



Recent PHENIX Spin Results

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On behalf of the PH⁺ENIX collaboration

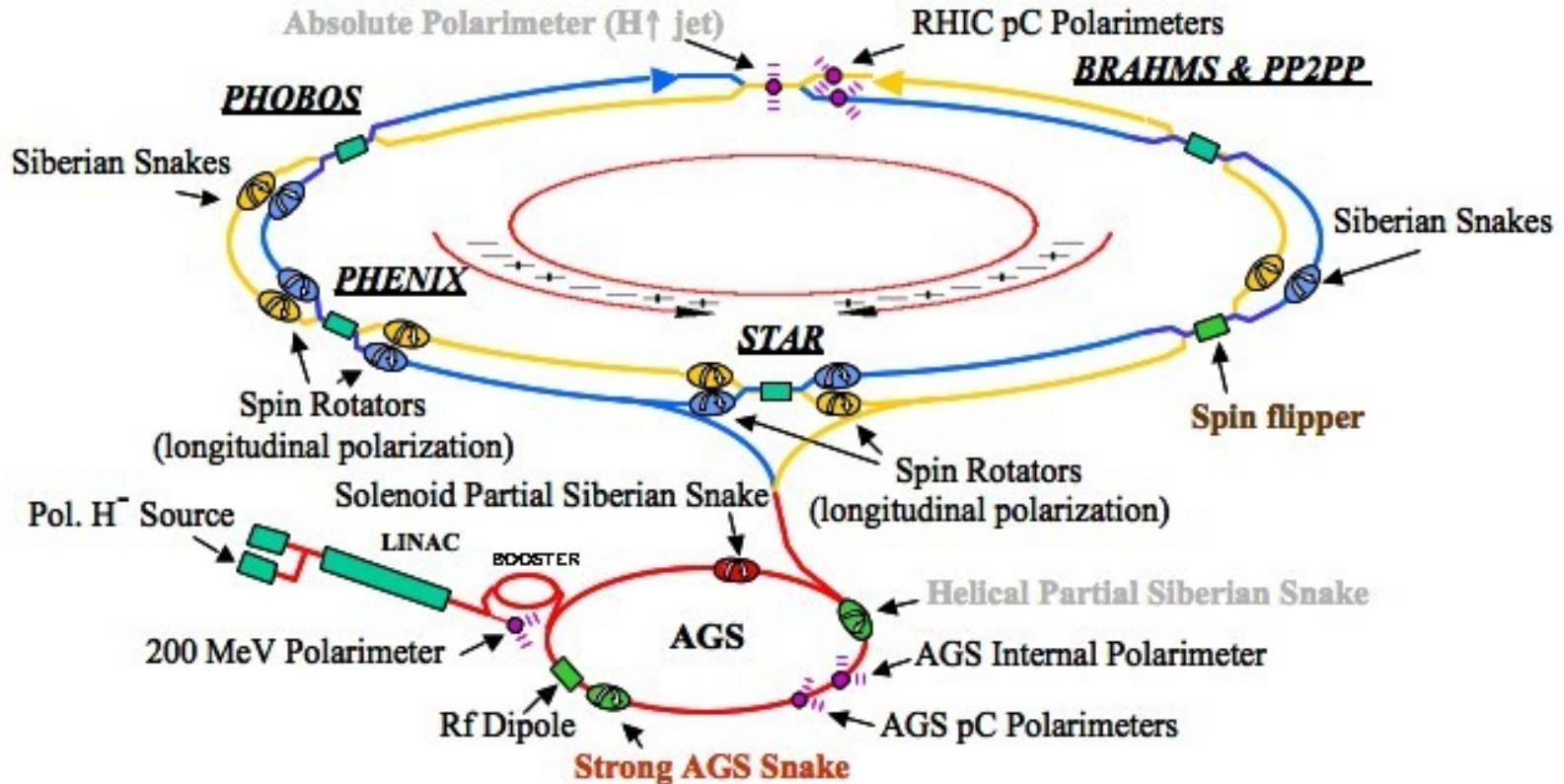


Outline

- ❖ About polarized RHIC, PHENIX
- ❖ The Proton Spin Structure via Asymmetries
- ❖ Recent PHENIX results from polarized proton running
- ❖ Summary



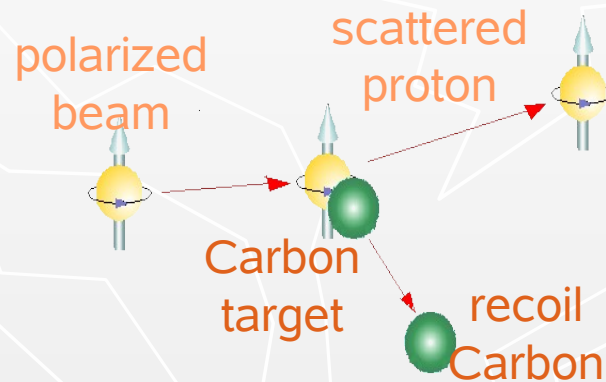
RHIC



- ❖ Acceleration of polarized protons between 25 and 250 GeV
- ❖ Up to 120 Bunches with 2×10^{11} protons (100ns apart)
- ❖ Polarization up to 70%
- ❖ <http://www-nh.scphys.kyoto-u.ac.jp/SPIN2006/SciPro/pres/plenary/MeiBai.ppt>

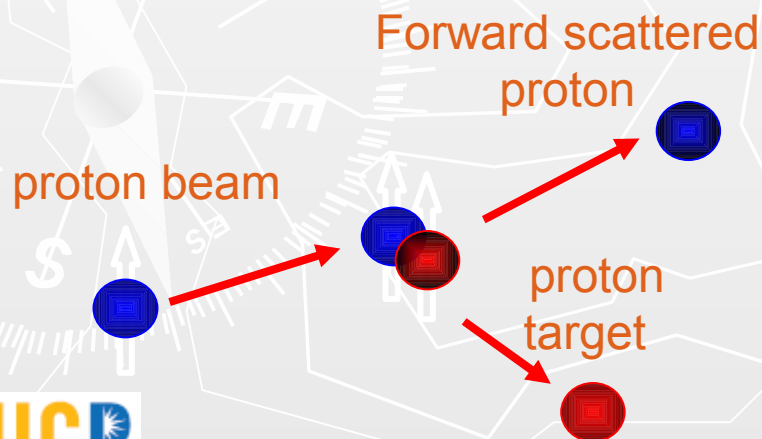
Polarimetry

- ❖ “CNI”-Polarimeter measures left-right asymmetries in elastic pC collisions
 - Provides fast relative polarization measurement
 - Scan polarization over x and y range of the beam



Polarimetry

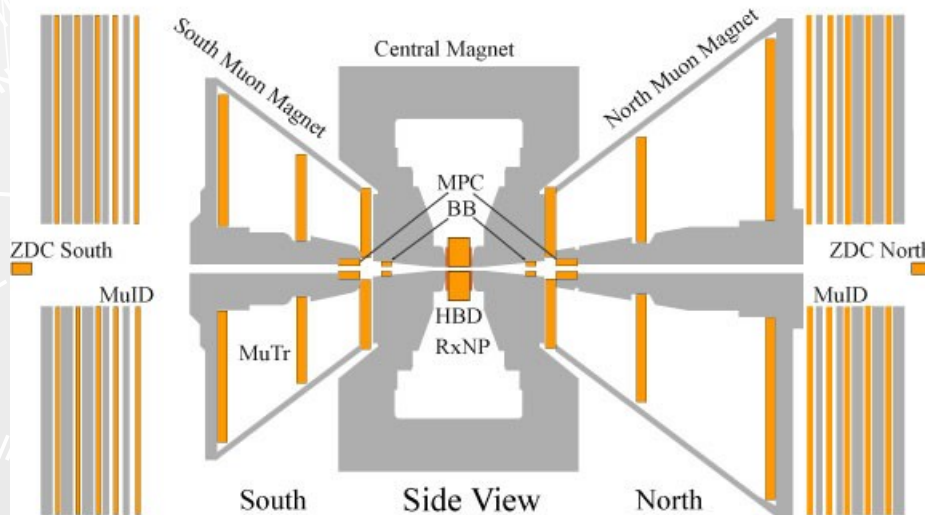
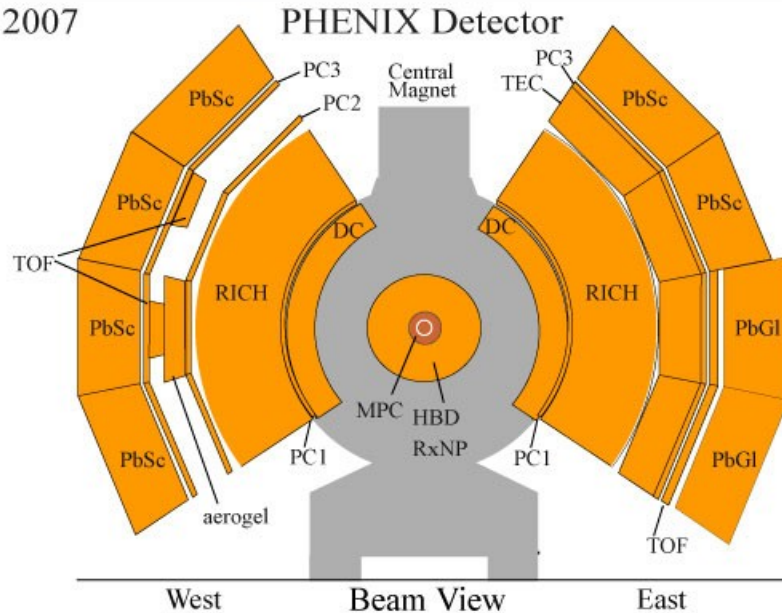
- ❖ “CNI”-Polarimeter measures left-right asymmetries in elastic pC collisions
 - Provides fast relative polarization measurement
 - Scan polarization over x and y range of the beam
- ❖ “Jet” Polarimeter measures left-right asymmetries in elastic pp collisions
 - Uses the known polarization of H atoms from Atomic Beam Source
 - Absolute measurement
 - Integrating over beam profile
 - Run 6: total syst. polarization uncertainty $\Delta P_B P_Y / (P_B P_Y) = 8.4\%$



Year	[GeV]	Luminosity [pb ⁻¹] (recorded)	Polarization [%]
2003 *	200	0.35	27
2004 *	200	0.12	40
2005 *	200	3.4	49
2006 *	200	7.5	55
2006 *	62.4	0.08	48

The PHENIX Detector for Spin Physics

2007



Central Detector *Acceptance:* ($|\eta| < 0.35$, $\phi = 2 \times \pi/2$):

- $\gamma/\pi^0/\eta$ detection
 - Electromagnetic Calorimeter:
PbSc + PbGl, $\eta < |0.35|$, $\phi = 2 \times 90^\circ$
- π^+/π^-
 - Drift Chamber
 - Ring Imaging Cherenkov Detector

Muon Arms (forward kinematics ($\sim 1.1 < |\eta| < 2.4$)):

-
- J/ψ
- Muon ID/Muon Tracker ($\mu^+\mu^-$)
- π^0
- Electromagnetic Calorimeter (MPC)

Global Detectors:

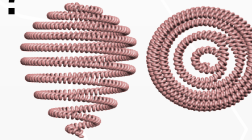
- Relative Luminosity
 - Beam-Beam Counter (BBC)
 - Zero-Degree Calorimeter (ZDC)

Local Polarimetry - ZDC

Intrinsic Spin Violates our intuition:



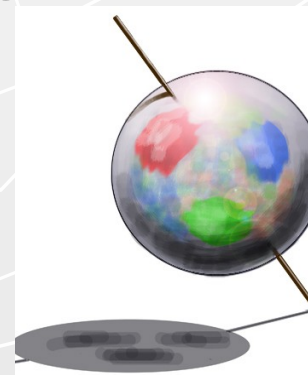
How can an elementary particle such as the e^- be point like and have perpetual angular momentum.?



The Proton also violates our intuition.

The Proton is composed of quarks, gluons and anti quarks.

