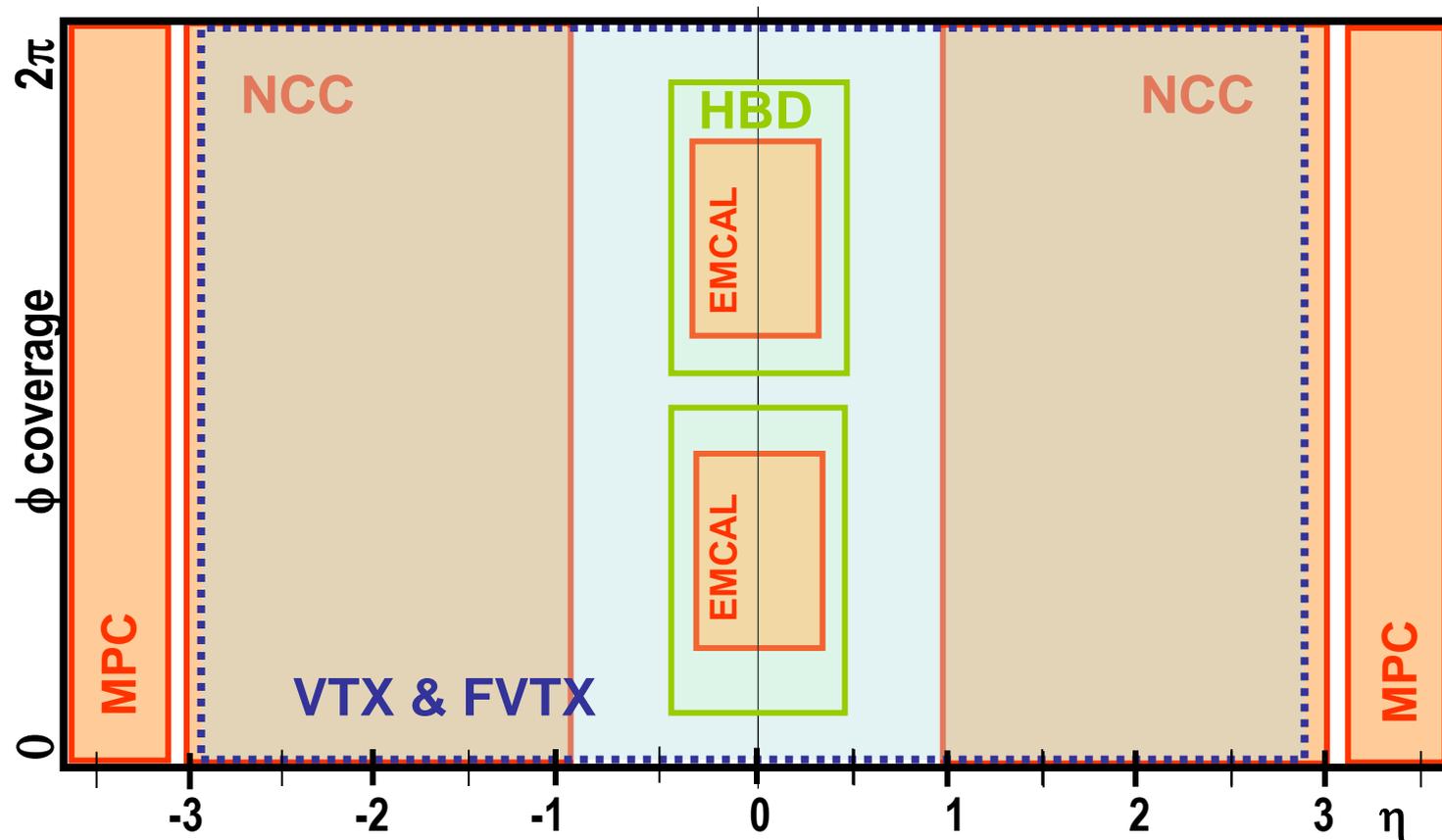


Physics of the MPC

Mickey Chiu

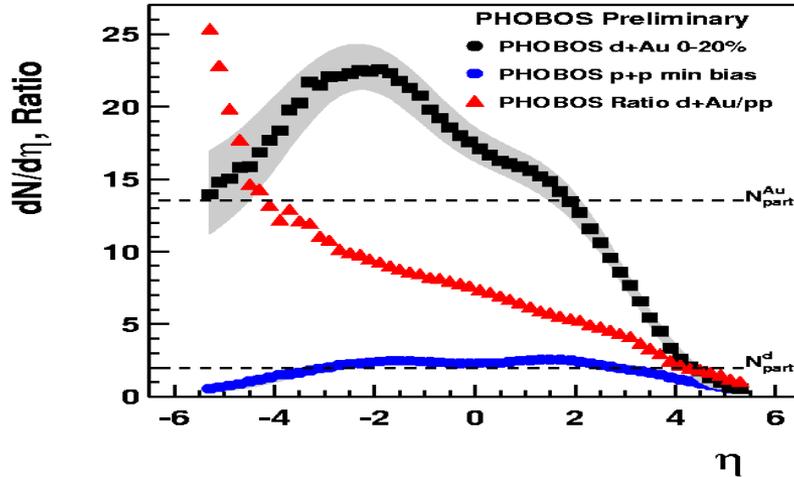
BNL

Future PHENIX Acceptance

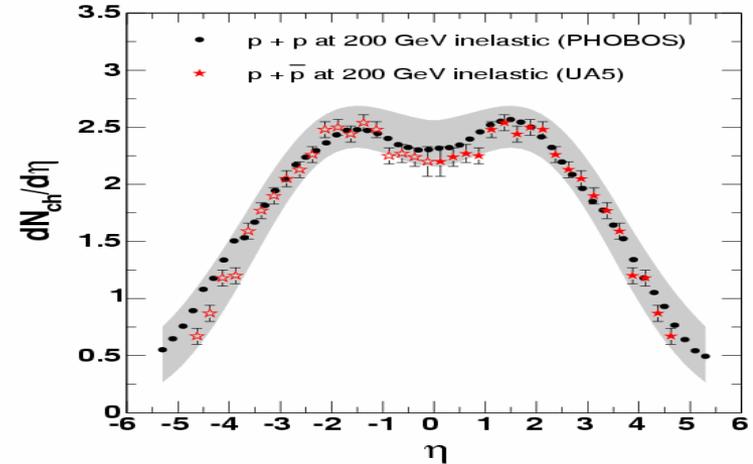


- History – PHENIX is a small acceptance, high rate, rare probes (photons, J/Psi, etc.) detector
- Future – Add acceptance plus add some new capabilities (hadron blind, displaced vertex)
- MPC, by virtue of its **location at forward rapidities**, adds access to new areas, such as lower x (gluon saturated region?), higher x (valence region), even though it is a physically small detector.

Au+Au, p+p, d+Au Landscape

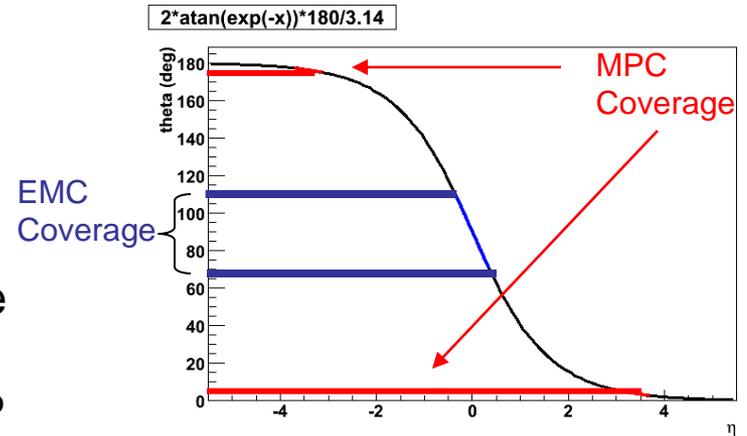


PHOBOS preliminary



MPC
 $2^\circ < \theta < 5^\circ$
 $\sim 1000 \text{ cm}^2$

EMC
 $70^\circ < \theta < 110^\circ$
 $0.6 \times 10^6 \text{ cm}^2$

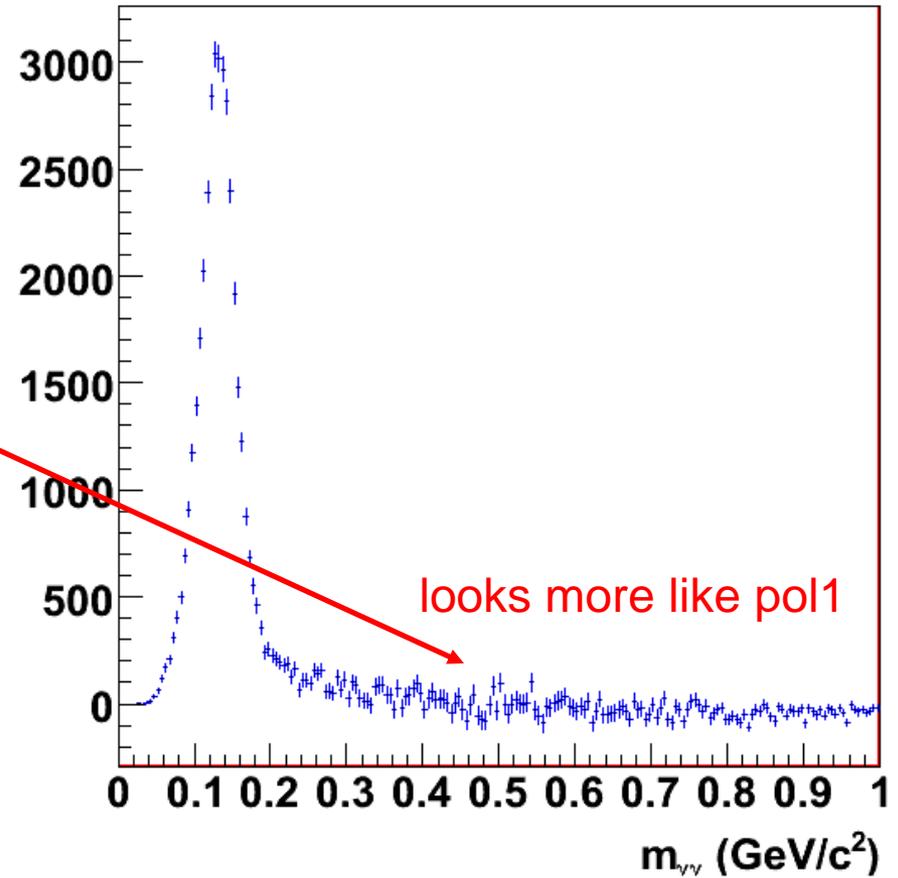
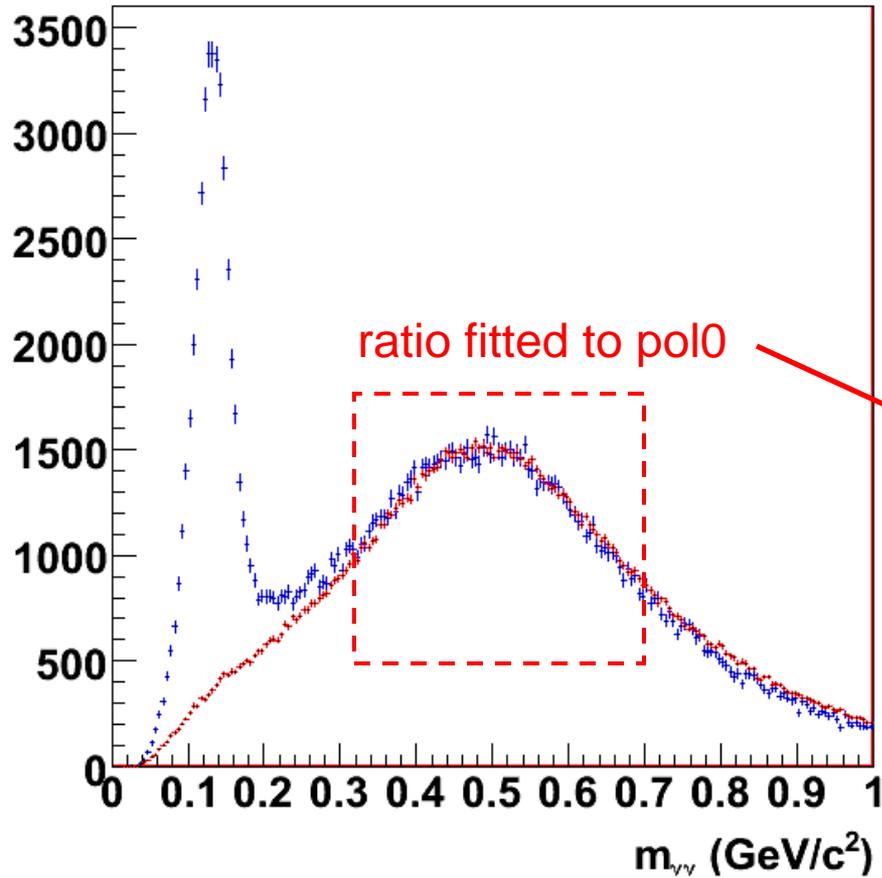


- Direct π^0 Reconstruction in p+p, d+Au possible
 - But not in Au+Au
 - Reduced to global observables, like E_T , RP

• Positives:

- Energies are higher by boost, $E = m_T \cosh(y) \sim m_T e^y / 2$ for y large
 - Easier to measure
- Particles/tower larger – MIP “easy”

MPC π^0 mass peak

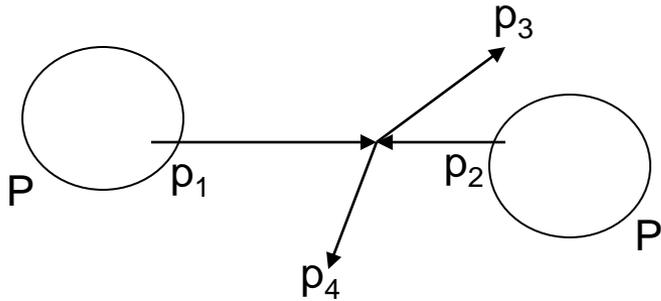


integrated over phi

example for one (out of four) polarization states

scaled mixed event background

2→2 Hard Scattering (LO)



Simply Elastic Scattering!

Initial State:

$$p_1 = (x_1 P, 0, 0, x_1 P)$$

$$p_2 = (x_2 P, 0, 0, -x_2 P)$$

Final State:

$$p_3 = (E_3, p_T, p_{3,z})$$

$$p_4 = (E_4, -p_T, p_{4,z})$$

$$(x_1 - x_2)P = E_{3,x_1} + \frac{E_{4,z}}{P} \left(\frac{m_T}{2} (e^{y_3} + e^{y_4}) e^{-y_3} + e^{y_4} - e^{-y_4} \right)$$

$$(x_1 + x_2)P = p_{3,x_2} + \frac{p_{4,z}}{P} \left(\frac{m_T}{2} (e^{y_3} + e^{-y_3} + e^{y_4} + e^{-y_4}) \right)$$

$$p_z = m_T \sinh y$$

$$E = m_T \cosh y$$

Special Cases:

a. y_3 forward, y_4 mid-rapidity (MPC-EMC)

