



Investigating Proton Structure at the Relativistic Heavy Ion Collider

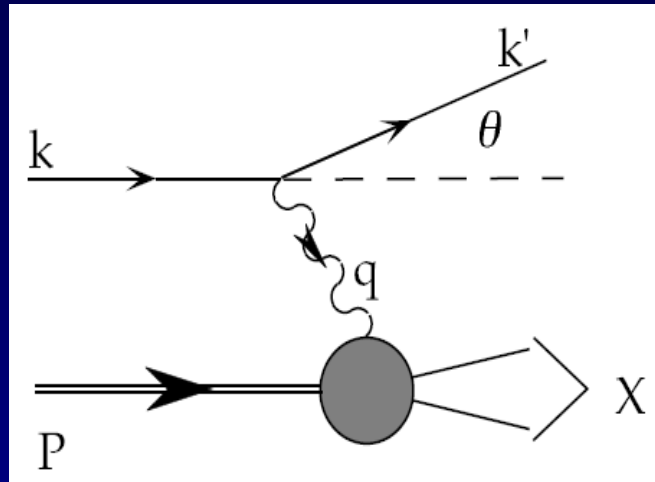
**Christine Aidala
University of Michigan**

**Southern Methodist University
December 1, 2014**

How do we understand the visible matter in our universe in terms of the fundamental quarks and gluons of quantum chromodynamics?



Deep-Inelastic Scattering: A Tool of the Trade

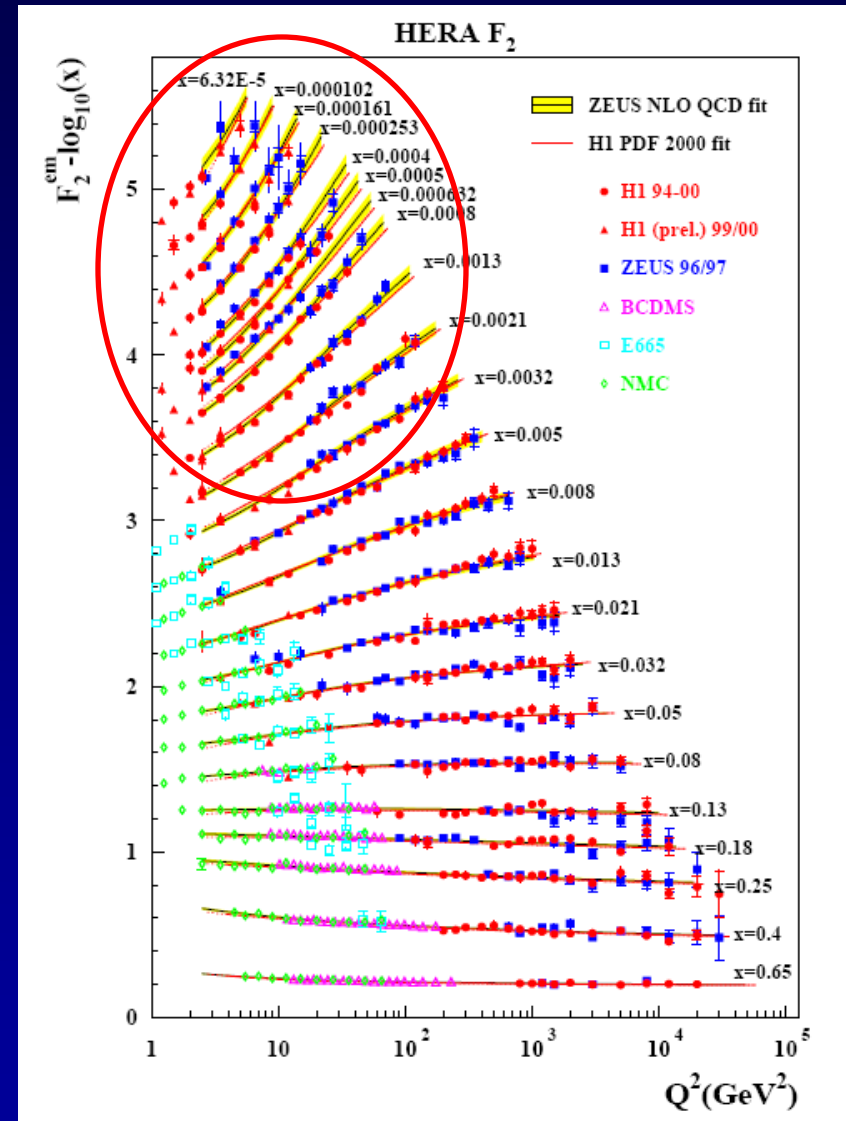


- Probe nucleon with an electron or muon beam
- Interacts electromagnetically with (charged) quarks and antiquarks
- “Clean” process theoretically—quantum electrodynamics well understood and easy to calculate
- Technique that originally discovered the parton structure of the nucleon in the 1960s

Decades of DIS data: What have we learned?

$$\frac{d^2\sigma^{ep\rightarrow eX}}{dx dQ^2} = \frac{4\pi\alpha_{e.m.}^2}{xQ^4} \left[\left(1 - y + \frac{y^2}{2}\right) F_2(x, Q^2) - \frac{y^2}{2} F_L(x, Q^2) \right]$$

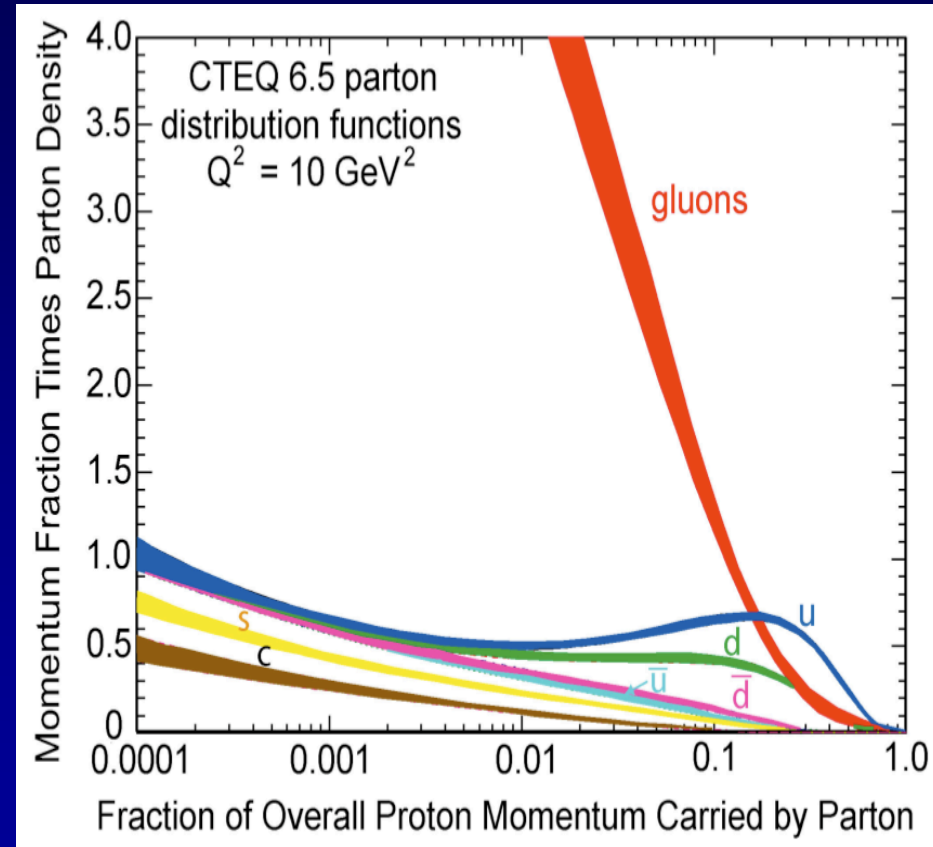
- Wealth of data largely from HERA e+p collider
- Rich structure at low x
- Half proton's linear momentum carried by gluons!



Decades of DIS data: What have we learned?

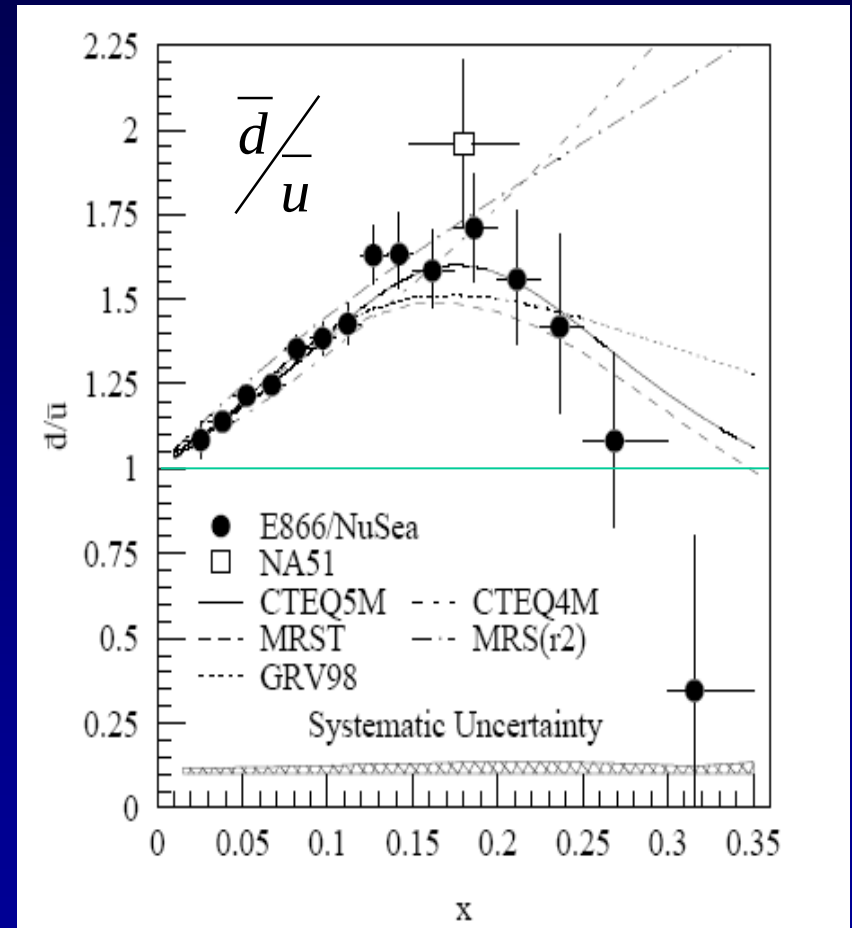
$$\frac{d^2\sigma^{ep\rightarrow eX}}{dx dQ^2} = \frac{4\pi\alpha_{e.m.}^2}{xQ^4} \left[\left(1 - y + \frac{y^2}{2}\right) F_2(x, Q^2) - \frac{y^2}{2} F_L(x, Q^2) \right]$$

- Wealth of data largely from HERA e+p collider
- Rich structure at low x
- Half proton's linear momentum carried by gluons!



And a (relatively) recent surprise from $p+p, p+d$ collisions

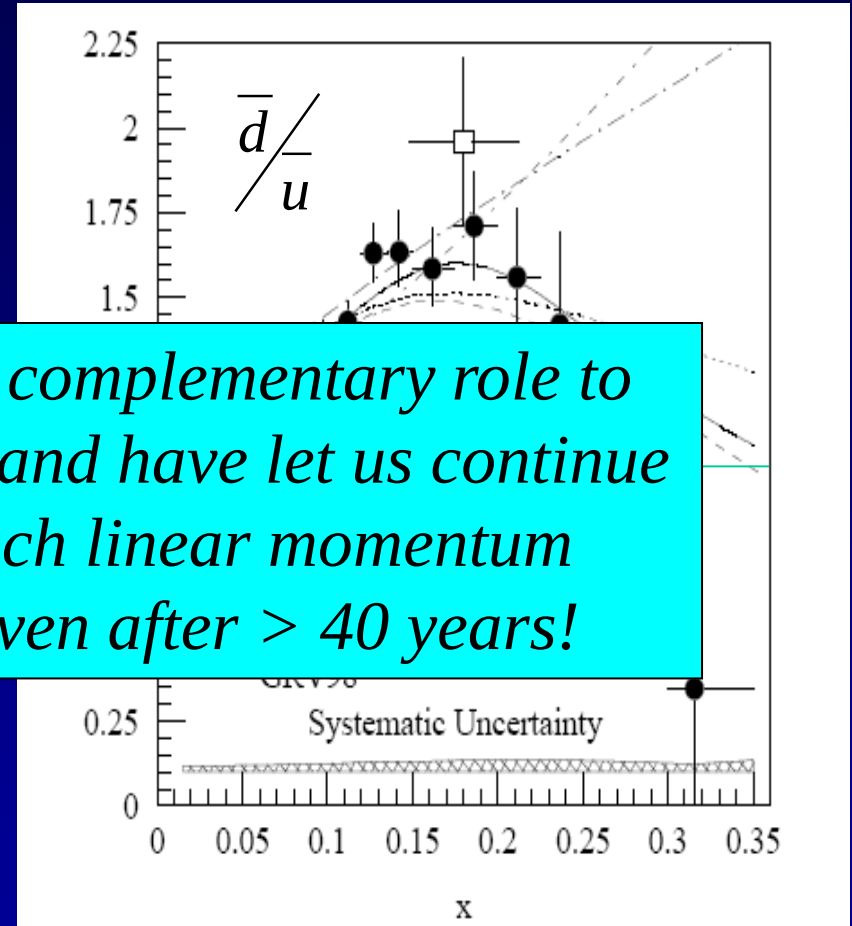
- Fermilab Experiment 866 used proton-hydrogen and proton-deuterium collisions to probe nucleon structure via the Drell-Yan process
 $q + \bar{q} \rightarrow \mu^+ + \mu^-$
- Would expect anti-down/anti-up ratio of 1 if sea quarks are only generated dynamically by gluon splitting into quark-antiquark pairs
- Measured flavor asymmetry in the quark sea, with striking x behavior—still not well understood



PRD64, 052002 (2001)

And a (relatively) recent surprise from $p+p, p+d$ collisions

- Fermilab Experiment 866 used proton-hydrogen and proton-deuterium collisions to probe nucleon structure via the Drell-Yan process

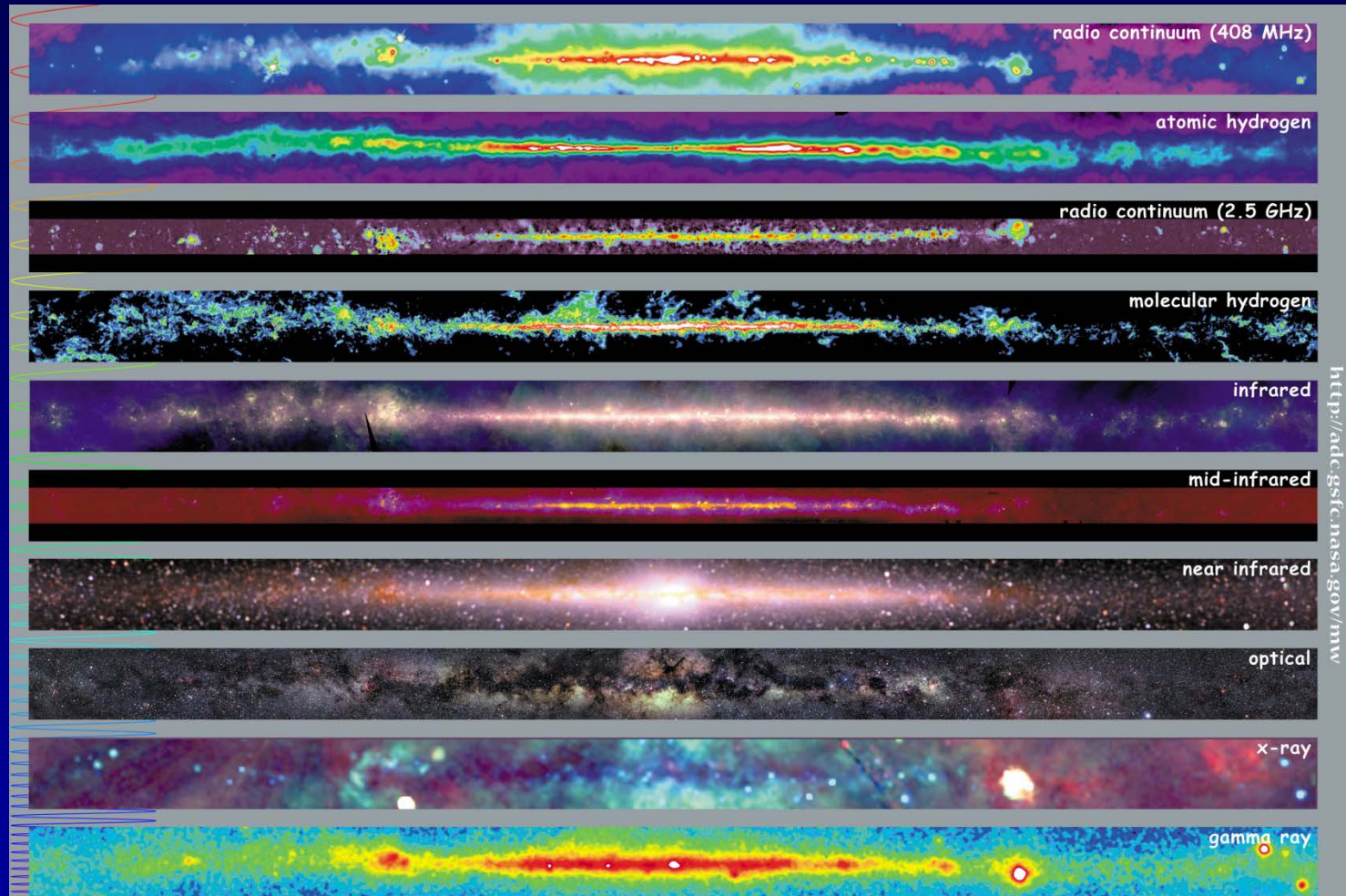


Hadronic collisions play a complementary role to electron-nucleon scattering and have let us continue to find surprises in the rich linear momentum structure of the proton, even after > 40 years!

- W up on by antiquark pairs
- Measured flavor asymmetry in the quark sea, with striking x behavior—still not well understood

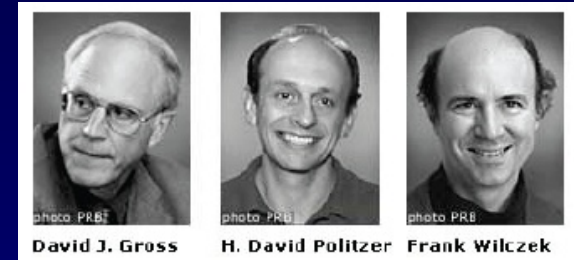
PRD64, 052002 (2001)

Observations with different probes allow us to learn different things!

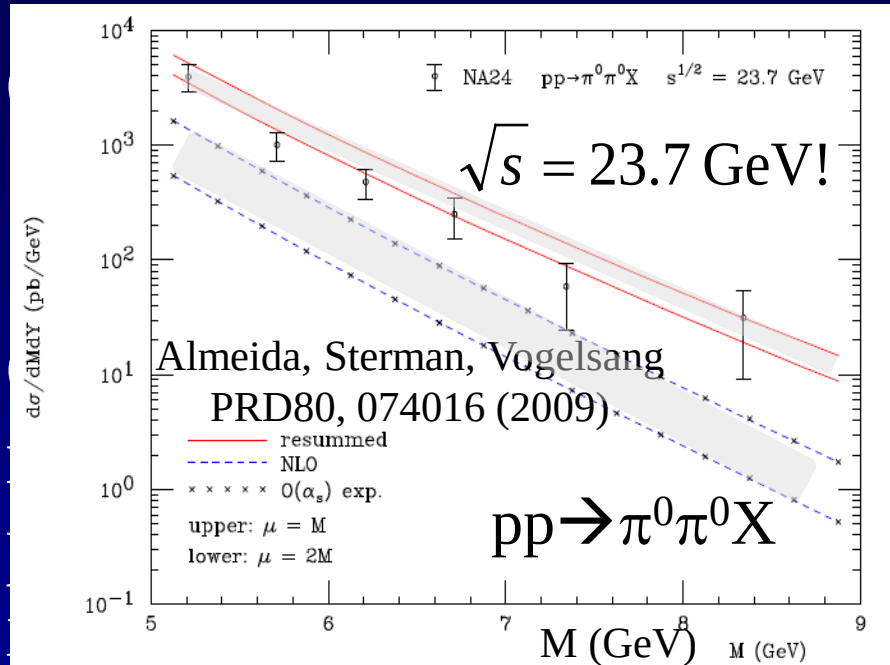


The nascent era of quantitative QCD!

- QCD: Discovery and development
 - 1973 → ~2004
- Since 1990s starting to consider detailed internal QCD *dynamics*, going beyond traditional parton model ways of looking at hadrons—and perform phenomenological calculations using these new ideas/tools!



The nascent era of quantitative QCD!