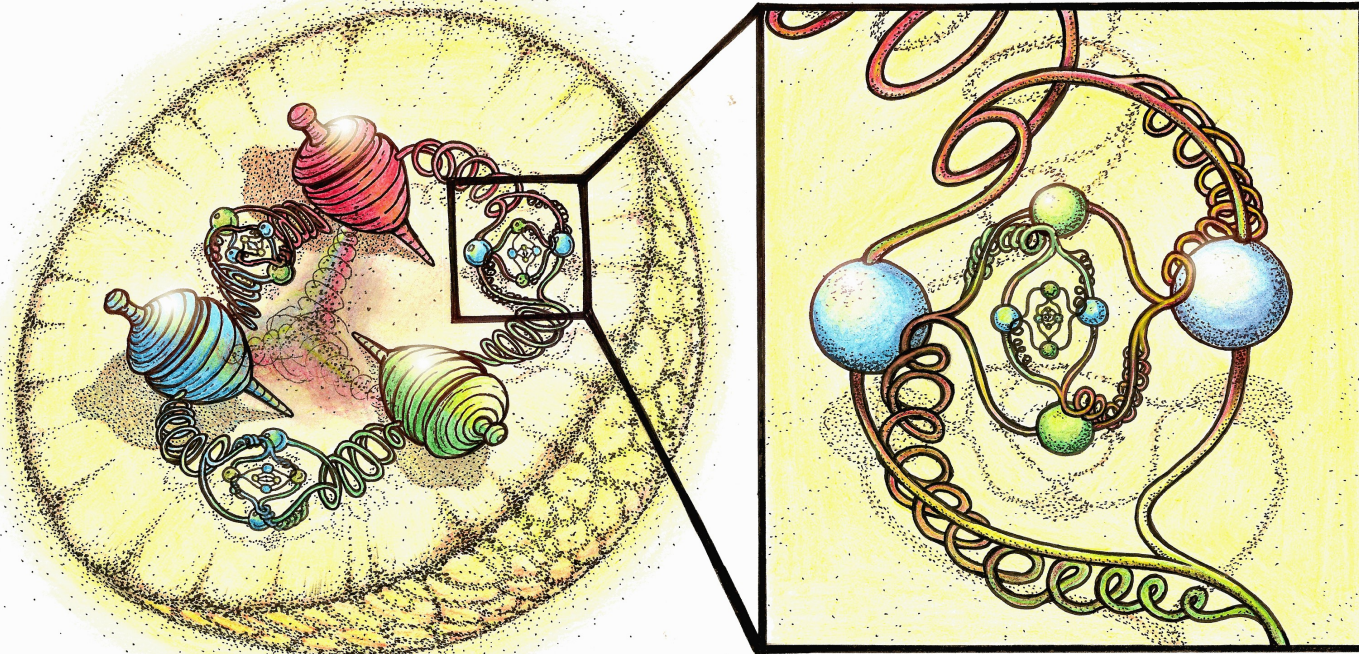
We should expect the proton's spin to be predominately carried by its 3 valence quarks



We Think That We Understand the Concept of “Rotational Motion”



...but how does it workout at scales of about one fermi? (Marco Stratman. Lectures on the Longitudinal Spin Structure of the Nucleon, Wako, Japan)



Astrod & Sebastian Parmentier © 2006.

That nucleon has a large anomalous magnetic moment proves that this is not a **fundamental** spin $1/2$ Dirac particle.

Within the nucleon:

Quarks, gluons and their angular momentum caused by their high speed motion within the nucleon are contributors to the Nucleon's spin.

Contributions to the Proton's Spin

❖ Quarks and Gluons carry about 50%(each) of the longitudinal momentum

❖ **What about Spin?**

Valence Quarks (QPM) $\sim 30\%$

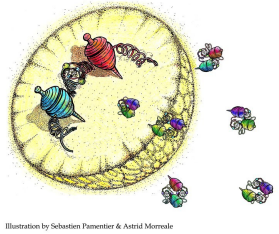
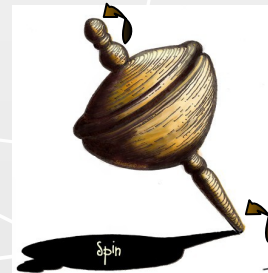
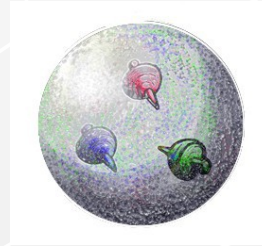


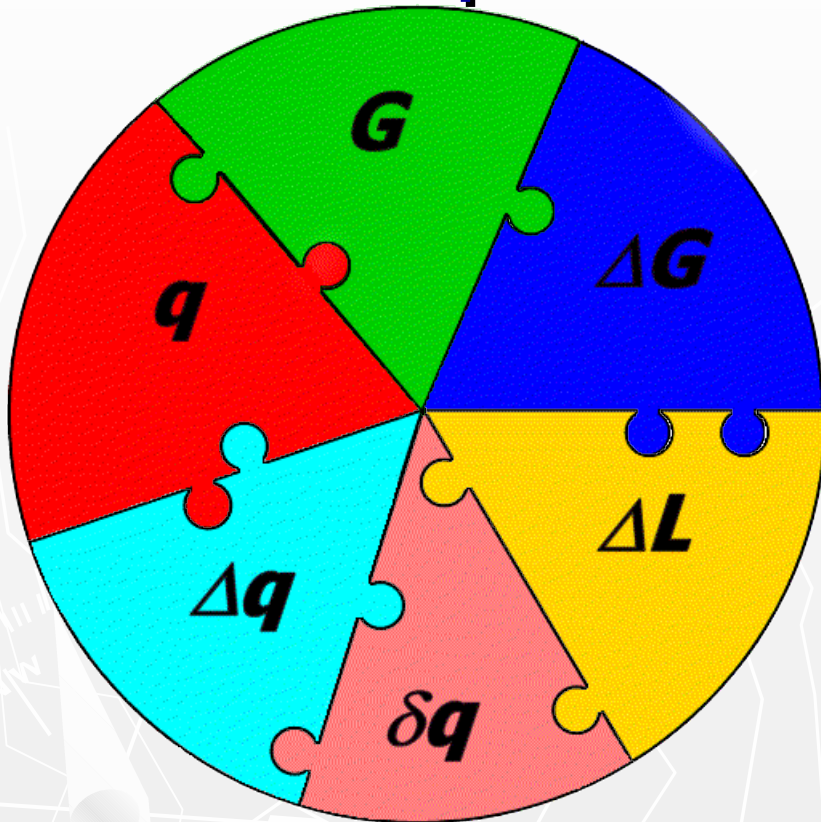
Illustration by Sebastian Parnert & Astrid Mennle

(**Q****C****D**) Gluons, Sea Quarks: $\sim >$, $=$, < 0 ?

Orbital Angular Momentum $\sim ?$



The Spin Structure of the Proton



ΔG , $\Delta \Sigma$ are the probabilities of finding a parton with spin parallel or anti parallel to the spin of the nucleon.

Longitudinal Spin Sum Rule:

$$\frac{1}{2} = S_z = \frac{1}{2} \Delta \Sigma + \Delta G - L_z$$

W-production
(pp)

Double Spin
Asymmetries
(pp, SIDIS)

Exclusive
processes
(DVCS, etc)

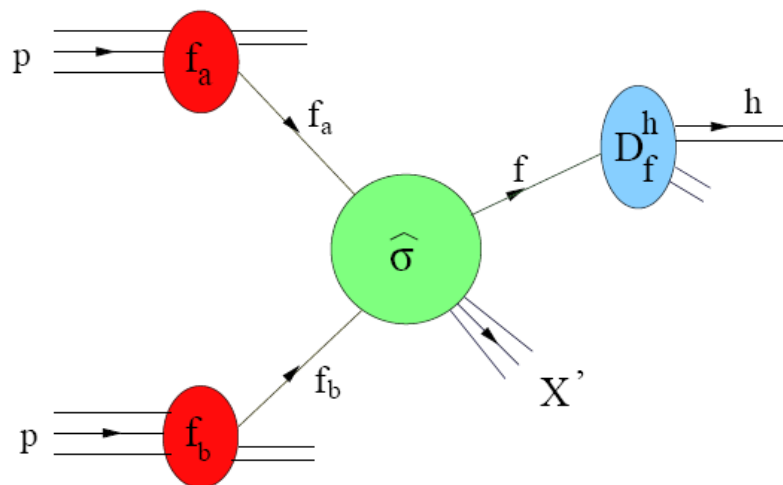
Transverse Spin Sum Rule?

$$\frac{1}{2} = S_x = \frac{1}{2} \delta \Sigma + L_x$$

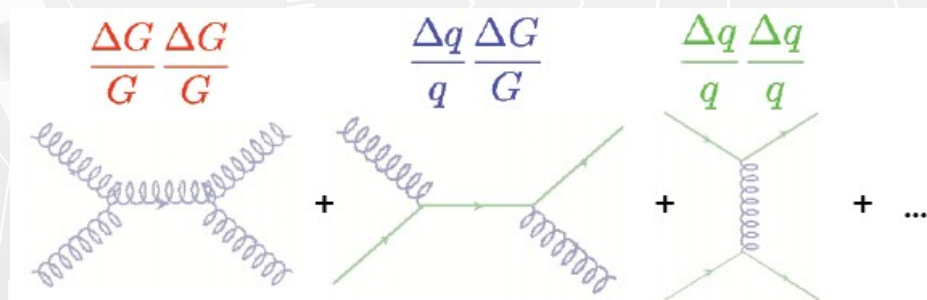
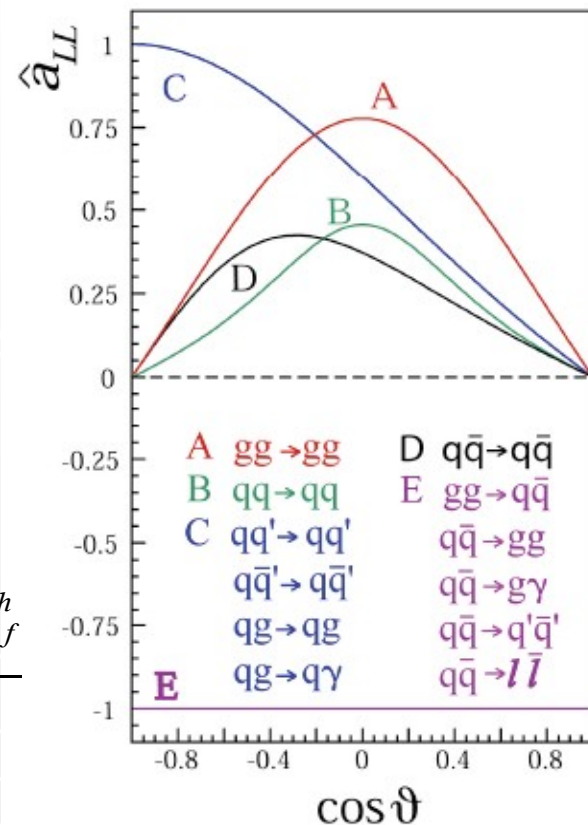
Chiral-odd Fragmentation
functions (Collins, IFF, L)

Sivers effect??
11

Accessing Δg with Asymmetries



$$A_{LL} = \frac{d\sigma^{++} - d\sigma^{+-}}{d\sigma^{++} + d\sigma^{+-}} = \frac{\sum_{a,b} \Delta f_a \otimes \Delta f_b \otimes d\sigma^{f_a \hat{f}_b \rightarrow fX} \cdot a_{LL}^{f_a \hat{f}_b \rightarrow fX} \otimes D_f^h}{\sum_{a,b} f_a \otimes f_b \otimes d\sigma^{f_a \hat{f}_b \rightarrow fX} \otimes D_f^h}$$



Hard subprocess asymmetries
(LO)

Increasing x , p_T

Asymmetries

- ❖ For our Δg program the tools are measurements of helicity cross section asymmetries A_{LL}

$$A_{LL} = \frac{d\sigma_{++} - d\sigma_{+-}}{d\sigma_{++} + d\sigma_{+-}} = \frac{1}{|P_1 P_2|} \frac{N_{++} - RN_{+-}}{N_{++} + RN_{+-}} ; R = \frac{L_{++}}{L_{+-}}$$