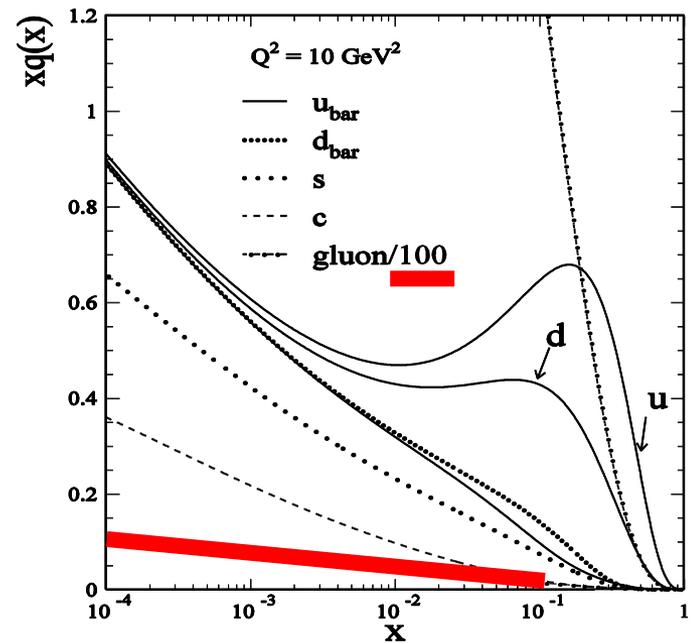
$$x_1 = \frac{m_T}{P} (e^{y_3} + e^{y_4}) \quad x_2 = \frac{m_T}{P} e^{-y_4}$$

b. y_3, y_4 both forward (MPC-MPC)

$$x_1 = \frac{m_T}{P} (e^{y_3} + e^{y_4}) \quad x_2 \approx 0$$

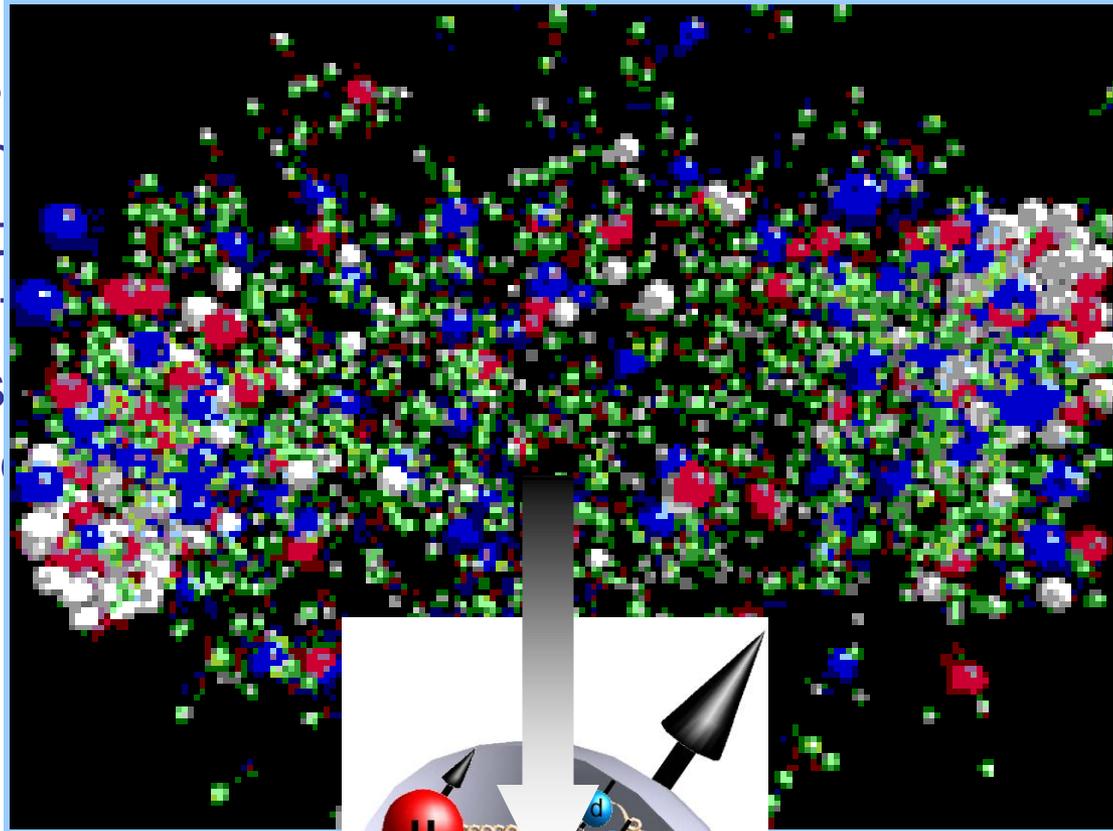
a. y_3 forward, y_4 backwards (MPC.S-MPC.N)

$$x_1 \approx \frac{m_T}{P} e^{y_3} \quad x_2 \approx \frac{m_T}{P} e^{-y_4}$$

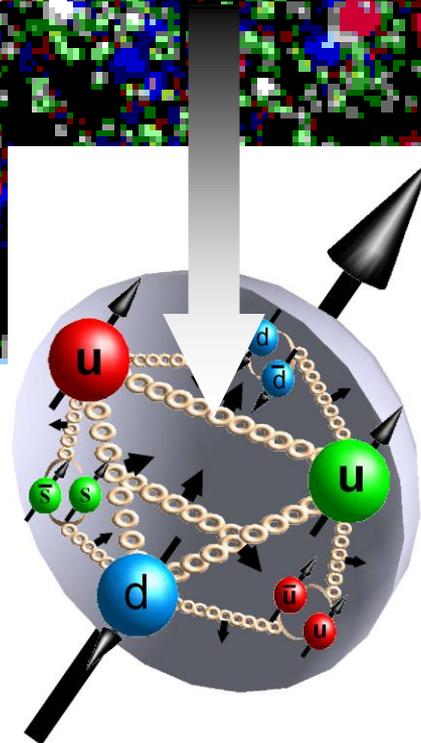


Nucleon Spin Physics

- protons
- QCD, an
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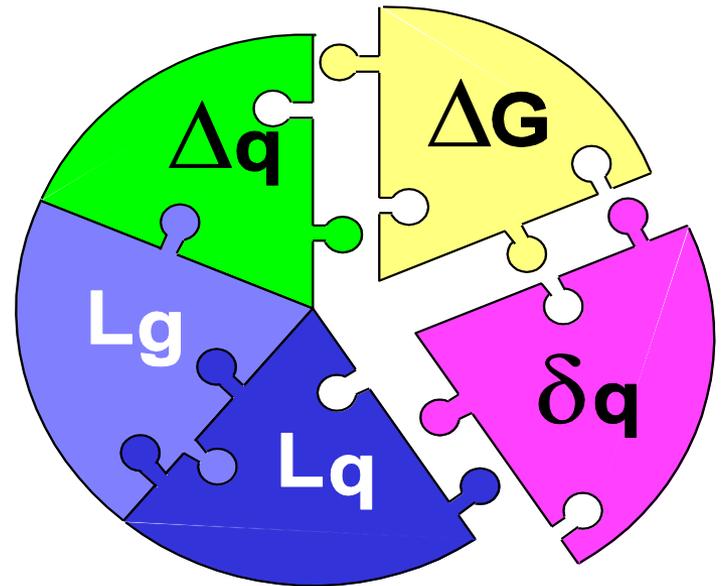
e of
f.



The Spin Structure of the Proton

- ❖ From NLO-QCD analysis of DIS measurements
 $\Delta\Sigma \approx 0.2$ (but has evolved to 0.3)
 $\Delta G = 1.0 \pm 1.2 \rightarrow$ probably small?
- ❖ quark polarization $\Delta q(x)$
 \rightarrow first 5-flavor separation from HERMES
- ❖ transversity $\delta q(x)$
 \rightarrow a new window on quark spin
 \rightarrow azimuthal asymmetries from HERMES and JLab
 \rightarrow Collins fn measured at Belle
 \rightarrow future: flavor decomposition
- ❖ gluon polarization $\Delta G(x)$
 \rightarrow RHIC-spin and COMPASS started providing answers!
- ❖ GPD's and TMDs and High Twist?
 \rightarrow orbital angular momentum?

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L_q + L_g$$



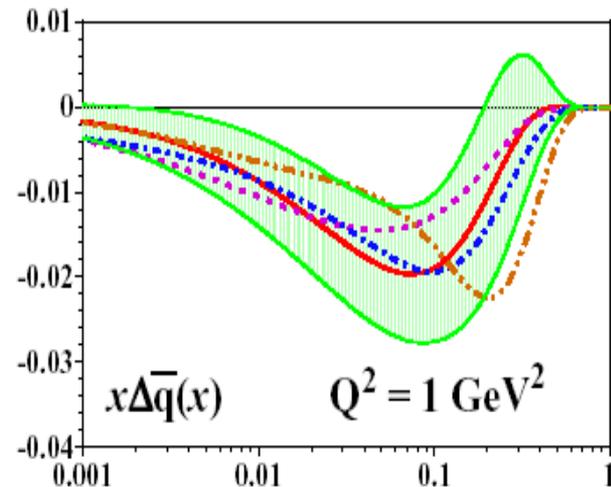
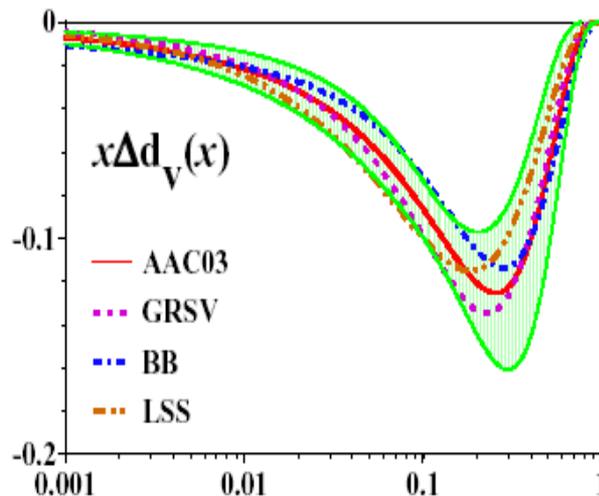
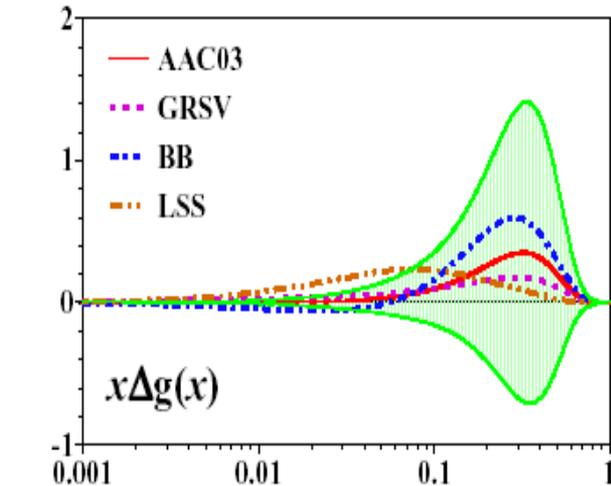
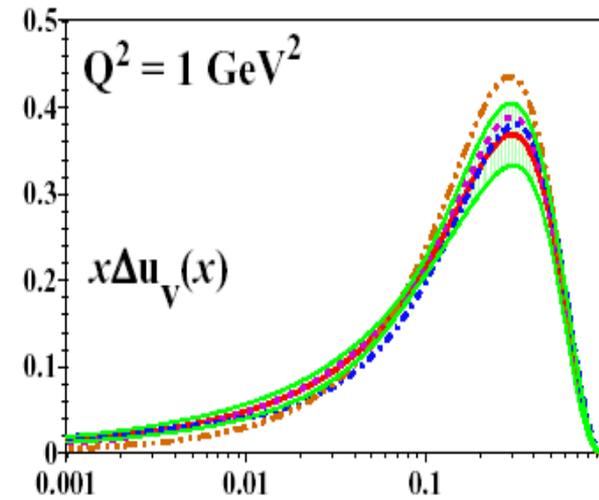
We want to solve this puzzle! \rightarrow
need large range in x and Q^2
and high luminosity for
precision!

Polarised PDF

Asymmetry Analysis Collaboration

M. Hirai, S. Kumano and N. Saito, PRD (2004)

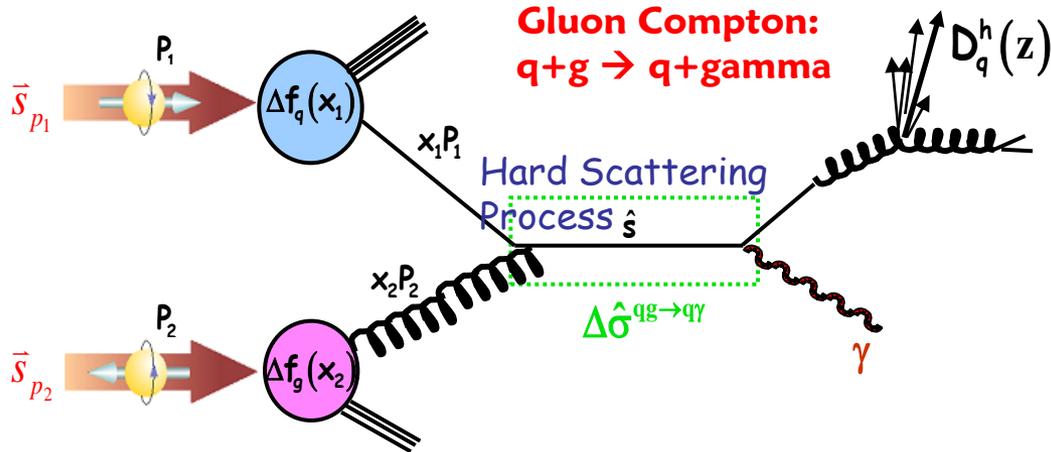
- Valence Dist's are determined well
- Sea Dist' is poorly constrained
- Gluon can be either >0 , $=0$, <0



x

x

Scattering in polarized p+p

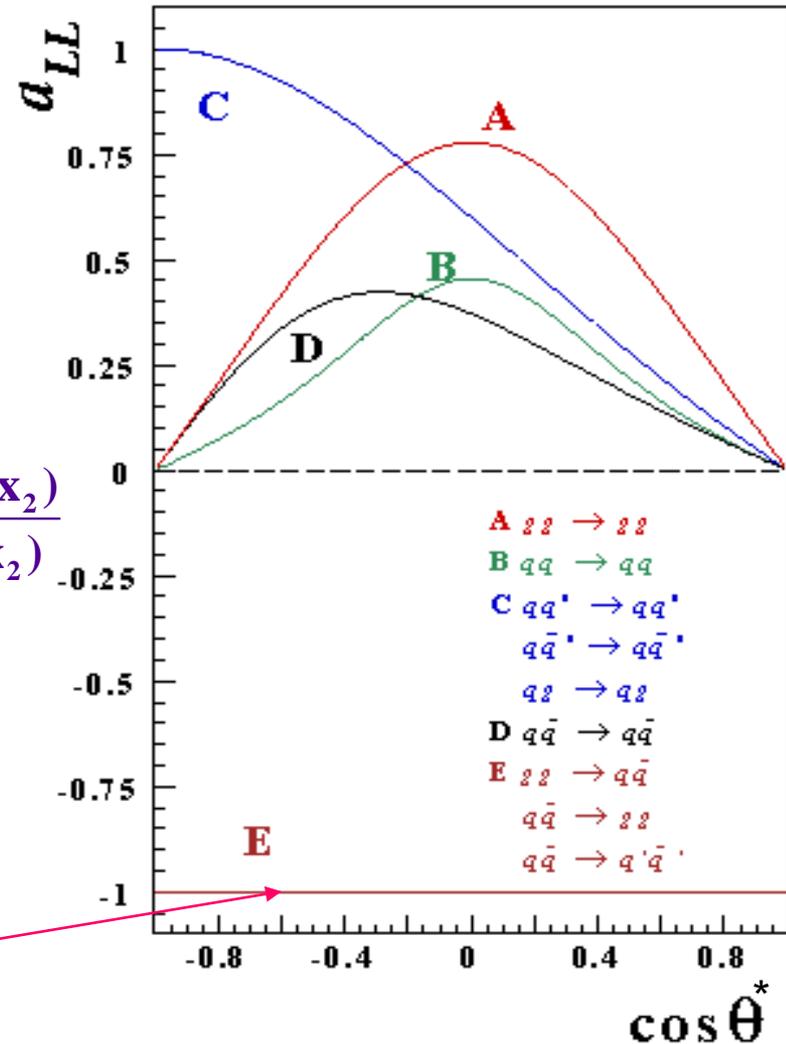
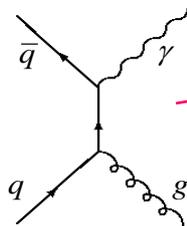


$$A_{LL} = \frac{\sigma^{\uparrow\uparrow} - \sigma^{\uparrow\downarrow}}{\sigma^{\uparrow\uparrow} + \sigma^{\uparrow\downarrow}} \equiv \frac{\Delta\sigma}{\sigma} = \hat{a}_{LL}(qg \rightarrow q\gamma) \otimes \frac{\Delta g(x_1)}{g(x_1)} \otimes \frac{\Delta q(x_2)}{q(x_2)}$$

where $\hat{a}_{LL} = \frac{\Delta \hat{\sigma}}{\hat{\sigma}} = \frac{\hat{\sigma}^{\uparrow\uparrow} - \hat{\sigma}^{\uparrow\downarrow}}{\hat{\sigma}^{\uparrow\uparrow} + \hat{\sigma}^{\uparrow\downarrow}}$ $\hat{\sigma} = \sigma^{qg \rightarrow q\gamma}$

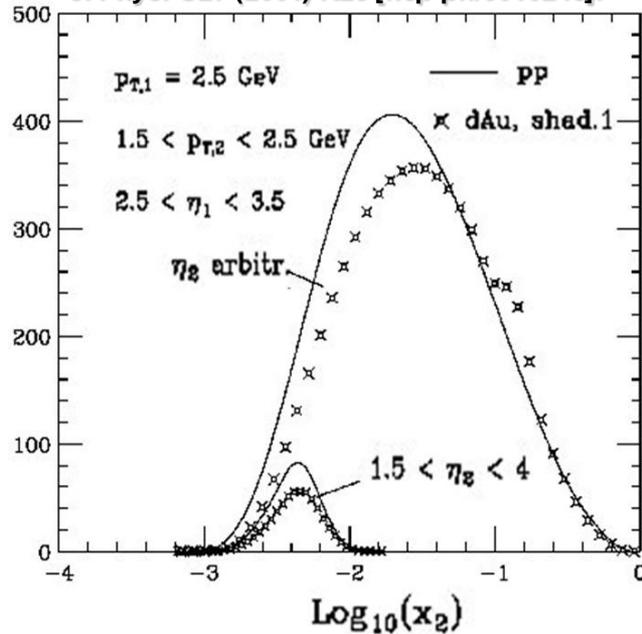
And much the same for other processes...

