

1 Particle identification at the EIC with a  
2 modular imaging Cherenkov counter.

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5 **Abstract**

6 We present a proposal for a modular particle identification device  
7 capable of identifying charged pions, kaons, and protons at the pro-  
8 posed electron-ion collider.

9 **1 Introduction**

10 Detectors at the EIC need a wide range of capabilities in order to achieve  
11 the proposed physics goals. Particle identification is one of these. The EIC  
12 White Paper [2] refers (some of) to these requirement as follows:

13 "... the momentum range of pions in the central detector region ( $-1 <$   
14  $\eta < 1$ ) of typically 0.3 GeV/c to 4 GeV/c with a maximum of about 10  
15 GeV/c. A combination of high resolution time-of-flight (ToF) detectors (with  
16 timing resolutions  $\Delta t \sim 10$ ps), a DIRC or a proximity focusing Aerogel RICH  
17 may be considered for particle identification in this region. Hadrons with  
18 higher momenta go typically in the forward (ion) direction for low lepton  
19 beam energies, and in the backward direction for higher lepton beam energies.  
20 The most viable detector technology for this region of the detector is a Ring-  
21 Imaging Cherenkov (RICH) detector with dual-radiators."

22 This research proposal aims to lead to the development of a modular  
23 imaging Cherenkov counter that can fulfill the requirements outlined in the  
24 White Paper.

## 25 **2 Detector Design**

### 26 **2.1 Detector Concept**

27 A schematic diagram of an imaging Cherenkov detector is shown in Fig.  
1. A Cherenkov radiator of thickness  $t$  is followed at a distance  $d$  by an

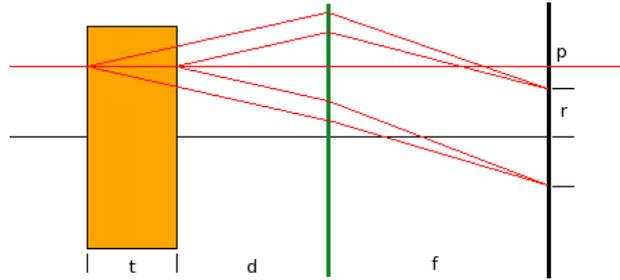


Figure 1: A diagram of an generic imaging Cherenkov counter: a radiator of thickness  $t$ , followed by an imaging element (green) of focal length  $f$ , and a photon detector (black).

28  
29 optical imaging element, typically a mirror or a lens, and an imaging plane  
30 at distance  $f$  from the imaging element. A Cherenkov ring with radius  $r$  is  
31 formed in the image plane, where a photon detector registers the Cherenkov  
32 light. This detector may also register the passage of the charged particle at  
33  $p$ . The image in the focal plane is independent of  $d$ , and we set this distance  
34 to zero. The ring radius  $r$  is determined by the Cherenkov angle  $\theta$  and by  
35 the focal length  $f$  of the imaging element:  $r = f * \tan(\theta)$ . Fig. 2 shows  
36 the radiator, imaging elements, which is taken to be a lens, and the photon  
37 detector plane, all enclosed in a box. Under certain conditions, namely when  
38 incident particle paths make an angle with the horizontal greater than the  
39 Cherenkov angle, or when the incident particle is very close to the boundary,  
40 some of the photons will hit the box wall. If the inside surfaces of the box  
41 are made of flat mirror material, these photons will not be lost. The pattern  
42 formed in the image plane may be distorted as in Fig. 3, but these are shapes  
43 that can be recognized by the pattern recognition software.

44 Fig. 4 shows the how several units can be arranged into a projective geom-  
45 etry to form a relatively shallow, self-contained wall. In such an arrangement,  
46 high-momentum particles will be approximately normally incident on each

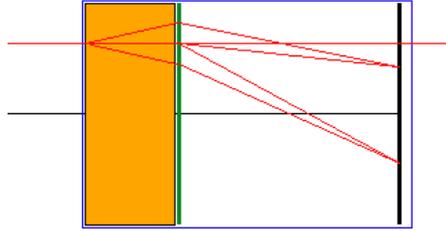


Figure 2: The imaging element is placed against the exit surface of the radiator, and all elements are enclosed in a box with mirror surfaces.

