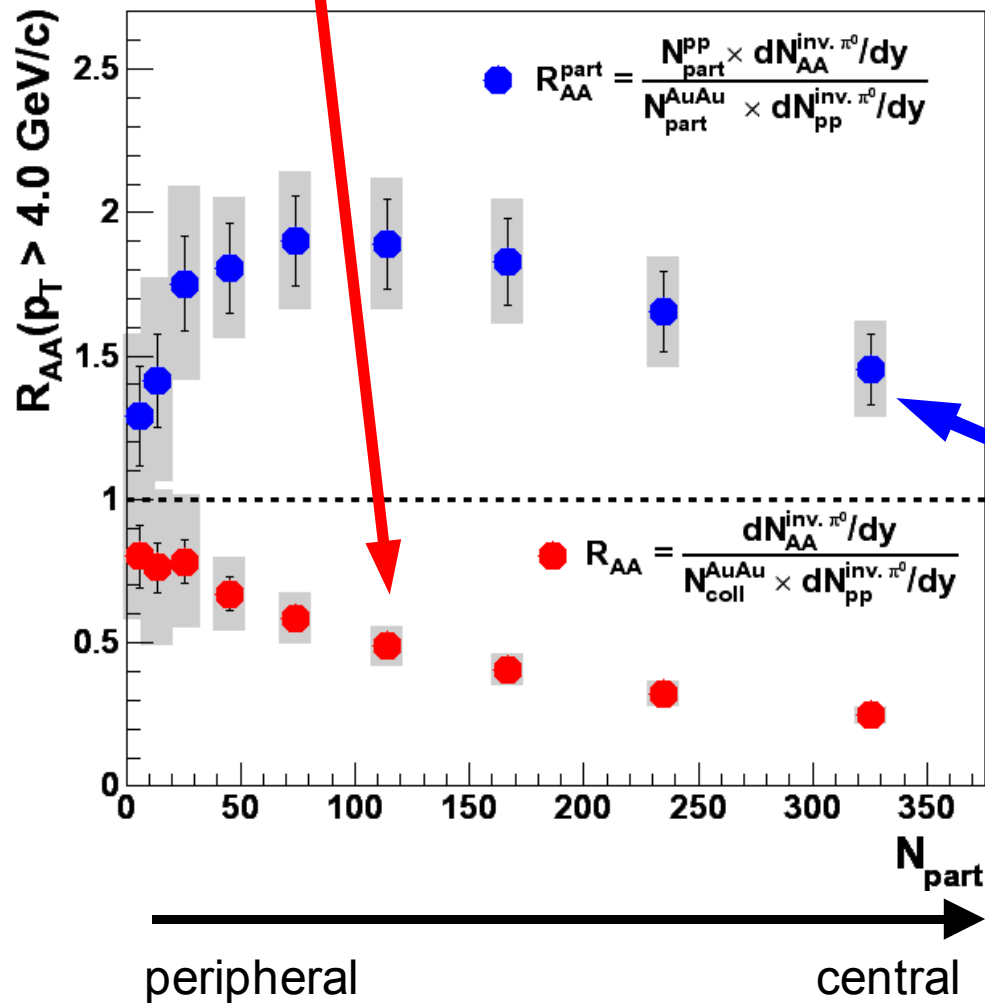
Wait for results from hi-stat. Run-4.

- Strong(\*) medium effects on heavy flavor production precluded so far.

(\*) at least as strong as for light-quark mesons.

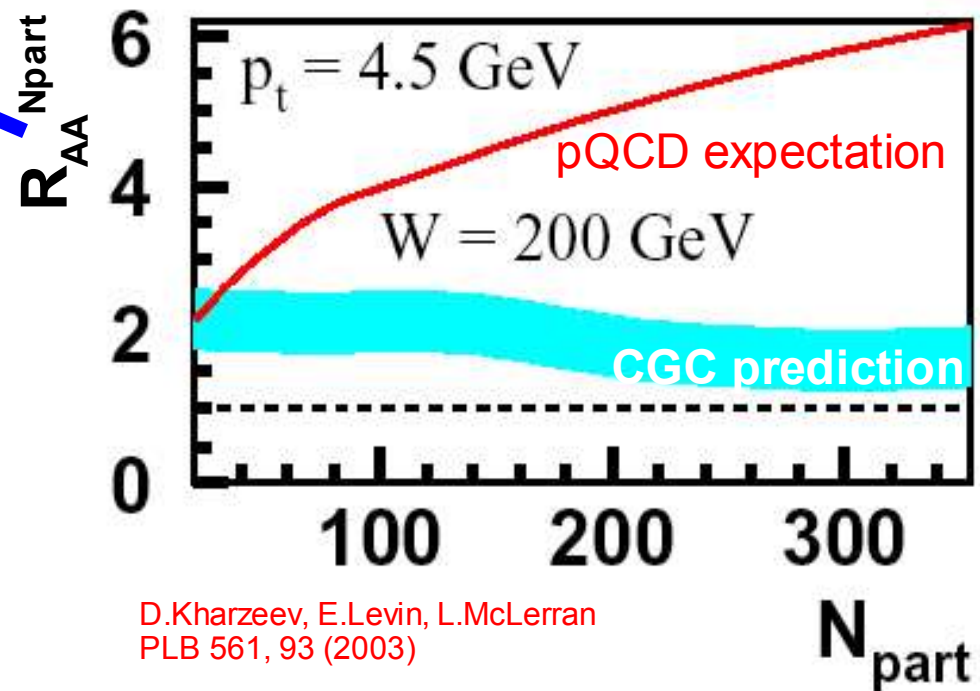
# Centrality dependence of suppression (I): $N_{part}$ scaling ?