• development

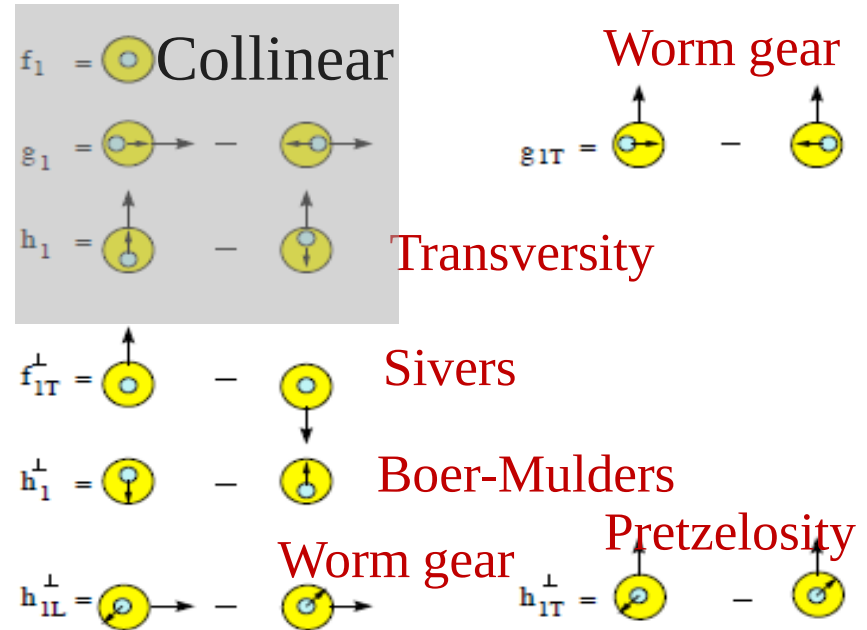
• consider detailed internal
and traditional parton
hadrons—and perform
calculations using these new

– Various *resummation* techniques

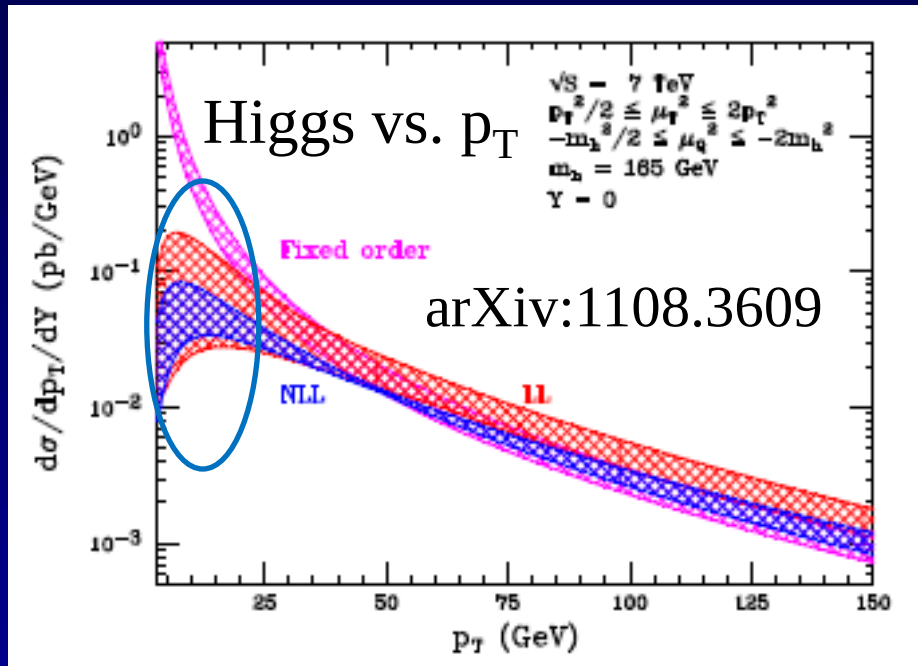
The nascent era of quantitative QCD!

- QCD Parton Distribution Functions
 - Mulders & Tangeman, NPB 461, 197 (1996)
- Since 1990s starting to connect QCD *dynamics*, going beyond model ways of looking at phenomenological calculations, new ideas/tools!
 - Various *resummation* techniques
 - *Non-collinearity* of partons with parent hadron

Transverse-Momentum-Dependent Distribution Functions



The nascent era of quantitative QCD!



opment

TRANSVERSE MOMENTUM DISTRIBUTIONS FROM EFFECTIVE FIELD THEORY

Sonny Mantry*

University of Wisconsin at Madison
 Madison, WI 53706, USA
 mantry147@gmail.com

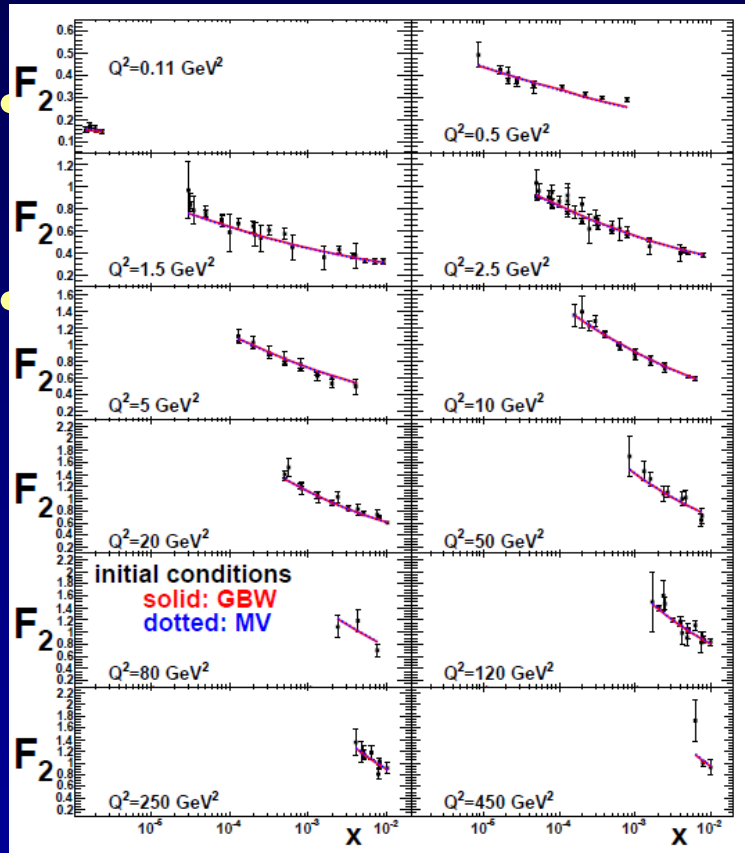
Frank Petriello

High Energy Physics Division, Argonne National Laboratory
 Argonne, IL 60439, USA

Department of Physics & Astronomy, Northwestern University
 Evanston, IL 60208, USA
 f-petriello@northwestern.edu

- Various *resummation* techniques
- *Non-collinearity* of partons with parent hadron
- Various *effective field theories*, e.g. Soft-Collinear Eff. Th.

The nascent era of quantitative QCD!



development

Non-linear QCD meets data: A global analysis of lepton-proton scattering with running coupling BK evolution

PRD80, 034031 (2009)

Javier L. Albacete¹, Néstor Armesto², José Guilherme Milhano³ and Carlos A. Salgado²

calculations using these new

techniques

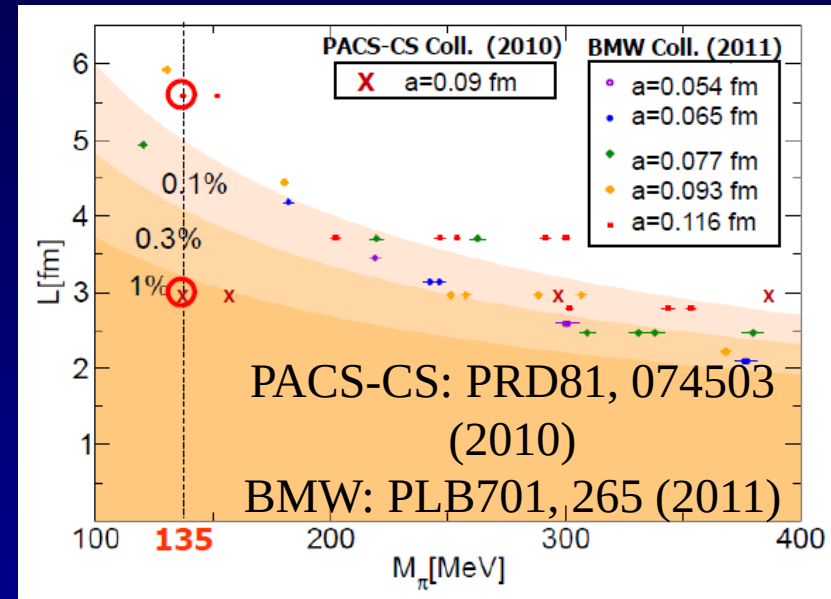
partons with parent hadron

– Various *effective field theories*, e.g. Soft-Collinear Eff. Th.

Non-linear evolution at small momentum fractions

Additional recent theoretical progress in QCD

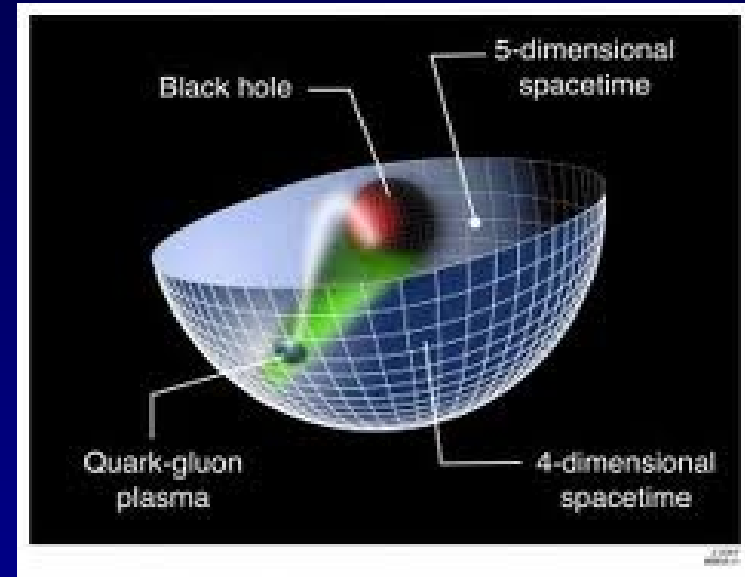
- Progress in non-perturbative methods:
 - Lattice QCD starting to perform calculations at physical pion mass!
 - AdS/CFT “gauge-string duality” an exciting recent development as first fundamentally new handle to try to tackle QCD in decades!



T. Hatsuda,
PANIC 2011

Additional recent theoretical progress in QCD

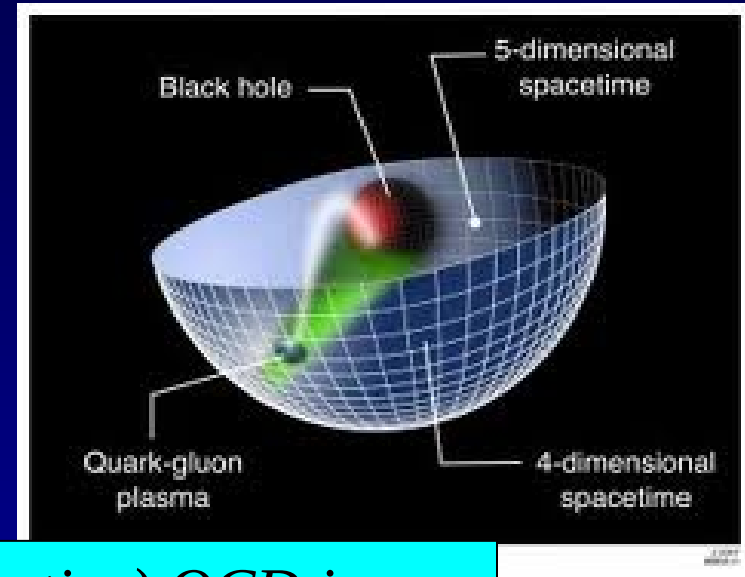
- Progress in non-perturbative methods:
 - Lattice QCD starting to perform calculations at physical pion mass!
 - AdS/CFT “gauge-string duality” an exciting recent development as first fundamentally new handle to try to tackle QCD in decades!



Additional recent theoretical progress in QCD

- Progress in non-perturbative methods:

- Lattice QCD starting to perform calculations at physical pion mass!

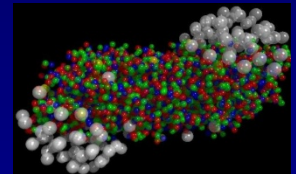


“Modern-day ‘testing’ of (perturbative) QCD is as much about pushing the boundaries of its applicability as about the verification that QCD is the correct theory of hadronic physics.”

– G. Salam, hep-ph/0207147 (DIS2002 proceedings)

The Relativistic Heavy Ion Collider at Brookhaven National Laboratory

- A great place to be to study QCD!
- A collider-based program, but not designed to be at the energy (or intensity) frontier. More closely analogous to many areas of condensed matter research—create a system and study its properties
- What systems are we studying?
 - “Simple” QCD bound states—the proton is the simplest stable bound state in QCD (and conveniently, nature has already created it for us!)
 - Collections of QCD bound states (nuclei, also available out of the box!)
 - QCD deconfined! (quark-gluon plasma, some assembly required!)

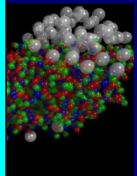


The Relativistic Heavy Ion Collider at Brookhaven National Laboratory

- A great place to be to study QCD!
- A collider-based program, but not designed to be at the energy (or intensity) frontier. More closely analogous to many areas of condensed matter research—create a

***Understand more complex QCD systems within
the context of simpler ones***

→ RHIC was designed from the start as a single facility capable of nucleus-nucleus, proton-nucleus, and proton-proton collisions



able

it of

the box!)

- QCD deconfined! (quark-gluon plasma, some assembly required!)



Mapping out the proton

What does the proton look like in terms of the quarks and gluons inside it?

- *Position*
- *Momentum*
- *Spin*



Mapping out the proton

What does the proton look like in terms of the quarks and gluons inside it?

- *Position*
- *Momentum*
- *Spin*
- *Flavor*
- *Color*



Mapping out the proton

What does the proton look like in terms of the quarks and gluons inside it?

- *Position*
- *Momentum*
- *Spin*
- *Flavor*
- *Color*

Vast majority of past four decades focused on *1-dimensional* momentum structure! Since 1990s starting to consider other directions . . .



Mapping out the proton

What does the proton look like in terms of the quarks and gluons inside it?

- *Position*
- *Momentum*
- *Spin*
- *Flavor*
- *Color*

Polarized protons first studied in 1980s. How angular momentum of quarks and gluons add up still not well understood!



Mapping out the proton

What does the proton look like in terms of the quarks and gluons inside it?

- *Position*
- *Momentum*
- *Spin*
- *Flavor*
- *Color*

Good measurements of flavor distributions in valence region. Flavor structure at lower momentum fractions still yielding surprises!



Mapping out the proton

What does the proton look like in terms of the quarks and gluons inside it?

- *Position*
- *Momentum*
- *Spin*
- *Flavor*
- *Color*

Theoretical and experimental concepts to describe and access position only born in mid-1990s. Pioneering measurements over past decade.



Mapping out the proton

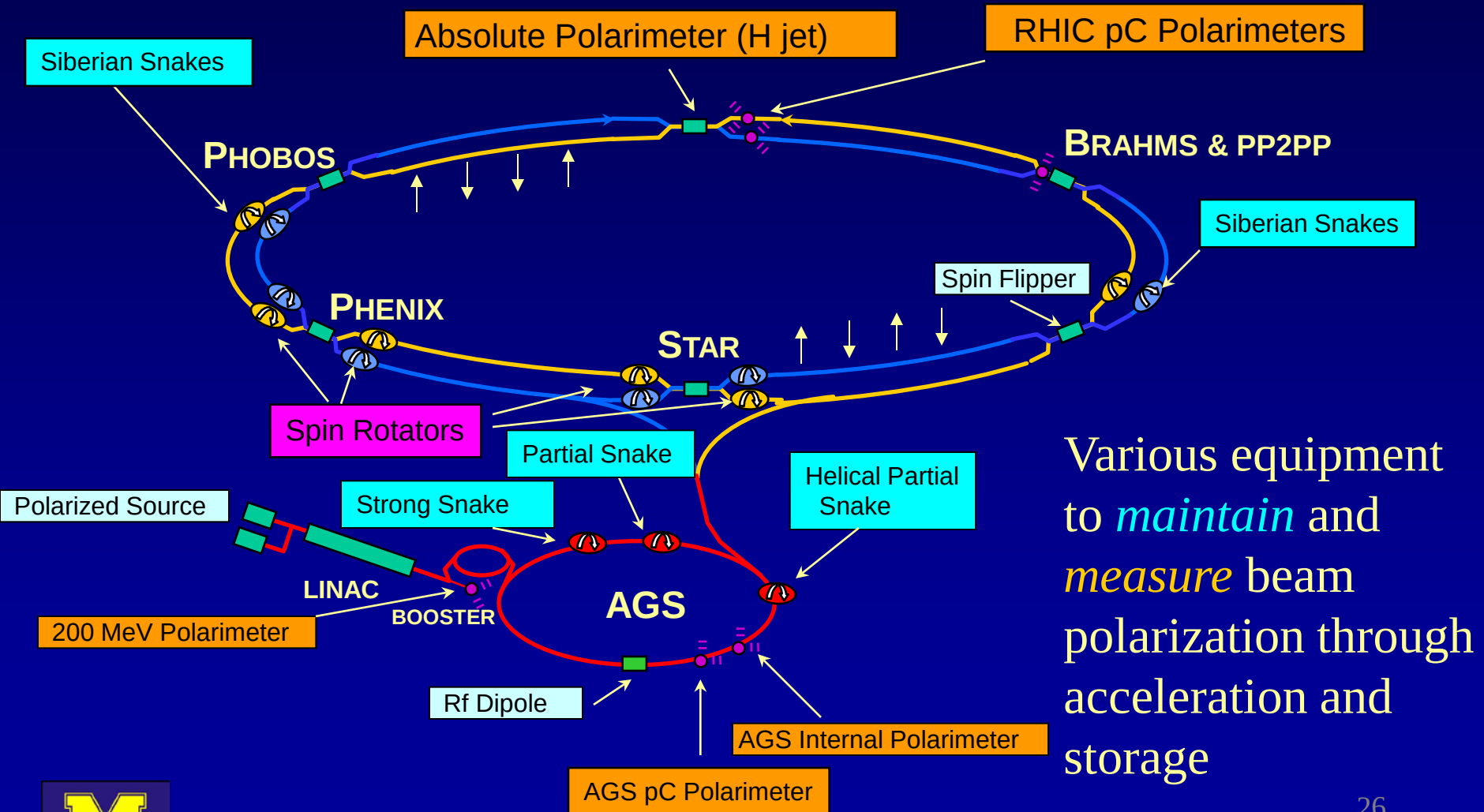
What does the proton look like in terms of the quarks and gluons inside it?

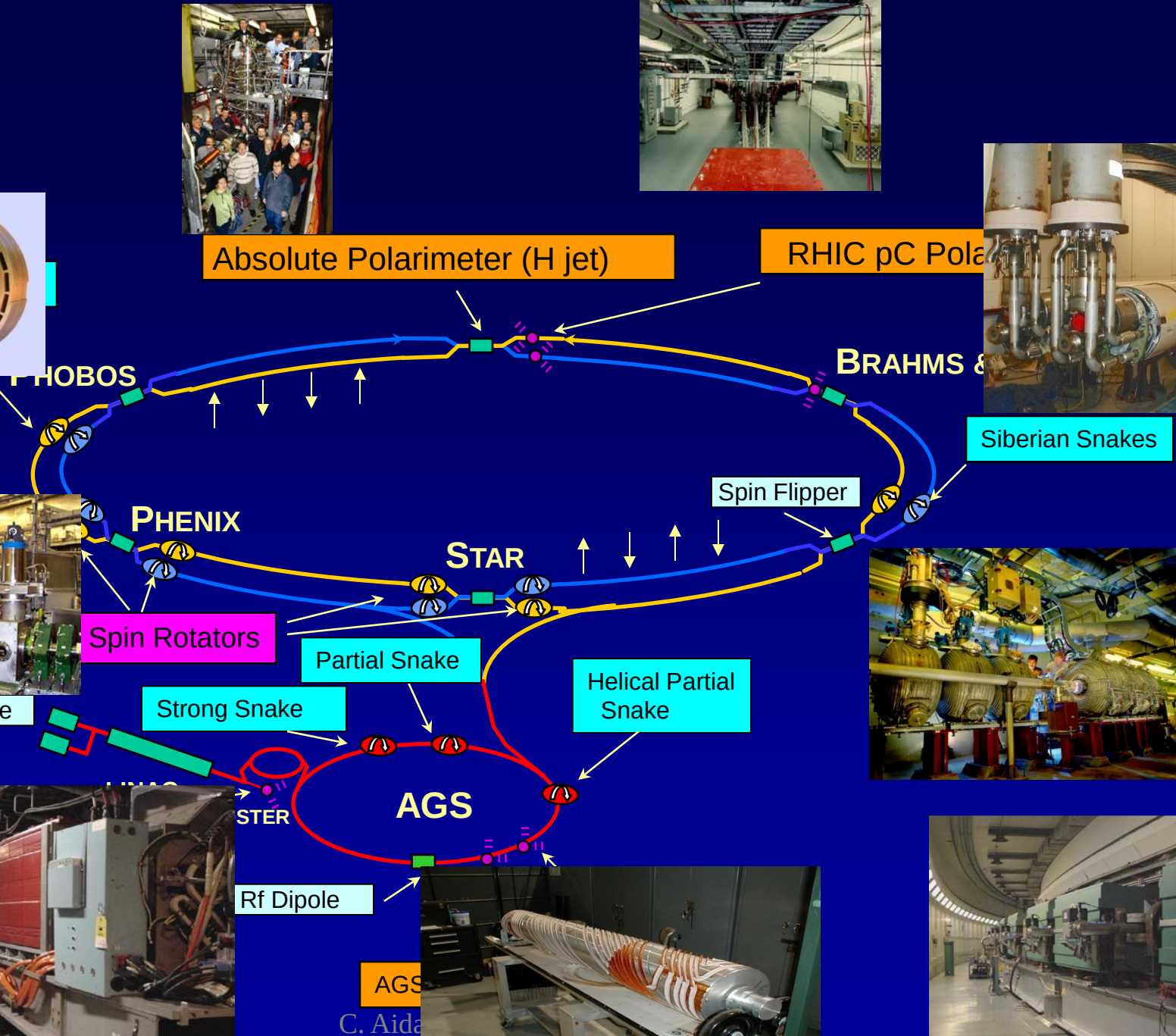
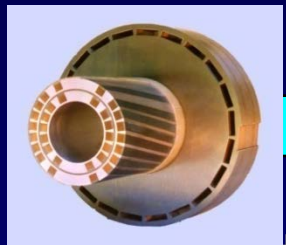
- *Position*
- *Momentum*
- *Spin*
- *Flavor*
- *Color*

Accounted for by theorists from beginning of QCD, but more detailed, potentially observable effects of color have come to forefront in last couple years . . .