Annihilation:
 $q+\bar{q} \rightarrow g + \text{gamma}$

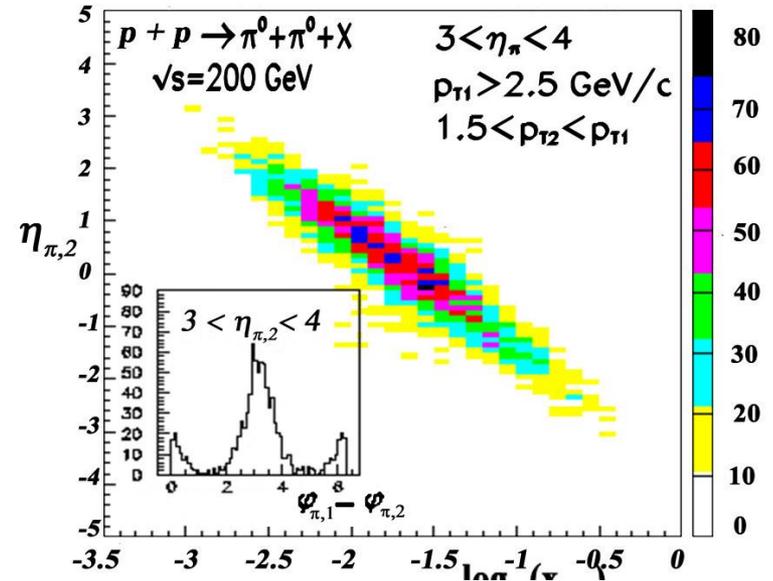


Di-Hadron A_{LL}^* : Constraining x values

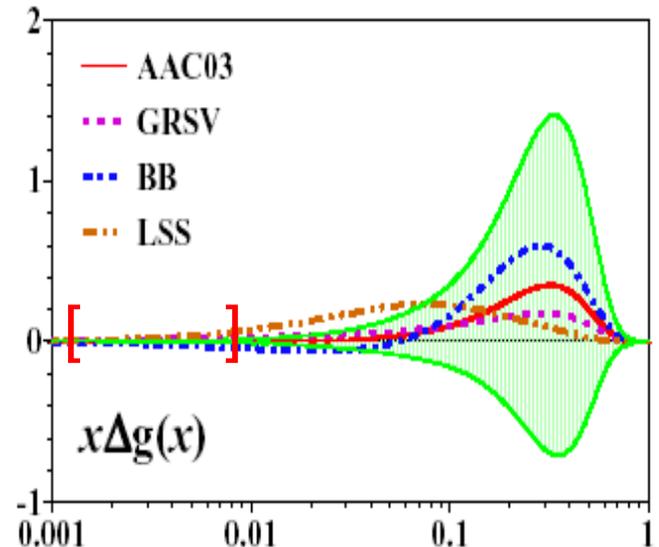
Frankfurt, Guzey and Strikman,
J. Phys. G27 (2001) R23 [hep-ph/0010248].



STAR Pythia Simulation

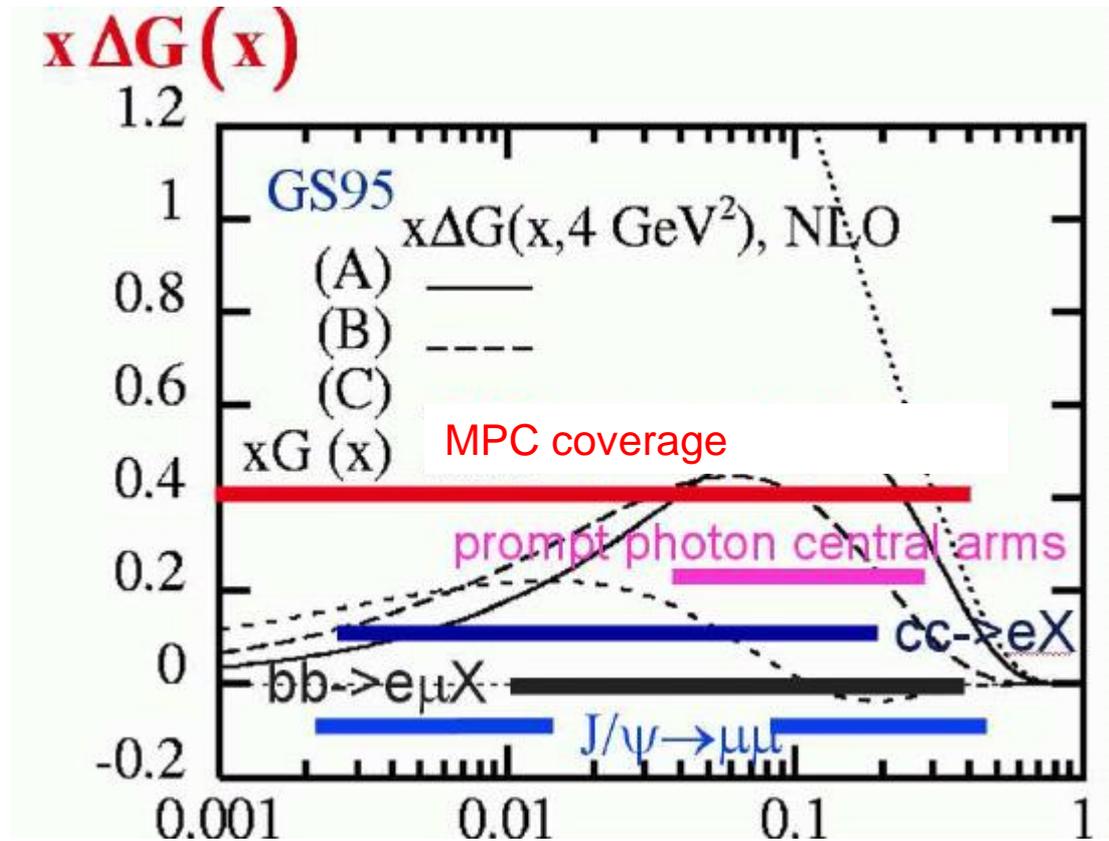


- constrain x value of gluon probed by high- x quark by *detection of second hadron* serving as jet surrogate.
- span broad pseudorapidity range for second hadron \Rightarrow span broad range of x_{gluon}

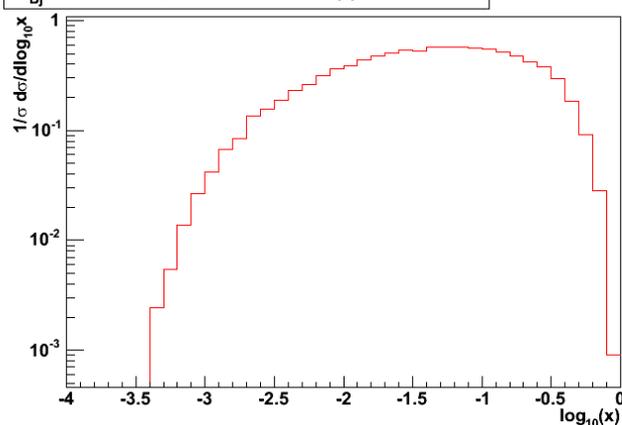


MPC Reach for ΔG at low x

- Reminder:
 - Measurements at moderate x at SLAC on the quark structure functions were consistent with the QPM
 - Low- x measurements from CERN showed that this was not the case, i.e. it led to the "spin crisis"
 - Recent (2005) results at even lower x from COMPASS moved $\Delta\Sigma$ from 0.25 to 0.3



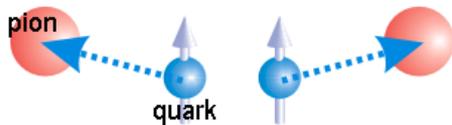
x_{Bj} Distribution, PHENIX MPC triggered events



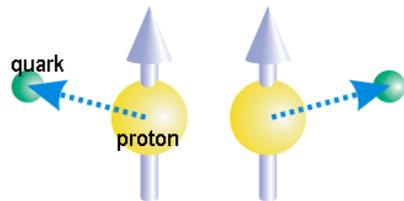
Single Transverse Spin Asymmetries

$pp \rightarrow \pi X$ at $\sqrt{s} = 19.4$ GeV

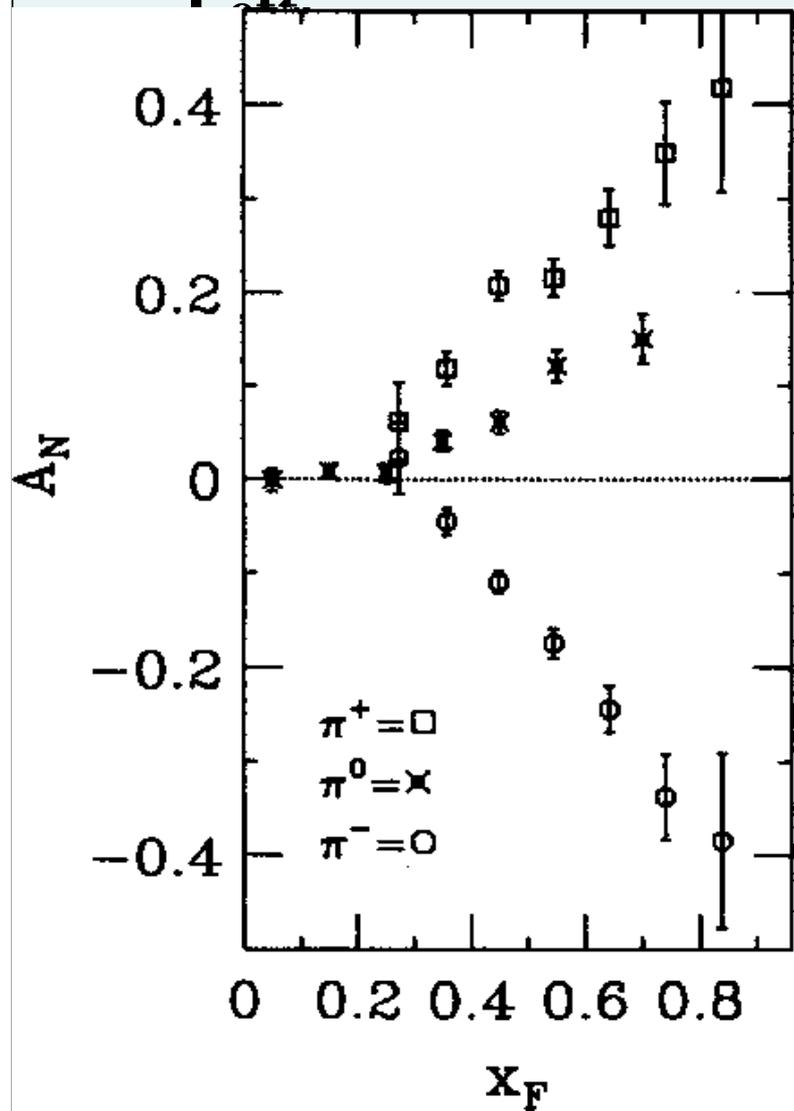
- Fermilab E-704 reported Large Asymmetries A_N
- Could be explained as
 - Transversity x Spin-dep fragmentation (Collins effect),



- Intrinsic- k_T imbalance (Sivers effect), or

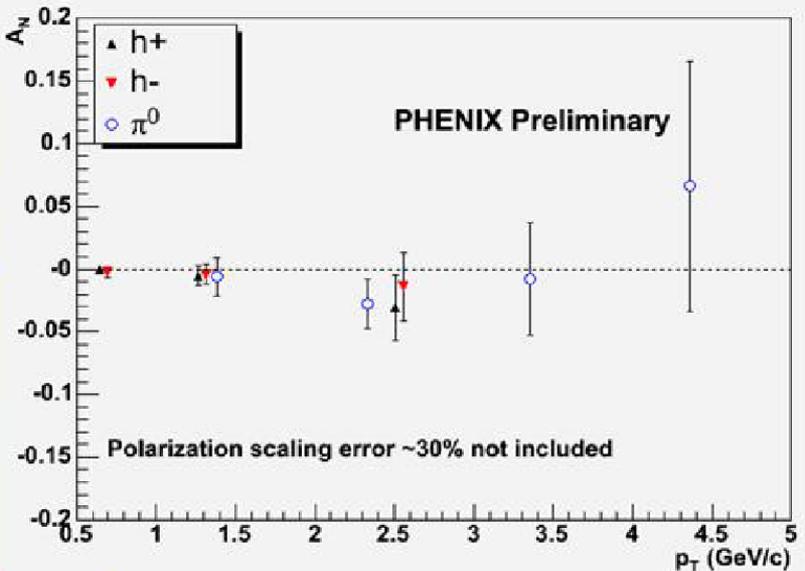


- Twist-3 (Qiu-Sterman, Koike)
- Or combination of above



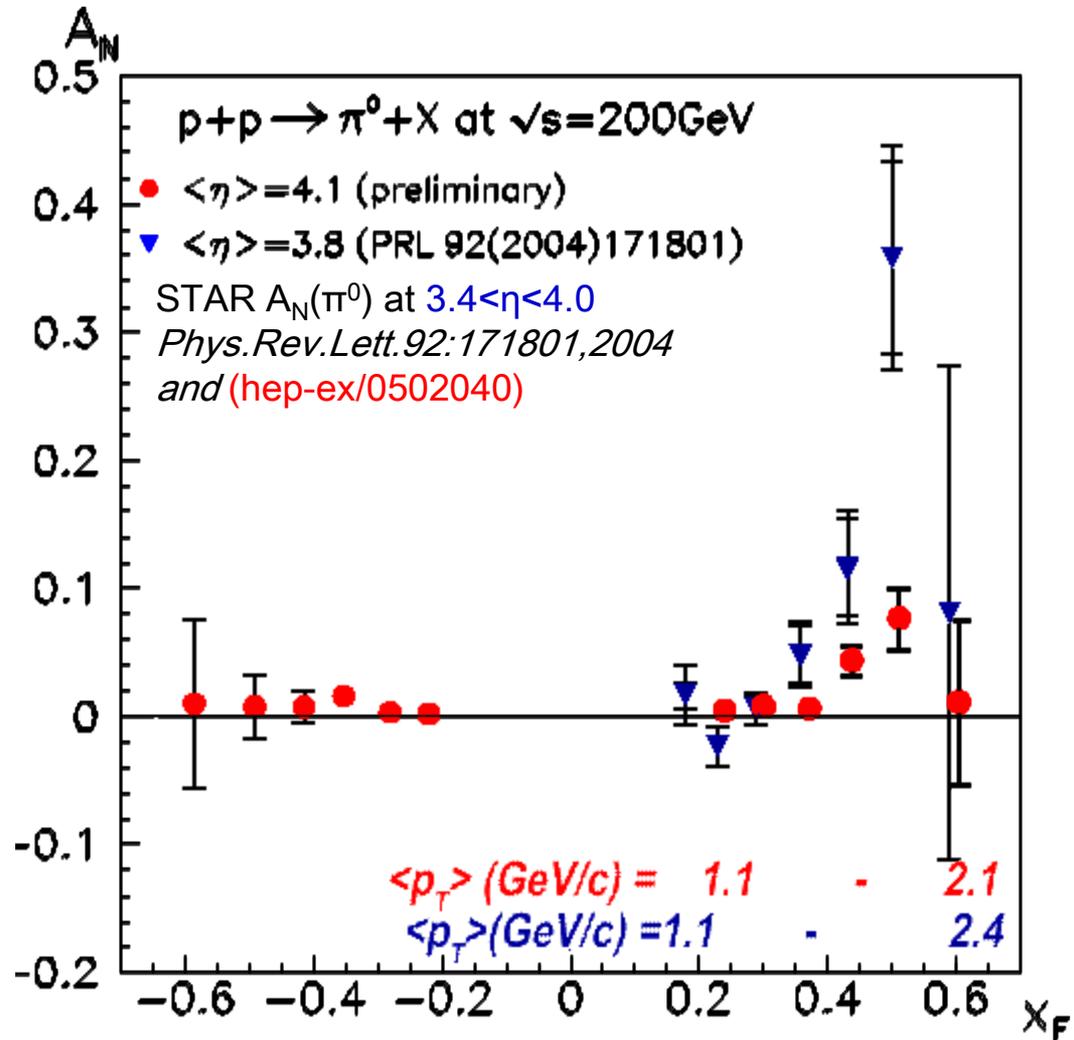
First A_N Results from PHENIX and STAR

PHENIX $A_N(\pi^0)$ and $A_N(\pi^\pm)$, $|\eta| < 0.35$
 Phys.Rev.Lett.95:202001,2005



In PHENIX:

Aidala, Bauer, Makdisi,
 Okada, Perdekamp



Also identified charged particle (π, K, p) A_N

Naive LO, Leading Twist, pQCD Result

In this note we have pointed out that the asymmetry off a polarized target, and the transverse polarization of a produced quark in $e^+e^- \rightarrow q\bar{q}$, or in $qq \rightarrow qq$ at large p_T , or in leptonproduction, should all be calculable perturbatively in QCD. The result is zero for $m_q=0$ and is numerically small if we calculate m_q/\sqrt{s} corrections for light quarks. We discuss how to test the predictions. At least for the cases when P is small, tests should be available soon in large- p_T production [where currently $P(\Lambda) = 25\%$ for $p_T \approx 2 \text{ GeV}/c$], and e^+e^- reactions. While fragmentation effects could dilute polarizations, they cannot (by parity considerations) induce polarization. Consequently, observation of significant polarizations in the above reactions would contradict either QCD or its applicability.