(N) Yield

(R) Relative Luminosity

✓ BBC vs ZDC

(P) Polarization

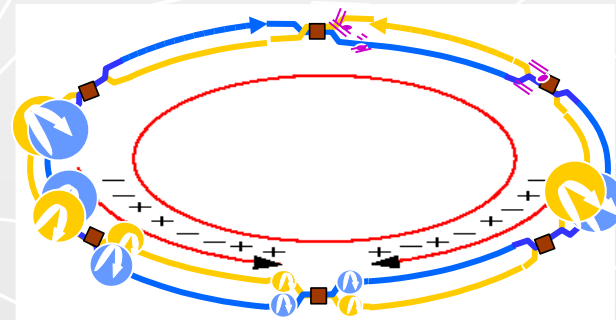
✓ RHIC Polarimeter (at 12 o'clock)

✓ Local Polarimeters (SMD&ZDC)

Bunch spin configuration alternates every
106 ns

Data for all bunch spin configurations are
collected at the same time

⇒ Possibility for false asymmetries are
greatly reduced

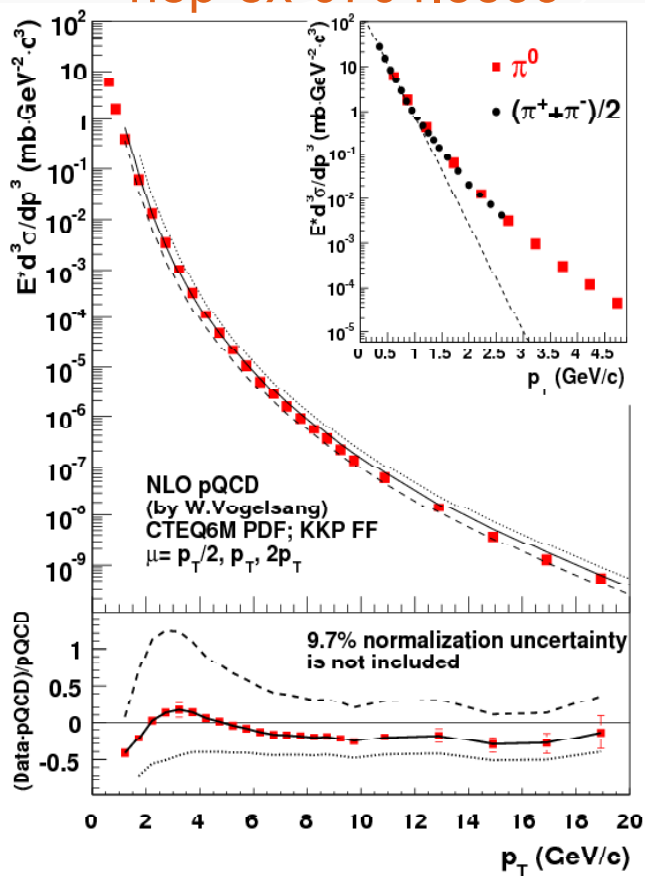


Asymmetries

- ❖ Accessing Δg : Inclusive channels $A_{LL}(pp \rightarrow AX)$ measurable at Phenix
 - π^0 : wide p_T range, mixture with $gg \rightarrow X$ dominant at low p_T
 - η , similar to π^0 · different FF's.
 - $\pi^\pm$, mixture sensitive to $qg \rightarrow qX$ at high p_T
 - Multiparticle clusters (parts of jets), correlated with $\pi^{0,\pm}$
 - Direct photons: p_T range 6-20+ GeV/c, dominated by $qg \rightarrow q\gamma$
 - J/ψ , $\mu^\pm$, $e^\pm$ ($gg \rightarrow cc$)
- ❖ $\Delta\Sigma$, $\delta\Sigma$, L_z : Current k_T , D_L , $A_{N,UT,T}$ measurements at Phenix
 - A_N $\pi^0/\pi^\pm/h^\pm$, J/ψ , forward neutrons
 - D_{LL} Anti- Λ Spin Transfer
 - k_T azimuthal di- $h^\pm$ correlations

π^0 cross section measurement

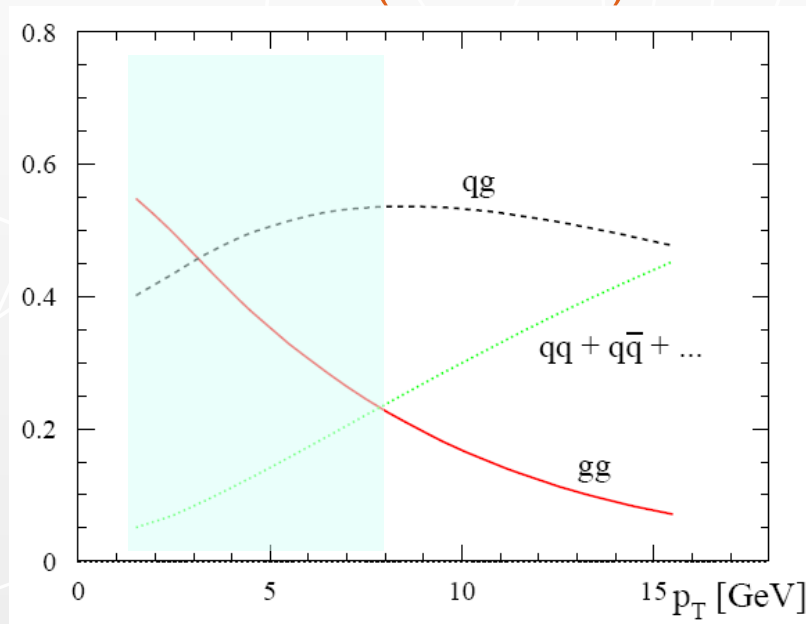
PHENIX: π^0 mid-rapidity, 200GeV
hep-ex-0704.3599



- ❖ Agreement between data and pQCD theory
- ❖ Shows that pQCD and **unpolarized** PDFs determined in DIS can describe pp data
- ❖ Choice of fragmentation function crucial (dominated by gluon fragmentation)
- ❖ Scale uncertainty still large at lower $p_T < 5$ GeV



Run3,4,5: PRL 93, 202002; PRD 73, 091102;
hep-ex-0704.3599

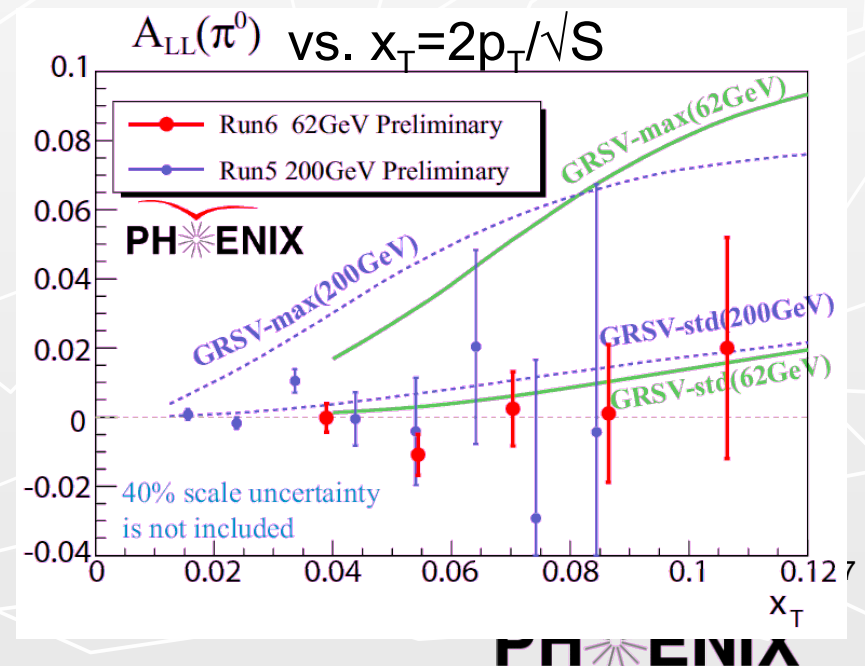
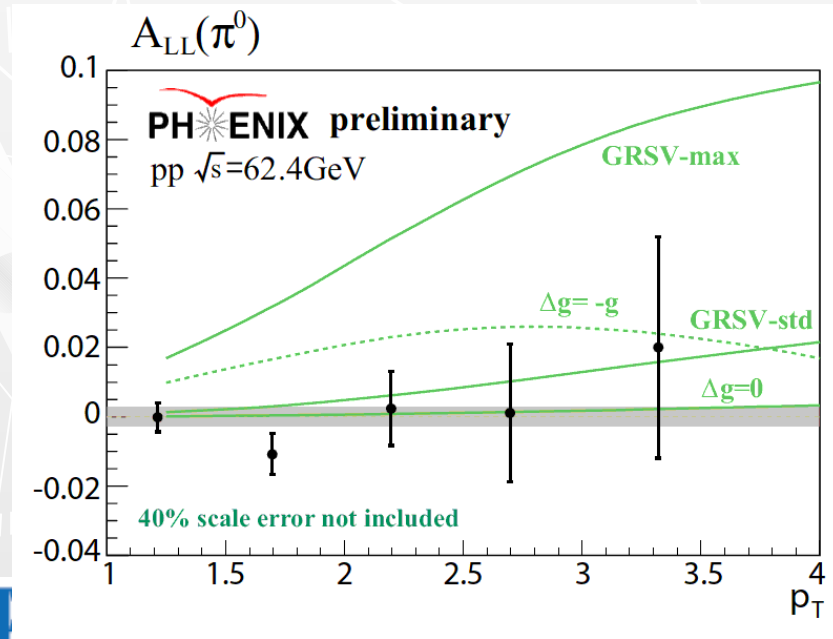


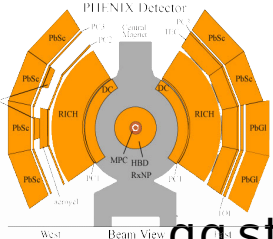
❖ Asymmetry of combinatorial background estimated from sidebands and subtracted

- ❖ Dominated by gg for $p_T < 3$, qq for $3 < p_T < 10$ GeV

Information from π^0 Asymmetries

- ❖ Inclusive π^0 A_{LL} cannot access $\Delta g(x)$ directly
 - Only sensitive to an average over a wide x range
 - No conclusions about moment of $\Delta g(x)$ possible without a model for its shape
- ❖ More (indirect) information from varying cms energies
 - Higher (500 GeV) $\rightarrow$ lower x
 - Smaller (62 GeV) $\rightarrow$ higher x (and larger scale uncertainty)

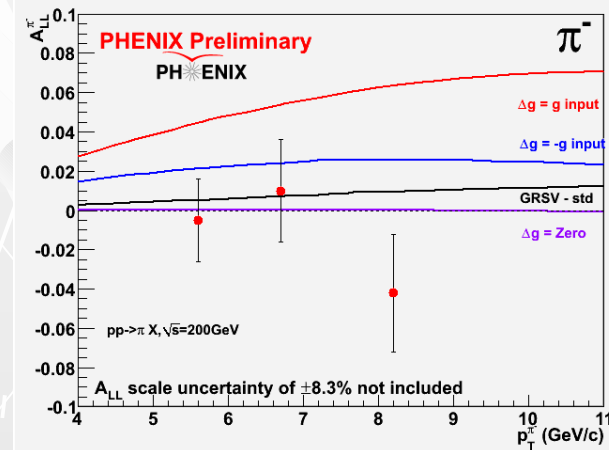
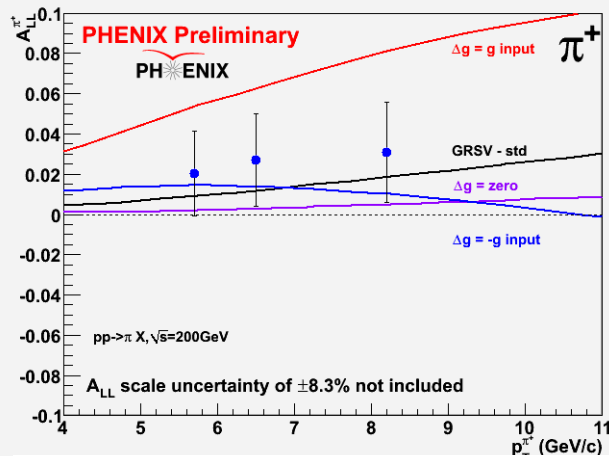