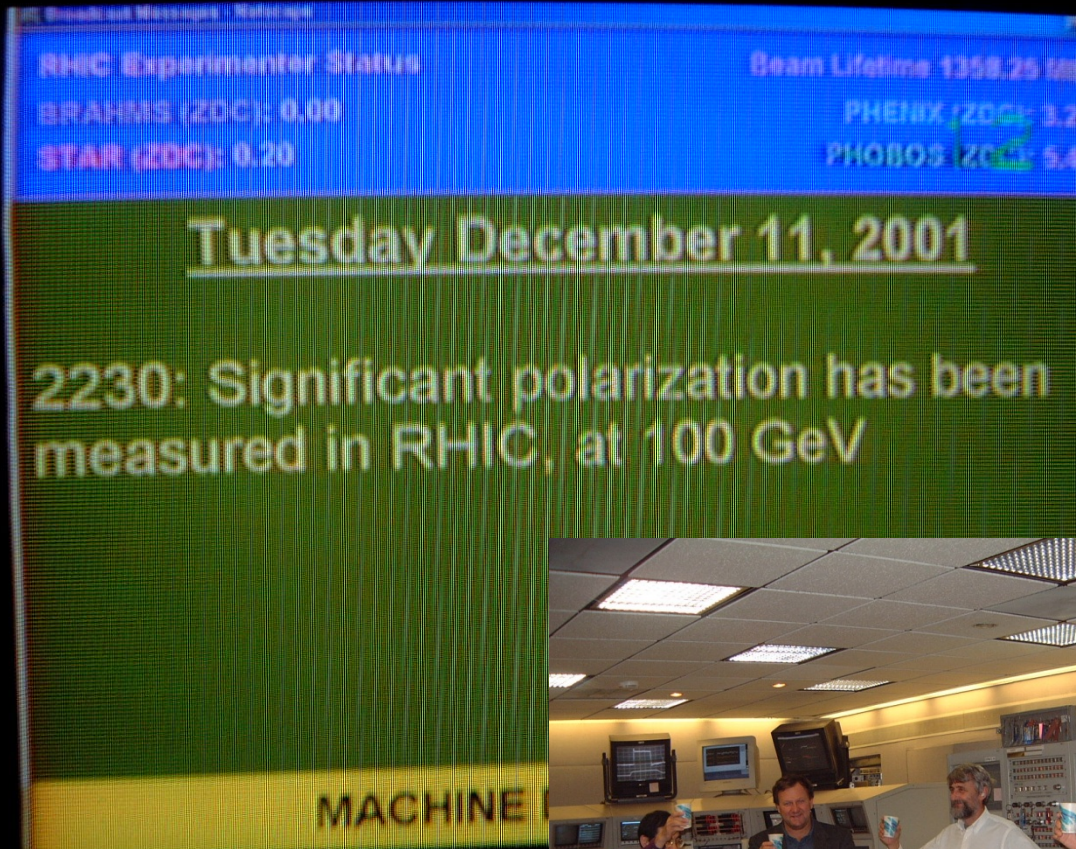
RHIC as a polarized $p+p$ collider





AGS
C. Aid

December 2001: News to celebrate



RHIC performance for polarized protons

Longitudinal data

STAR

$\sqrt{s} = 200 \text{ GeV}$

2005	3 pb ⁻¹
2006	7 pb ⁻¹
2009 (2015)	35 pb ⁻¹ (50 pb ⁻¹)

$\sqrt{s} = 500 \text{ GeV}$

2009	10 pb ⁻¹
2011	12 pb ⁻¹
2012	82 pb ⁻¹
2013	300 pb ⁻¹

Transverse data

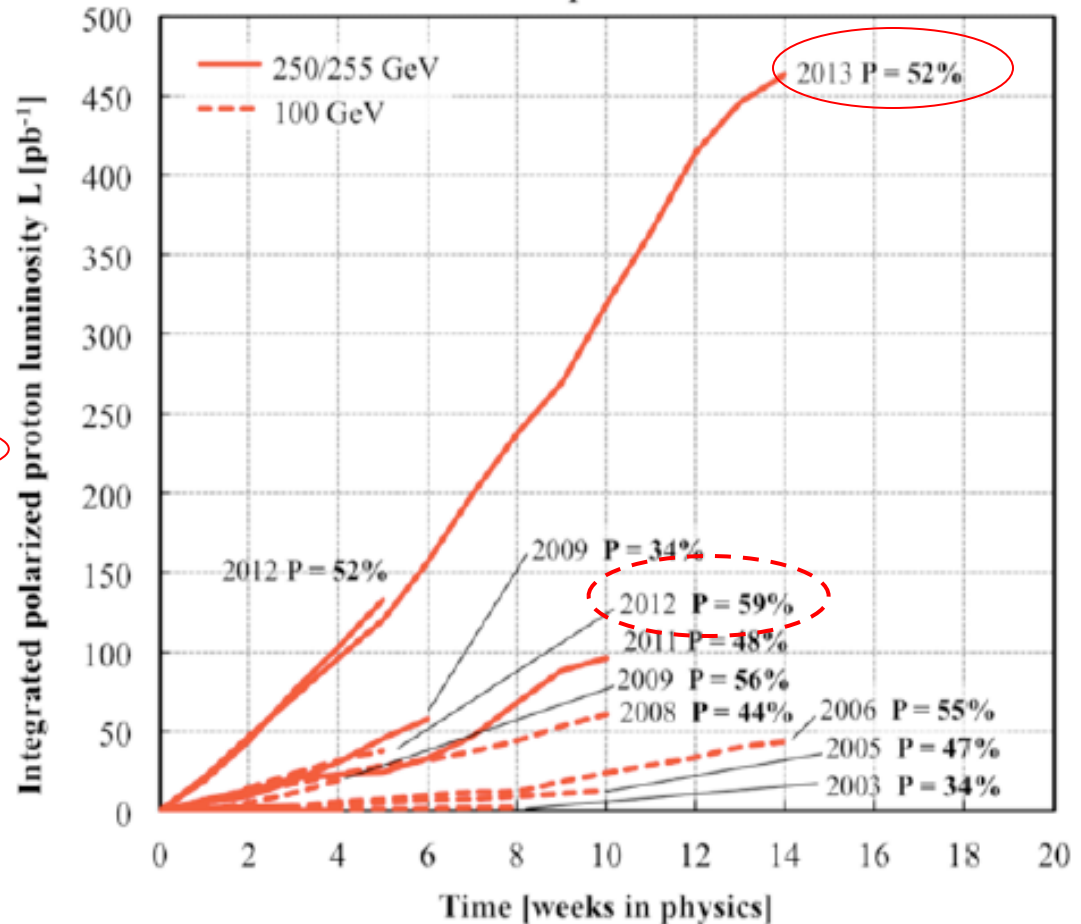
$\sqrt{s} = 200 \text{ GeV}$

2006	9 pb ⁻¹
2008	8 pb ⁻¹
2012 (2015)	22 pb ⁻¹ (50 pb ⁻¹)

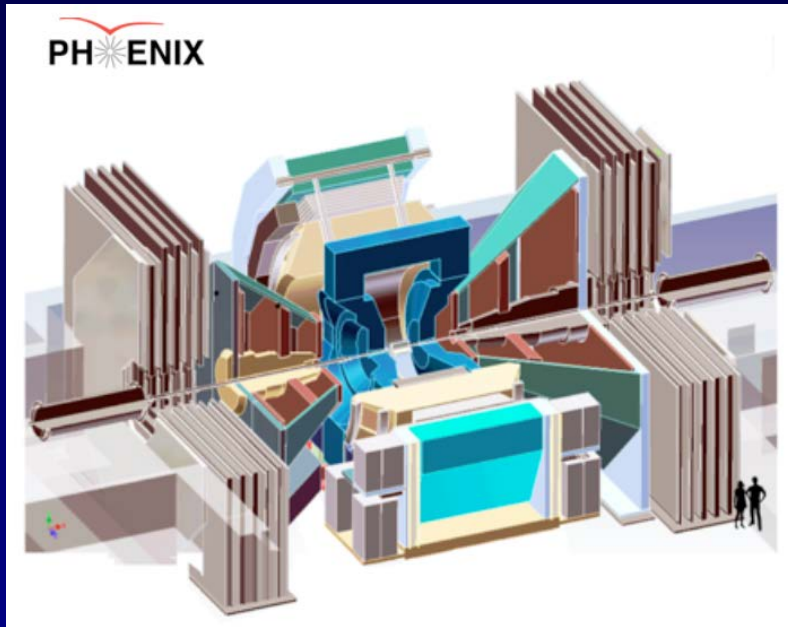
$\sqrt{s} = 500 \text{ GeV}$

2011 (2016)	25 pb ⁻¹ (400 pb ⁻¹)
----------------	--

Polarized proton runs



RHIC's current main experiments

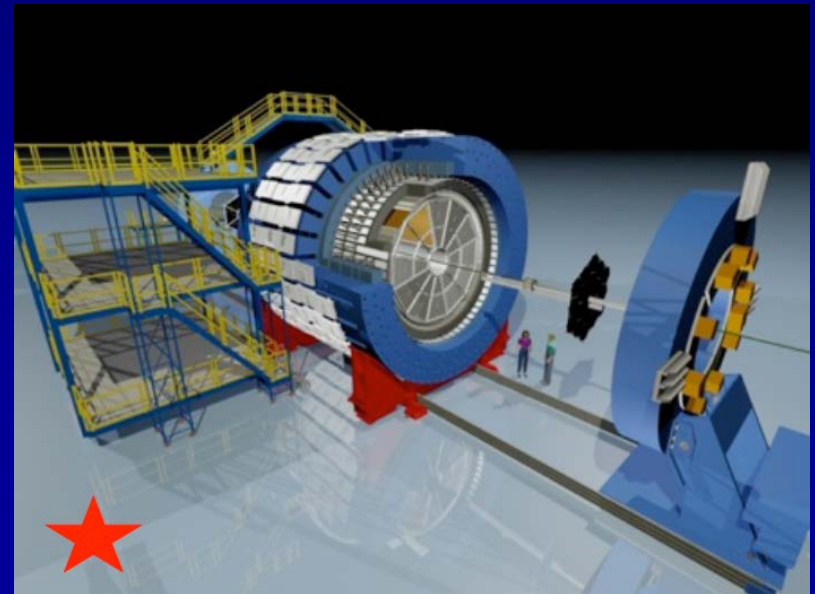


PHENIX:

- High resolution; high rate capabilities for rare probes
- Central arms $|\eta| < 0.35$, $\Delta\phi \sim 2\pi$ with key strength measuring EM probes
- Muon arms $1.2 < |\eta| < 2.4$
- Forward EM calorimetry

STAR:

- Key strengths jets + correlations
- Full acceptance including PID for $|\eta| < 1$, $\Delta\phi \sim 2\pi$
- Forward EM calorimetry



Proton spin structure at RHIC

Gluon Polarization
 ΔG

Flavor decomposition

$$\frac{\Delta u}{u}, \frac{\Delta \bar{u}}{\bar{u}}, \frac{\Delta d}{d}, \frac{\Delta \bar{d}}{\bar{d}}$$

Transverse spin and
spin-momentum
correlations

π , Jets $A_{LL}(gg, gq \rightarrow \pi + X)$

Back-to-Back Correlations

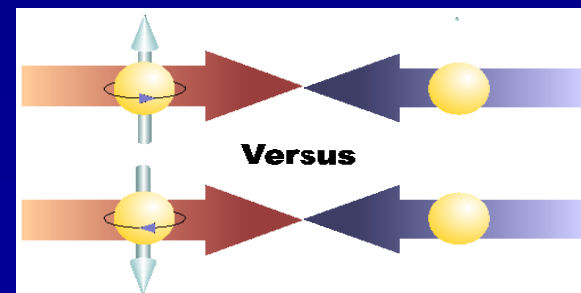
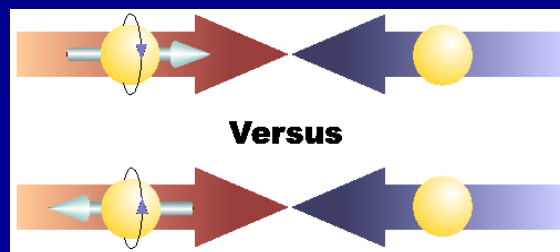
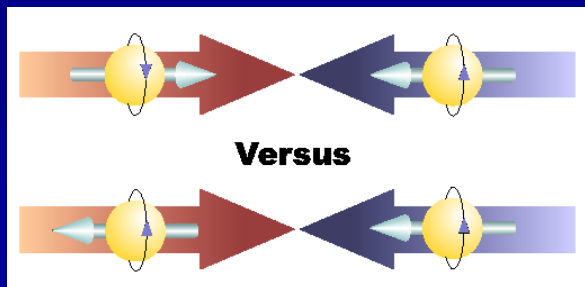
W Production

$$A_L(u + \bar{d} \rightarrow W^+ \rightarrow \ell^+ + \nu_l)$$

$$A_L(\bar{u} + d \rightarrow W^- \rightarrow \ell^- + \bar{\nu}_l)$$

**Transverse-momentum-
dependent distributions**

Single-Spin Asymmetries



Proton spin structure at RHIC

Gluon Polarization ΔG	Flavor decomposition $\frac{\Delta u}{u}, \frac{\Delta \bar{u}}{\bar{u}}, \frac{\Delta d}{d}, \frac{\Delta \bar{d}}{\bar{d}}$	Transverse spin and spin-momentum correlations
π, Jets $A_{LL}(gg, gq \rightarrow \pi + X)$ Back-to-Back Correlations	W Production $A_L(u + \bar{d} \rightarrow W^+ \rightarrow \ell^+ + \nu_1)$ $A_L(\bar{u} + d \rightarrow W^- \rightarrow \ell^- + \bar{\nu}_1)$	Transverse-momentum- dependent distributions Single-Spin Asymmetries

Advantages of a polarized *proton-proton collider*:

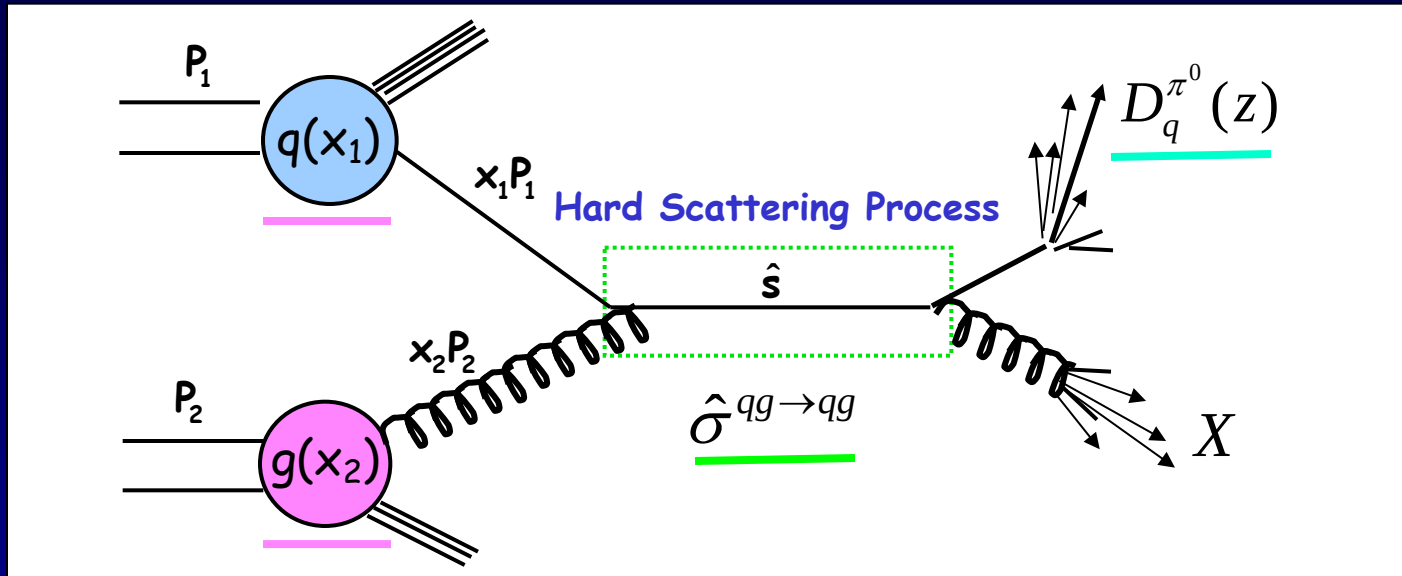
- Hadronic collisions $\rightarrow$ Leading-order access to gluons
- High energies $\rightarrow$ Applicability of perturbative QCD
- High energies $\rightarrow$ Production of new probes: W bosons

Reliance on input from simpler systems

- Disadvantage of hadronic collisions: much “messier” than deep-inelastic scattering! → *Rely on input from simpler systems*
 - Just as the heavy ion program at RHIC relies on information from simpler collision systems
- The more we know from simpler systems such as deep-inelastic scattering and e^+e^- annihilation, the more we can in turn learn from hadronic collisions



Predictive power of pQCD



$$\sigma(pp \rightarrow \pi^0 X) \propto \underbrace{q(x_1)} \otimes \underbrace{g(x_2)} \otimes \underbrace{\hat{\sigma}^{qg \rightarrow qg}(\hat{s})} \otimes \underbrace{D_q^{\pi^0}(z)}$$

High-energy processes have predictable rates given:

- Partonic hard scattering rates (calculable in pQCD)
 - Parton distribution functions (need experimental input)
 - Fragmentation functions (need experimental input)
- } Universal non-perturbative factors

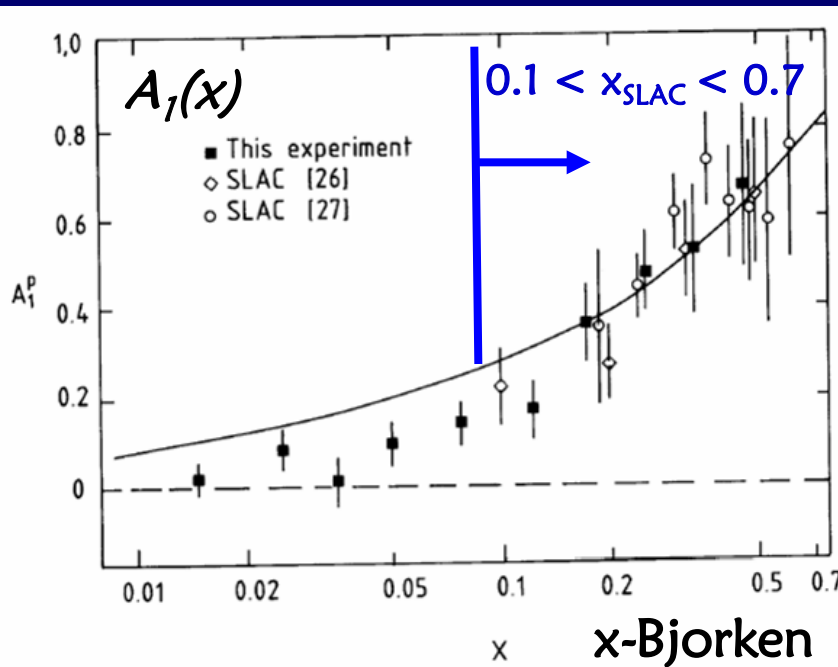
Proton “spin crisis”

SLAC: $0.10 < x < 0.7$

$$\frac{1}{2} = \frac{1}{2} \cdot \Delta\Sigma + \Delta G + L_{G+q}$$

$\Delta\Sigma_{SLAC} \sim 0.6$ Quark-Parton Model
expectation

E130, Phys.Rev.Lett.51:1135 (1983)
472 citations



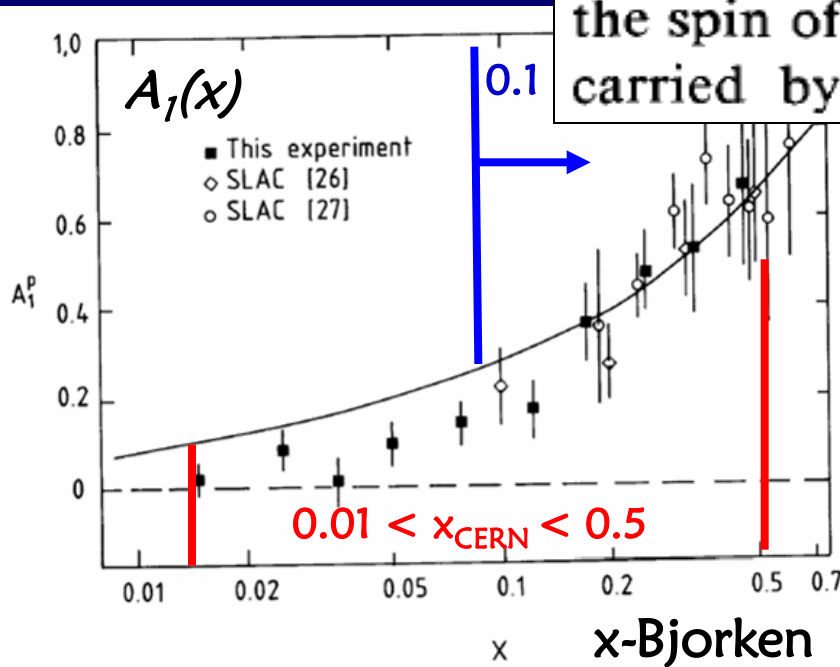
Proton “spin crisis”