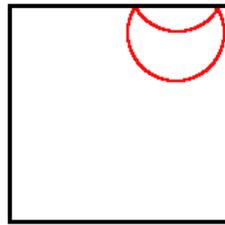


Figure 3: If an incident particle makes a large angle wrt the optical axis, the Cherenkov ring in the image plane may become folded.

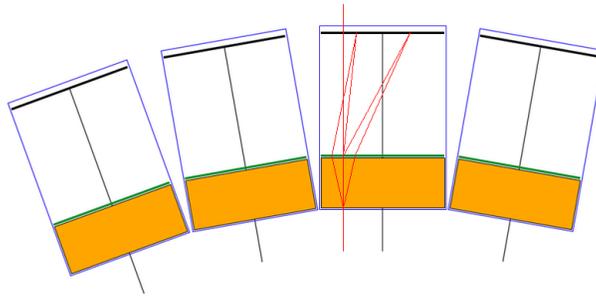


Figure 4: Individual units may be stacked to make a hermetic, projective detector wall.

47 device, and the Cherenkov rings will all be centered on the optical axes. De-  
 48 pending on the choice of the focal length  $f$  of the lens, the photon detector  
 49 does not need to extend all the way to the edge of the device - it only needs  
 50 to cover the area where rings of interest are projected.

51 Note that although the proposed design is for a modular imaging device,  
 52 other types of Cherenkov detectors can be derived from this concept. For  
 53 example, omitting the lens would turn this into a proximity-focusing  
 54 unit, and omitting pixelation of the detector plane would result in a threshold  
 55 Cherenkov counter.

## 56 2.2 Radiator choice

If we want to distinguish Kaons from pions in the few-GeV range, the re-  
 fractive index  $n$  of the Cherenkov radiator needs to be in the 1.01-1.02 range  
 as can be seen in Fig. 5, and the only material with such indices is silica

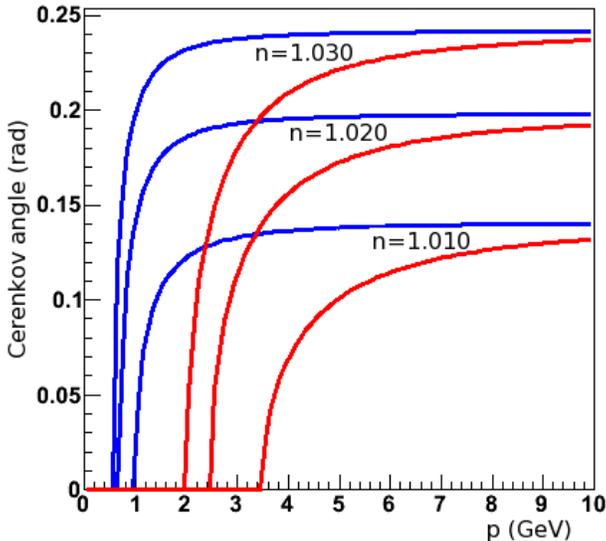


Figure 5: Threshold curves for Kaons (red) and pions (blue) for different refractive indices.

aerogel. Fig. 6 shows a Cherenkov ring produced using a 450 GeV proton beam [4, 5]. The outer ring is formed by Cherenkov radiation from aerogel with refractive index  $n=1.010$ , and the small ring in the center results from Cherenkov radiation from air in the detector. Photons in aerogel are subject

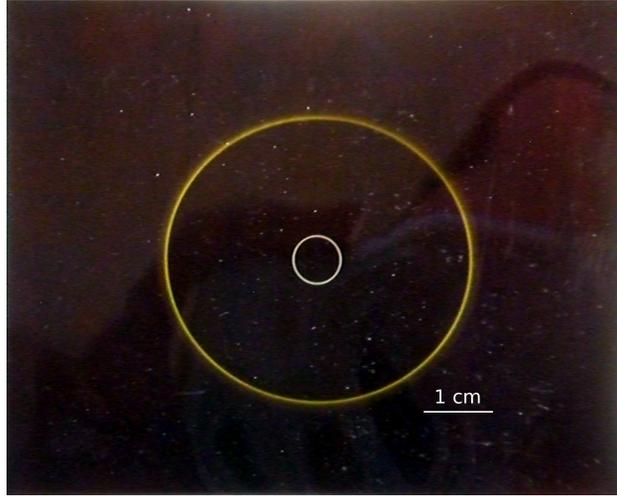


Figure 6: Cherenkov rings produced by a 450 GeV/c proton beam traversing an aerogel sample with refractive index  $n=1.010$ . The small central ring is from Cherenkov radiation in air.