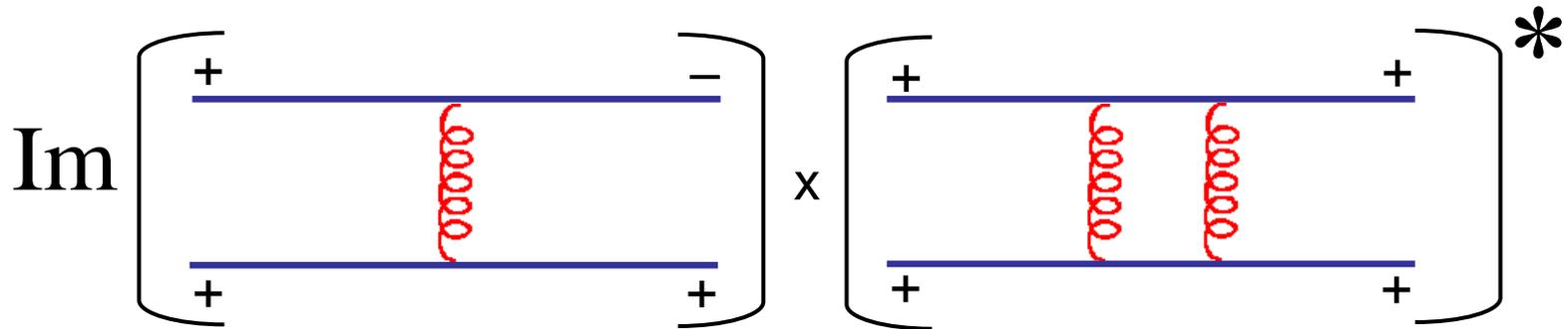
Kane, Pumpkin and Repko PRL 41 1978

$$A_N \propto \frac{m_q}{\sqrt{s}} \quad \text{example, } m_q = 3\text{MeV}, \sqrt{s} = 20\text{GeV}, A_N \approx 10^{-4}$$

Helicity violation term due to finite quark masses

Single spin asymmetries at partonic level. Example: $qq' \rightarrow qq'$

$A_N \neq 0$ needs helicity flip + relative phase

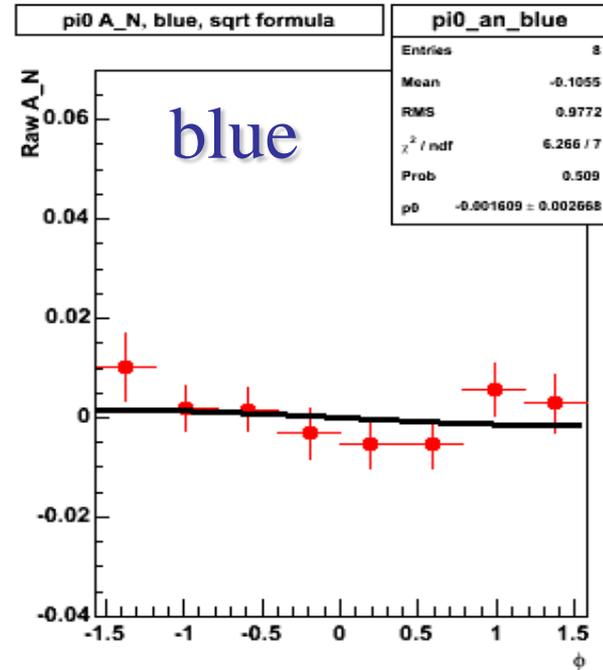
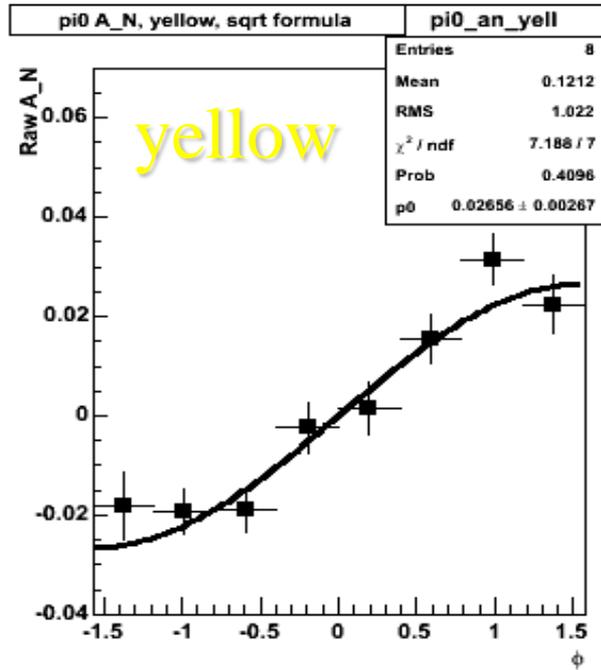


QED and QCD interactions conserve helicity, up to corrections $O(m_q / E)$

 $A_N \propto \frac{m_q}{E} \alpha_s$ at quark level

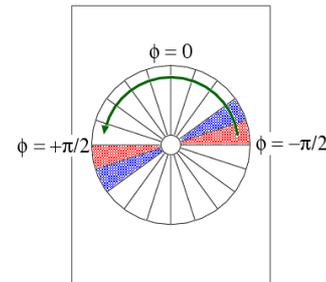
but large SSA observed at hadron level!

MPC Square root asymmetries in 62 GeV $p^\uparrow + p$



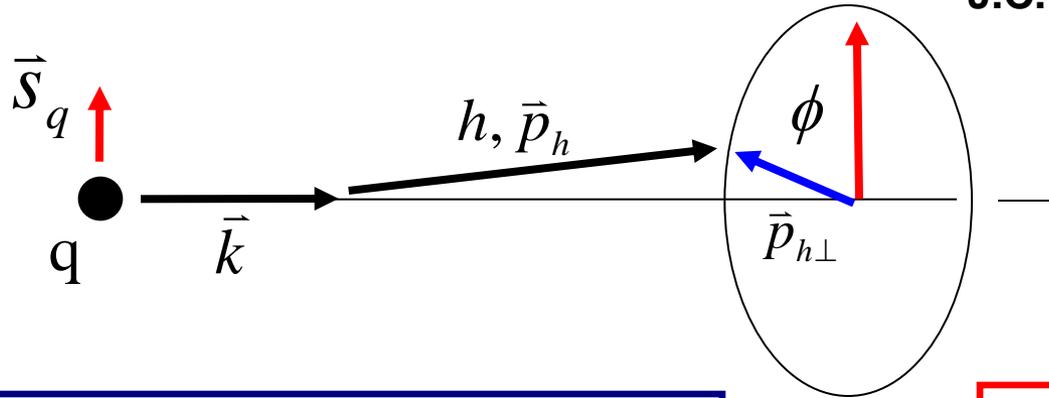
- Asymmetry seen in yellow beam (positive x_F), but not in blue (negative x_F)

$$\mathcal{E} = \frac{\sqrt{N_L^\uparrow \cdot N_R^\downarrow} - \sqrt{N_L^\downarrow \cdot N_R^\uparrow}}{\sqrt{N_L^\uparrow \cdot N_R^\downarrow} + \sqrt{N_L^\downarrow \cdot N_R^\uparrow}}$$



Collins Effect in Quark Fragmentation

J.C. Collins, Nucl. Phys. B396, 161(1993)



\vec{k}	: Quark Momentum
\vec{s}_q	: Quark Spin
\vec{p}_h	: Hadron Momentum

Collins Effect:

Fragmentation of a quark with transverse Spin into a hadron h with the following azimuthal distribution:

$$\propto (\vec{k} \times \vec{p}_h) \cdot \vec{s}_q$$

$$\propto \sin \phi$$

D_q^h is the probability to find a hadron with momentum z_1 and transverse momentum p_{hT} :

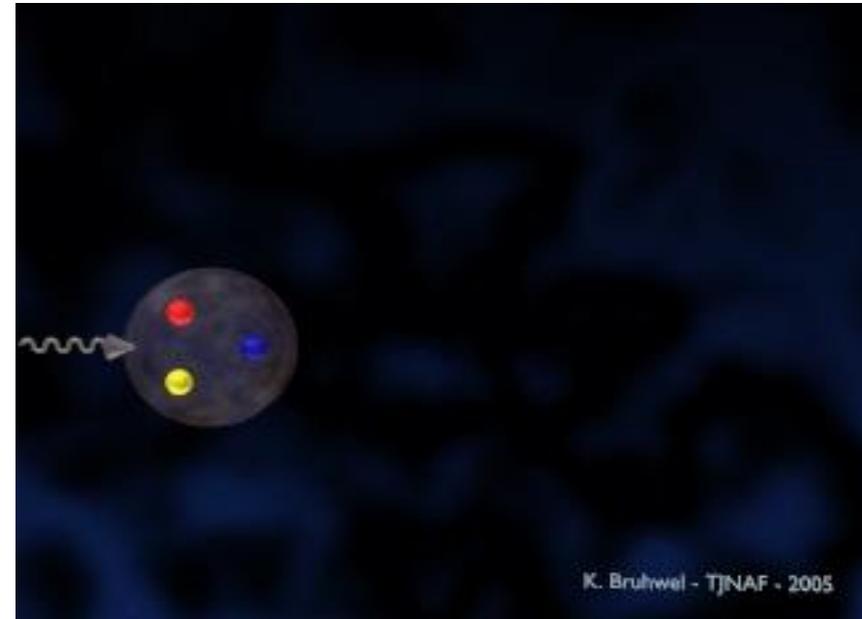
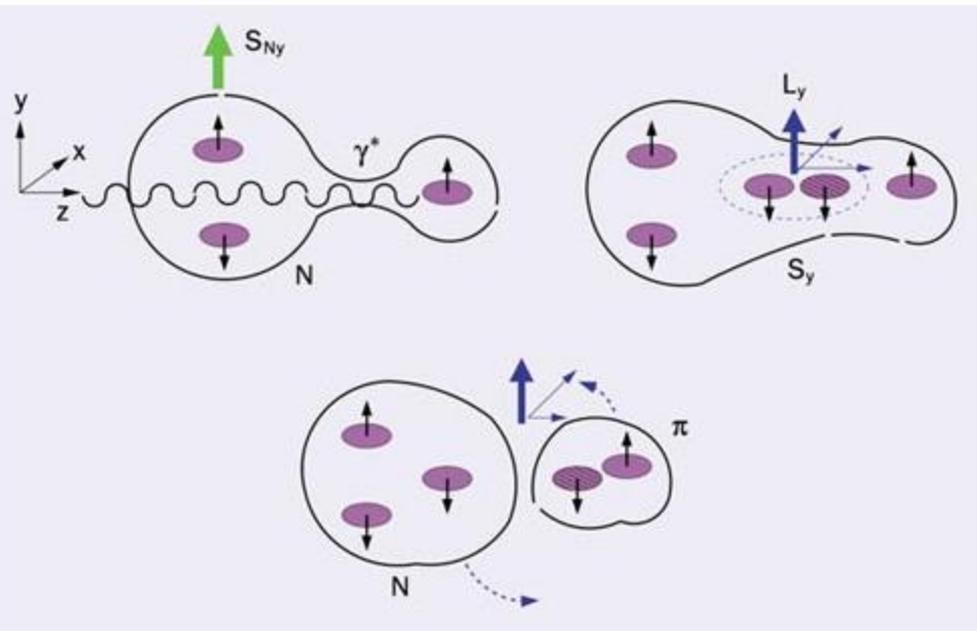
$$D_{q\uparrow}^h(z, \vec{p}_{h\perp}) = \underbrace{D_1^{q,h}(z)}_{\text{unpolarized FF}} + \underbrace{H_1^{\perp q,h}(z, p_{h\perp}^2)}_{\text{Collins FF}} \frac{(\hat{k} \times \vec{p}_{h\perp}) \cdot \vec{s}_q}{zM_h}$$

unpolarized FF Collins FF

Artru Model for Collins Fragmentation

A simple model to illustrate that spin-orbital angular momentum coupling can lead to left right asymmetries in spin-dependent fragmentation:

<http://cerncourier.com/main/article/44/8/19/1>

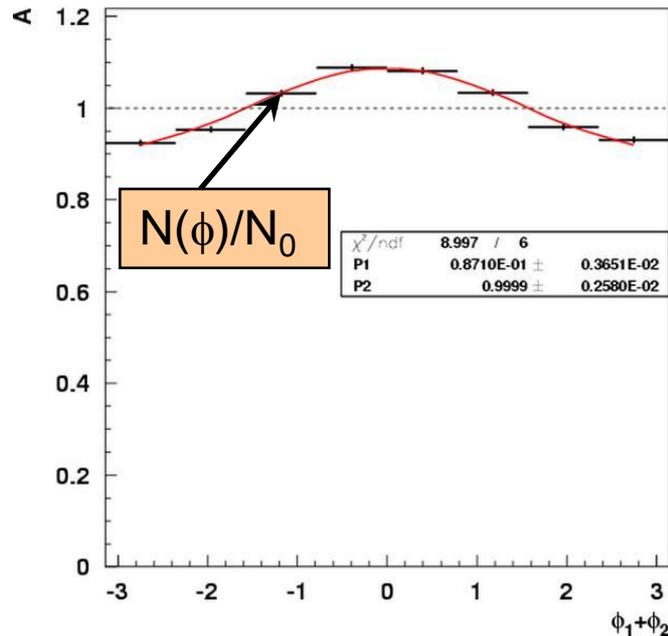


K. Bruhwal - TJNAF - 2005

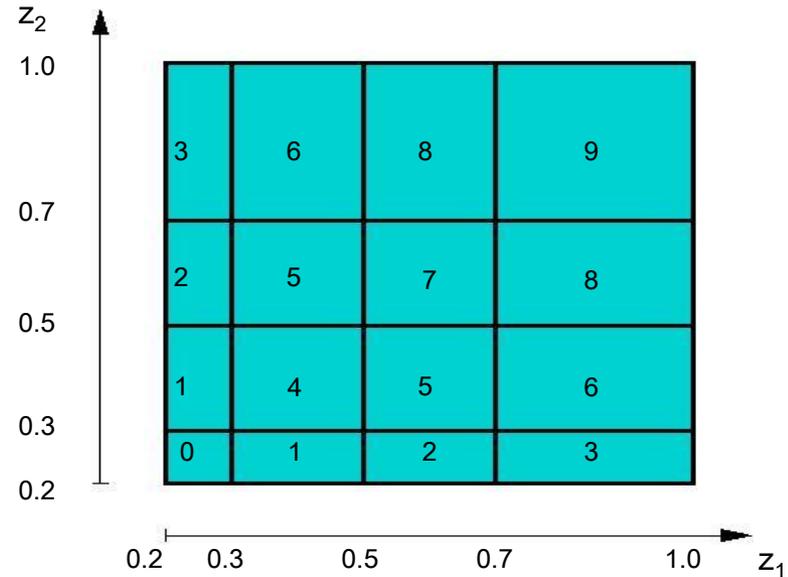
Fig. 1. The Collins effect in a sketch suggested by Xavier Artru of Orsay in the context of the Lund fragmentation model. A virtual photon hits a valence quark at the end of a flux tube; the flux tube stretches and eventually breaks down; a quark-antiquark pair is created in the 3P_0 state with the quantum numbers $J^P = 0^+$ of vacuum, i.e. with orbital angular momentum $L_y = 1$ and spin $S_y = -1$; the pair rotates in space and colour around the breaking point; a pion is formed and deviated upward by this rotation, while the residual hadron is deviated downward.

Belle: Azimuthal Correlation in 10 ($z_1 - z_2$) Quark-Momentum Bins

Azimuthal Distribution of Hadron-Pairs



Bins in Quark Momentum



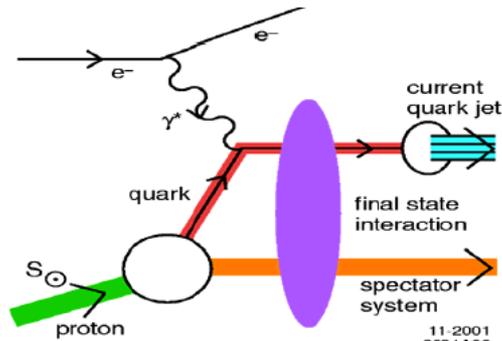
$$\frac{N(\phi)}{N_0} = \frac{aD_1\bar{D}_1 + \cos(2\phi)(bH_1\bar{H}_1 + cD_1\bar{D}_1)}{aD_1\bar{D}_1} = P2 + P1 \cos(2\phi)$$

D_1 : Spin averaged Fragmentation Function

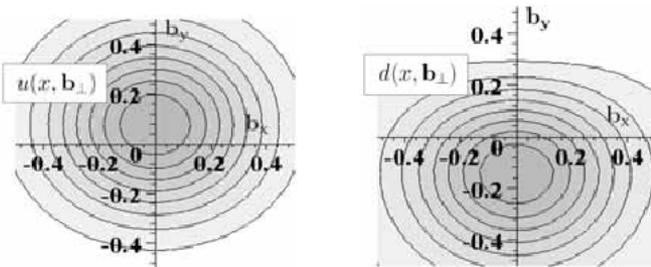
H_1 : Collins Fragmentation Function

Sivers Effect: Final State Interaction

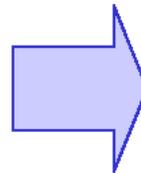
Sivers effect is an interference with a final state interaction of quark with spectator system.



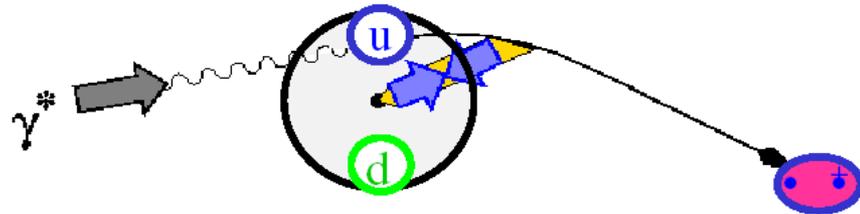
(Int.J.Mod.Phys.A18:1327-1334,2003)



(Nucl.Phys. A735 (2004) 185-199)



Can be understood as **soft-gluon exchange** in final state.



Final state soft gluons ?