SLAC: $0.10 < x < 0.7$

CERN: $0.01 < x < 0.5$

$$\frac{1}{2} = \frac{1}{2} \cdot \Delta\Sigma + \Delta G + L_{G+q}$$

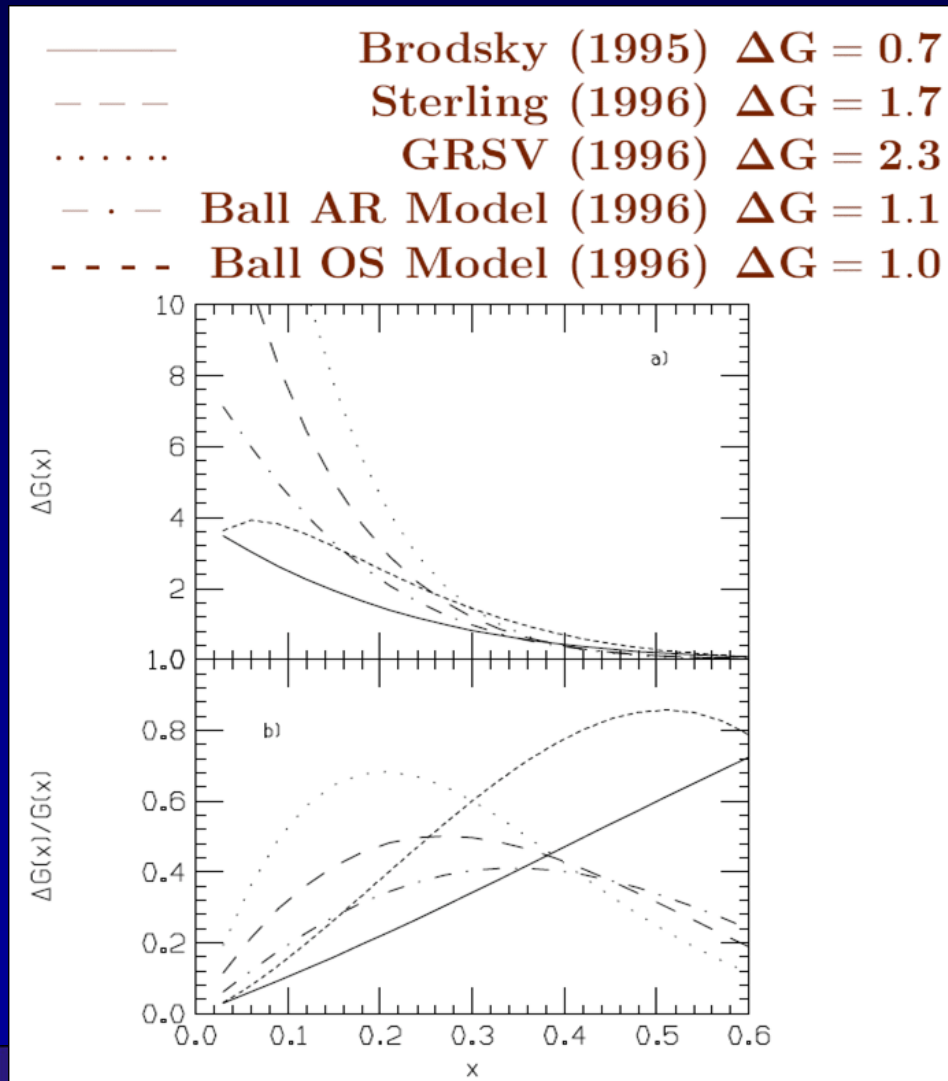
Hence $(14 \pm 9 \pm 21)\%$ of the proton spin is carried by the spin of the quarks. The remaining spin must be carried by gluons or orbital angular momentum



These haven't been easy to measure!
EMC (CERN), Phys.Lett.B206:364 (1988)
1759 citations!

➡ “Proton Spin Crisis”

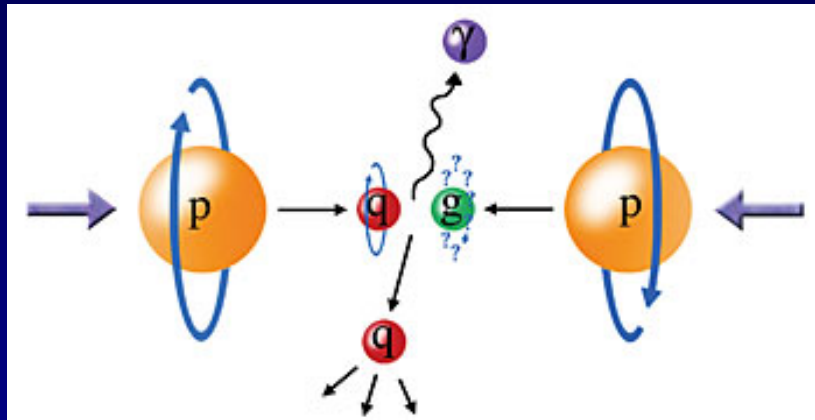
Quest for ΔG , the gluon spin contribution to the spin of the proton



- With experimental evidence indicating that only about 30% of the proton's spin is due to the spin of the quarks, in the mid-1990s predictions for the integrated gluon spin contribution to proton spin ranged from 0.7 – 2.3!

- Many models hypothesized large gluon spin contributions to screen the quark spin, but these would then require large orbital angular momentum in the opposite direction.

Probing the helicity structure of the nucleon with $p+p$ collisions



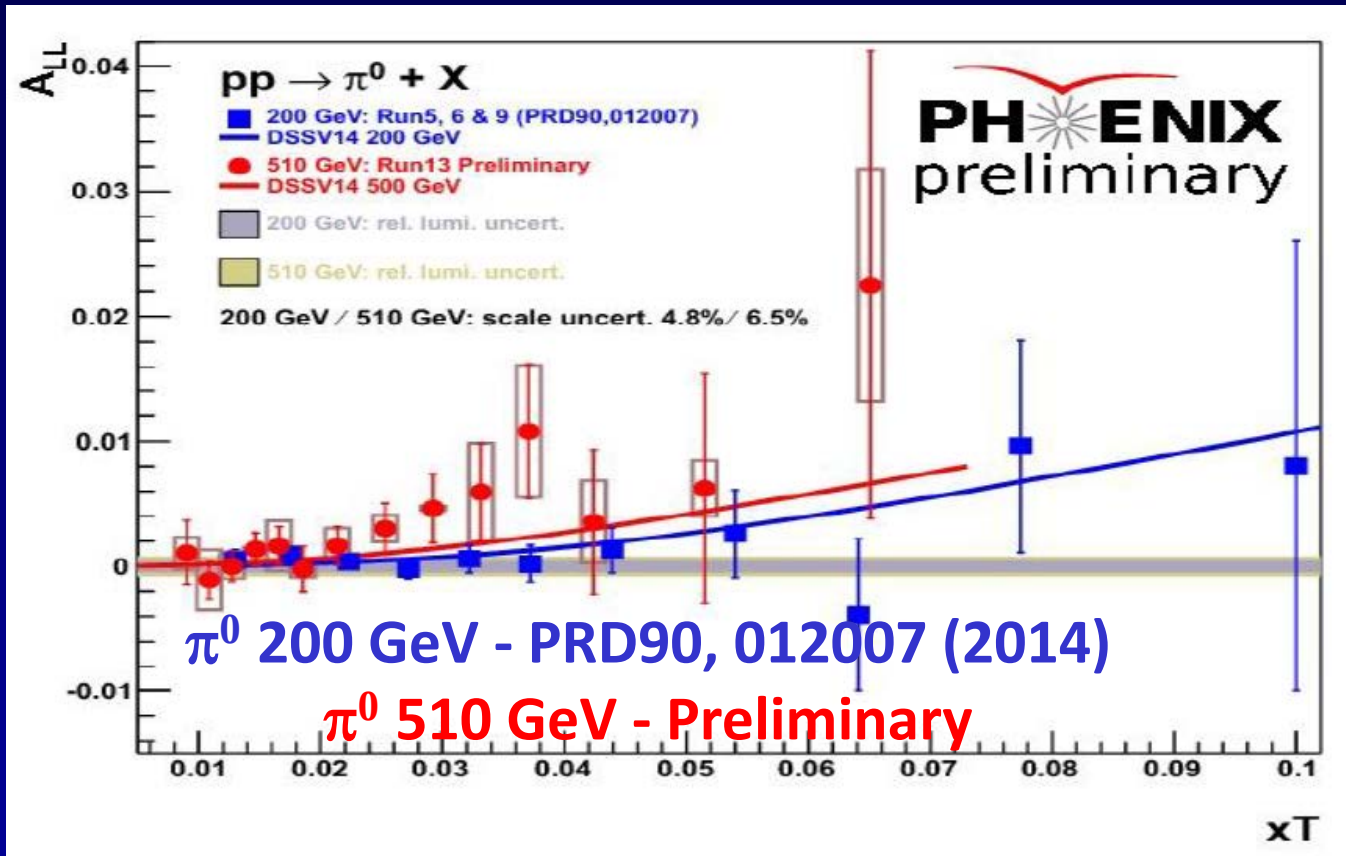
$$A_{LL} = \frac{\Delta\sigma}{\sigma} = \frac{1}{|P_1 P_2|} \frac{N_{++}/L_{++} - N_{+-}/L_{+-}}{N_{++}/L_{++} + N_{+-}/L_{+-}}$$

Study difference in particle production rates for same-helicity vs. opposite-helicity proton collisions

$$\Delta\sigma(pp \rightarrow \pi^0 X) \propto \underbrace{\Delta q(x_1)}_{\text{DIS}} \otimes \underbrace{\Delta g(x_2)}_{?} \otimes \underbrace{\Delta\hat{\sigma}^{qg \rightarrow qg}(\hat{s})}_{\text{pQCD}} \otimes \underbrace{D_q^{\pi^0}(z)}_{e+e-}$$

Leading-order access to gluons $\rightarrow \Delta G$

RHIC measurements sensitive to gluon polarization

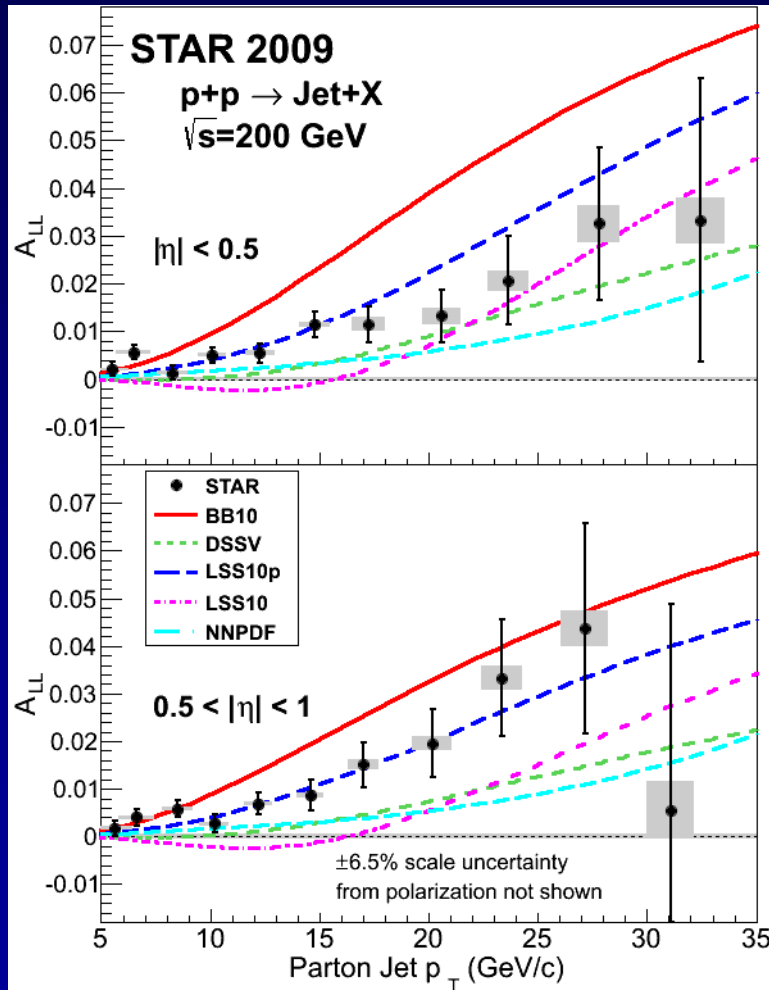


Neutral pion
double-helicity
asymmetries

$$A_{LL} = \frac{\Delta\sigma}{\sigma} = \frac{1}{|P_1 P_2|} \frac{N_{++}/L_{++} - N_{+-}/L_{+-}}{N_{++}/L_{++} + N_{+-}/L_{+-}}$$

RHIC measurements sensitive to gluon polarization

arXiv:1405.5134

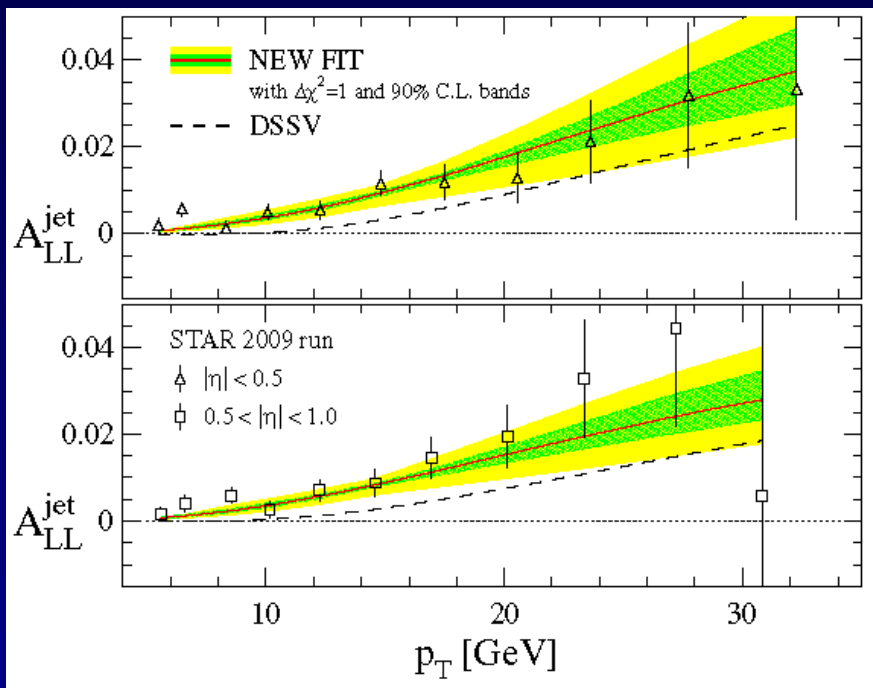


- Clear nonzero asymmetry seen in STAR jet measurements from 2009 data!
- PHENIX π^0 data consistent

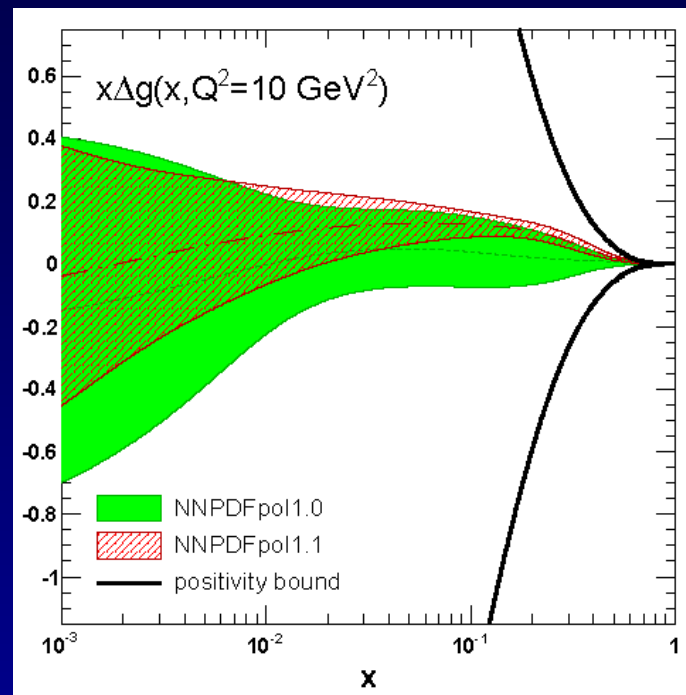
$$A_{LL} = \frac{\Delta\sigma}{\sigma} = \frac{1}{|P_1 P_2|} \frac{N_{++}/L_{++} - N_{+-}/L_{+-}}{N_{++}/L_{++} + N_{+-}/L_{+-}}$$

Two new helicity pdf fits

DSSV, PRL 113, 012001 (2014)

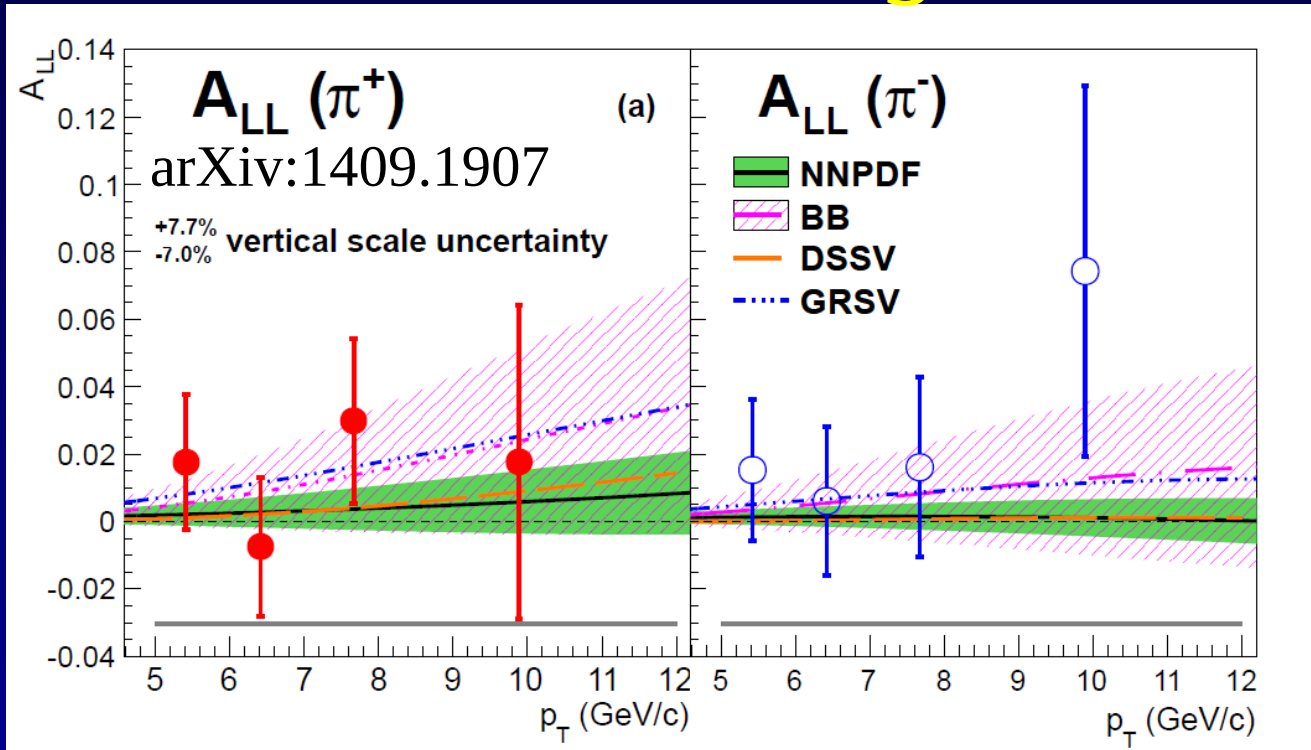


NNPDF, NPB 887.276 (2014)