to Rayleigh scattering, which scales as  $\lambda^{-4}$ , where  $\lambda$  is the wavelength. Fig. 7 shows a transmission spectrum of a 3 cm thick sample of aerogel (histogram). The transmission spectrum can be parametrized by

$$T = Ae^{-Ct/\lambda^4}$$

57 where  $A$  is the transmission at large wavelengths,  $t$  is the sample thickness  
 58 and  $C$  (for 'clarity') is a measure of the quality of the sample - the lower  $C$ ,  
 59 the more photons exit unscattered.

60 Photons in the focal-plane Cherenkov ring are those that are not scattered  
 61 before exiting the aerogel. This favors photons at long wavelengths, and  
 62 photons produced close to the exit surface of the radiator. Fig. 8 shows in  
 63 the left panel a typical spectrum of produced Cherenkov photons, falling with  
 64  $\lambda^{-2}$ . In the right panel are shown the spectra of scattered and unscattered  
 65 photons for aerogel of different values of the clarity  $C$ . The distributions of  
 66 unscattered photons have a broad maximum at wavelengths in the 300-500  
 67 nm range, and their number increases with decreasing values of  $C$ .

68 Current state-of-the-art aerogel with refractive indices in the range of  
 69 interest can be produced with values of  $C$  as low as  $60 \cdot 10^{-4} \mu m^4/cm$ .

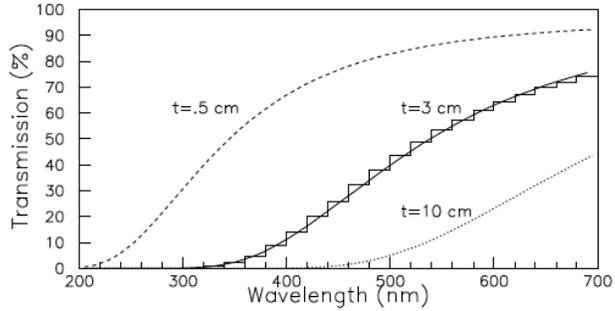


Figure 7: Transmission spectrum (histogram) of a 3cm sample of aerogel. Also shown are a fit to the spectrum, and spectra corresponding to transmission through 0.5 and 10 cm of the same material, derived from the fit.

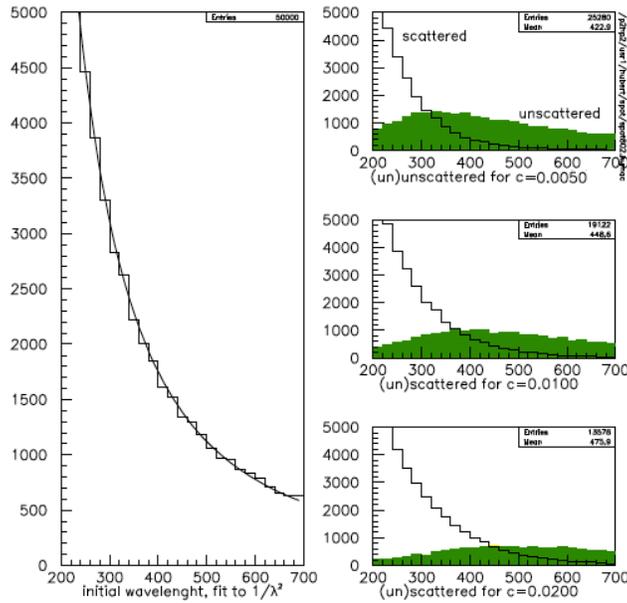


Figure 8: Left: spectrum of produced Cherenkov photons. Right: spectra of scattered (histogram) / unscattered (green) photons, for different values of the aerogel parameter  $C$ .