- Suppression (w.r.t.  $N_{coll}$  scaling) increases smoothly with centrality:



PHENIX  
nucl-ex/0304022,  
submitted to PRL

- Approximate  $N_{part}$  scaling in semiquantitative agreement with Color Glass Condensate prediction



D.Kharzeev, E.Levin, L.McLerran  
PLB 561, 93 (2003)

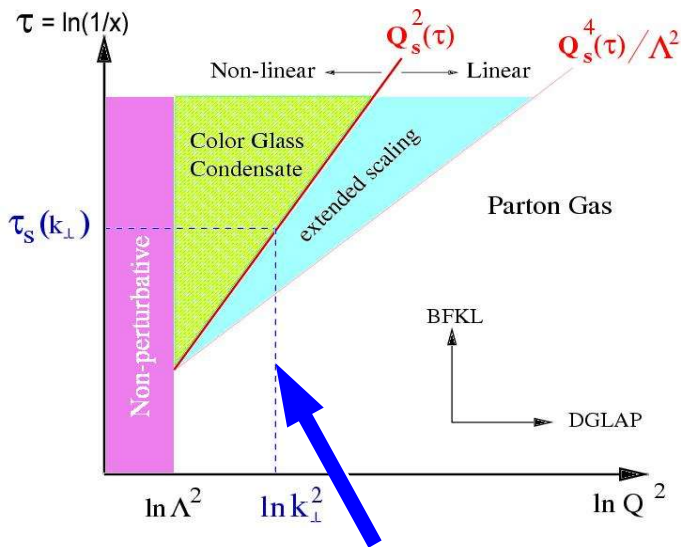
# High-energy heavy-ion physics program (in 4 plots)

$$\mathcal{L} = \frac{1}{4g^2} G_{\mu\nu}^a G_{\mu\nu}^a + \sum_f \bar{\psi}_f (i\gamma^\mu D_\mu + m_f) \psi_f$$

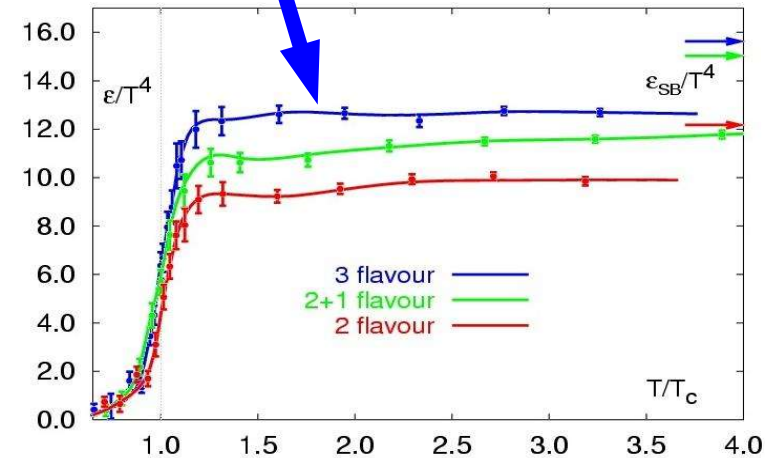
where  $G_{\mu\nu}^a \equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + if_{abc} A_\mu^b A_\nu^c$   
 and  $D_\mu \equiv \partial_\mu + it^a A_\mu^a$  ( $\alpha_S = g^2/4\pi$ )

$\alpha_S(Q^2) \sim 1/\ln(Q^2/\Lambda^2)$ ,  $\Lambda \sim 200$  MeV

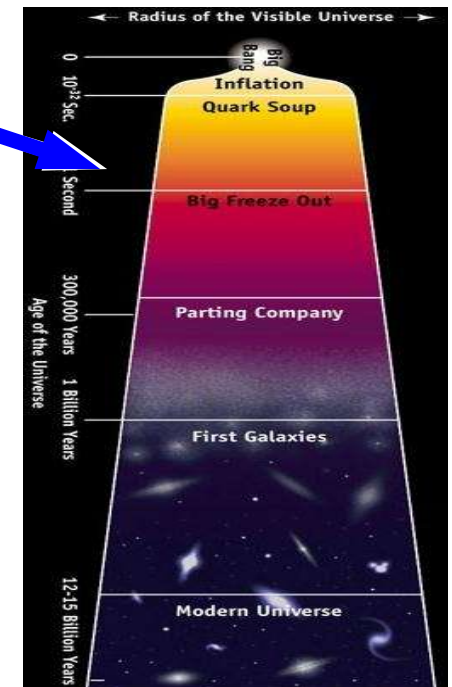
1. Learn about 2 (so far unexplained) properties of the **strong interaction**: **confinement**, **chiral symmetry breaking**