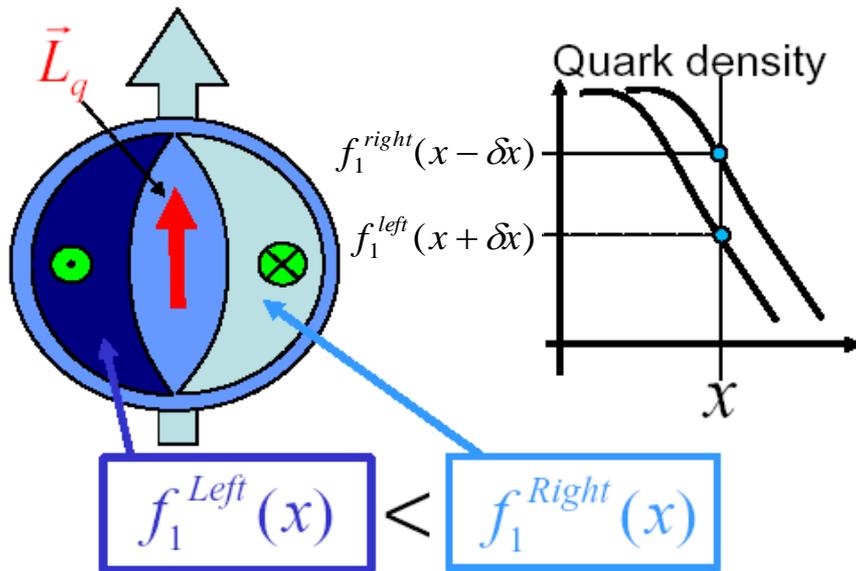
→ What happens to factorization and universality ??

Sivers: Connection to Orbital Angular Momentum?

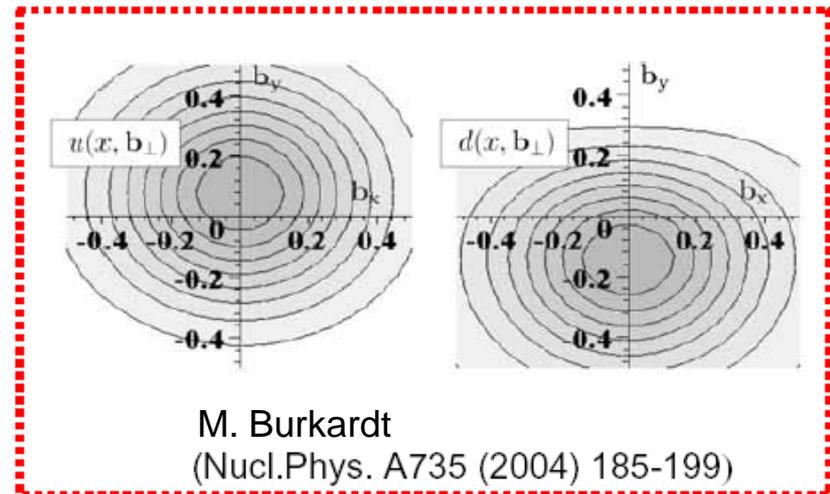
The **Sivers function** is **unpolarized quark distribution function** in the transversely polarized nucleon.

Semi-classical picture :

If quarks have L_q , probability to find quark which carries momentum fraction of "x" is **different between left & right sides in the nucleon** (viewed from virtual photon).



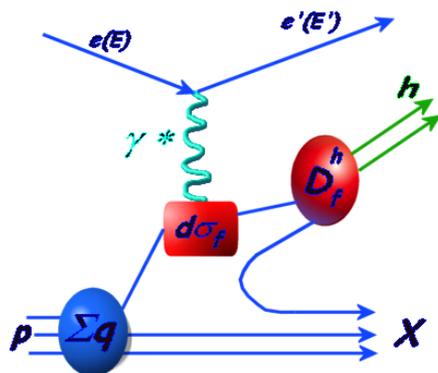
x_q is blue/red shifted!



→ Sivers function can be viewed as an impact-parameter dependent PDF.

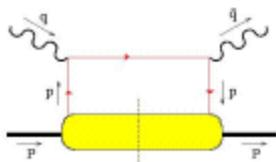
Mechanism for Observed Transverse-Spin Effects

1) Spin-Orbit Effects in the Proton Itself

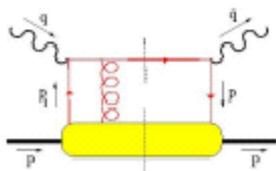


$$d\sigma^h \sim \Sigma e_q^2 q(x) d\sigma_f D_f^h(z)$$

↑
Sivers distribution



interferes with



(soft gluons)

*to establish leading-twist
Sivers distribution required*



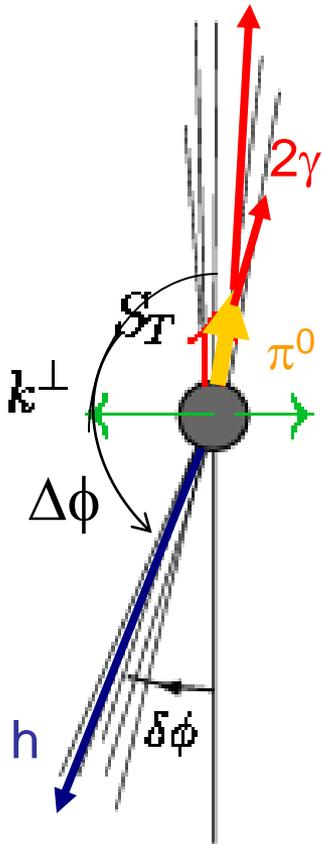
K. Bruhwal - TJNAF - 2005

It's there (HERMES)?

Sivers Fcn from Back2Back Analysis

Boer and Vogelsang, Phys.Rev.D69:094025,2004, hep-ph/0312320

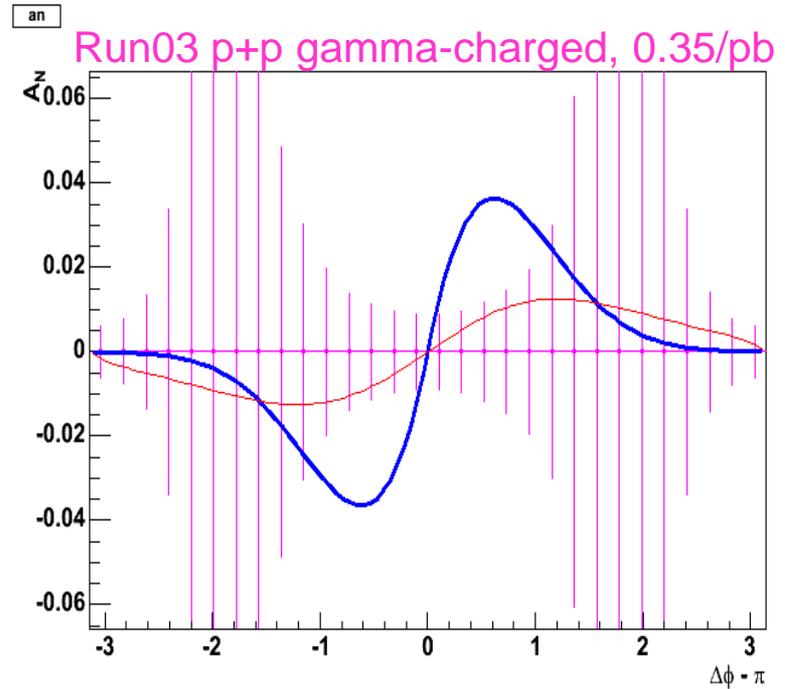
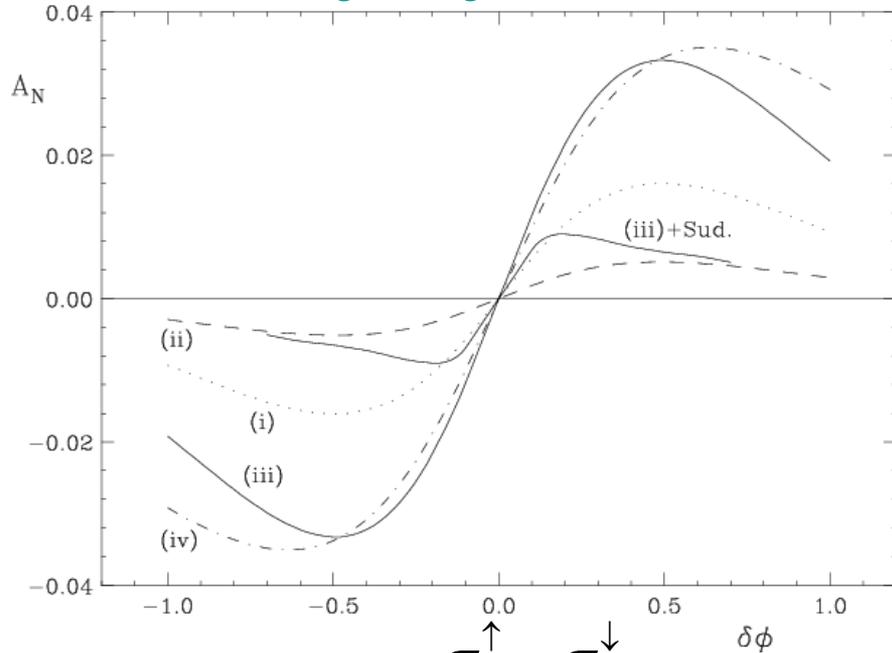
$$\hat{f}(x, k_T, S_T) = f(x, k_T) + \frac{1}{2} \Delta^N f(x, k_T) \frac{S_T \cdot (P \times k_T)}{|S_T| |P| |k_T|}$$



- Non-Zero Sivers function means that there is a left/right asymmetry in the k_T of the partons in the nucleon
 - For a positive Siver's function, there will be net parton k_T to the left (relative to direction of proton, assuming spin direction is up).
- Boer and Vogelsang find that this parton asymmetry will lead to an asymmetry in the $\delta\phi$ distribution of back-to-back jets
 - There should be more jets to the left (as in picture to the left).
- Should also be able to see this effect with fragments of jets, and not just with fully reconstructed jets?
 - Take some jet trigger particle along S_T axis (either aligned or anti-aligned to S_T)
 - Trigger doesn't have to be a leading particle, but does have to be a good jet proxy
 - Then look at $\delta\phi$ distribution of away side particles

Unpolarized Results from Run03 p+p

Boer and Vogelsang, PRD69:094025,2004



•Asymmetry

$$A_N \equiv \frac{\sigma^\uparrow - \sigma^\downarrow}{\sigma^\uparrow + \sigma^\downarrow}$$

- numerator is difference between aligned and anti-aligned $\delta\phi$ dist's, where aligned means trigger jet and spin in same direction

- denominator is simply unpolarized $\delta\phi$ distribution

- On left are some theoretical guesses on expected magnitude of A_N from Siver's

- On right are gamma-charged hadron $\delta\phi$ dist's from Run03 p+p

- 2.25 GeV gamma's as jet trigger, 0.6-4.0 GeV charged hadrons to map out jet shape

- Dotted lines are schematic effect on away side $\delta\phi$ dist due to Siver's F_n (not to scale)

Hermes Sivers



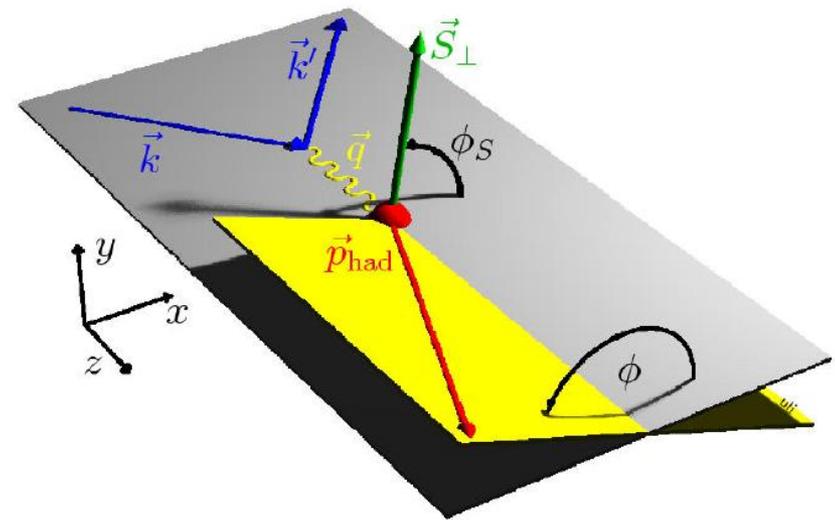
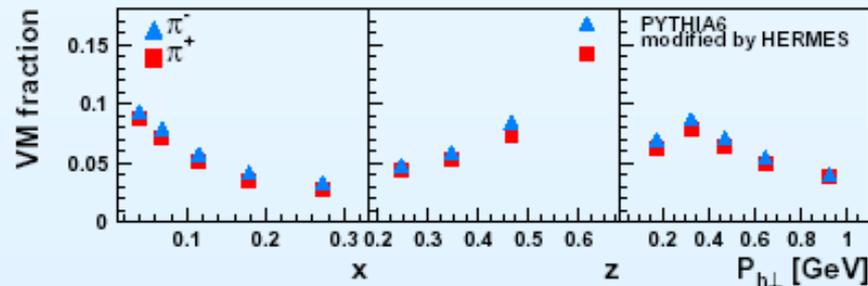
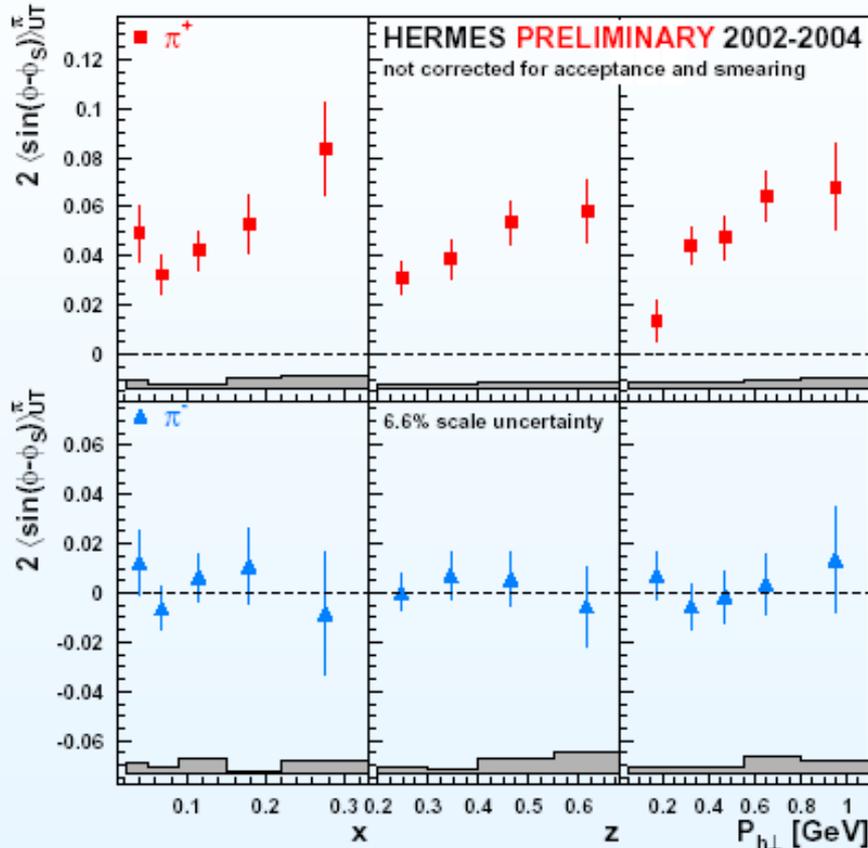
$$l N^{\uparrow} \rightarrow l \pi X$$

“Sivers moment”

$$A_N = \frac{d\sigma^{\uparrow} - d\sigma^{\downarrow}}{d\sigma^{\uparrow} + d\sigma^{\downarrow}}$$

$$2\langle \sin(\Phi - \Phi_S) \rangle = A_{UT}^{\sin(\Phi - \Phi_S)}$$

$$\equiv 2 \frac{\int d\Phi d\Phi_S (d\sigma^{\uparrow} - d\sigma^{\downarrow}) \sin(\Phi - \Phi_S)}{\int d\Phi d\Phi_S (d\sigma^{\uparrow} + d\sigma^{\downarrow})}$$