### 70 **2.2.1 Aerogel availability**

71 Worldwide, a very small number of facilities are capable of producing aerogel  
72 with the properties required for use in Cherenkov detectors. Companies or  
73 institutions that have supplied aerogel for such detectors in the past include

- 74 • Budker Institute for Nuclear Physics, Novosibirsk
- 75 • KEK, Japan
- 76 • Matsushita Electric Works, Japan
- 77 • Airglass AB, Sweden

78 Current availability of suitable aerogel is being explored.

## 79 **2.3 The Imaging Element**

80 In most imaging Cherenkov detectors currently in use, the element that im-  
81 ages the Cherenkov photons into a sharp ring is a concave mirror, or a set  
82 of mirrors. In such cases the detector plane may need to be placed to the  
83 sides of the radiator volume, which makes hermetic, modular construction  
84 impossible.

In this proposal, the imaging element is an (acrylic) Fresnel lens. These typically are 1 mm thick or less. A transmission spectrum of such a lens is shown in Fig. 9b. This lens would absorb most of the scattered photons, which would otherwise contribute to a diffuse background in the detector plane, and it would pass most of the unscattered photons. Cherenkov light is emitted at angles  $\theta$  where

$$\cos(\theta) = \frac{1}{\beta n}$$

If we assume that  $n$  does not vary appreciably with wavelength, then the number of photons produced per unit path length is given by

$$dN/dx = 2\pi\alpha \sin^2\theta \left\{ \frac{1}{\lambda_1} - \frac{1}{\lambda_2} \right\}$$

85 where  $\alpha$  is the fine structure constant. For a typical Cherenkov angle of  $10^\circ$ ,  
86 and a wavelength interval  $[\lambda_1, \lambda_2]$  of 300-500 nm, we can expect about 14  
87 produced photons/cm.

88 Because of the properties of the aerogel and the acrylic, the photon de-  
89 tector in the focal plane should be sensitive in the 300-500 nm range.

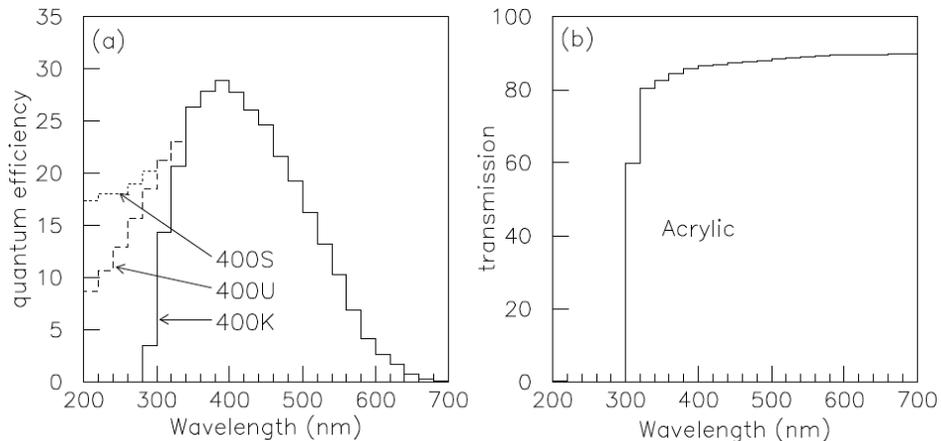


Figure 9: Left: Quantum efficiency of the EMI9125 PMT with selected photocathodes (a), and transmission spectrum of a UV-acrylic fresnel lens.

## 2.4 The Photon Detector

The requirements for the photon detector will have to be refined with MC studies. In particular, the required minimum pixelization depends on the

The wavelength range of interest for Cherenkov photons produced in the aerogel radiator will be 300-500 nm, which is in the near-UV to green portion of the spectrum. A variety of photon detection technologies exist which are sensitive in this range, each of which have their own merits and deficits, as discussed in the following sections.

The detection plane needs to be sensitive in the visible portion of the electromagnetic spectrum, and it needs to be sufficiently pixelated so that ring diameters and positions can be determined. In the context of the proposed device, several photon detection methods can be investigated.