2. Study the **phase diagram of QCD matter** (esp. produce & study the **QGP**)



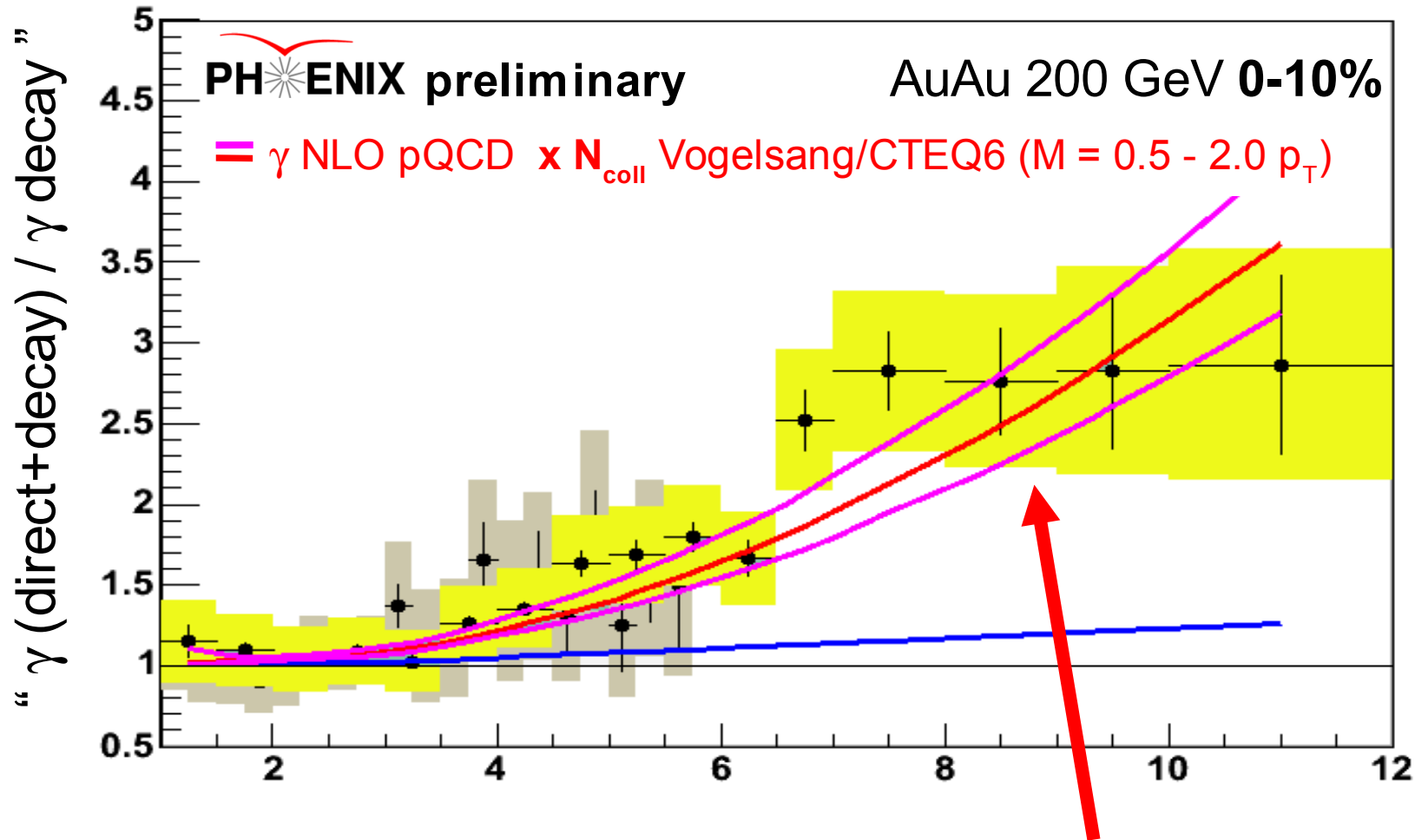
3. Probe the **properties of the primordial Universe** (few  $\mu$ sec after the Big Bang).



4. Study the regime of **non-linear** (high density) many-body **parton dynamics** at small-x (**CGC**).

# Unsuppressed hard colorless production

- “Control” observable: **direct photons** are **clean, penetrating** (directly coupled to partonic vertex, no fragmentation) **non-hadronic hard probes**.



- Probes **insensitive to colored final-state** do show **collision scaling** at high  $p_T$ :  
**pQCD incoherent parton scattering holds** for hard processes in **central Au+Au** !