Hermes Collins



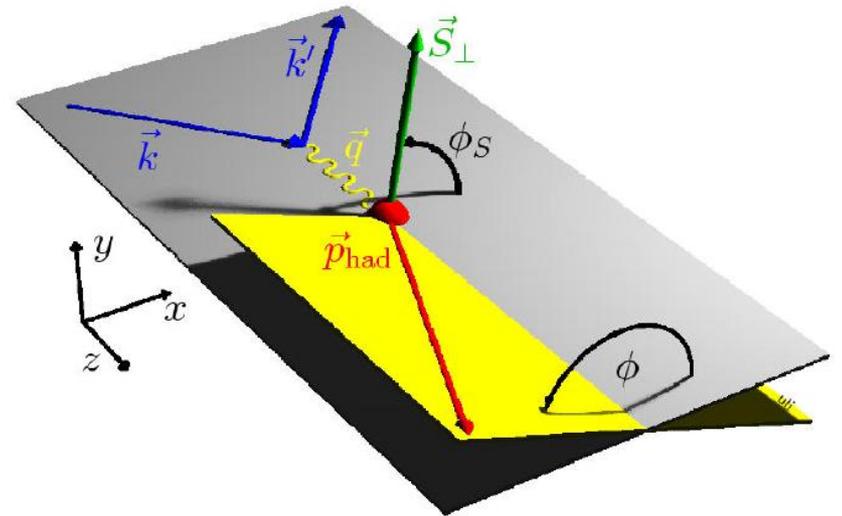
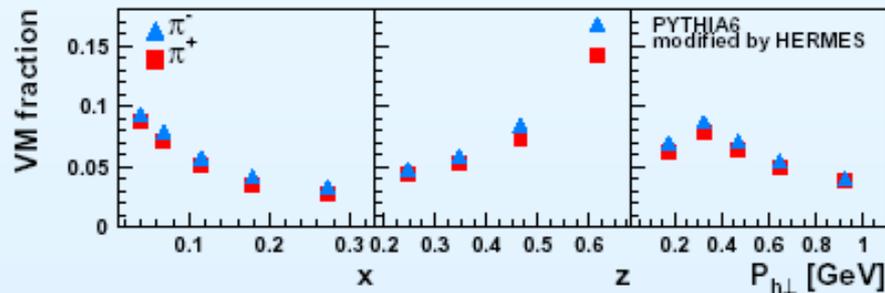
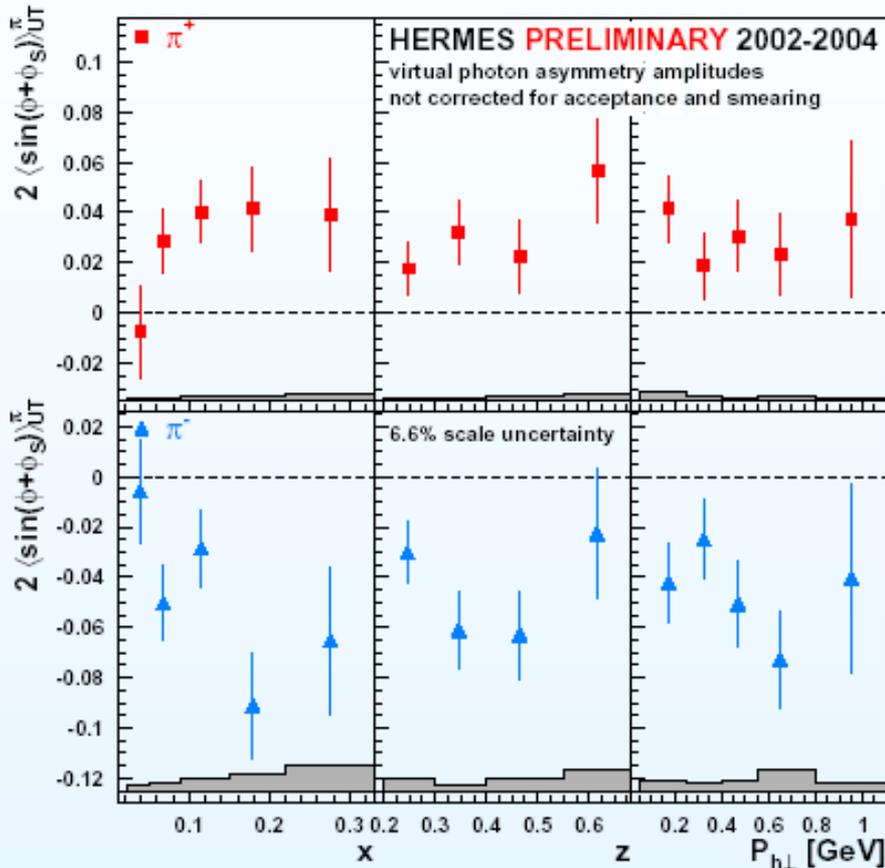
$$l N^\uparrow \rightarrow l \pi X$$

“Collins moment”

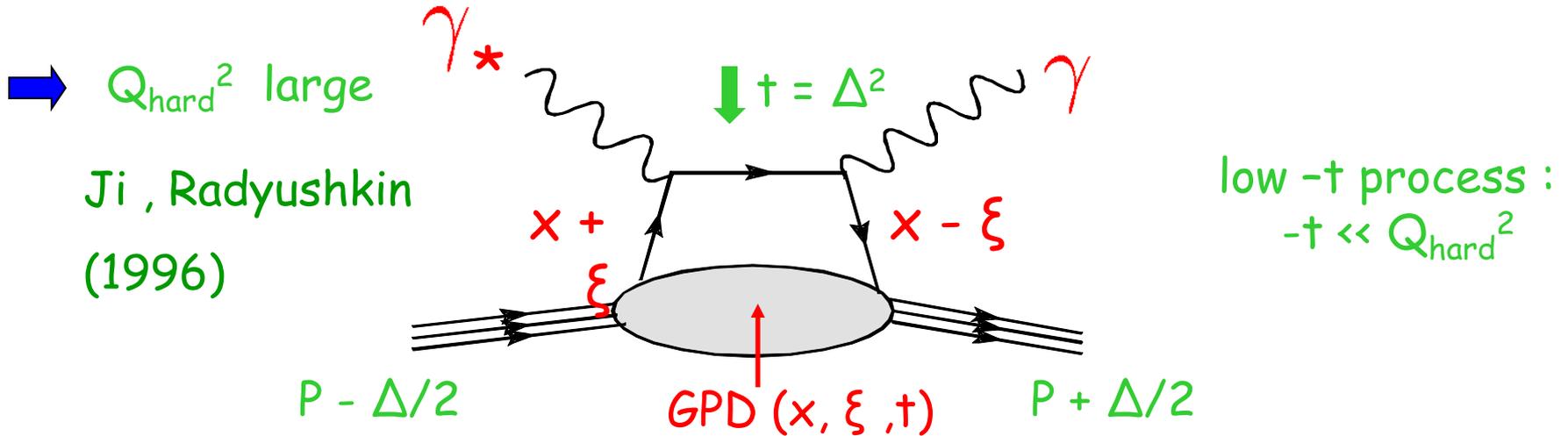
$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow}$$

$$2\langle \sin(\Phi + \Phi_S) \rangle = A_{UT}^{\sin(\Phi + \Phi_S)}$$

$$\equiv 2 \frac{\int d\Phi d\Phi_S (d\sigma^\uparrow - d\sigma^\downarrow) \sin(\Phi + \Phi_S)}{\int d\Phi d\Phi_S (d\sigma^\uparrow + d\sigma^\downarrow)}$$



Generalized Parton Distributions



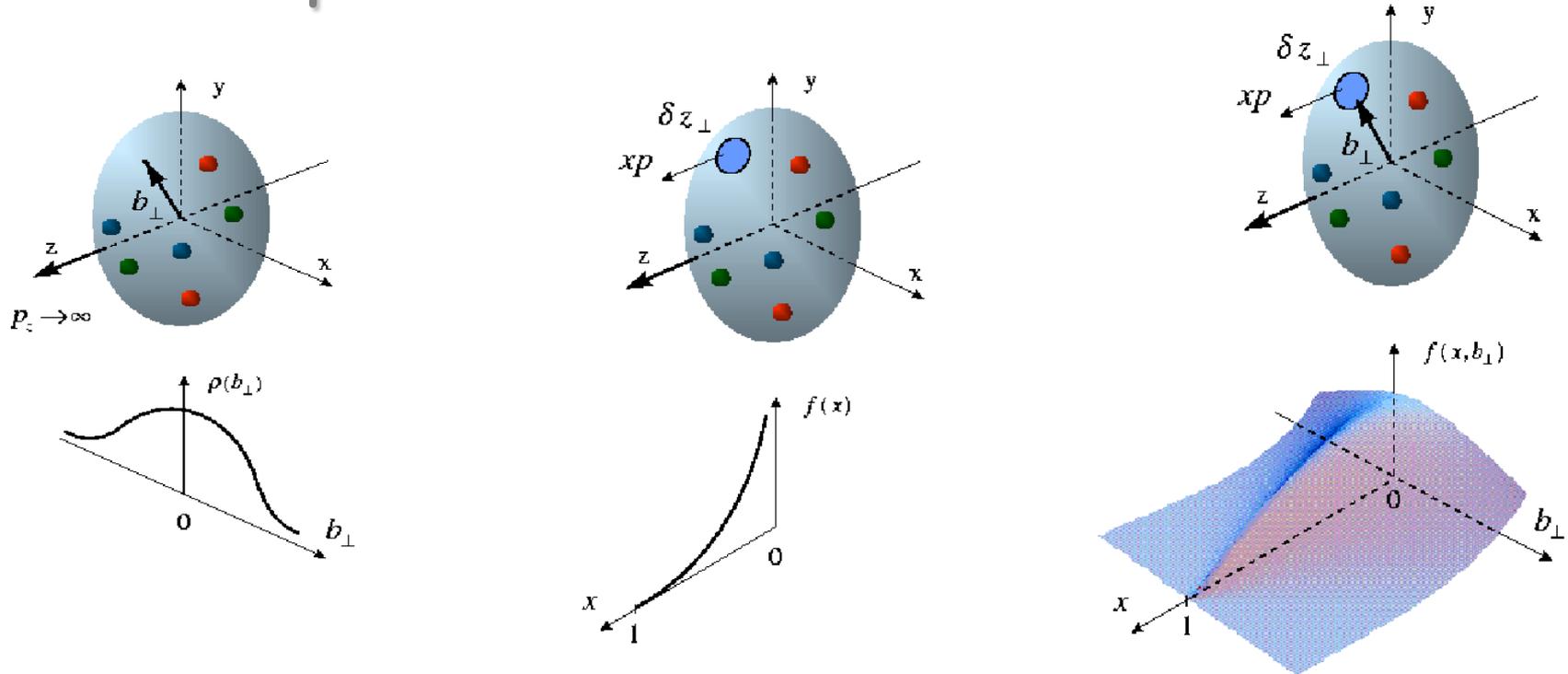
$(x + \xi)$ and $(x - \xi)$: longitudinal momentum fractions of quarks

\rightarrow at large Q^2 : QCD factorization theorem \rightarrow hard exclusive process can be described by 4 transitions (GPDs) :

Vector : $H(x, \xi, t)$ Axial-Vector : $\tilde{H}(x, \xi, t)$

Tensor : $E(x, \xi, t)$ Pseudoscalar : $\tilde{E}(x, \xi, t)$

Generalized Parton Distributions yield 3-dim quark structure of nucleon



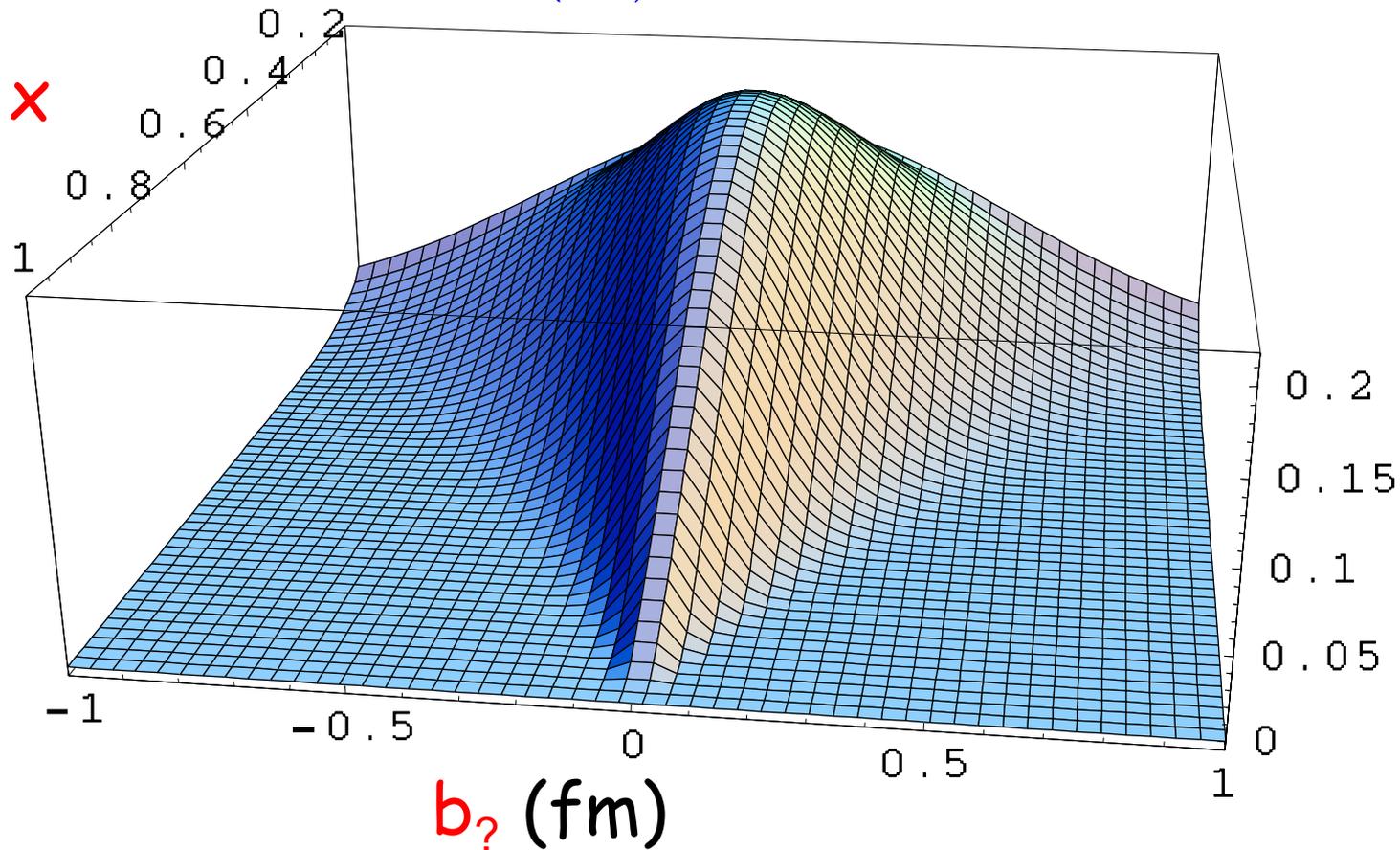
Elastic Scattering
transverse quark
distribution in
Coordinate space

DIS
longitudinal
quark distribution
in momentum space

DES (GPDs)
Fully-correlated
quark distribution in
both coordinate and
momentum space

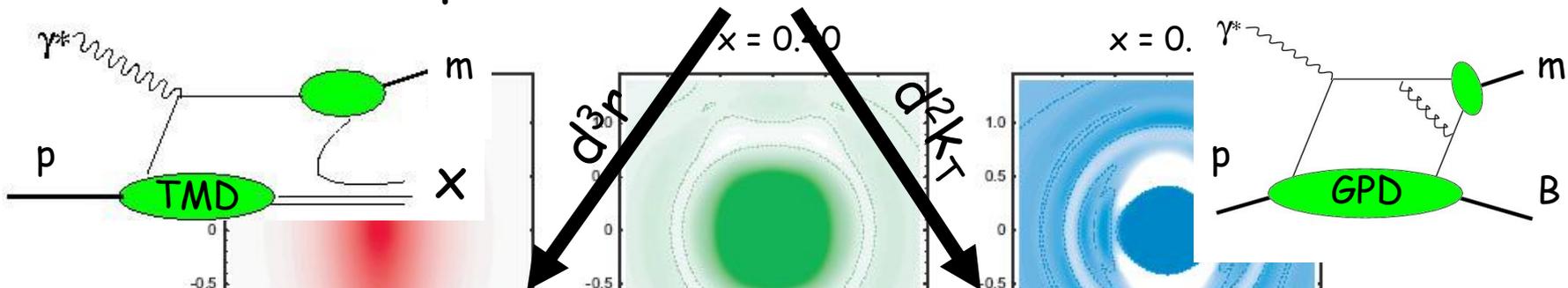
GPDs : **transverse** image of the nucleon (tomography) $H^u(x, b_\perp)$

$$H^q(x, \mathbf{b}_\perp) = \int \frac{d^2 \Delta_\perp}{(2\pi)^2} e^{i\mathbf{b}_\perp \cdot \Delta_\perp} H^q(x, \xi = 0, -\Delta_\perp^2)$$



Towards a 3D spin-flavor landscape

$$W^u(x, k, r)$$



$$TMD^u(x, k_T)$$

$$f_1, g_1, f_{1T}, g_{1T}$$

$$h_1, h_{1T}, h_{1L}, h_{1\perp}$$

Link to
Orbital
Momentum

$$GPD^u(x, \xi, t)$$

$$H^u, E^u, \tilde{H}^u, \tilde{E}^u$$

Link to
Orbital
Momentum

Want $P_T > \Lambda$ but not too large!

$$f_1(x)$$

$$g_1, h_1$$

$$u(x)$$

$$\Delta u, \delta u$$

Parton

$$F_1^u(t)$$

$$F_2^u, G_A^u, G_P^u$$

Form Factors

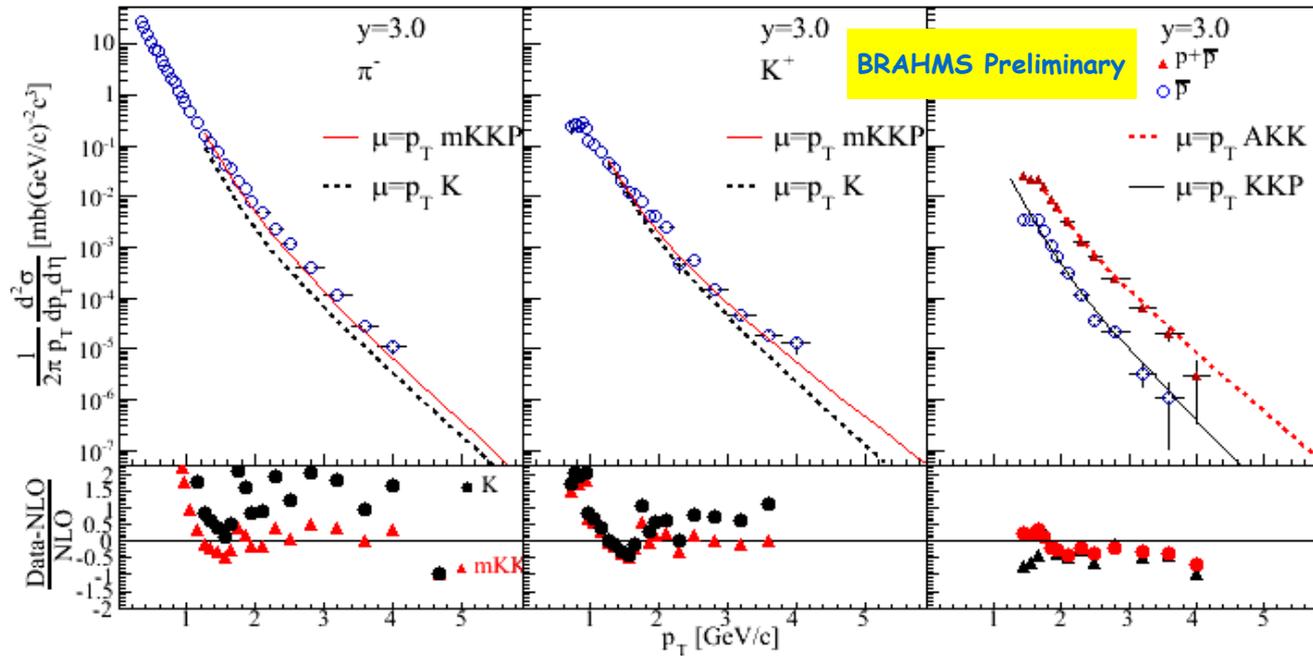
Distributions gives transverse size and distributions with longitud. momentum fraction x