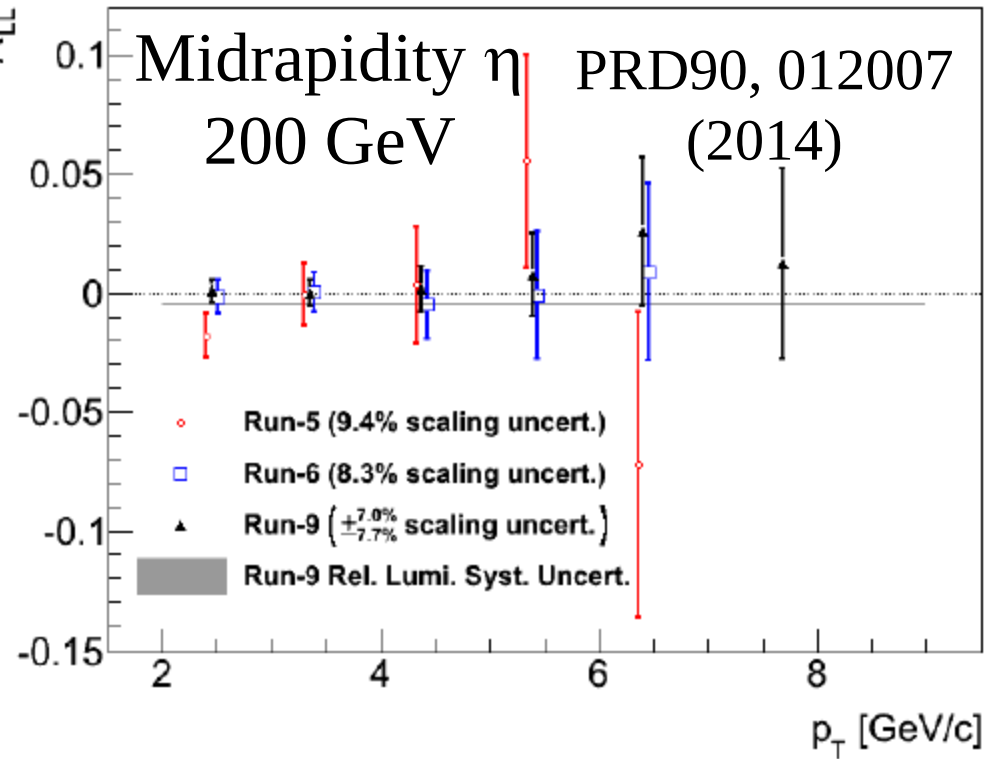
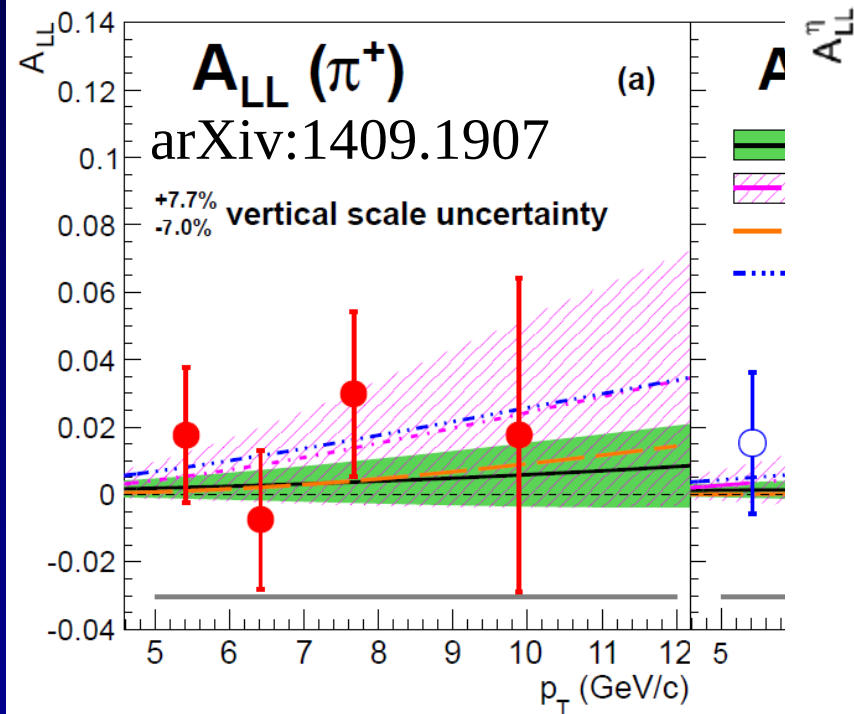


- DSSV and NNPDF have released new polarized pdf fits including RHIC data
- 2009 STAR jet A_{LL} results in particular provide significantly tighter constraints on gluon polarization than previous measurements
- Both find evidence for positive gluon polarization in the region $x > 0.05$, but looks like orbital angular momentum will still be needed to account for total spin

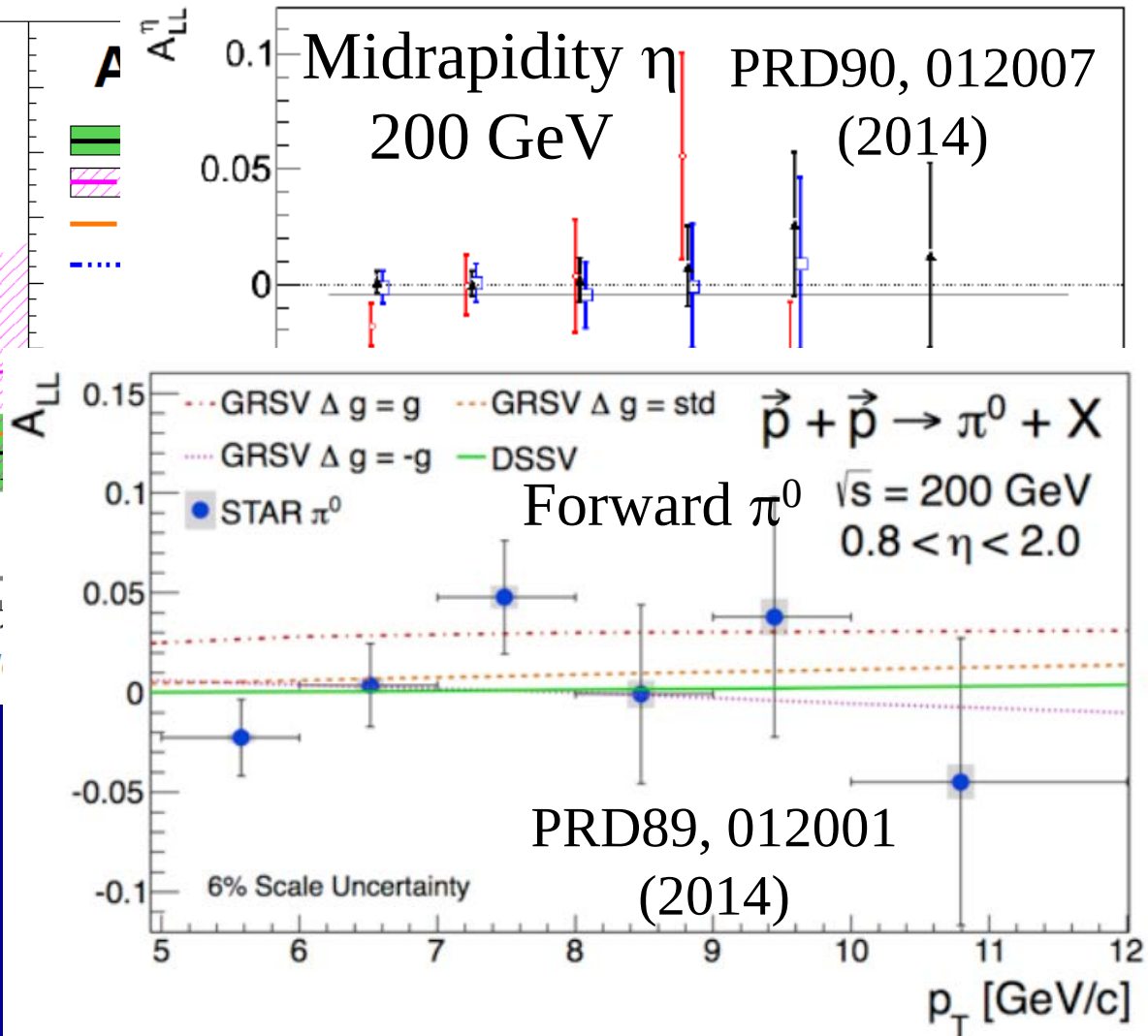
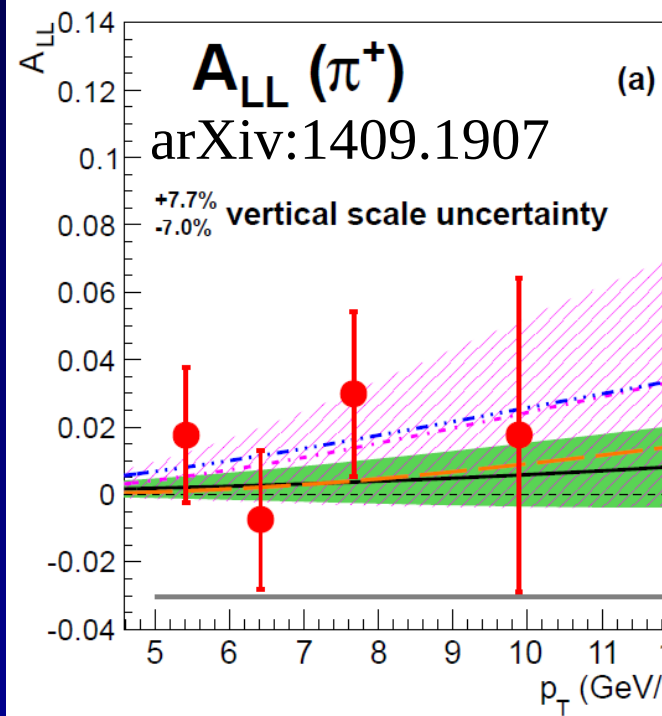
Other recently measured probes sensitive to gluon helicity



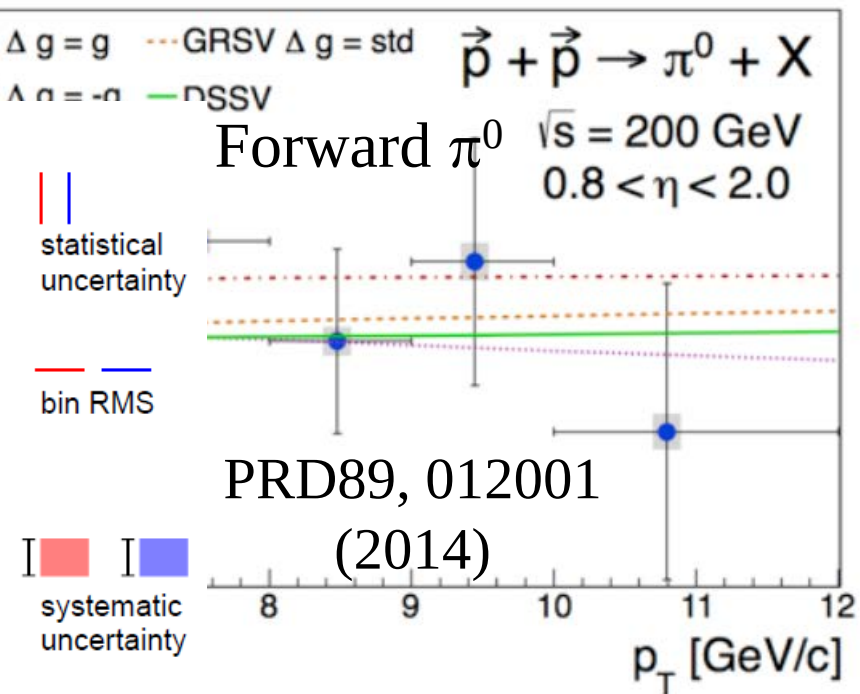
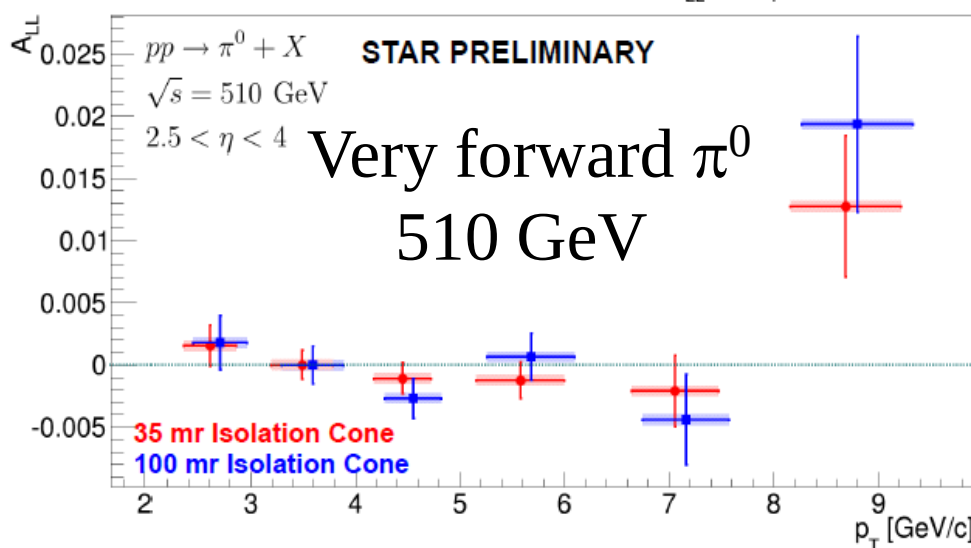
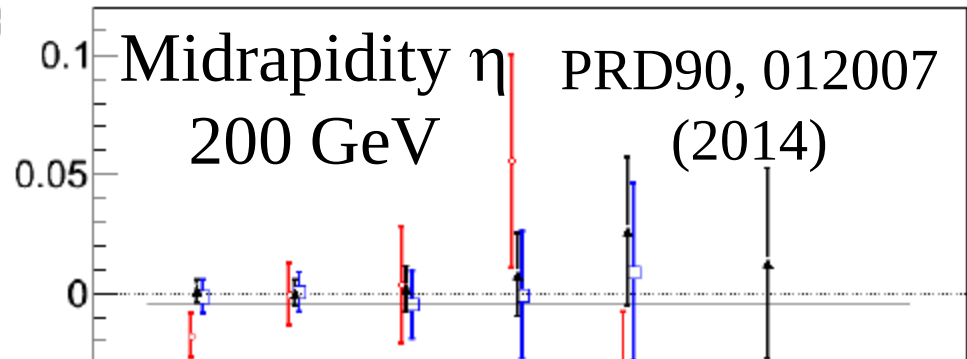
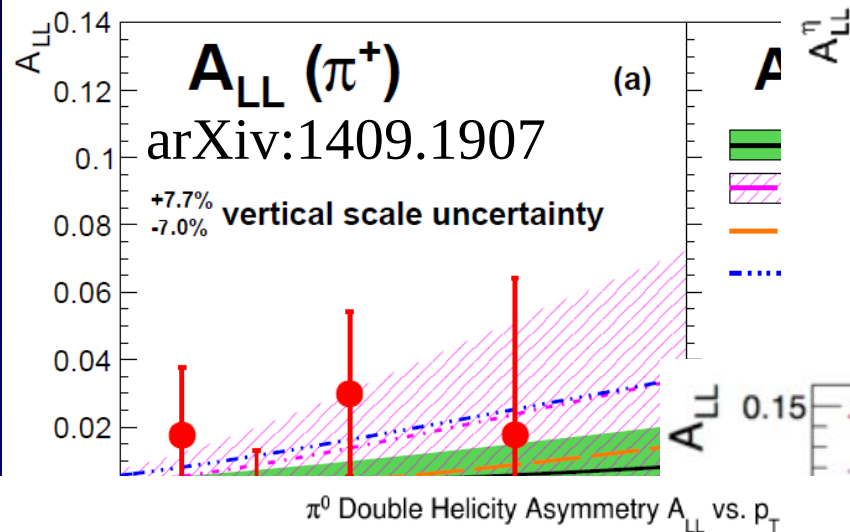
Other recently measured probes sensitive to gluon helicity



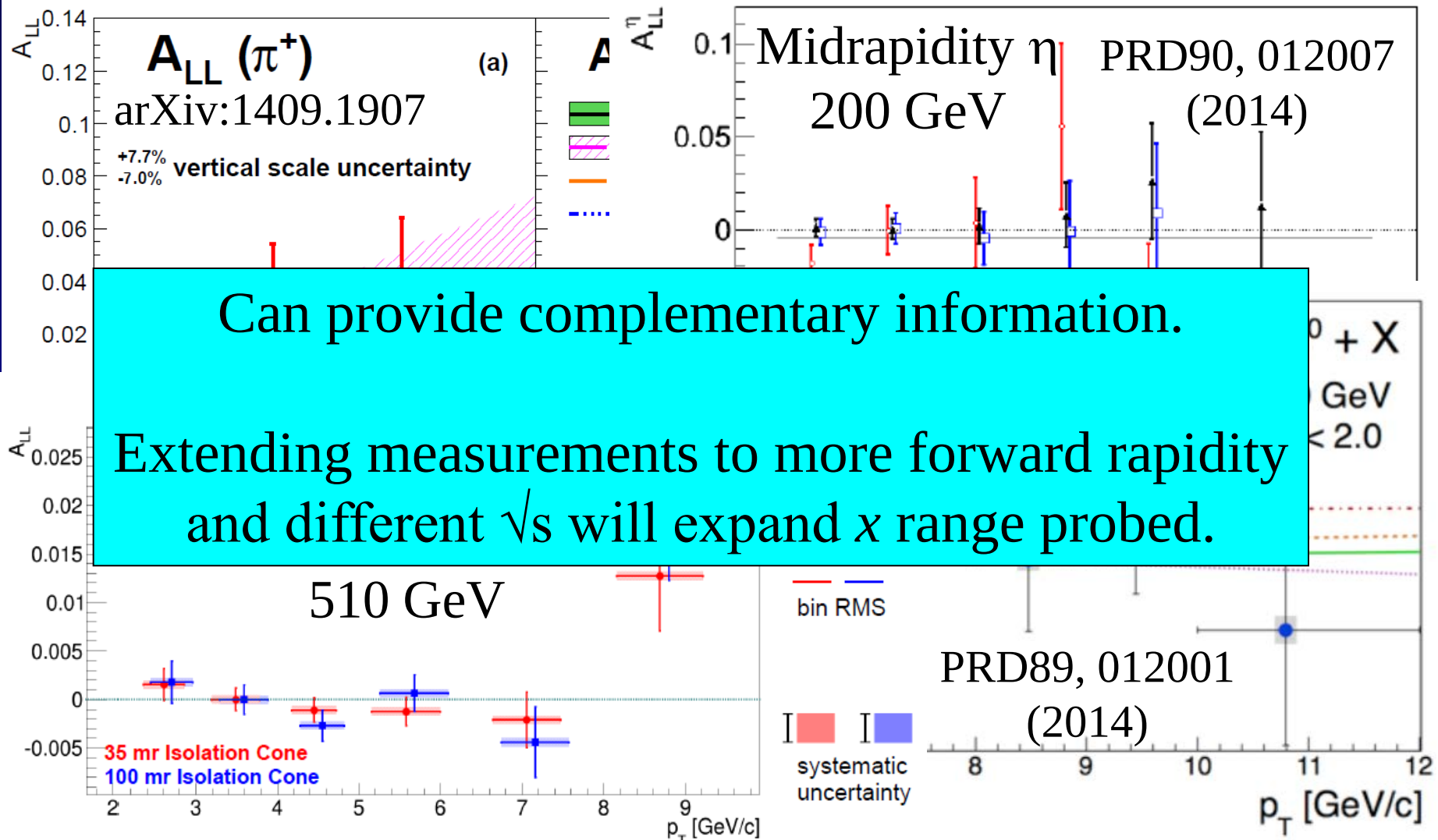
Other recently measured probes sensitive to gluon helicity



Other recently measured probes sensitive to gluon helicity



Other recently measured probes sensitive to gluon helicity



Proton spin structure at RHIC

Gluon Polarization
 ΔG

Flavor decomposition

$$\frac{\Delta u}{u}, \frac{\Delta \bar{u}}{\bar{u}}, \frac{\Delta d}{d}, \frac{\Delta \bar{d}}{\bar{d}}$$

Transverse spin and
spin-momentum
correlations

π , Jets $A_{LL}(gg, gq \rightarrow \pi + X)$

Back-to-Back Correlations

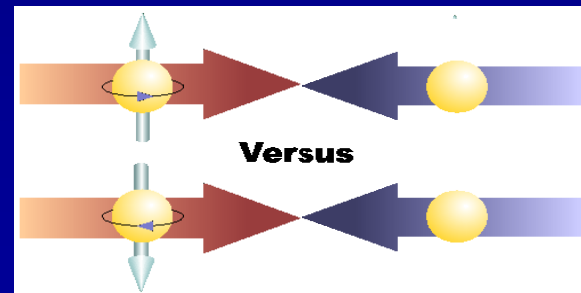
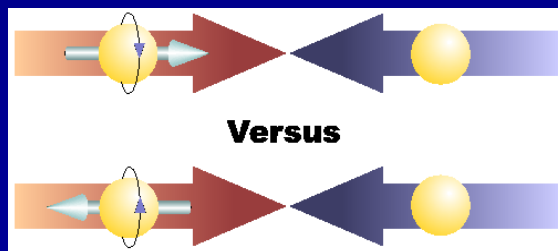
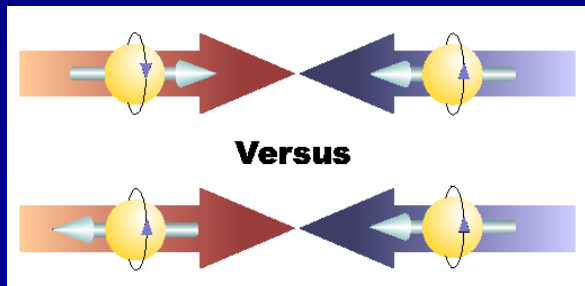
W Production

$$A_L(u + \bar{d} \rightarrow W^+ \rightarrow \ell^+ + \nu_1)$$

$$A_L(\bar{u} + d \rightarrow W^- \rightarrow \ell^- + \bar{\nu}_1)$$

Transverse-momentum-
dependent distributions

Single-Spin Asymmetries



Proton spin structure at RHIC

Gluon Polarization
 ΔG

Flavor decomposition

$$\frac{\Delta u}{u}, \frac{\Delta \bar{u}}{\bar{u}}, \frac{\Delta d}{d}, \frac{\Delta \bar{d}}{\bar{d}}$$

Transverse spin and
spin-momentum
correlations

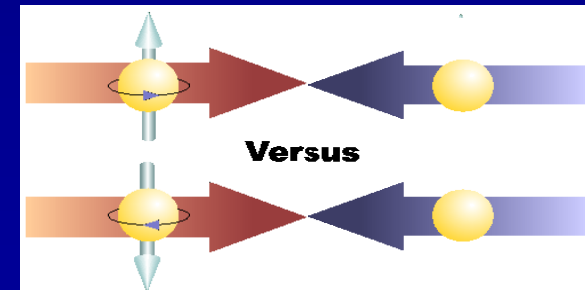
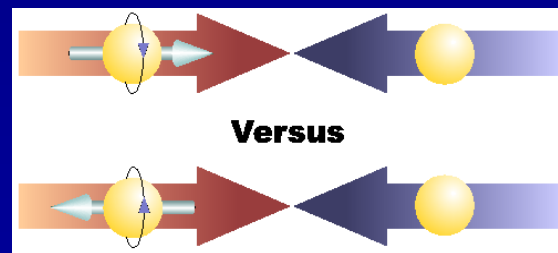
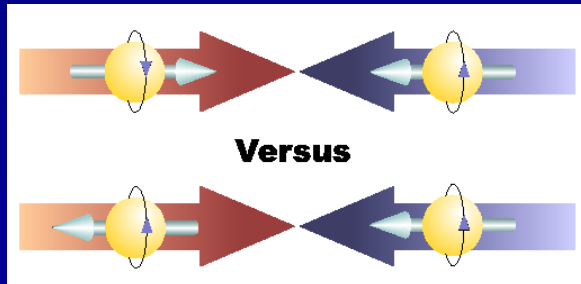
π , Jets

Back-t

um-
ions

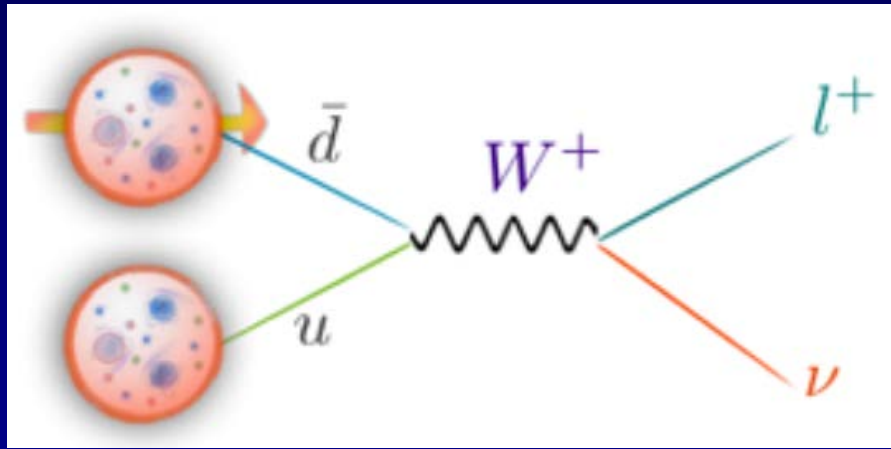
es

Not expected to resolve spin crisis, but of particular interest given surprising isospin-asymmetric structure of the unpolarized sea discovered by E866 at Fermilab.