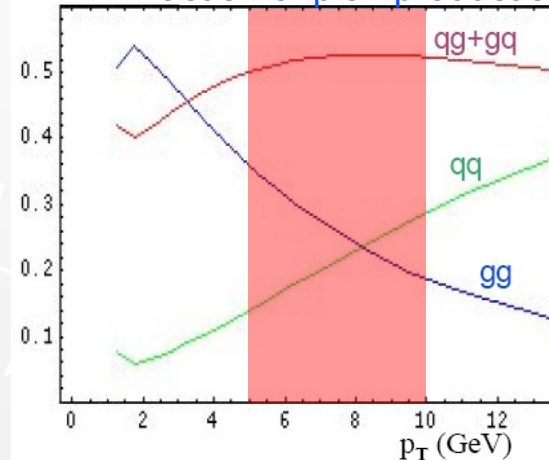
$\pi^{+,-}$ Asymmetries



qg starts to dominate for $p_T > \sim 5 \text{ GeV}$ and $D_u^{\pi^+} > D_u^{\pi^0} > D_u^{\pi^-}$
 Expect sensitivity to sign of ΔG , e.g., positive $A_{LL}^{\pi^+} > A_{LL}^{\pi^0} > A_{LL}^{\pi^-}$



Fraction of pion production



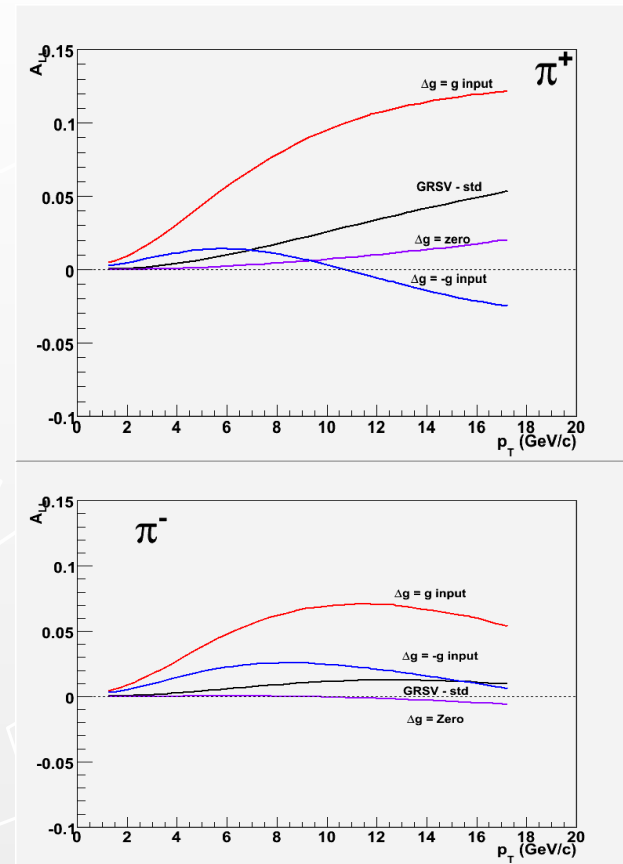
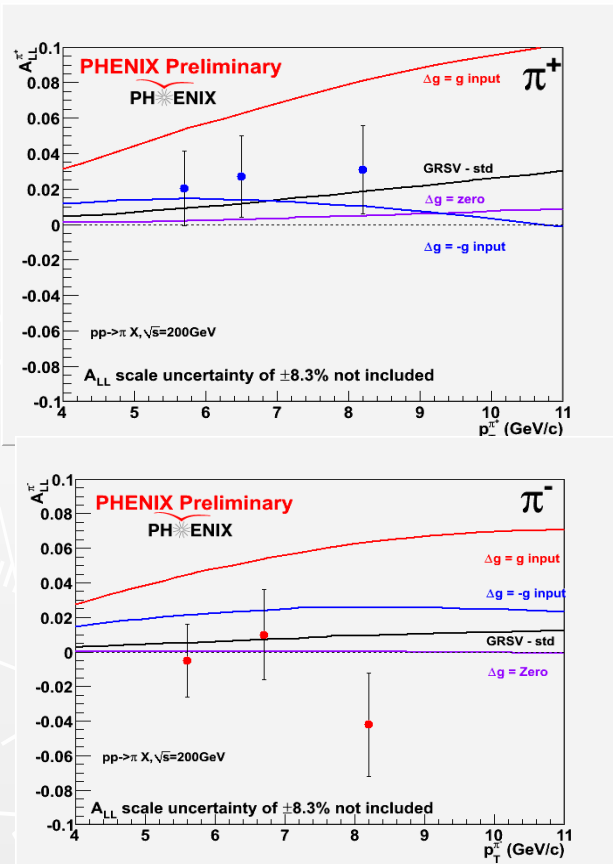
Charged pions above 4.7 GeV identified with RICH.

At higher p_T , qq interactions become dominant: $\Delta q \Delta g$ term.

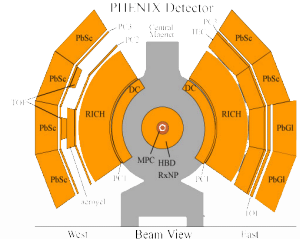
A_{LL} becomes significant allowing access to the sign of ΔG

❖ New set from M. Stratmann et al. is the first to use charged separated π data from SIDIS for fragmentation functions

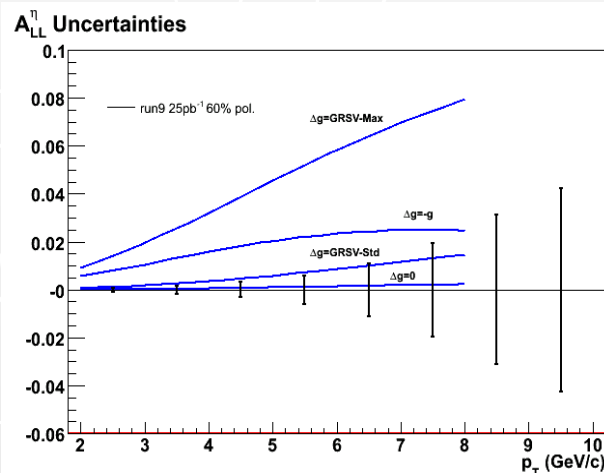
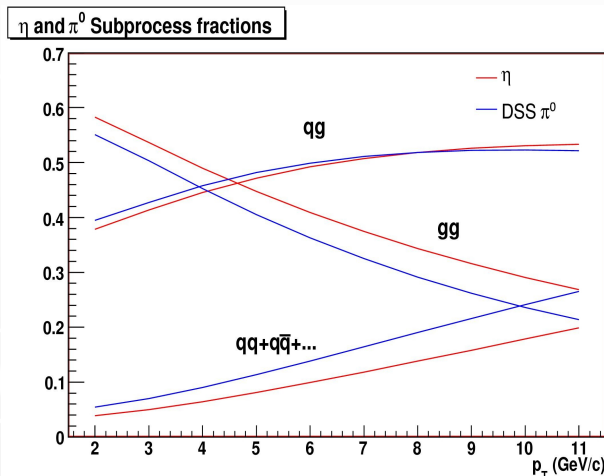
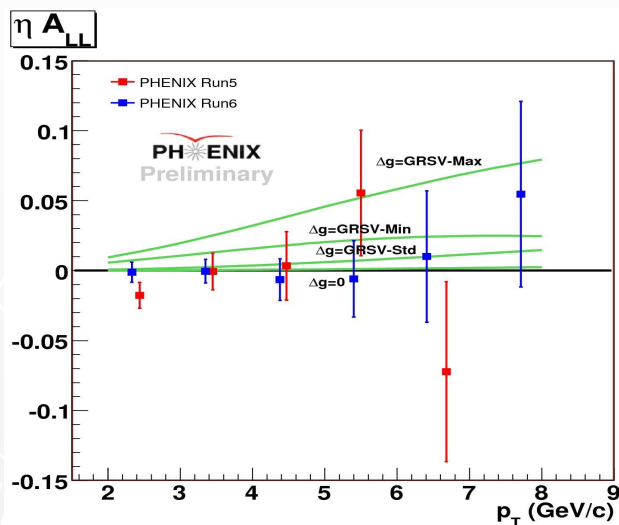
Information from $\pi^{+,-}$ Asymmetries



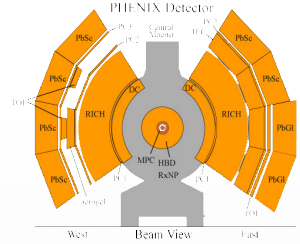
- ❖ Inclusive $\pi^{+,-,0}$ A_{LL} has access to sign $\Delta g(x)$ directly
- ❖ “Model independent” conclusion possible once enough data is available.



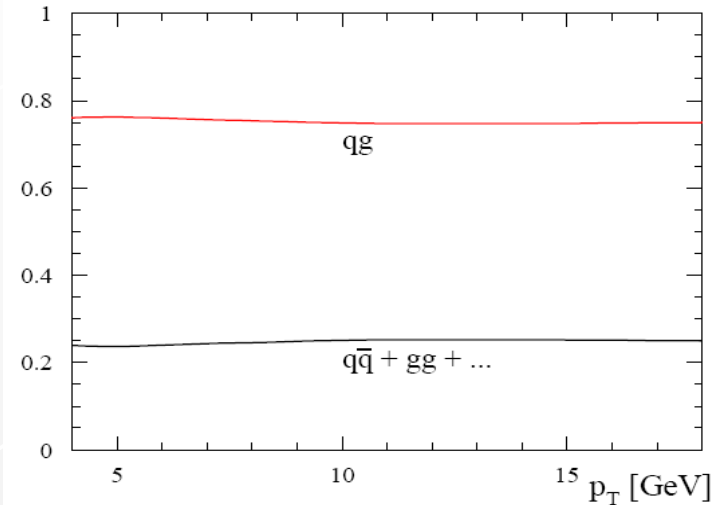
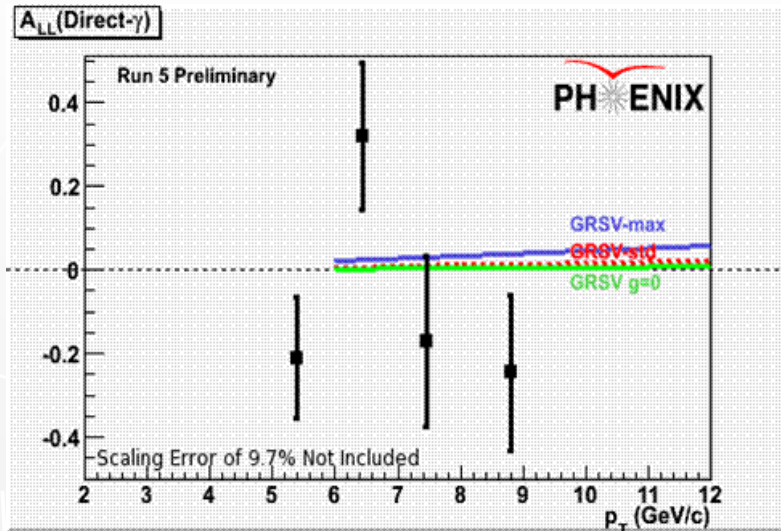
η



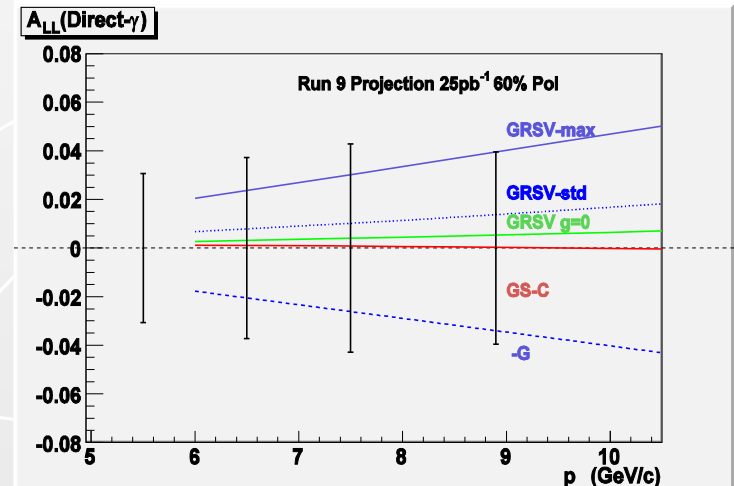
- Recent preliminary extraction of fragmentation functions, $\Rightarrow$
- Eta has (slightly) enhanced sensitivity to gg (when compared to the π^0) Observation of difference in asymmetries could help disentangle the contributions from the different quarks and the gluons.