Spin is challenging

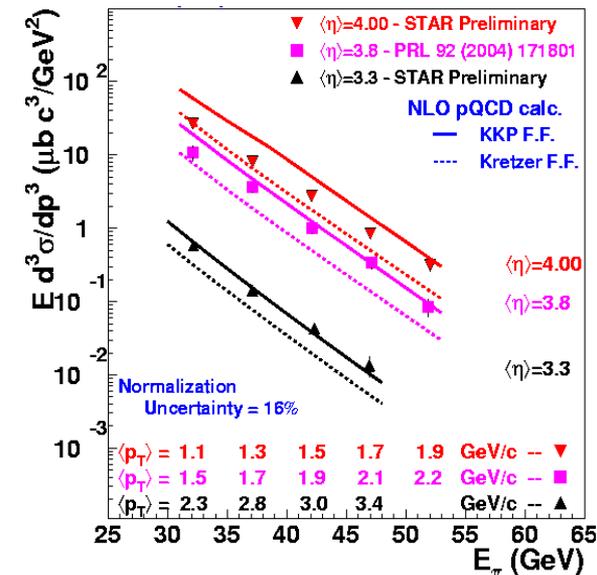
Polarization data has often been the graveyard of fashionable theories. If theorists had their way, they might just ban such measurements altogether out of self-protection.

J.D. Bjorken
St. Croix, 1987

Cross-sections at RHIC, Forward Rapidities

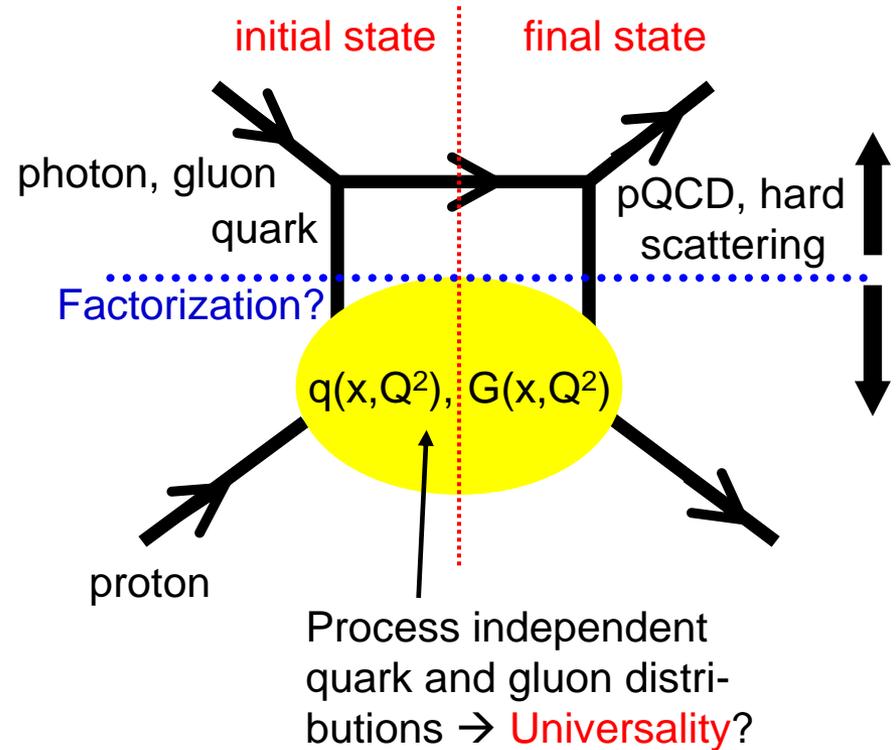
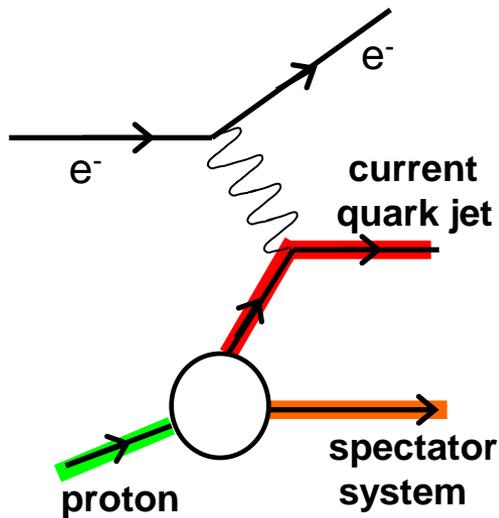


- Cross-sections generally described well by NLO pQCD at $\sqrt{s} = 200$ GeV and forward rapidities
- Are we in a situation where in unpolarized the theory is relatively well understood, but the polarized gives surprises?
 - Potentially we are in a region where the polarized data gives us new information about QCD, in a region where one can have quantitative theoretical understanding of the effects, and not just phenomenology.



Optical Theorem in Hard Scattering

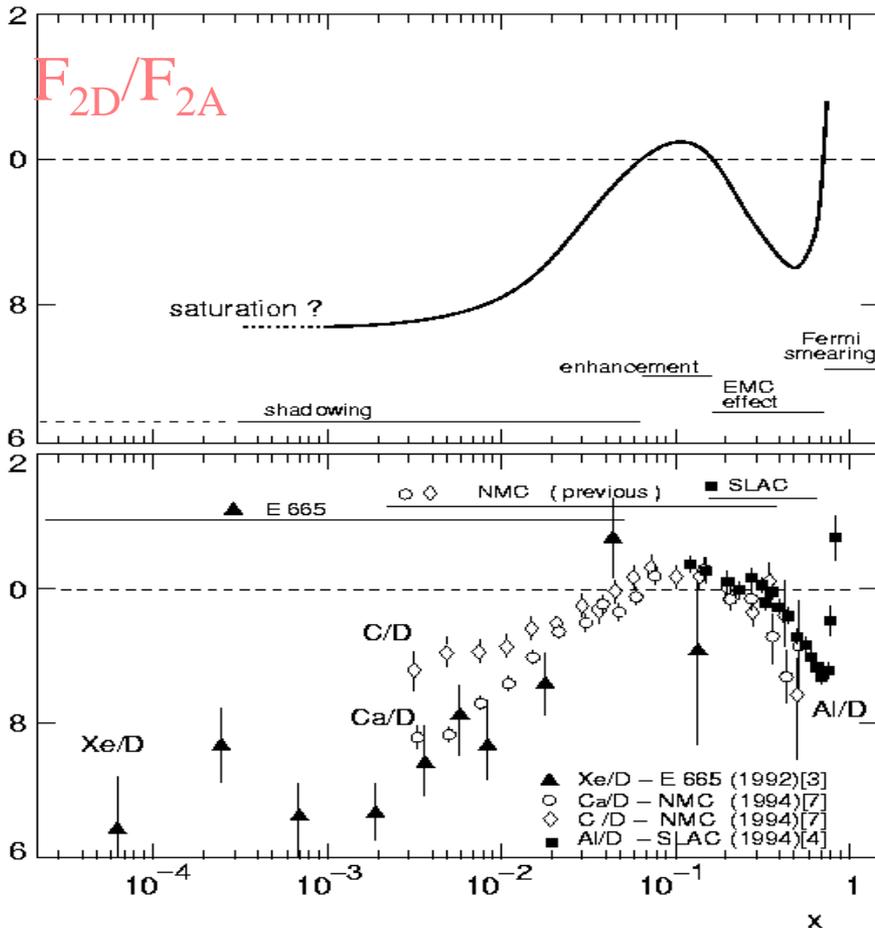
Cross Section \longleftrightarrow Optical Theorem \longleftrightarrow Forward Elastic Scattering Amplitude



The imaginary part of the forward elastic scattering amplitude is equal to the **total cross-section**, ie, the **lost cross-section** in elastic scatters is equal to **cross-section of inelastic scatters**.

Cold Nuclear Structure (d+Au)

Observation that structure functions are altered in nuclei **stunned** much of the HEP community ~25 years ago



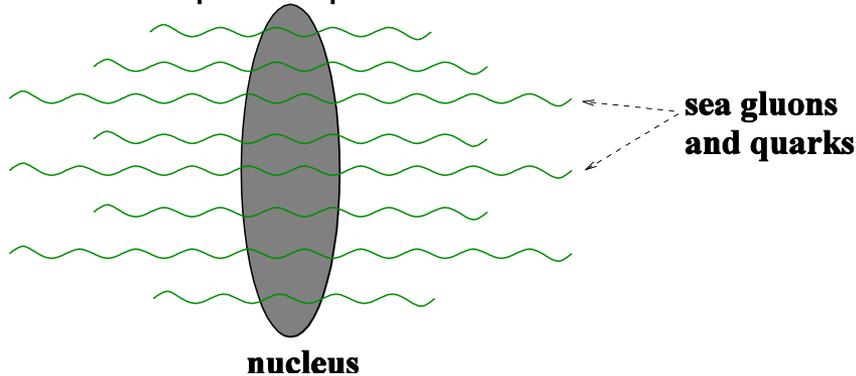
Regions of:

- Fermi smearing
- EMC effect
- Enhancement
- Shadowing
- Saturation?

Regions of shadowing and saturation mostly around $Q^2 \sim 1 \text{ GeV}^2$

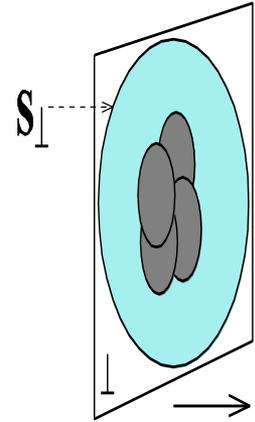
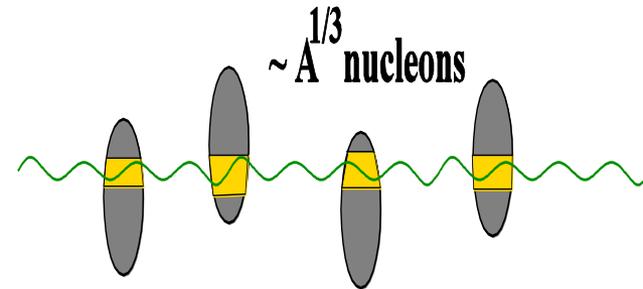
Saturation picture in nuclei

Relativistic proton picture



$$l_{coh} \sim \frac{1}{k_+} \sim \frac{1}{x_{Bj} p_+} \sim \frac{1}{x_{Bj} m_N}$$

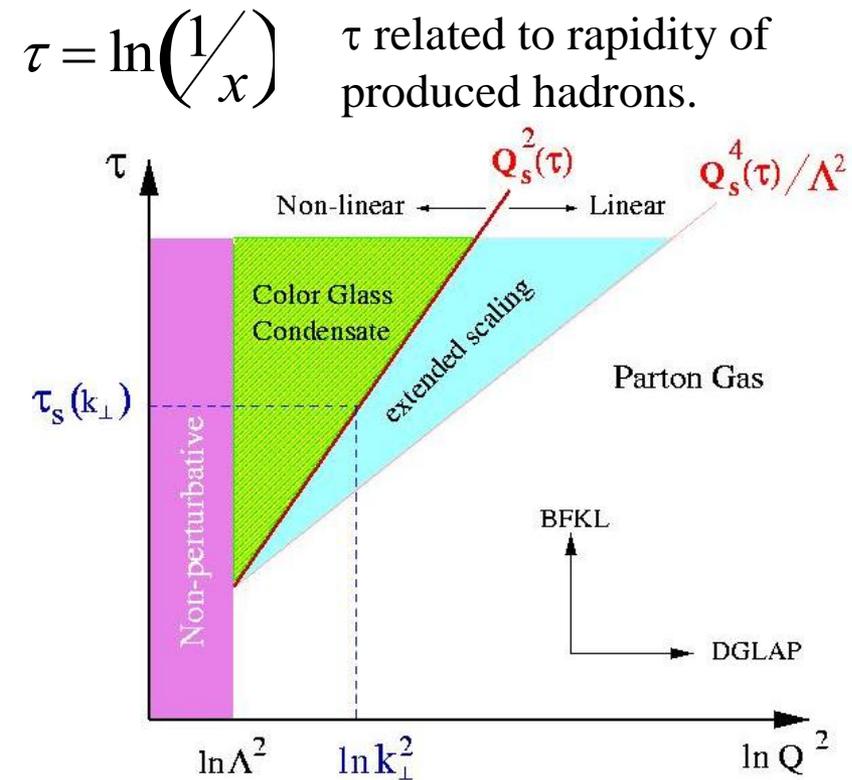
(In rest frame of proton)



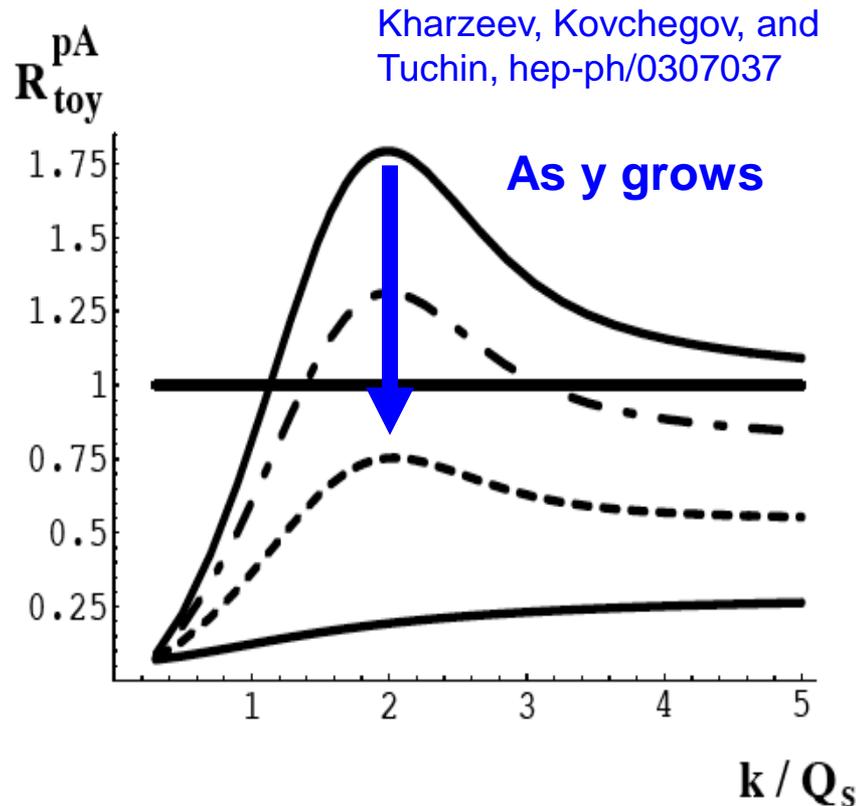
Nucleus picture

- Transverse area of a parton $\sim 1/Q^2$
- Cross section parton-probe : $\sigma \sim \alpha_s/Q^2$
- Partons start to overlap when $S_A \sim N_A \sigma$
- The parton density saturates
- Saturation scale : $Q_s^2 \sim \alpha_s(Q_s^2) N_A / \pi R_A^2 \sim A^{1/3}$
- At saturation N_{parton} is proportional to $1/\alpha_s$
- Q_s^2 is proportional to the density of participating nucleons; larger for heavy nuclei.

Expectations for a color glass condensate



Iancu and Venugopalan, hep-ph/0303204



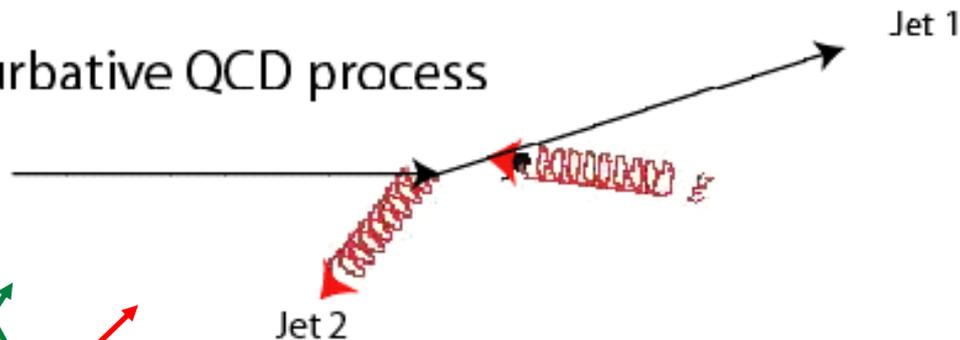
Are the forward d+Au results evidence for **gluon saturation at RHIC energies?**

Not clear. Need more data, and more observables.