Flavor-separated sea quark helicities through W production

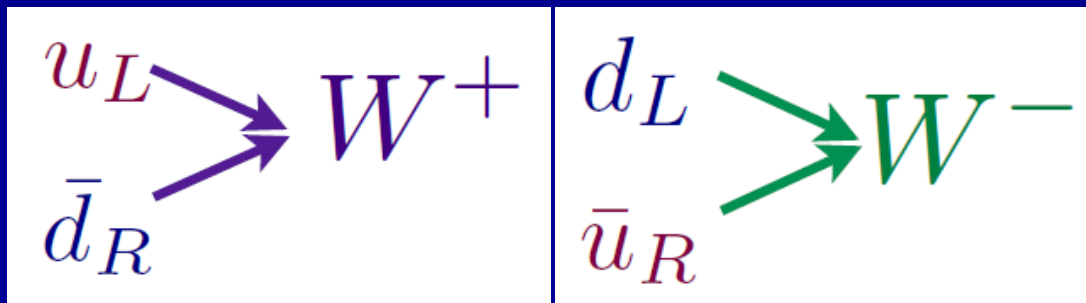
$$\Delta q(x), \Delta \bar{q}(x)$$



$$A_L^{W^+} \approx -\frac{\Delta u(x_1)\bar{d}(x_2) - \Delta\bar{d}(x_1)u(x_2)}{u(x_1)\bar{d}(x_2) - \bar{d}(x_1)u(x_2)}$$

$$A_L^{W^-} \approx -\frac{\Delta d(x_1)\bar{u}(x_2) - \Delta\bar{u}(x_1)d(x_2)}{d(x_1)\bar{u}(x_2) - \bar{u}(x_1)d(x_2)}$$

Parity violation of weak interaction + control over proton spin orientation gives access to *flavor-spin* structure of proton



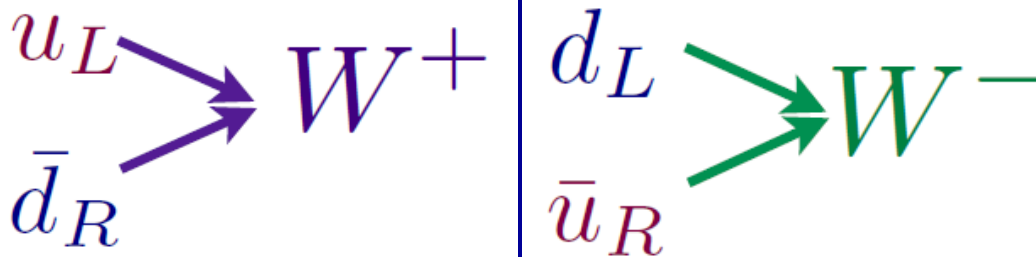
Flavor-separated sea quark helicities through W production

$$\Delta q(x), \Delta \bar{q}(x)$$

$$A_L^{W^+} \approx - \frac{\Delta u(x_1) \bar{d}(x_2) - \Delta \bar{d}(x_1) u(x_2)}{u(x_1) \bar{d}(x_2) - \bar{d}(x_1) u(x_2)}$$

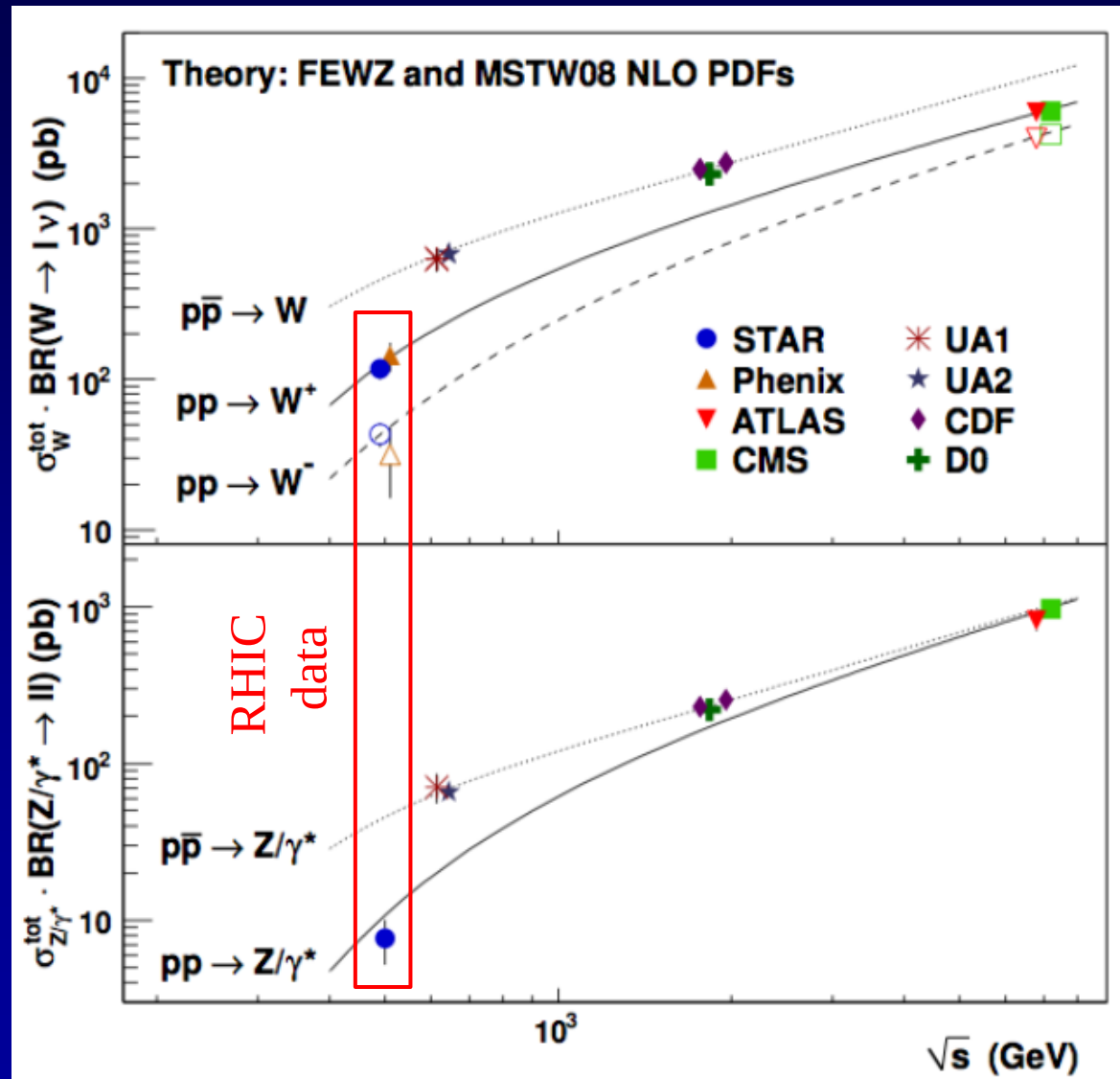
Flavor separation of the polarized sea quarks with **no reliance on fragmentation functions**, and at **much higher scale** than previous fixed-target experiments.

Complementary to semi-inclusive DIS measurements.



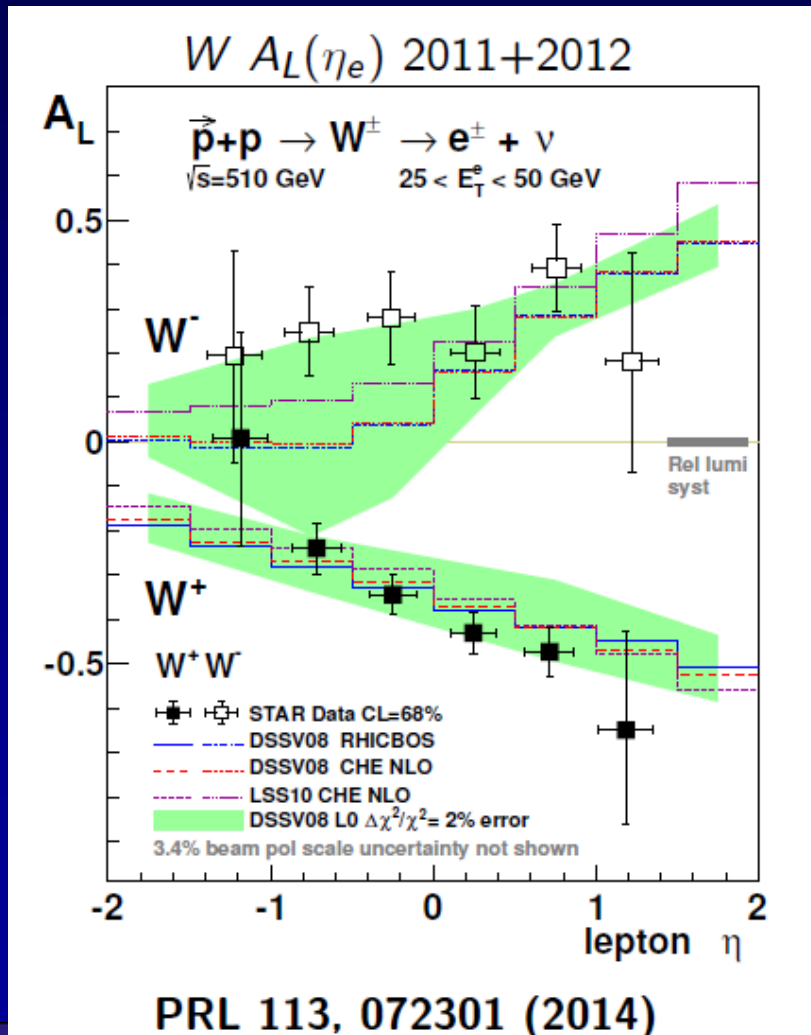
orientation gives access to **flavor-spin structure of proton**

World W cross section measurements



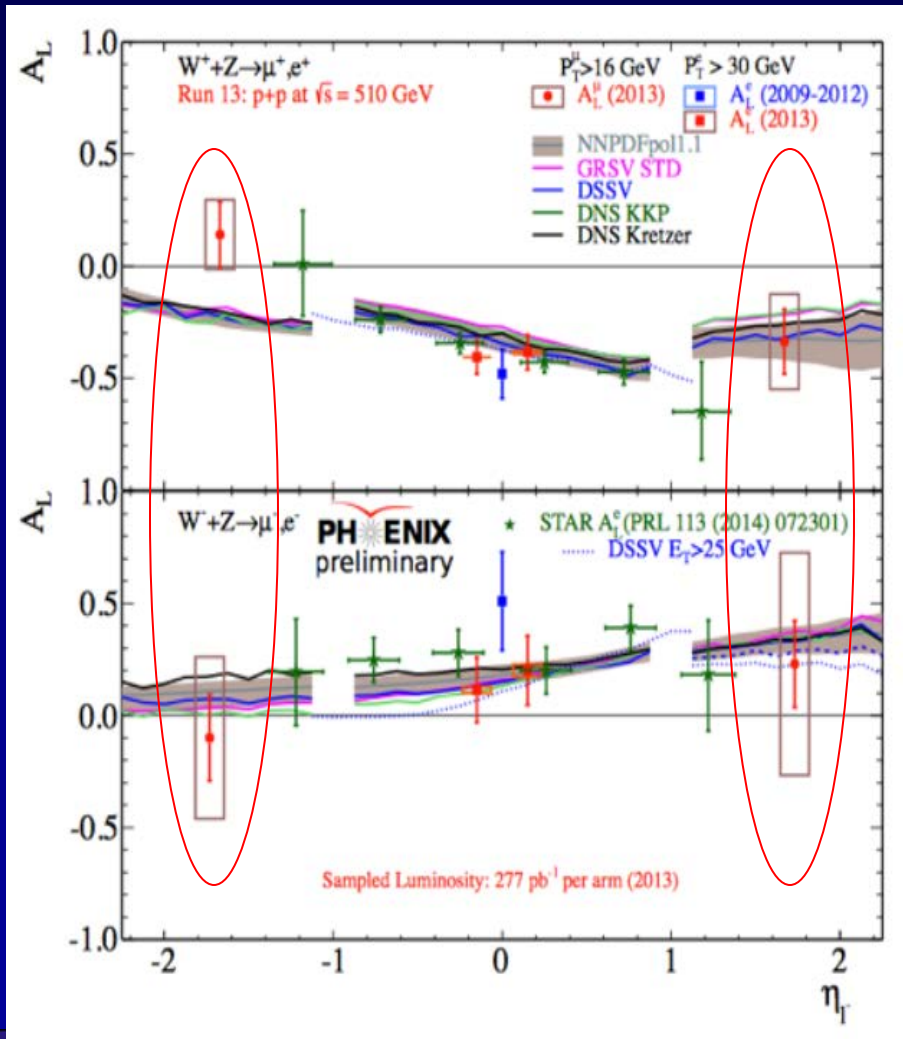
Large parity-violating single-helicity asymmetries

- Improve constraints on light antiquark helicity distributions



$$A_L = \frac{1}{P} \frac{N^+ / L^+ - N^- / L^-}{N^+ / L^+ + N^- / L^-}$$

Large parity-violating single-helicity asymmetries

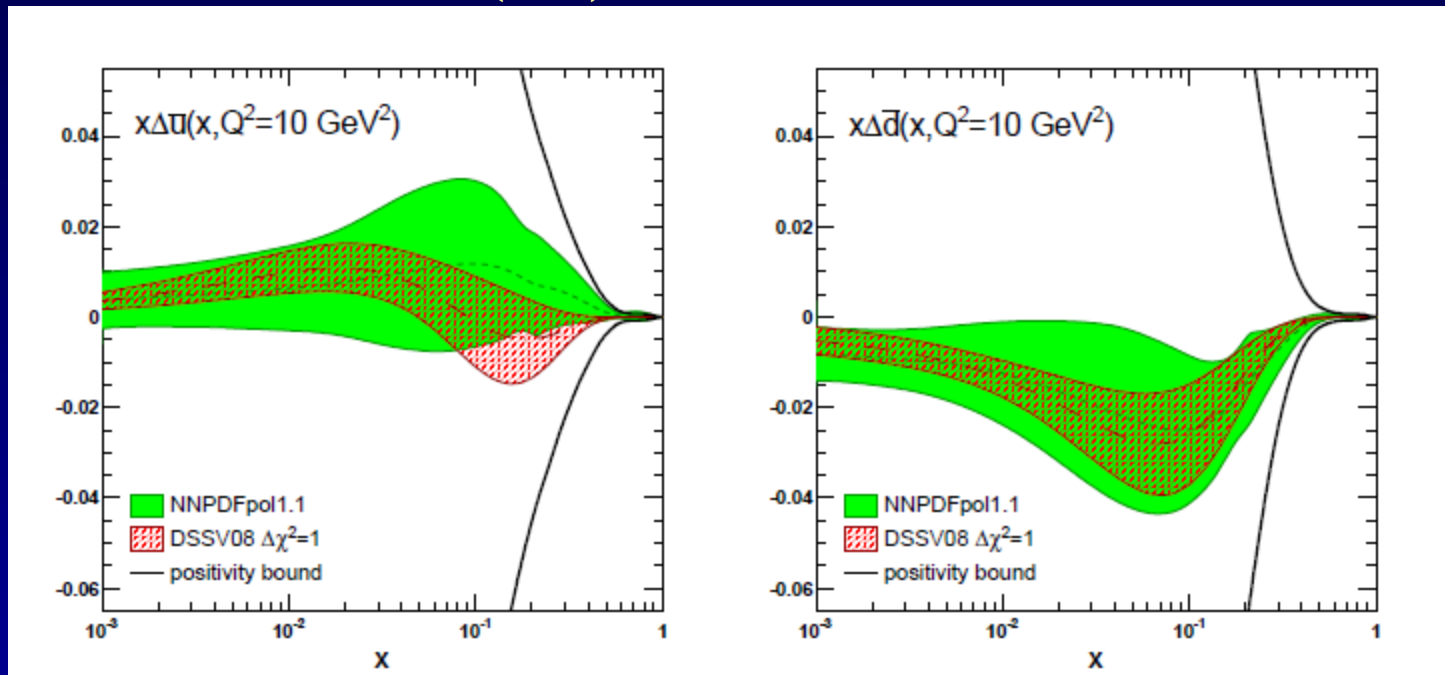


- Improve constraints on light antiquark helicity distributions
- New preliminary PHENIX muon results extend rapidity range

$$A_L = \frac{1}{P} \frac{N^+ / L^+ - N^- / L^-}{N^+ / L^+ + N^- / L^-}$$

Flavor-separated sea quark helicities through W production

NNPDF, NPB 887.276 (2014)



(DSSV08:
Before RHIC
W data)

Latest NNPDF fit to helicity distributions, including RHIC W data:
Indication of SU(3) breaking in the polarized quark sea (as in the unpolarized sea), but still relatively large uncertainties on helicity distributions of anti-up and anti-down quarks

Proton spin structure at RHIC

Gluon Polarization
 ΔG

Flavor decomposition

$$\frac{\Delta u}{u}, \frac{\Delta \bar{u}}{\bar{u}}, \frac{\Delta d}{d}, \frac{\Delta \bar{d}}{\bar{d}}$$

Transverse spin and
spin-momentum
correlations

π , Jets $A_{LL}(gg, gq \rightarrow \pi + X)$

Back-to-Back Correlations

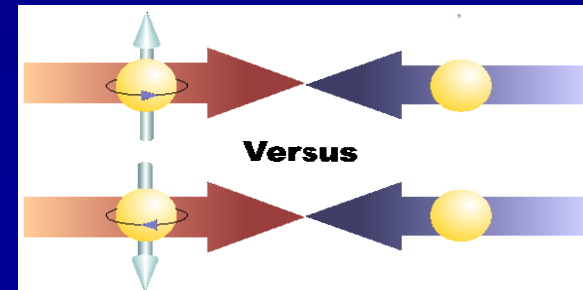
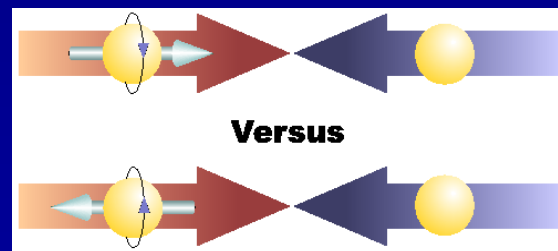
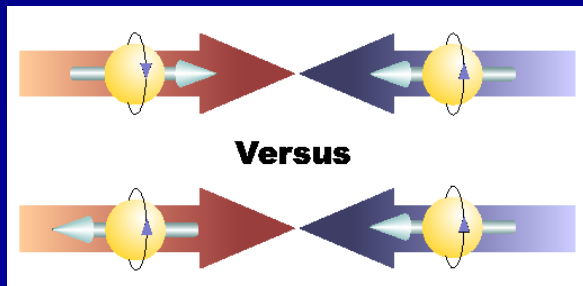
W Production

$$A_L(u + \bar{d} \rightarrow W^+ \rightarrow \ell^+ + \nu_1)$$

$$A_L(\bar{u} + d \rightarrow W^- \rightarrow \ell^- + \bar{\nu}_1)$$

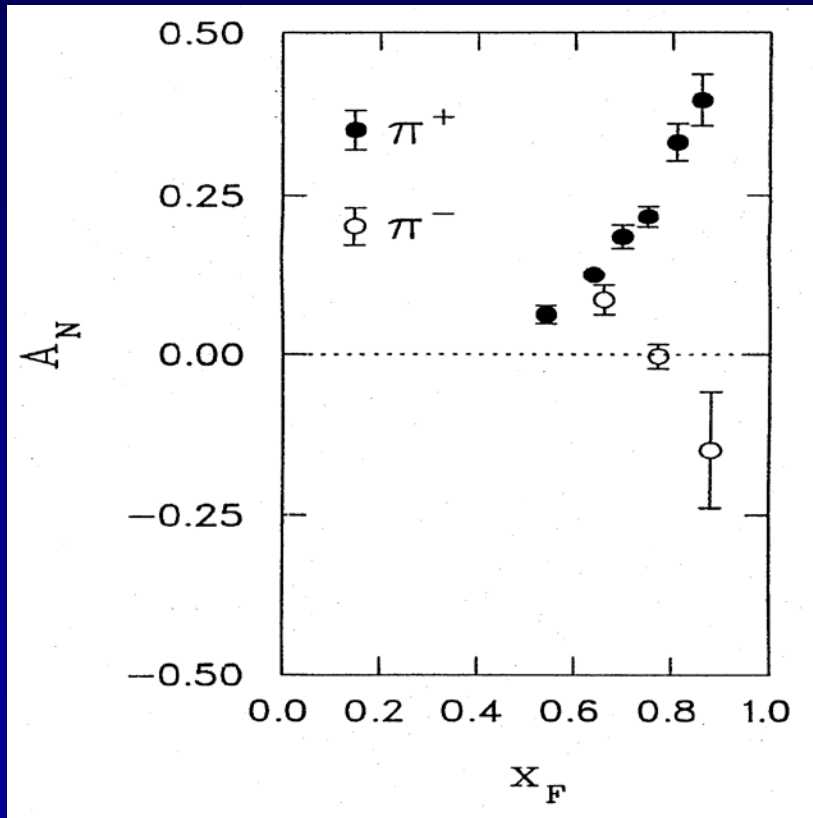
Transverse-momentum-
dependent distributions