γ Asymmetries



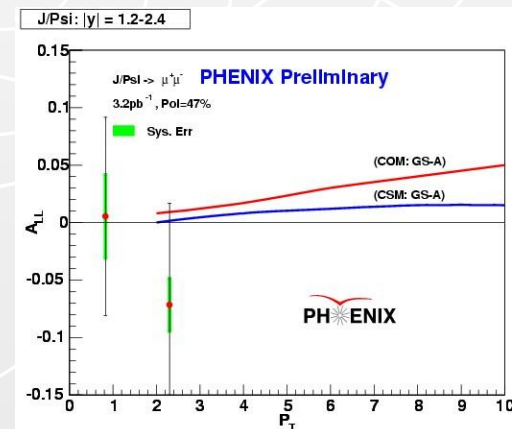
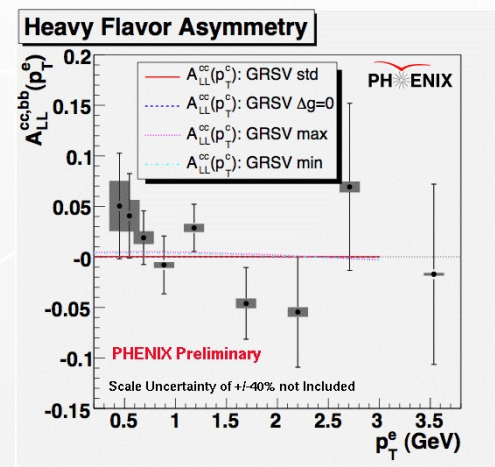
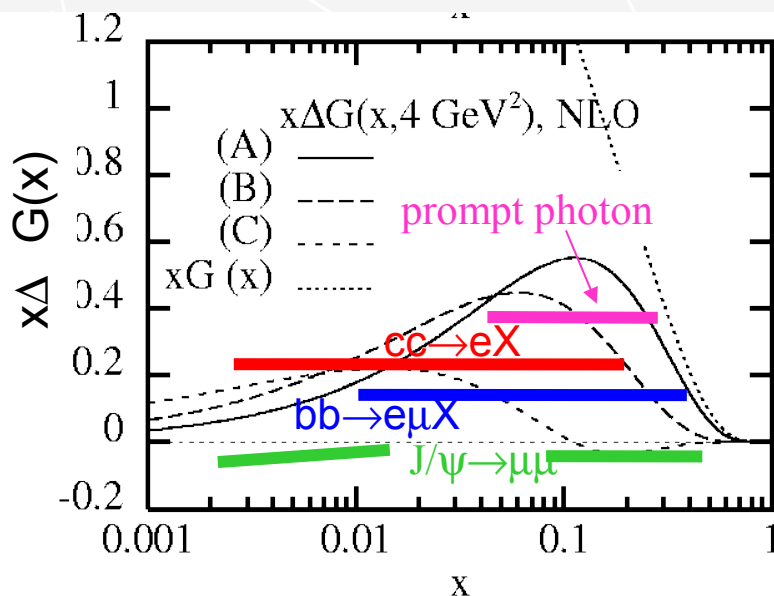
- Dominated by qg Compton:
- Small uncertainty from FFs
 - Better access to sign of ΔG ($\Delta q \Delta G$)
 - Clean “Golden Channel” :-)
 - Luminosity Hungry :-)



Information from γ , μ , e^+e^- Asymmetries

❖ Provide access different x range

- Thresholds
- $J/\psi \rightarrow \mu\mu$ η range (forward arms)
- Prompt γ : no fragmentation $z=1$
- Rare channels with large background
- **Need more luminosity**



$\Delta G(x)$ Global Analysis

- ❖ Results from various channels combined into single results for $\Delta G(x)$
- ❖ Correlations with other PDFs for each channel properly accounted
- ❖ Every single channel result is usually smeared over $x \Rightarrow$ global analysis can do deconvolution (map of ΔG vs x) based on various channel results
- ❖ NLO pQCD framework can be used
- ❖ Global analysis framework already exist for pol. DIS data and being developed to include RHIC pp data, by different groups

One of the attempts of global analysis
by AAC Collaboration using PHENIX
 π^0 -Preliminary data

Now Run5-Final and Run6-Preliminary π^0
data are available

$\Delta G(x)$ Global Analysis

Latest Results

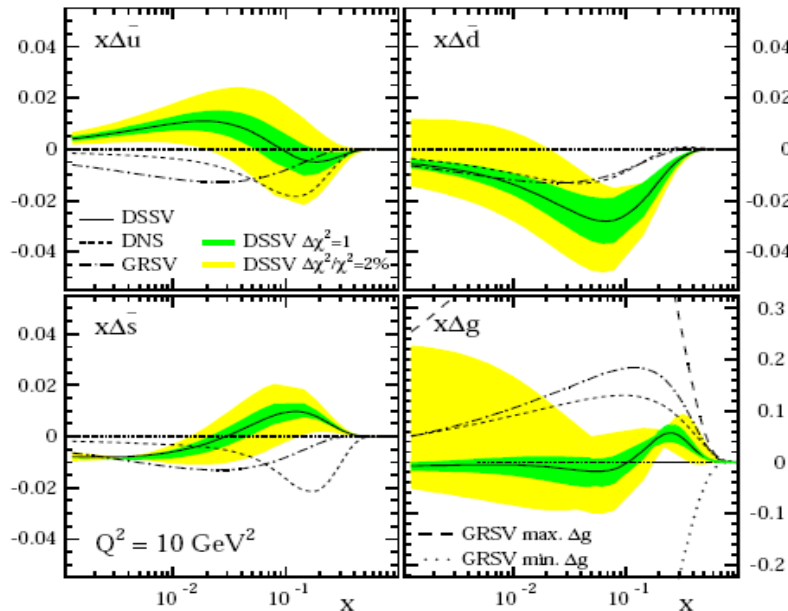


FIG. 2: Our polarized sea and gluon densities compared to previous fits [6, 8]. The shaded bands correspond to alternative fits with $\Delta\chi^2 = 1$ and $\Delta\chi^2/\chi^2 = 2\%$ (see text).

-Flavor dependence of the sea

-SU3 symmetry breaking.

“We also find that the SIDIS data give rise to a Robust pattern for the sea polarizations, clearly deviating from SU(3) symmetry, **which awaits further clarification from the upcoming W boson Program at RHIC**”

Global Analysis of Helicity Parton Densities and Their Uncertainties

(de Florian, Sassot, Stratmann and Wogelsang) ArXiv:0804.0422 (April 2008)

$\Delta G(x)$ Global Analysis

Latest Results

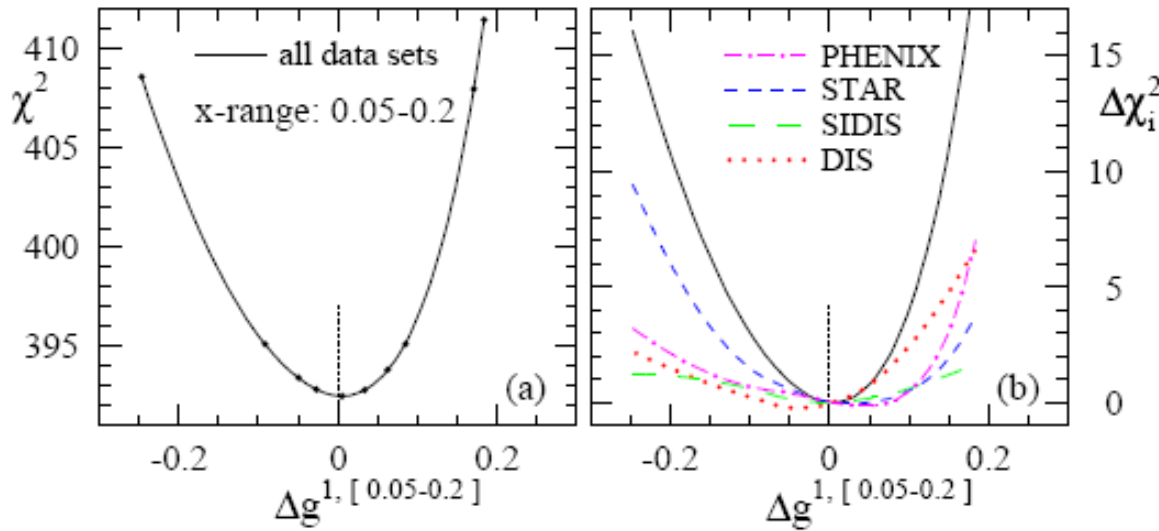


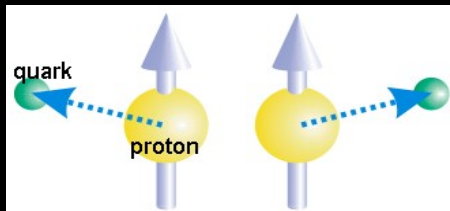
FIG. 3: The χ^2 profile (a) and partial contributions $\Delta\chi_i^2$ (b) of the data sets for variations of $\Delta g^{1, [0.05-0.2]}$ at $Q^2 = 10 \text{ GeV}^2$.

- A first demonstration that p-p data can be included in a consistent way in a NLO pQCD calculation.
- RHIC data set significantly constraints on the gluon helicity distribution
- "Inclusion of theoretical uncertainties and the treatment of experimental ones should and will be improved"

Transverse Spin

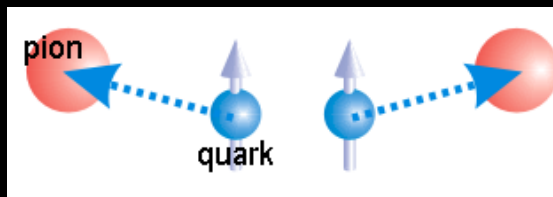
(Sivers effect)

transversely asymmetric
 k_t quark distributions



(Collins effect)

spin-dependent
fragmentation functions



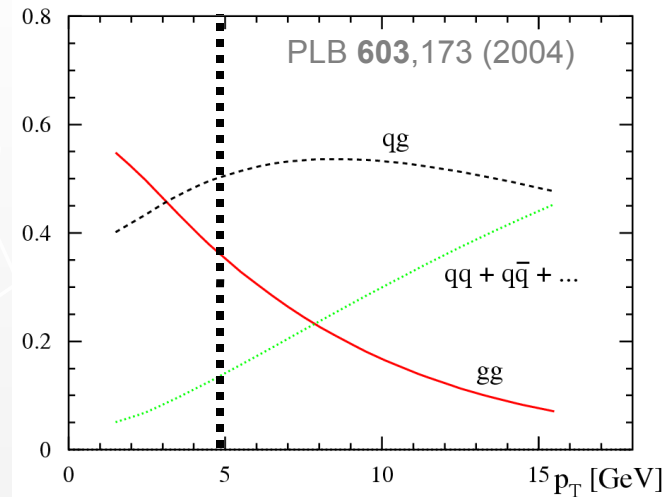
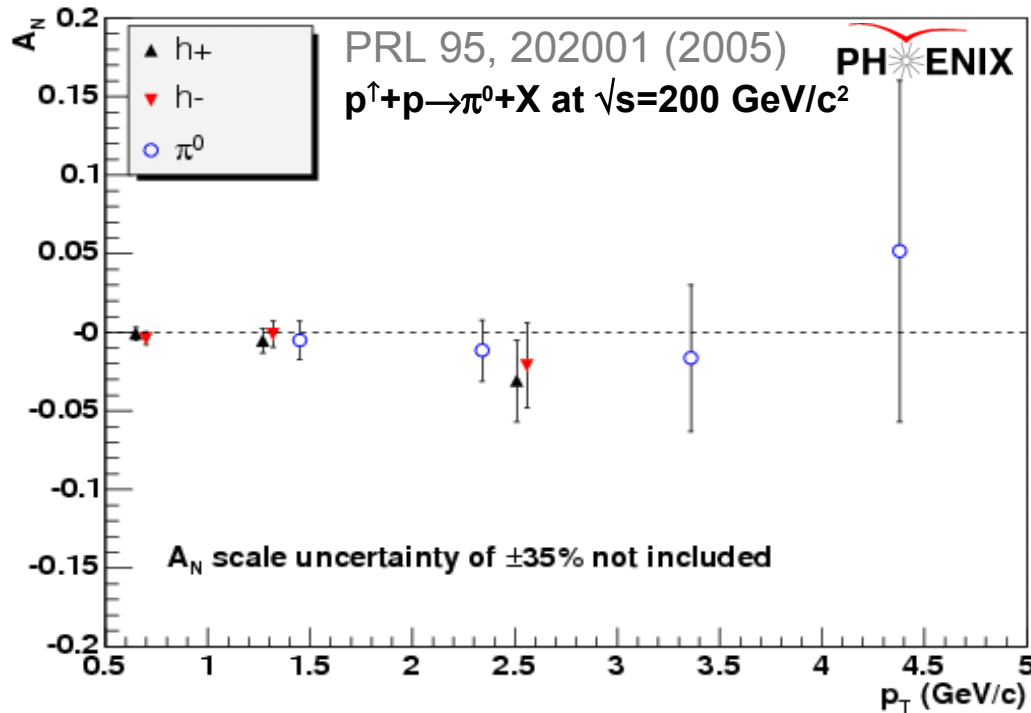
(Twist-3)