Any difference between p+p and d+Au?

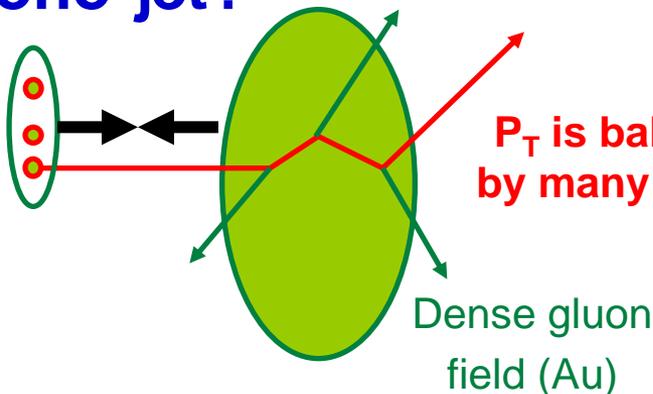
p+p: **Di-jet**

Perturbative QCD process



d+Au: **Mono-jet?**

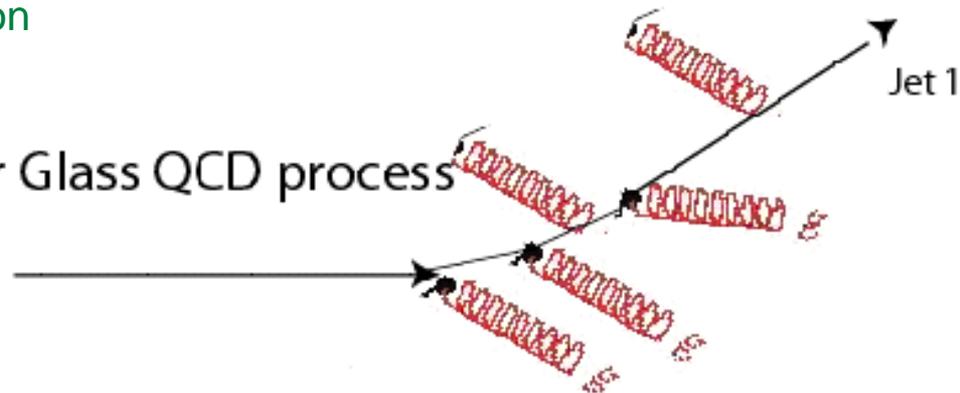
Dilute parton system (deuteron)



P_T is balanced by many gluons

Dense gluon field (Au)

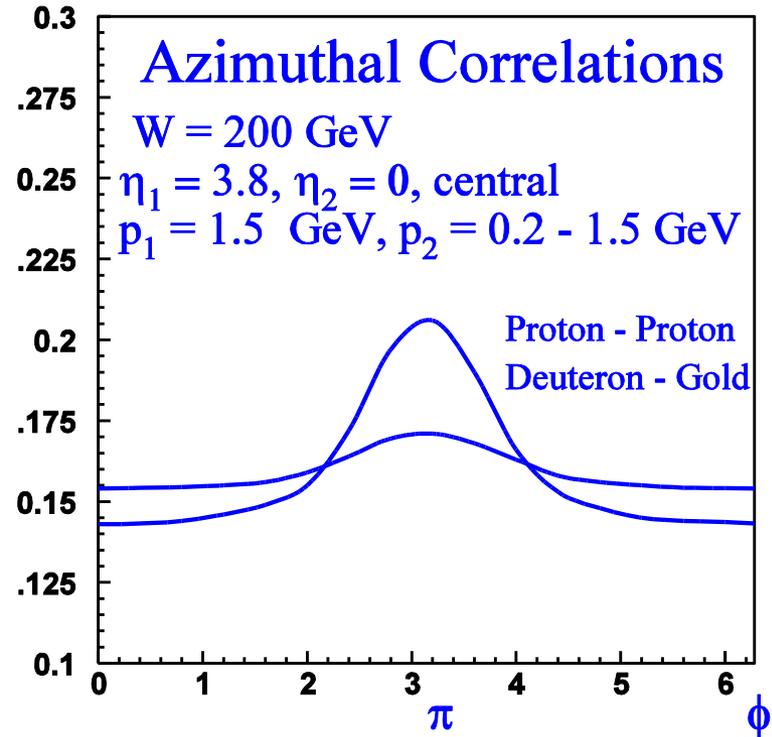
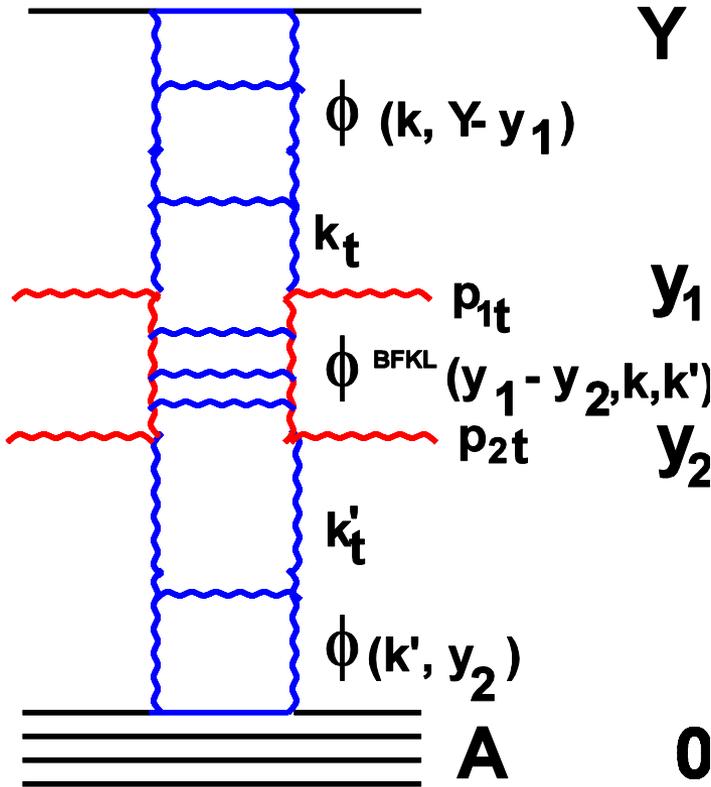
Color Glass QCD process



Kharzeev, Levin, McLerran
(NPA748, 627)

Color glass condensate predicts that the **back-to-back correlation** from p+p **should be suppressed**

Back-to-back correlations with the color glass



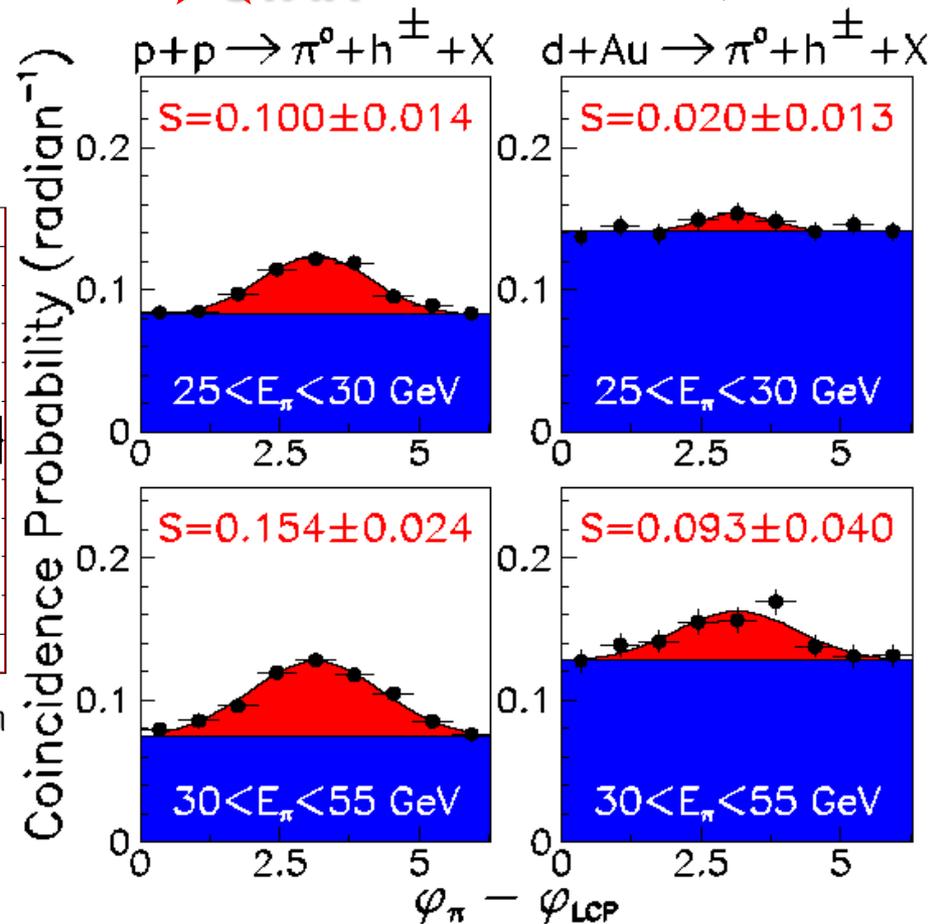
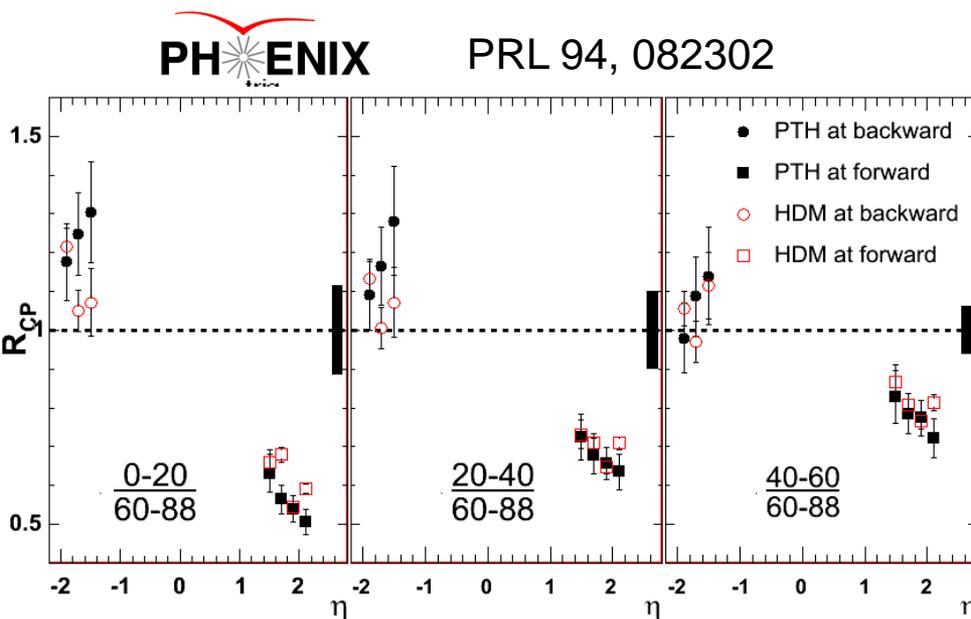
The evolution between the jets makes the correlations disappear.

(Kharzeev, Levin, and McLerran, NP A748, 627)

Forward-midrapidity correlations in d+Au



PRL 97, 152302

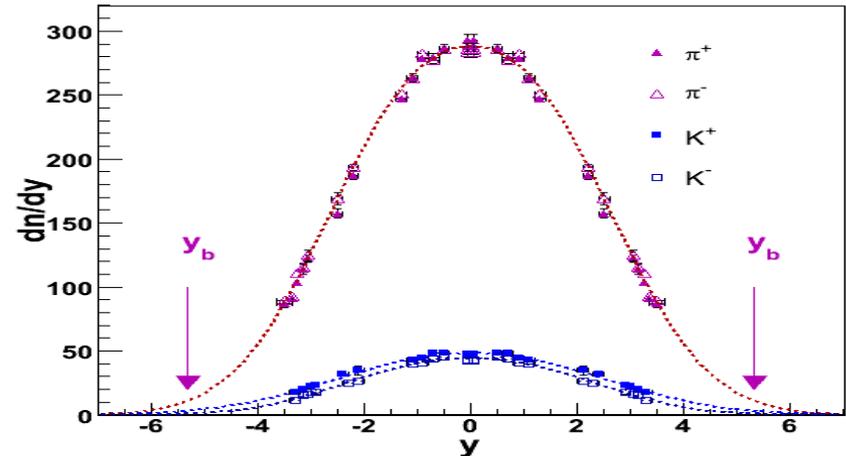


π^0 : $|\langle \eta \rangle| = 4.0$
 h^\pm : $|\eta| < 0.75$
 $p_T > 0.5$ GeV/c

- PHENIX doesn't see any changes for $\langle x_g \rangle \sim 0.015$
- STAR might see suppression for $\langle x_g \rangle \sim 0.006$

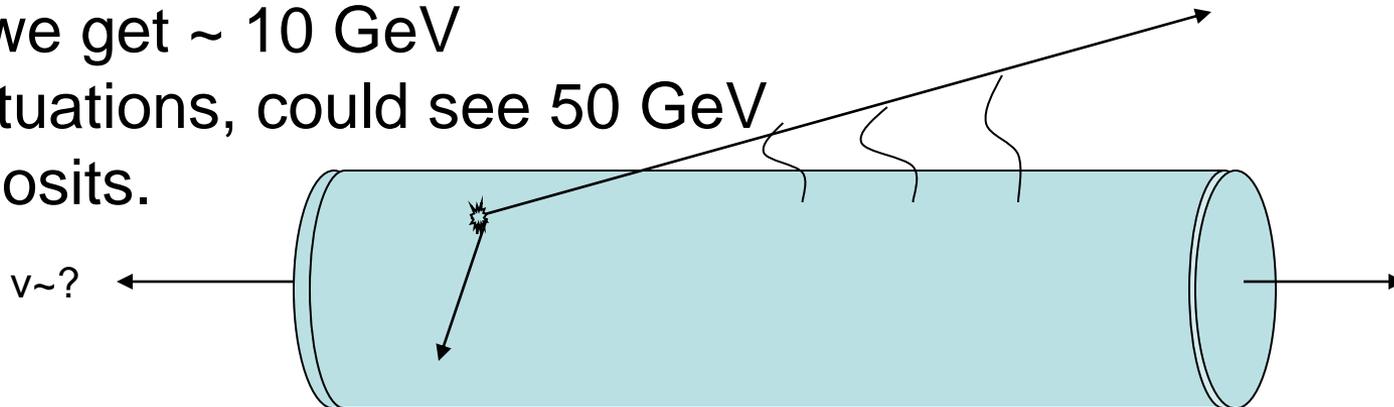
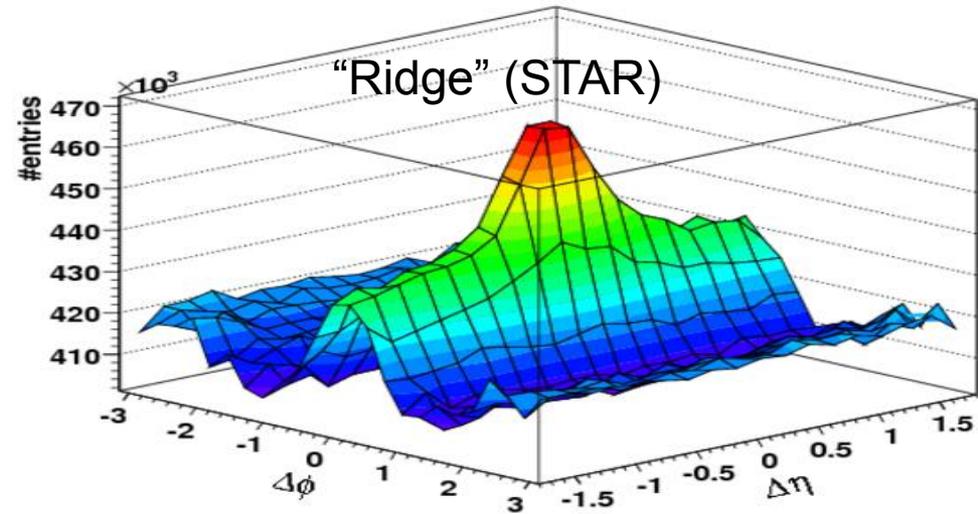
The MPC in Heavy Ion Physics

- Due to extremely high occupancies in the forward region, MPC capabilities are vastly reduced in Au+Au.
 - Possibly one could do something in peripheral collisions, but due to need to reduce HV to not saturate, resolution (due to noise) is reduced.
- Reaction plane (RP) contribution
 - Sees neutral particles missed by BBC – adds to resolution
 - Can use E_T (p_T) weighted values to determine RP
- Forward E_T
 - Can this help to distinguish between Bjorken vs. Landau expansion?



Jet Correlations with MPC?

- Need Large $\Delta\eta$ to study ridge
- MPC Covers $\Delta\eta \sim 2.7$ to 4.0
 - Potentially already beyond the where the ridge ends?
- Can be done using central arm trigger and MPC energy flow.
- OR, perhaps with a trigger tower in the MPC: expect ~ 100 GeV/tower in central Au+Au, so we get ~ 10 GeV fluctuations, could see 50 GeV deposits.



Conclusions