Single-Spin Asymmetries

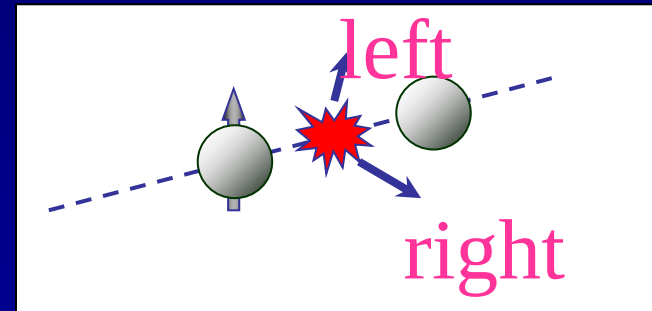


1976: Discovery in $p+p$ collisions! Huge transverse single-spin asymmetries

Argonne $\sqrt{s}=4.9$ GeV



Charged pions produced preferentially on one or the other side with respect to the transversely polarized beam direction



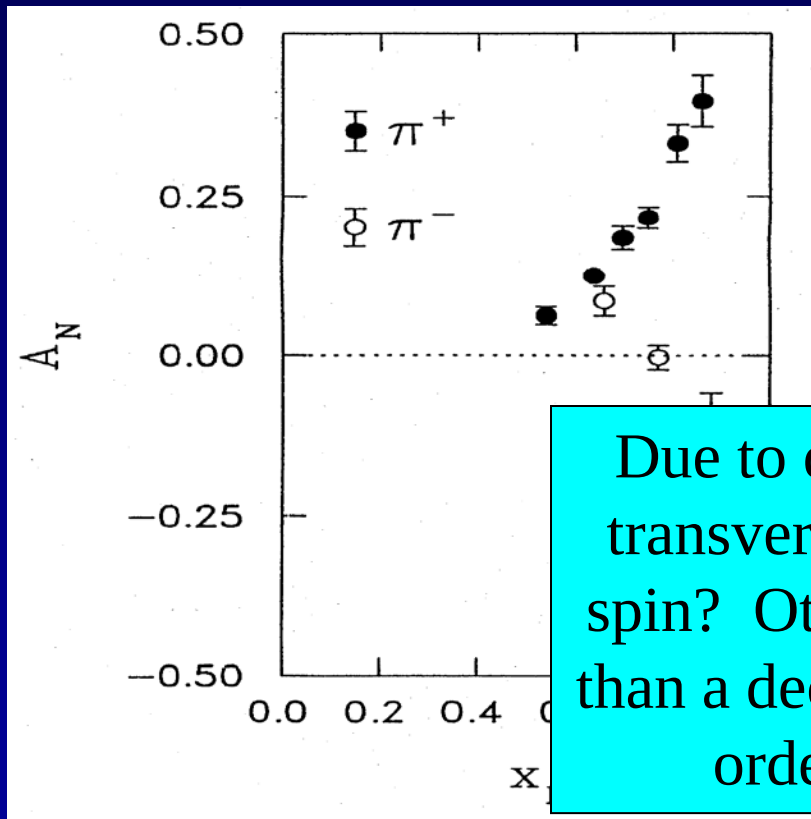
W.H. Dragoset et al., PRL36, 929 (1976)

$$x_F = 2p_{\text{long}} / \sqrt{s}$$

1976: Discovery in $p+p$ collisions! Huge transverse single-spin asymmetries

Argonne $\sqrt{s}=4.9$ GeV

Charged pions produced preferentially on one or the other side with respect to the transversely polarized beam direction



Due to quark transversity, i.e. correlation of transverse quark spin with transverse proton spin? Other effects? We'll need to wait more than a decade for the birth of a new subfield in order to explore the possibilities . . .

W.H. Dragoset et al., PRL36, 929 (1976)

$$x_F = 2p_{\text{long}} / \sqrt{s}$$



Transverse-momentum-dependent distributions and single-spin asymmetries

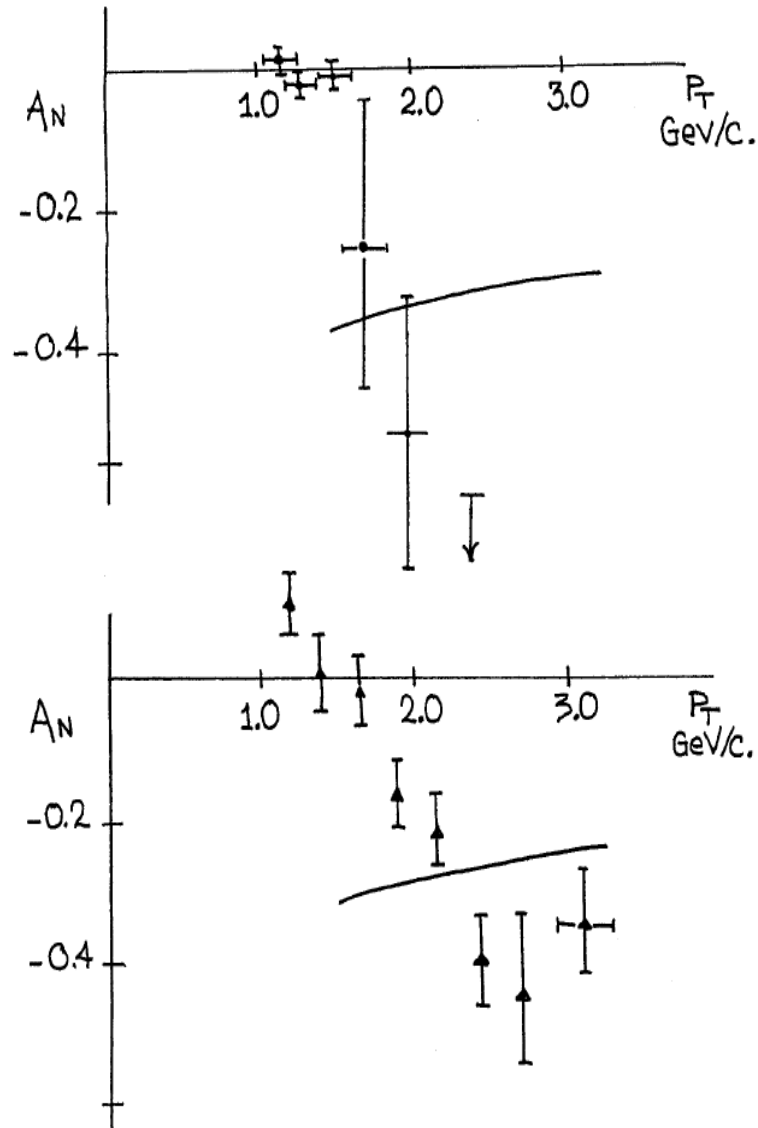


Fig. 1

1989: The “Sivers mechanism” is proposed in an attempt to understand the observed asymmetries.

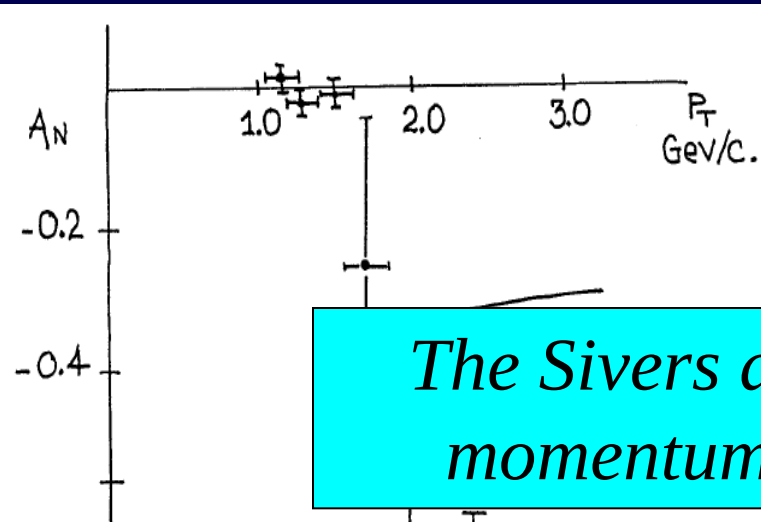
D.W. Sivers, PRD41, 83 (1990)

Departs from the traditional *collinear* factorization assumption in pQCD and proposes a correlation between the *intrinsic transverse motion* of the quarks and gluons and the proton’s spin

$$s \cdot (p_1 \times p_2)$$

Spin and momenta can be of partons or hadrons

Transverse-momentum-dependent distributions and single-spin asymmetries

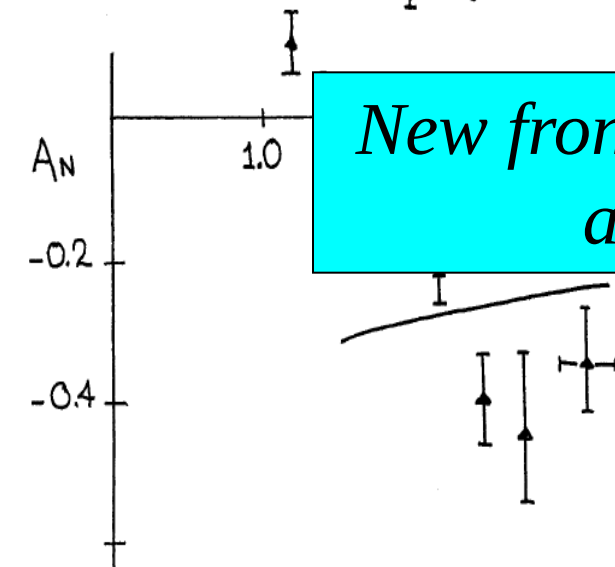


The Sivers distribution: the first transverse-momentum-dependent distribution (TMD)

1989: The “Sivers mechanism” is proposed in an attempt to understand the observed asymmetries.

factorization assumption in pQCD and proposes a correlation between the

*New frontier! Parton **dynamics** inside hadrons, and in the hadronization process*



$$s \cdot (p_1 \times p_2)$$

Spin and momenta can be of partons or hadrons

Fig. 1

Quark distribution functions

No (explicit) k_T
dependence

$$\begin{aligned} f_1 &= \text{circle with white dot} \\ g_{1L} &= \text{circle with white dot and right arrow} - \text{circle with white dot and left arrow} \\ h_{1T} &= \text{circle with white dot and up arrow} - \text{circle with white dot and down arrow} \end{aligned}$$

$$g_{1T} = \text{circle with white dot and up arrow} - \text{circle with white dot and left arrow}$$

Transversity

k_T - dependent,
T-odd

$$\begin{aligned} f_{1T}^\perp &= \text{circle with white dot and up arrow} - \text{circle with white dot and down arrow} \\ h_1^\perp &= \text{circle with white dot and left arrow} - \text{circle with white dot and right arrow} \end{aligned}$$

k_T - dependent,
Sivers T-even

Boer-Mulders

$$h_{1L}^\perp = \text{circle with white dot and up-left arrow} - \text{circle with white dot and up-right arrow}$$

$$h_{1T}^\perp = \text{circle with white dot and up-left arrow} - \text{circle with white dot and up-right arrow}$$

Quark distribution functions

Similarly, can have k_T -dependent fragmentation functions (FFs). One example: the chiral-odd Collins FF, which provides one way of accessing transversity distribution (also chiral-odd).

$$h_{1T} = \begin{array}{c} \uparrow \\ \bullet \\ \downarrow \end{array} - \begin{array}{c} \downarrow \\ \bullet \\ \uparrow \end{array}$$

Transversity

$$f_{1T}^\perp = \begin{array}{c} \uparrow \\ \bullet \\ \uparrow \end{array} - \begin{array}{c} \bullet \\ \downarrow \\ \bullet \end{array}$$

k_T - dependent,
Sivers T-even

$$h_1^\perp = \begin{array}{c} \bullet \\ \downarrow \\ \bullet \end{array} - \begin{array}{c} \bullet \\ \uparrow \\ \bullet \end{array}$$

Boer-Mulders

$$h_{1L}^\perp = \begin{array}{c} \bullet \\ \nearrow \\ \bullet \end{array} \rightarrow - \begin{array}{c} \bullet \\ \nwarrow \\ \bullet \end{array} \rightarrow$$

$$h_{1T}^\perp = \begin{array}{c} \uparrow \\ \bullet \\ \nearrow \end{array} - \begin{array}{c} \uparrow \\ \bullet \\ \nwarrow \end{array}$$

k_T - dependent,
T-odd

Quark distribution functions

Similarly, can have k_T -dependent fragmentation functions (FFs). One example: the chiral-odd Collins FF, which provides one way of accessing transversity distribution (also chiral-odd).

$$h_{1T} = \text{[diagram: circle with dot and up arrow]} - \text{[diagram: circle with dot and down arrow]}$$

Transversity

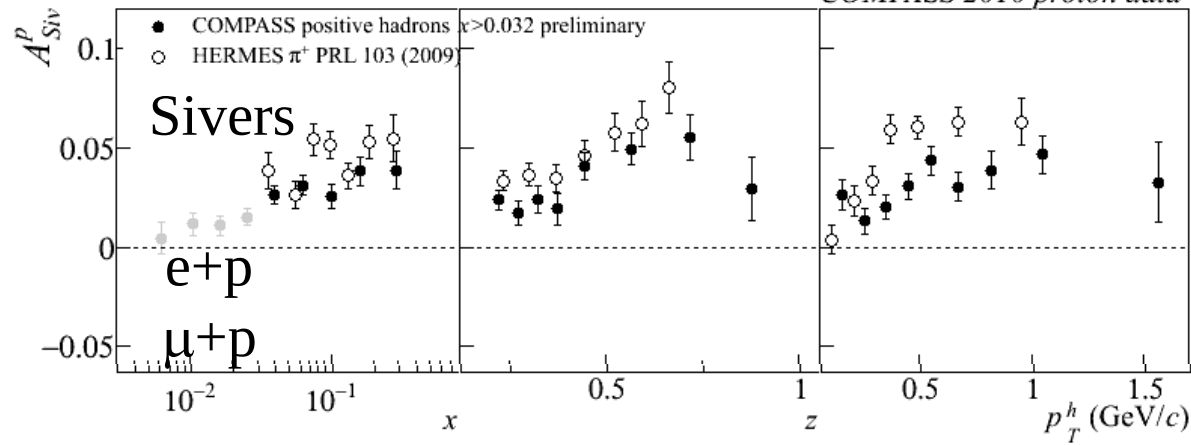


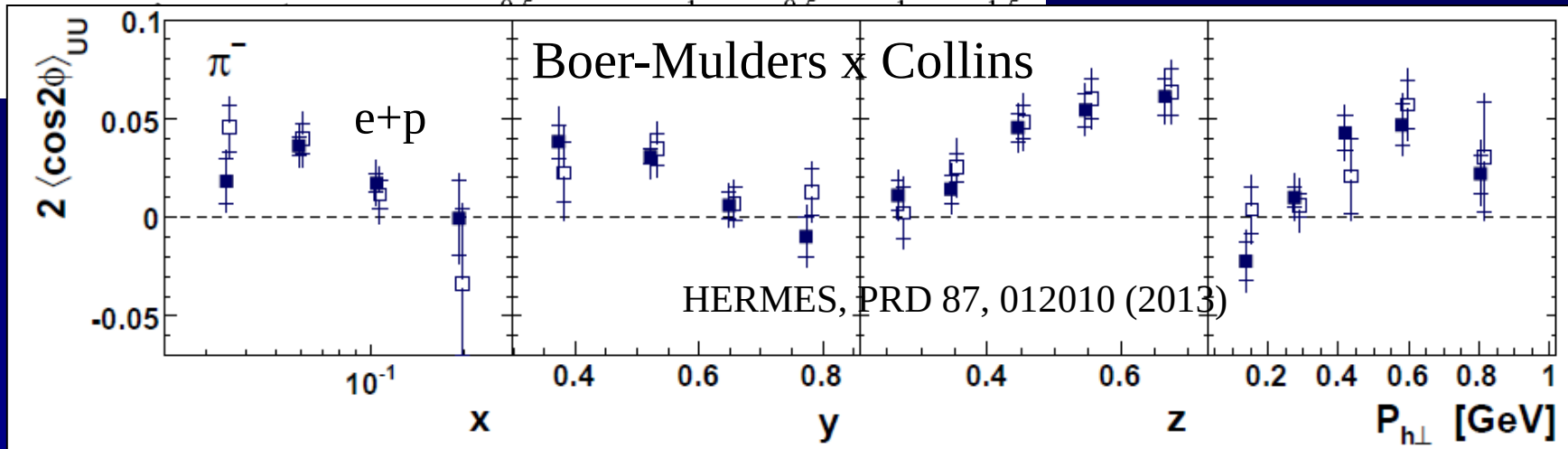
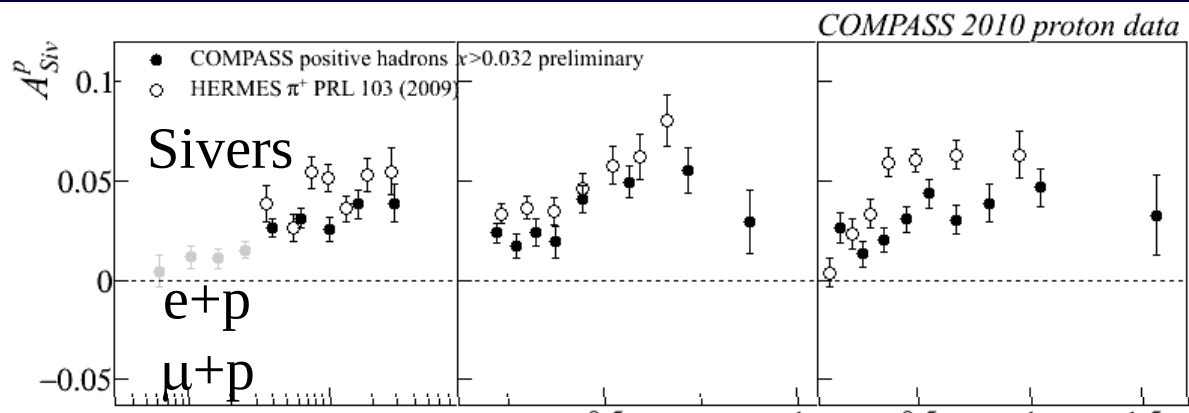
k_T - dependent,