quark gluon field
Interference

$$\delta q, f_{1T}^{\perp q}, L$$

Transverse Spin

Mid-rapidity A_N of π^0 and $h^\pm$ for $y \sim 0$ at $\sqrt{s}=200\text{GeV}$



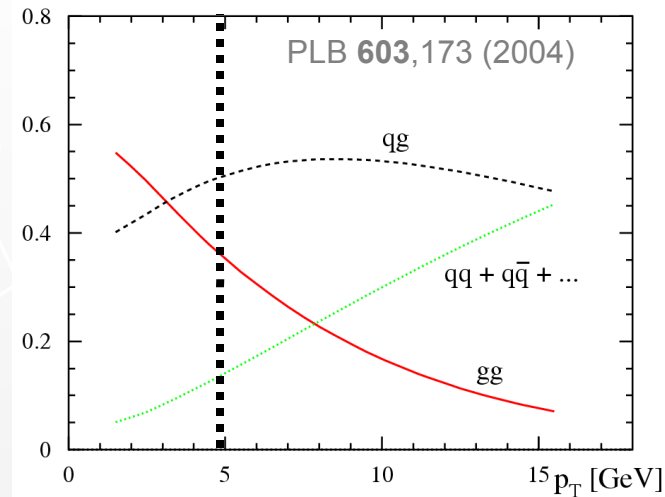
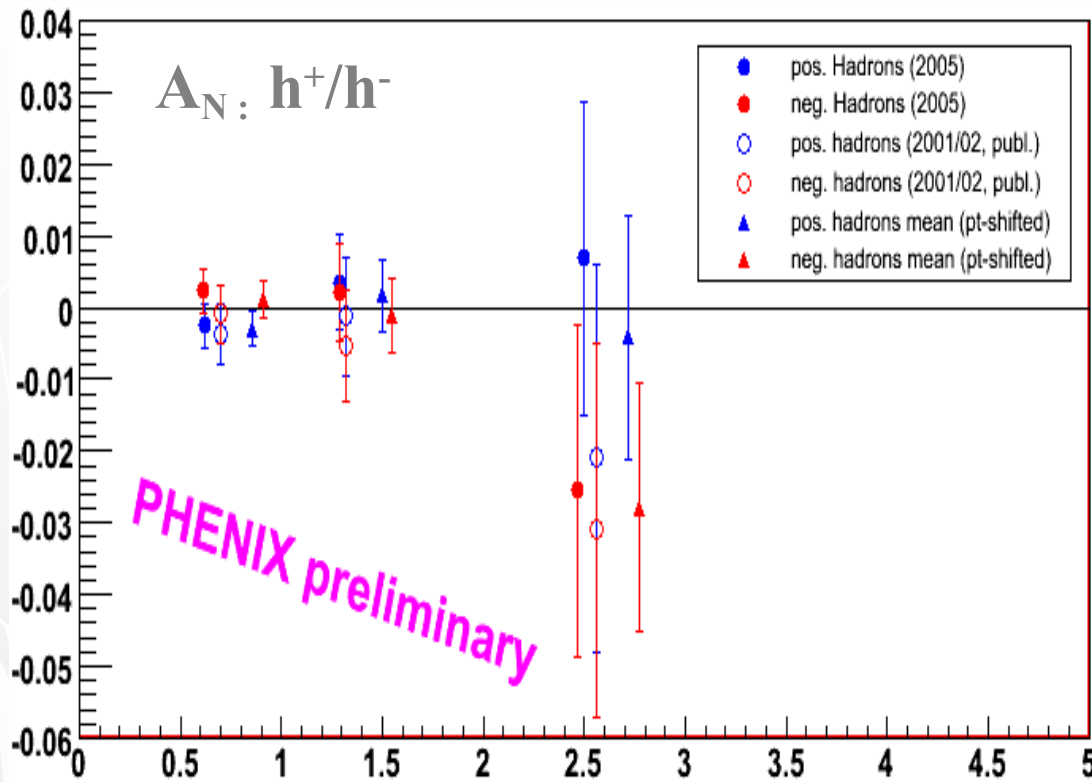
process contribution to π^0 , $\eta=0$, $\sqrt{s}=200 \text{ GeV}$

❖ A_N is 0 within 1% → interesting contrast with forward π

- Mid-rapidity data at small p_T sensitive to gluons, constrains magnitude of gluon Sivers function (Anselmino et al., PRD 74, 2006)
- What happens if qq sets in (valence quarks) at high p_T ?

Transverse Spin

Mid-rapidity A_N of π^0 and $h^\pm$ for $y \sim 0$ at $\sqrt{s}=200\text{GeV}$

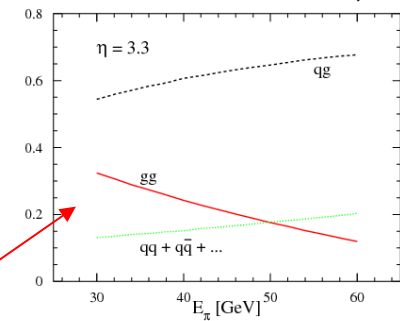


❖ A_N is 0 within 1% $\rightarrow$ interesting contrast with forward π

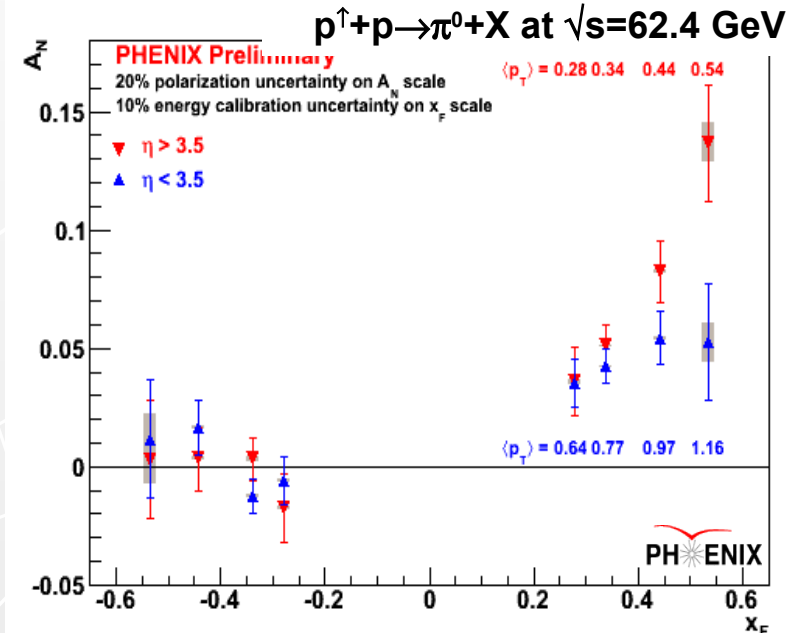
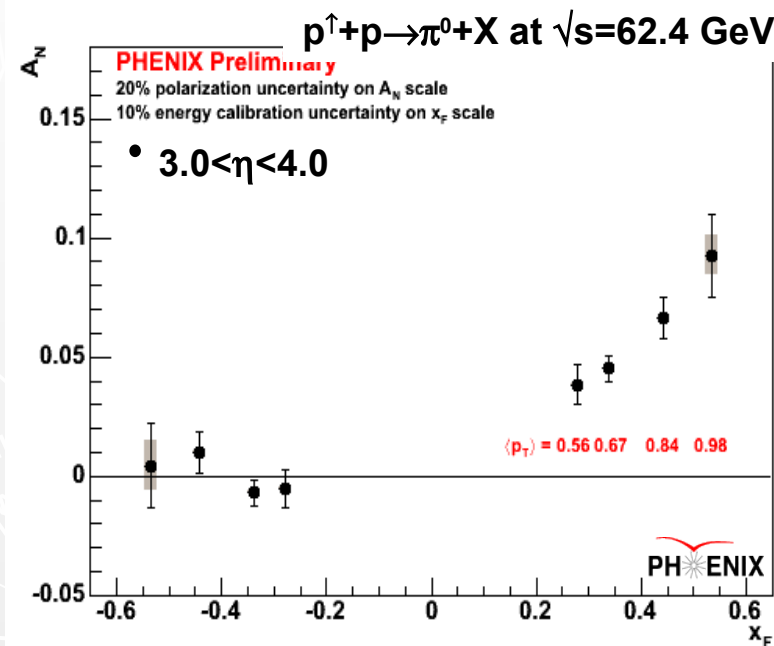
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Transverse Spin

π^0 A_N at large x_F



process contribution to π^0 , $\eta=3.3$, $\sqrt{s}=200$ GeV



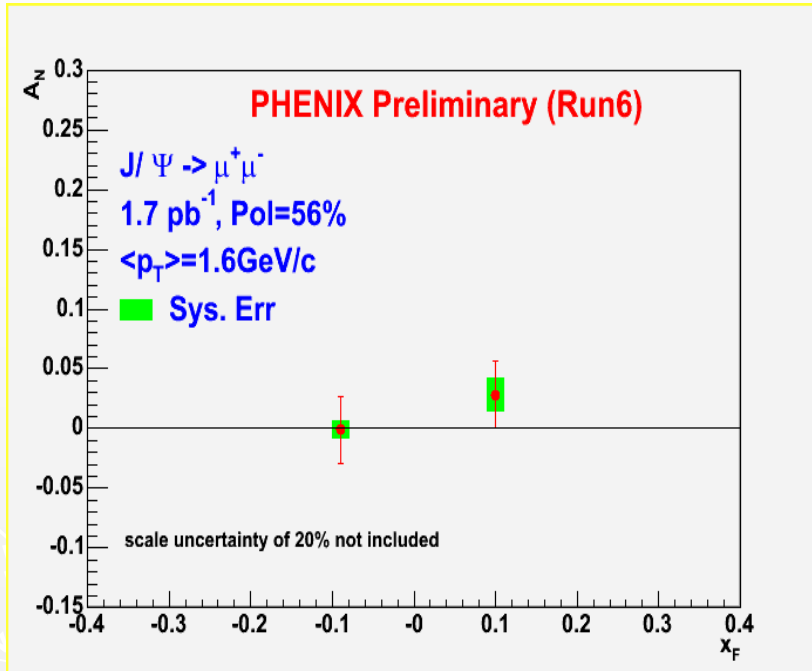
Asymmetry seen in yellow beam (positive x_F), but not in blue (negative x_F)

Large asymmetries at forward $x_F \rightarrow$ Valence quark effect?