### 2.4.1 PMTs - PhotoMultiplier Tubes

This is a tried-and-true technology, and is successfully used in the LHCb imaging aerogel Cherenkov counter. However, as the total sensor area in one module is of scale 10-20 cm square, plain PMTs are too large for this application. However, new pixelated designs look promising, such as the Hamamatsu H8500 tubes, which are 5x5 cm, with 8x8 pixels and a high packing fraction.

Additionally, PMT function suffers in the presence of a magnetic field,

110 which would limit the usefulness of the modular design concept. The rel-  
111 ativeley high materials budget of PMTs could also introduce undesirable  
112 backgrounds. While PMTs could be mounted outside the acceptance to de-  
113 tect Cherenkov photons reflected from mirrors, this greatly complicates the  
114 design and, again, limits the universality of the detector.

#### 115 **2.4.2 APDs - Avalanche PhotoDiodes**

116 APDs are small (typically  $1 \text{ mm}^2$  active area) and have a relatively high  
117 quantum efficiency of 40% in the wavelength range of interest. They could  
118 provide the necessary pixellation to resolve differences in ring radii for differ-  
119 ent particle species. To minimize channel count, an array of non-contiguous  
120 APDs could be built, with a matching array of Winston-like cones in front.  
121 The cone shape could be optimized for the range of incident photon an-  
122 gles at each coordinate. Such a cone array can be fabricated on a 3D  
123 printer. The largest drawback of APD technology is currently the cost.  
124 *GivesomeestimateofAPDcostperchannelhere.*

#### 125 **2.4.3 LAPPDs - Large Area Picosecond Photo-Detectors**

126 LAPPDs [1] are based on image-intensifier micro-channel plate technology.  
127 Though fast timing is not required for the proposed application, LAPPDs  
128 are large-area (up to 20x20 cm) photon detectors with sensitivity at visible  
129 wavelengths, possibly suitable for the proposed application. However, to  
130 date this technology has not been proven on a scale that is suitable for this  
131 application.

#### 132 **2.4.4 GEMs - Gas Electron Multipliers**

133 GEMs with a reflective photocathode film deposited on the uppermost sur-  
134 face have recently emerged as an attractive photon detection technology (cite  
135 HBD paper and others). Since the amplification structure is effectively de-  
136 coupled from the charge collection plane, the geometry of the readout pattern  
137 can be optimized for the desired resolution with a minimal channel count.  
138 GEMs are available in various sizes from a range of US and foreign manu-  
139 facturers, and function well in magnetic fields. They have also been shown  
140 to operate in a variety of gases that are transparent in the wavelength range  
141 of interest, which minimizes Cherenkov photon losses.

142 In the wavelength range of interest for Cherenkov photons produced in  
143 aerogel,  $\sim 300\text{-}500$  nm, alkali crystals such as Sb-Cs-K have the necessary  
144 quantum efficiency (QE) to function as photocathodes (insert figure). Early  
145 studies by Breskin et al. [3] have shown a reasonable QE when deposited onto  
146 GEM foils. However, the effective QE is also heavily dependent on the choice  
147 of gas, which effects photoelectron backscattering into the photocathode.

148 Part of this research will therefore be to find the appropriate gas or mix-  
149 ture of gases to maximize the collected photoelectron yield in the visible  
150 range. Alkali photocathodes are notoriously reactive with oxygen, and  
151 therefore the detector must be assembled without exposure to oxygen. How-  
152 ever, the relatively small size and modular nature of the design considerably  
153 simplifies this task. In addition, the design of the detector greatly simplifies  
154 maintenance during operation and allows for straightforward replacement of  
155 individual modules, if necessary.

156 The primary technology to be pursued in this project is visible-light sen-  
157 sitive GEMs.

### 158 **3 Capabilities**

159 In order to carry out the proposed research, equipment, technologies and  
160 expertise will be needed that may not be available in our research team. These  
161 include equipment vapor deposition, optical measurements, characterization  
162 of surfaces and the like. However, all such capabilities are available at Los  
163 Alamos National Lab, and we plan to make full use of them.

### 164 **4 Request for Funds**

165 Budgetary information.

### 166 **References**

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