x_F , p_T , $\sqrt{s}$, and η dependence provide quantitative tests for theories

Transverse Spin

A_N of J/ψ at $\sqrt{s}=200\text{GeV}$

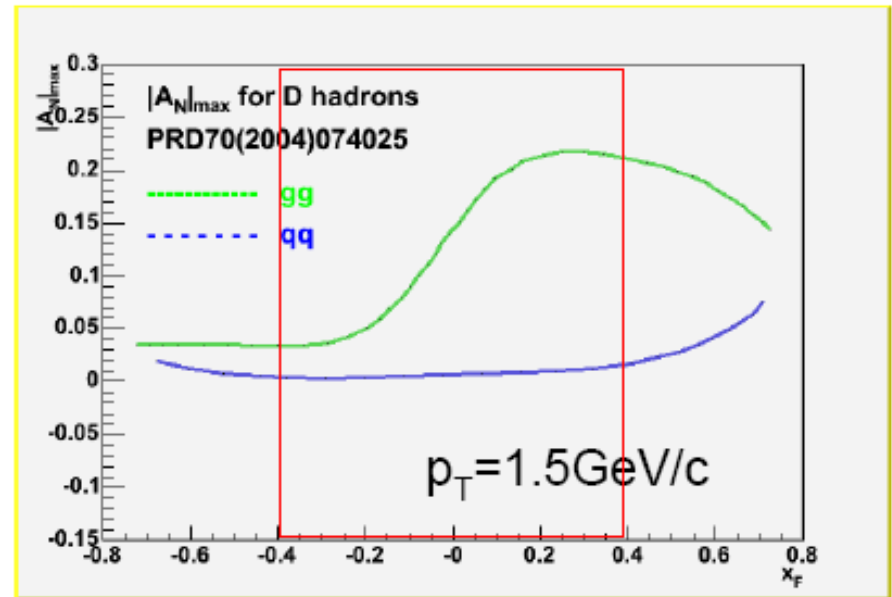


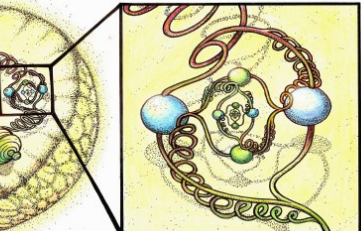
Theoretical prediction:

For **open charm** production

- quark Sivers function set to its maximum
- gluon Sivers function set to 0
- gluon Sivers function set to its maximum
- quark Sivers function set to 0

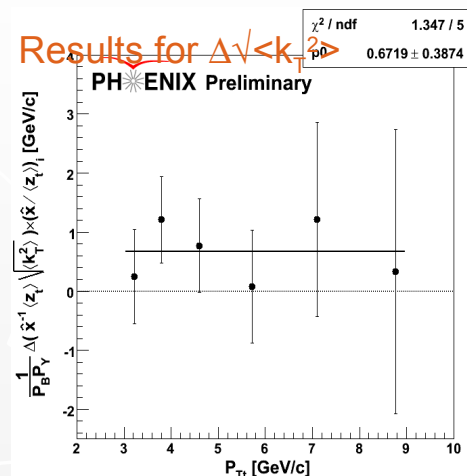
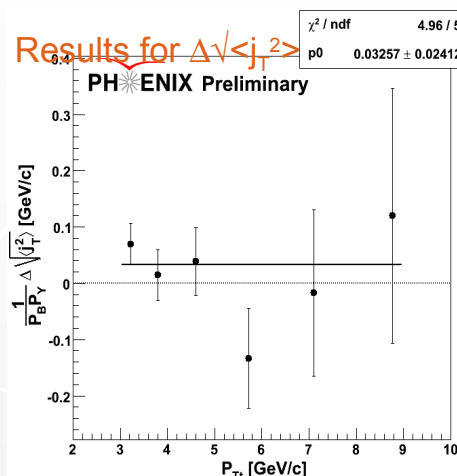
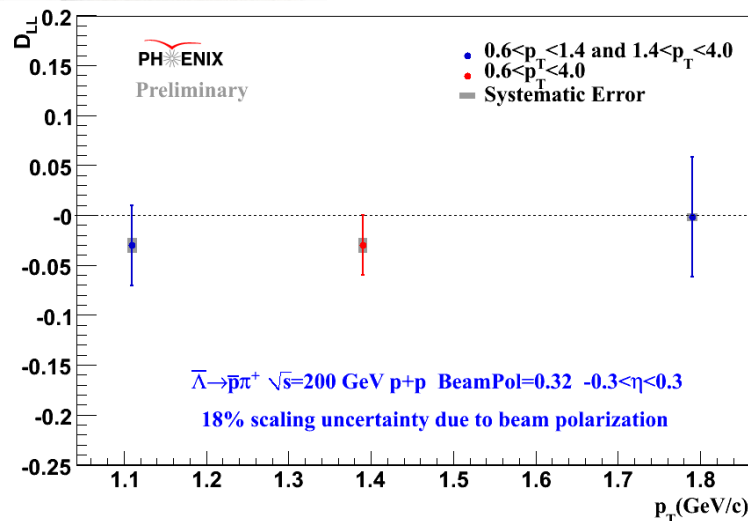
- ❖ Sensitive to gluon Sivers as produced through g-g fusion
- ❖ Charm theory prediction is available
 - How does J/ψ production affect prediction?





Transverse Spin

Other asymmetries at $\sqrt{s}=200\text{GeV}$



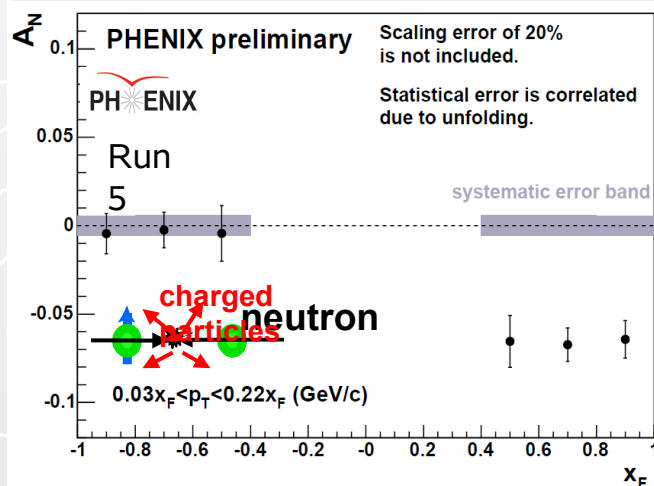
❖ Strange quark Components via Spin Transfer

❖ In PHENIX the Self-analyzing decay channel (anti- Λ) has been found to be sensitive to the **polarization of the anti-strange sea** of the nucleon (See: hep-ph/0511061)

❖ Probing Orbital angular Momentum with k_T Asymmetries (See: Phys. Rev. D **74**, 072002 (2006))

❖ Neutron asymmetries. (See: AIP Conf.Proc.915:689-692,2007)

Neutron asymmetry x_F distribution with neutron trigger & MinBias



Summary

- ❖ PHENIX is well suited to the study of spin physics with a wide variety of probes.
 - Inclusive π^0 data for A_{LL} has reached statistical significance to constrain ΔG in a limited x-range ($\sim 0.02-0.3$).
- ❖ **Need** more statistics (RHIC running time) to explore different (rare) channels for
 - Different gluon kinematics
 - Different mixtures of subprocesses
- ❖ Global Analysis of many channels together with DIS, SIDIS data will give us a more accurate picture of $\Delta g(x)$
- ❖ Upcoming **W program** will give more information about quarks
- ❖ PHENIX has an upgrade program that will give us the triggers and vertex information that we need for precise future measurements of ΔG , Δq and new physics at higher luminosity and energy



Extras



Physics Impact of PHENIX Upgrades

Physics Goals

Present vs **with upgrades**

$$\Delta G(x)$$

determine first moment of the spin dependent gluon distribution, $\int_0^1 \Delta G(x) dx$.

Inclusive hadrons + photons
forward heavy flavor + photons
→ low x not pos. without upgrades
→ parton kinematics

$$\delta q$$

measurement of transversity quark distributions.

A_N for inclusive hadrons
 A_T in Interference-Fragmentation
 A_T Collins FF in jets

Sivers Effect

Measurement of the Sivers distributions, L_z

A_N for back-to-back hadrons
 $A_{N,T}$ Ds, DY

$$\Delta q(x)$$

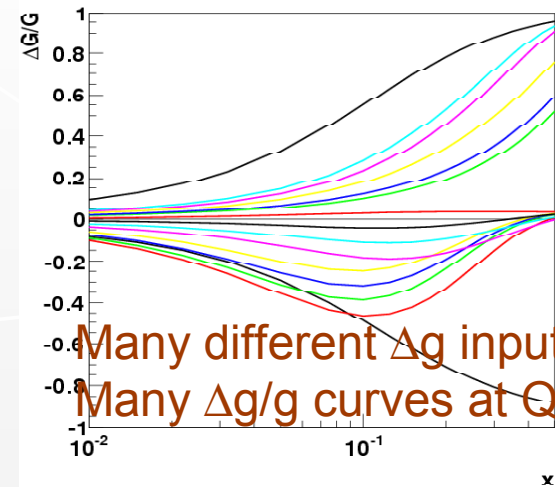
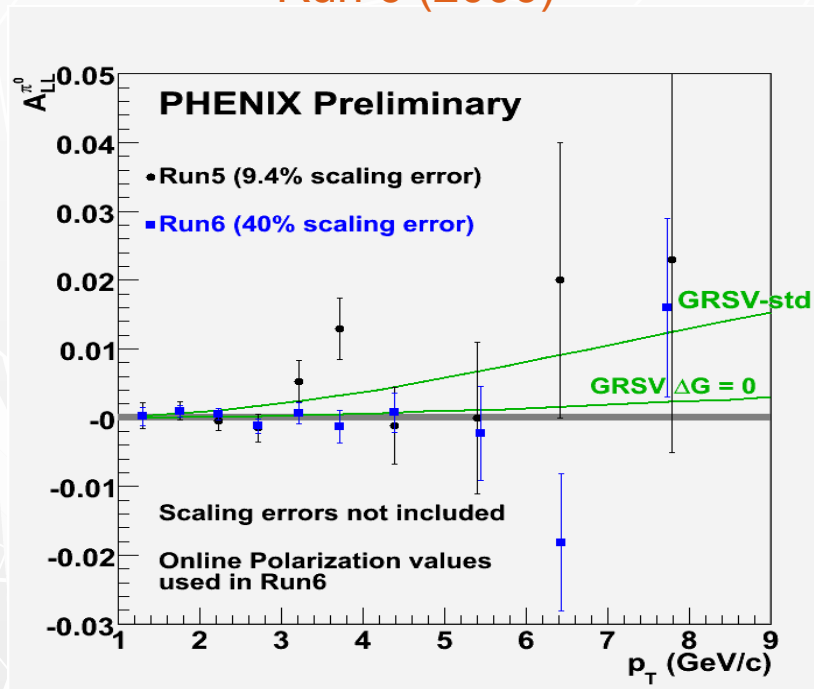
$$\Delta \bar{q}(x)$$

flavor separation of quark and anti-quark spin distributions

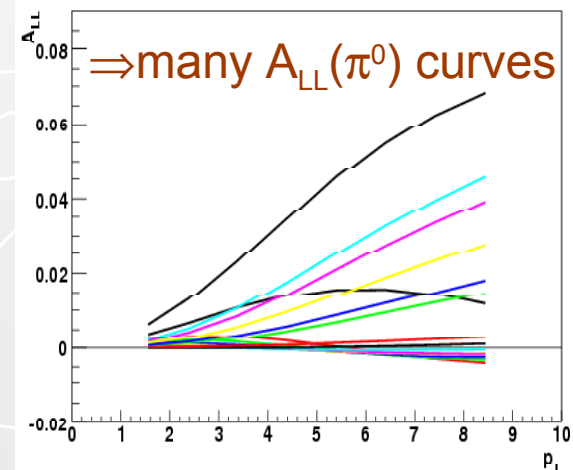
not possible without upgrades
(muon trigger, FVTX + NCC helpful)

π^0 Asymmetries

Measured asymmetries for $pp \rightarrow \pi^0 X$
from Run 5 (2005, published) and
Run 6 (2006)



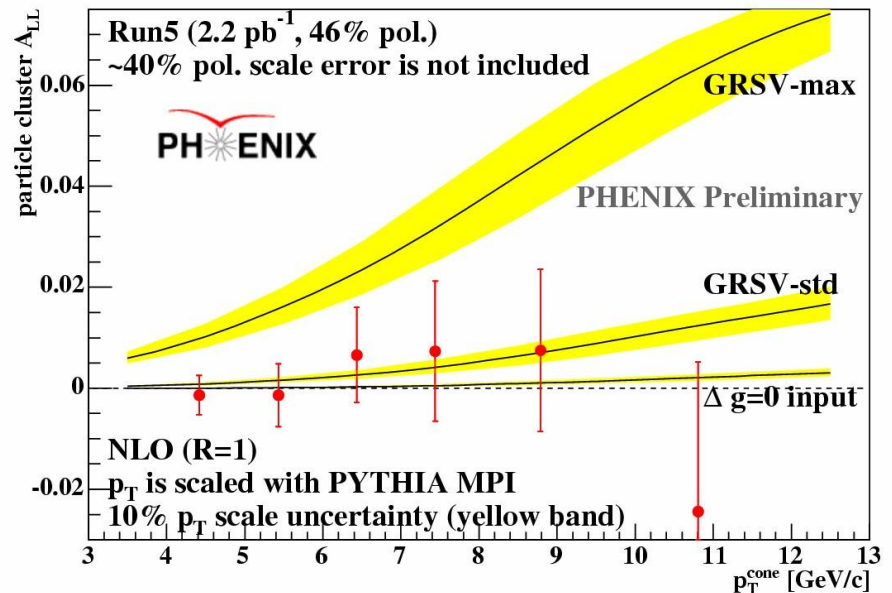
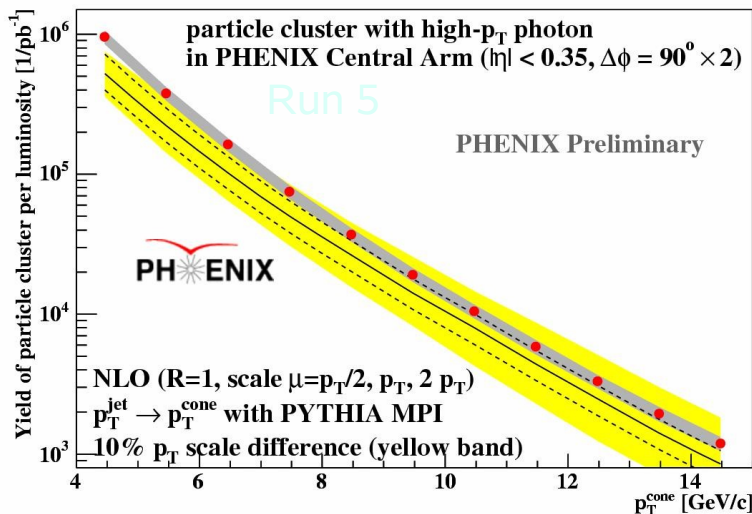
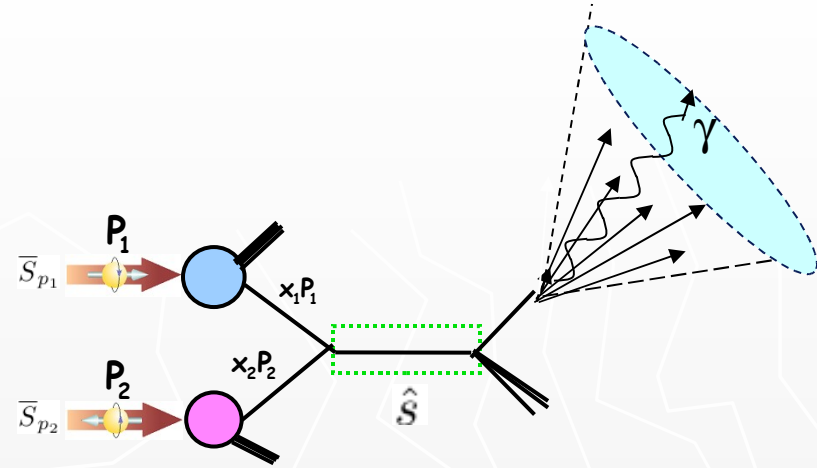
Many different Δg inputs $\Rightarrow$
Many $\Delta g/g$ curves at $Q^2=1$



$\Rightarrow$ many $A_{LL}(\pi^0)$ curves

A_{LL} of jet-like cluster at $\sqrt{s}=200\text{GeV}$

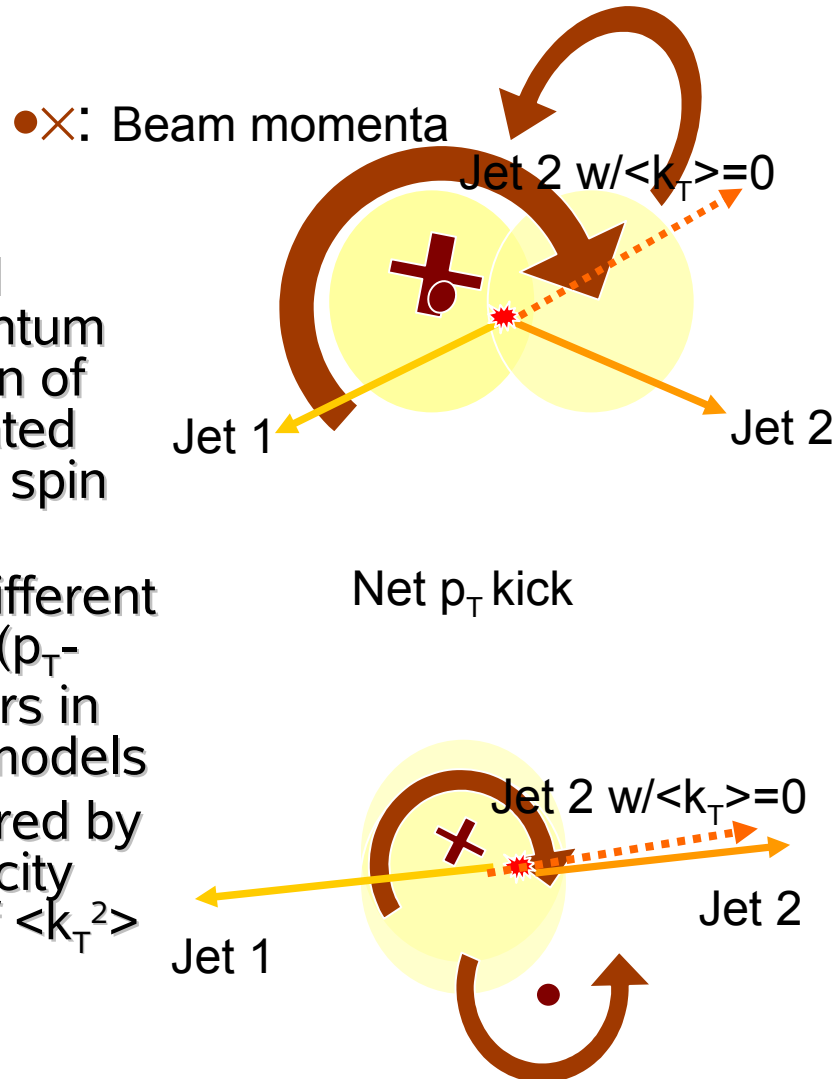
- ❖ “Jet” detection: tag one high energy photon and sum energy of nearby photons and charged particles
- ❖ Definition of p_T cone: sum of p_T measured by EMCal and tracker with $R = \sqrt{(\Delta\phi)^2 + (\Delta\eta)^2}$
- ❖ Real p_T of jet is evaluated by tuned PYTHIA



OAM

Probing Quark Orbital Angular Momentum with $\langle k_T \rangle$

- ❖ Partonic orbital angular momentum leads to rotation of partons correlated with the proton spin vector
- ❖ This leads to different p_T imbalances (p_T -kicks) of jet pairs in semiclassical models
- ❖ Can be measured by measuring helicity dependence of $\langle k_T^2 \rangle$



Like helicities

Peripheral Collisions

Larger $\sqrt{\langle k_T^2 \rangle}$

Integrate over b , left with some residual k_T

Central Collisions

Smaller $\sqrt{\langle k_T^2 \rangle}$

For details see: [Phys. Rev. D 74, 072002 \(2006\)](#)

Relative Luminosity

- ❖ Use BBCs at ± 1.5 m from the interaction point to measure bunch-by-bunch luminosity
 - $L_i = N_i / (\sigma \cdot \text{Efficiency})$, $\sigma \cdot \text{Eff.} = \text{const.} = 22.9 \text{ mb} \pm 9.7\%$
- ❖ Use independent measurement from ZDCs ($\pm 18 \text{ m}$) to check for intrinsic luminosity asymmetry

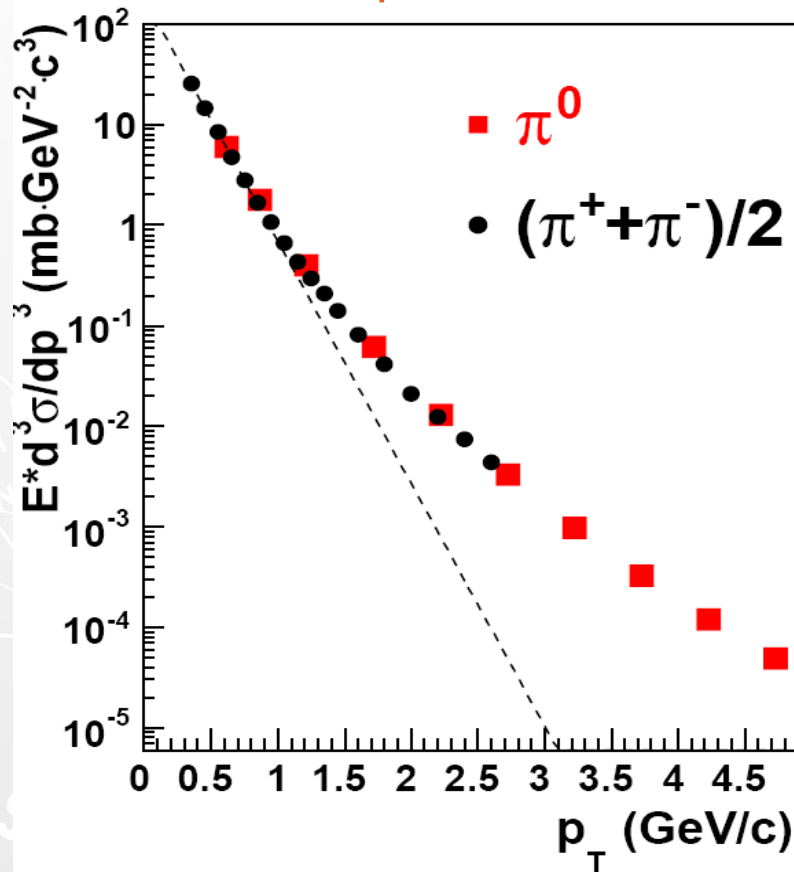
$$\frac{N^{BBC}}{N^{ZDC}} \approx c \left(1 + P_B P_Y (A_{LL}^{BBC} - A_{LL}^{ZDC}) \right)$$

- ❖ For Run 6 (200 GeV):
 - $\delta A_{LL}(\text{BBC-ZDC}) = 3.8 \pm 1.6 \cdot 10^{-4}$ (> 2 standard deviations) $\Rightarrow$
 $\delta A_{LL} = 5.4 \cdot 10^{-4}$
 - Sizeable asymmetry compared to stat. error of low p_T data
 - Can be instrumental or physics effect $\rightarrow$ need to find out

π^0 cross section and soft Physics

PHENIX: π^0 mid-rapidity, 200GeV

PHENIX: hep-ex/0403599



- ❖ Exponent ($e^{-\alpha \cdot p_T}$) describes pion cross section at $p_T < \sim 1 \text{ GeV}/c$ (dominated by soft physics):

- $\alpha = 5.56 \pm 0.02 \text{ (GeV}/c)^{-1}$
- $\chi^2/\text{NDF} = 6.2/3$

- ❖ Assume that exponent describes soft physics contribution also at higher $p_T \Rightarrow$ soft physics contribution at $p_T > 2 \text{ GeV}/c$ is $< 10\%$

How to measure $\langle k_T \rangle$

❖ Azimuthal di-hadron correlations

- Width of near side peak $\leftrightarrow$ width of “fragmentation p_T ” $\rightarrow \langle j_T \rangle$
- Width of away side peak $\leftrightarrow$ width of p_T kick from soft/hard QCD radiation and intrinsic $k_T \rightarrow \langle j_T \rangle \oplus \langle k_T \rangle$
- Expect difference of σ_{far} for like and unlike helicities, if partons carry OAM

❖ For details see: **Phys. Rev. D 74, 072002 (2006)**