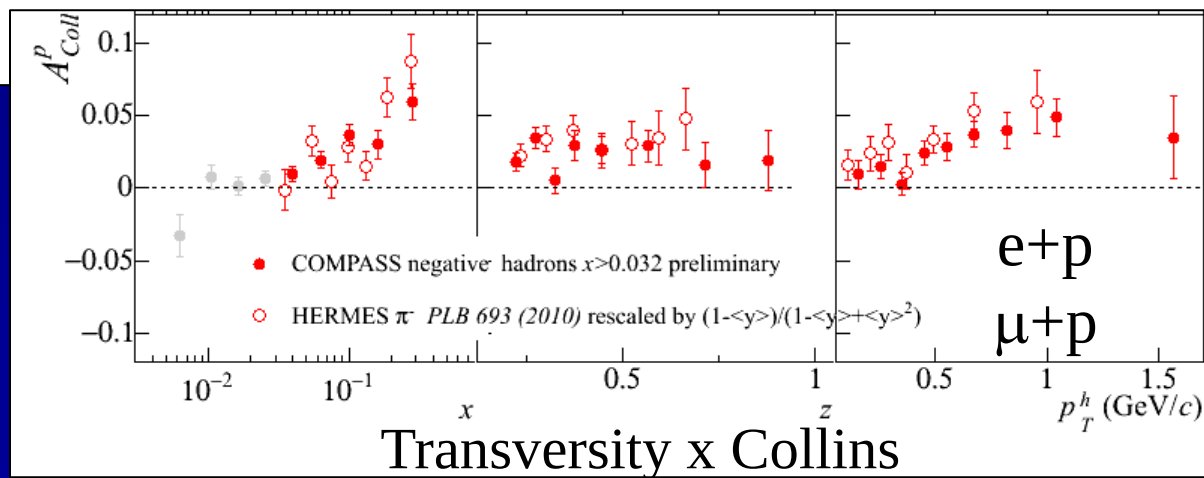
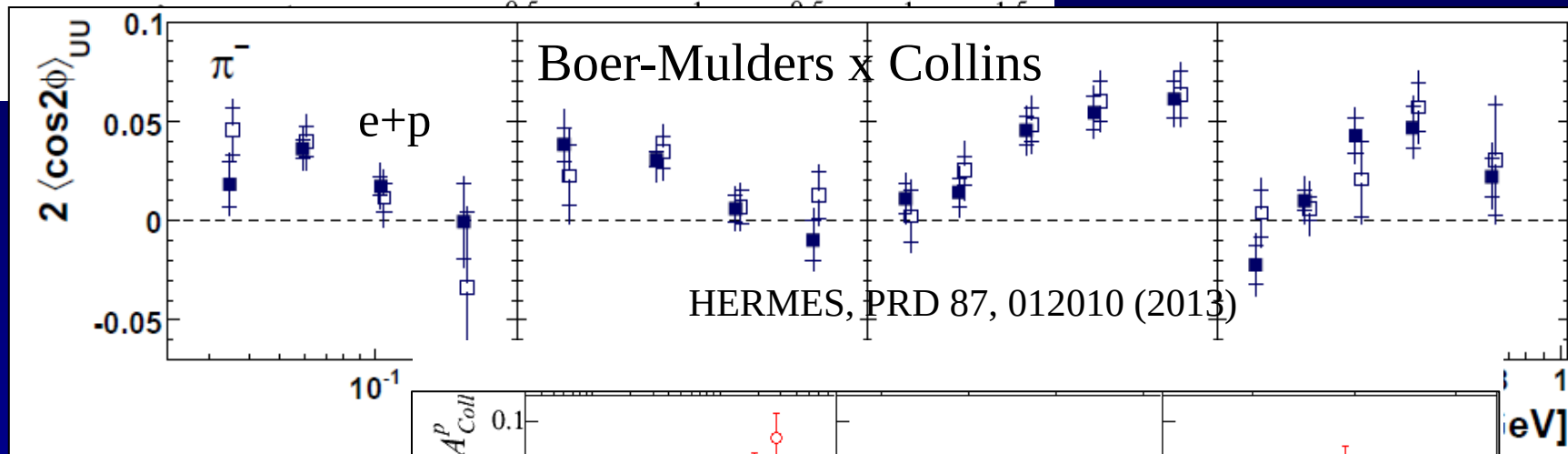
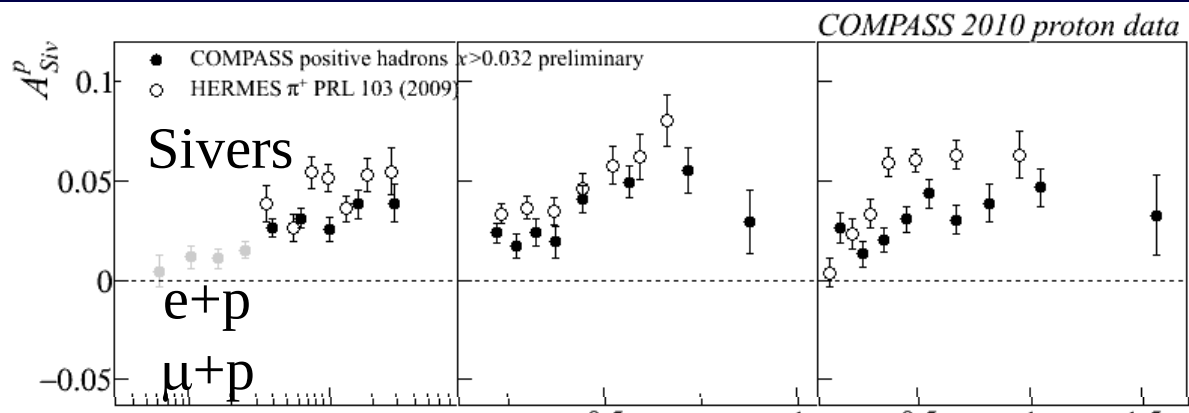
Relevant measurements in simpler systems (DIS, e^+e^-) only starting to be made over the last ~ 9 years, providing evidence that many of these correlations are non-zero in nature! Rapidly advancing field both experimentally and theoretically.

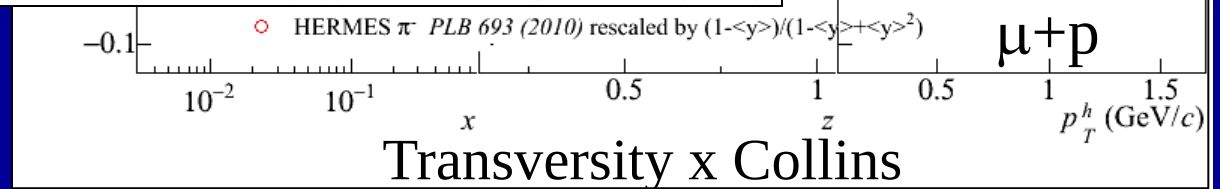
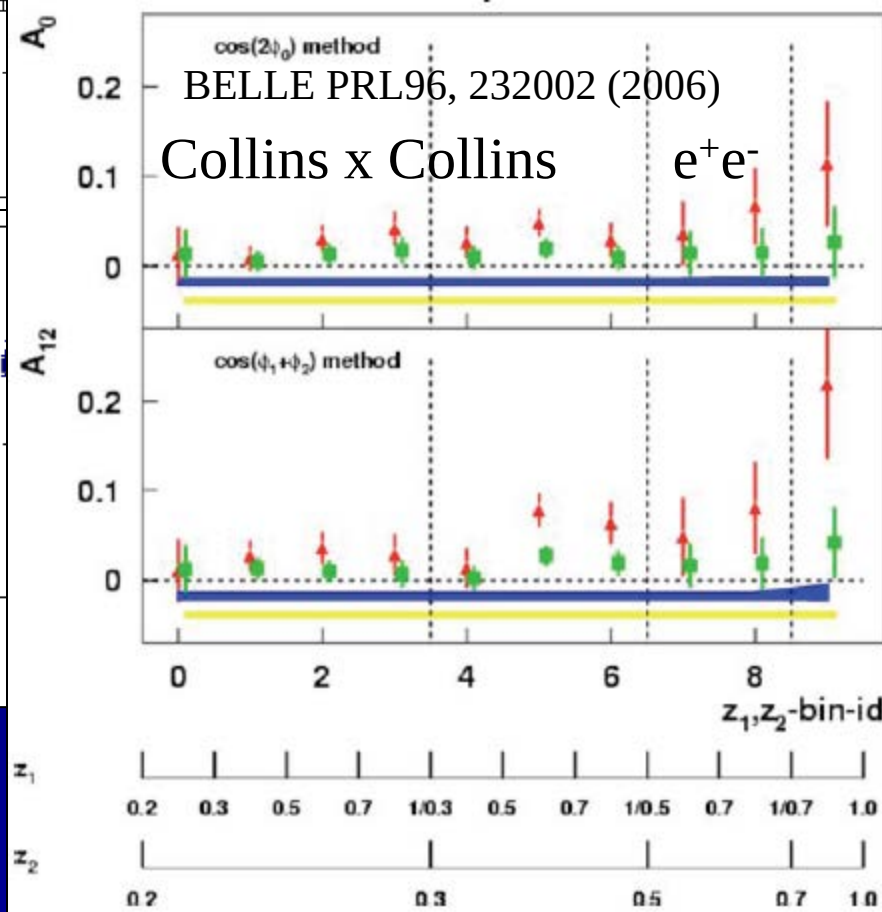
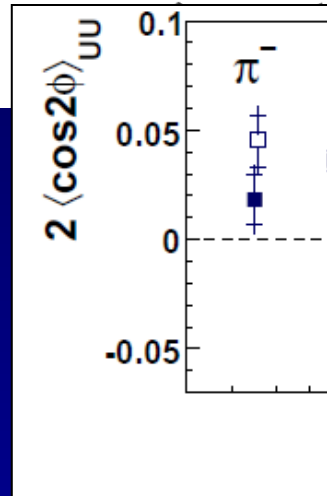
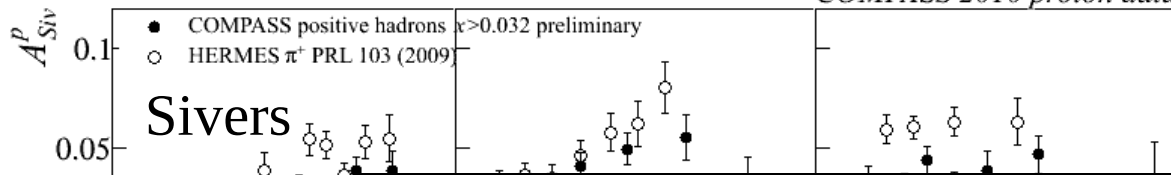
$$h_{1L} = \text{[diagram: circle with dot and right arrow]} - \text{[diagram: circle with dot and left arrow]}$$

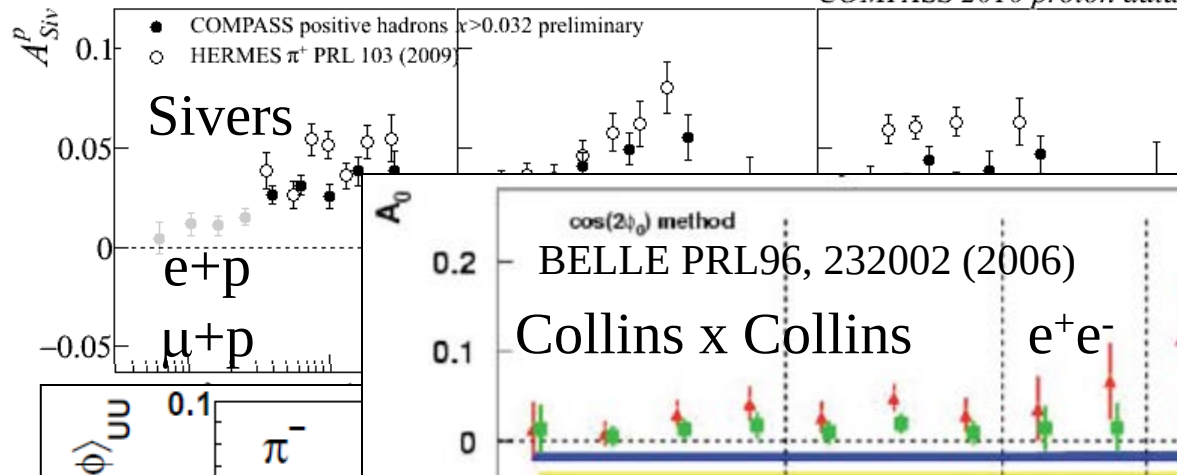
$$h_{1T} = \text{[diagram: circle with dot and up arrow]} - \text{[diagram: circle with dot and down arrow]}$$







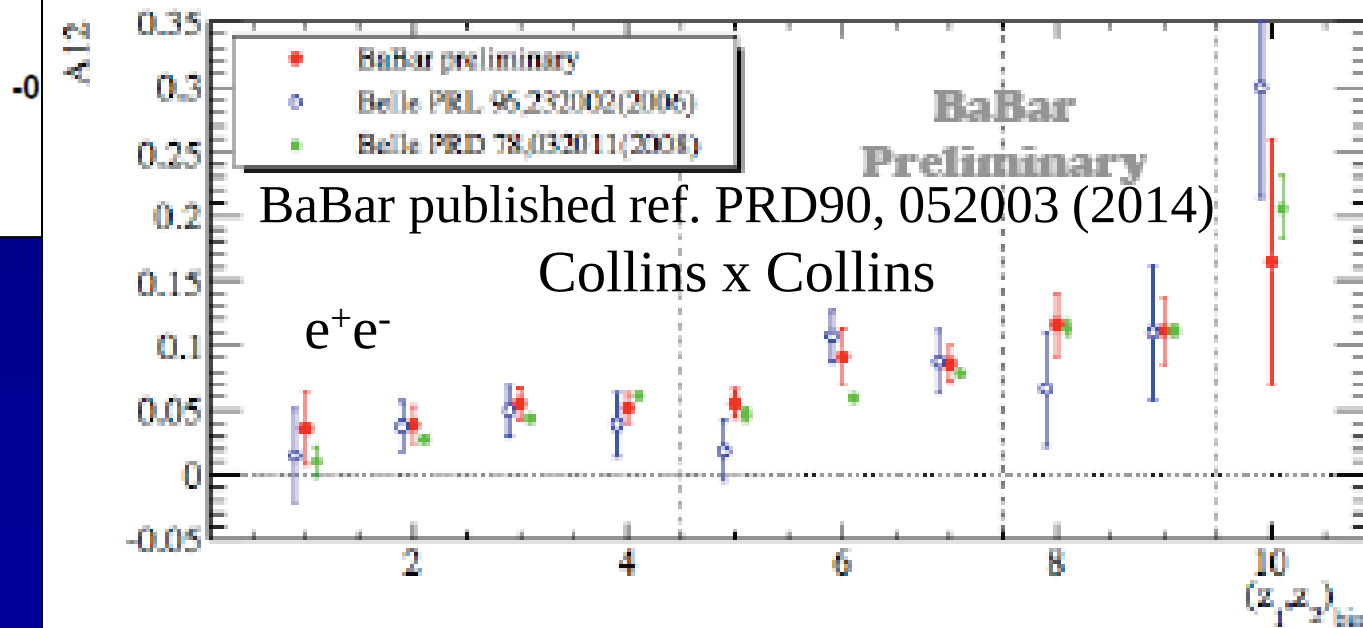




BaBar preliminary:
 $\mathcal{L}=45 \text{ fb}^{-1}$

Belle Off-peak:
 $\mathcal{L}=29 \text{ fb}^{-1}$

Belle full statistics
(supersede previous results)
 $\mathcal{L}=547 \text{ fb}^{-1}$

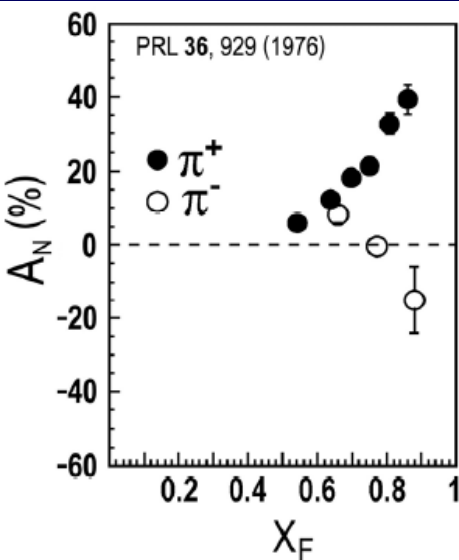


1.5
GeV/c

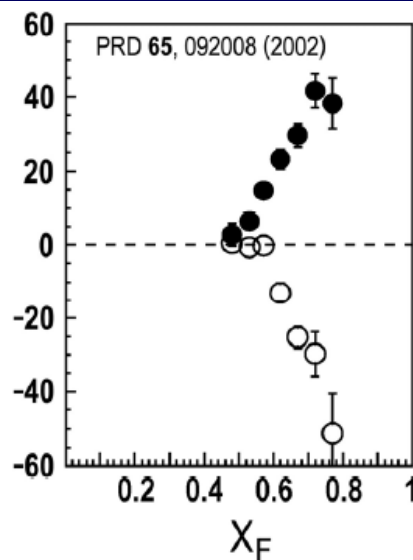
Transverse single-spin asymmetries: From low to high energies!



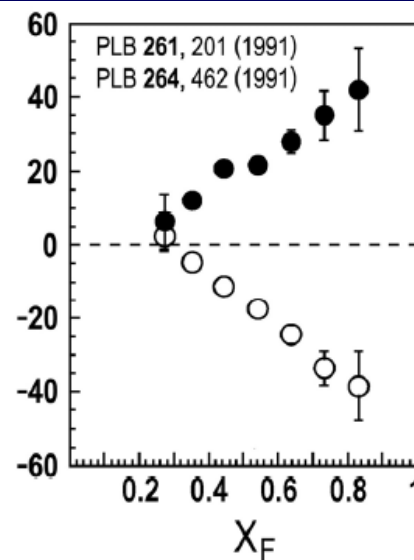
ANL
 $\sqrt{s}=4.9$ GeV



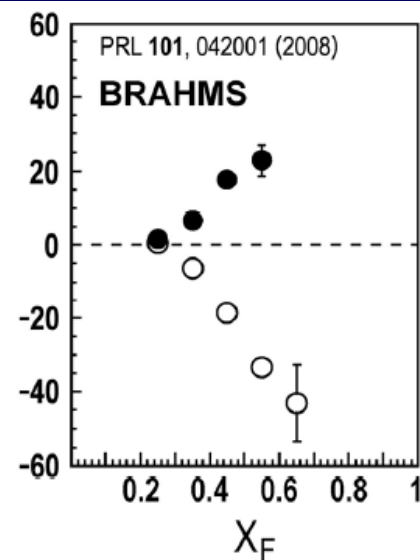
BNL
 $\sqrt{s}=6.6$ GeV



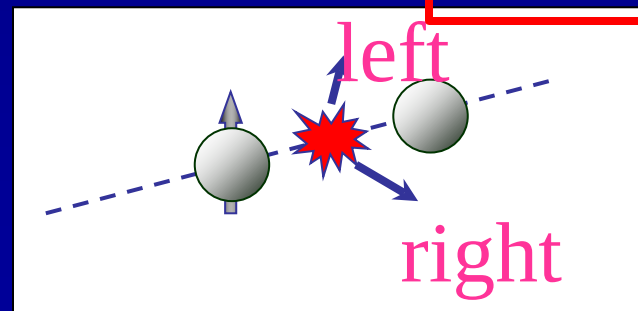
FNAL
 $\sqrt{s}=19.4$ GeV



RHIC
 $\sqrt{s}=62.4$ GeV



$$x_F = 2p_{long} / \sqrt{s}$$

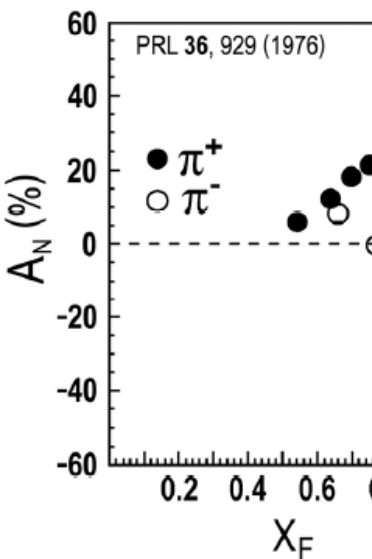


Transverse single-spin asymmetries: From low to high energies!



ANL

$\sqrt{s}=4.9$ GeV



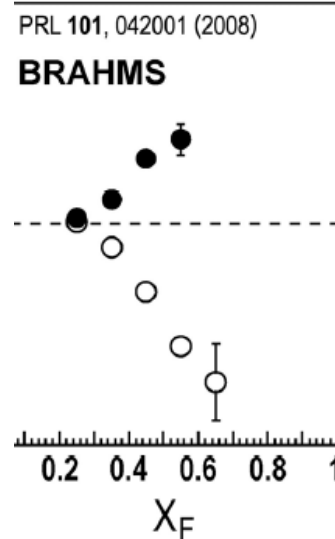
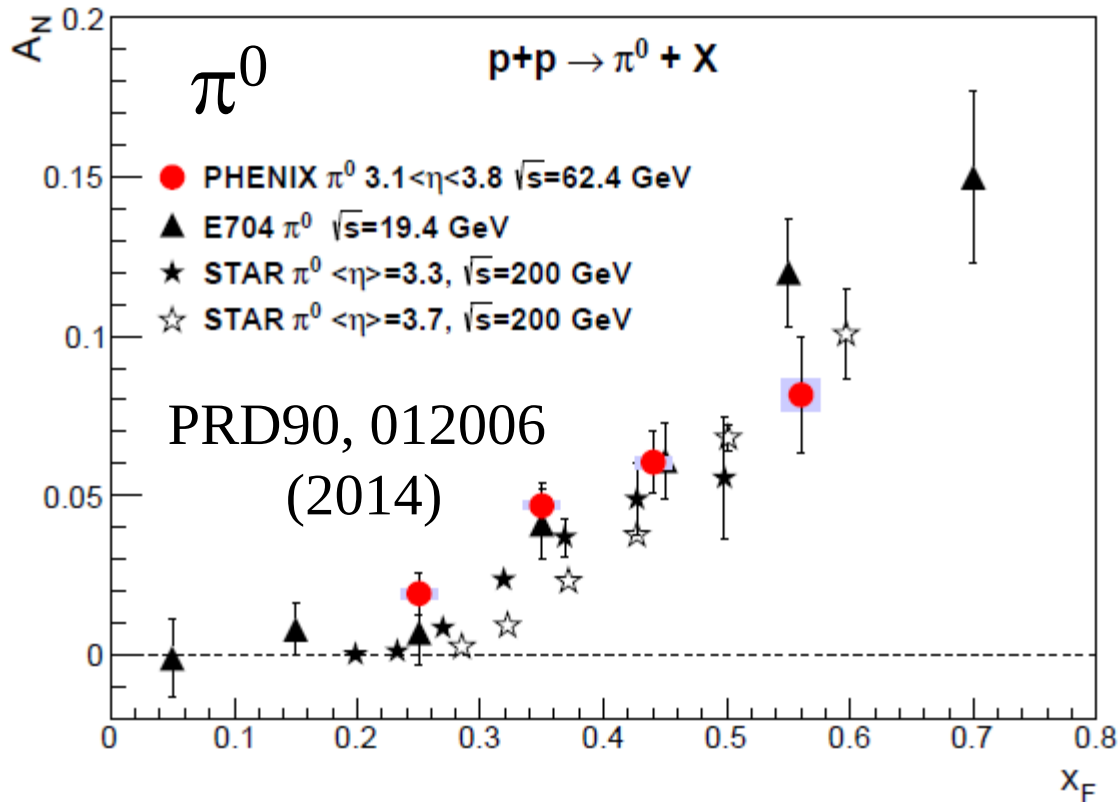
$X_F =$

BNL

FNAL

RHIC

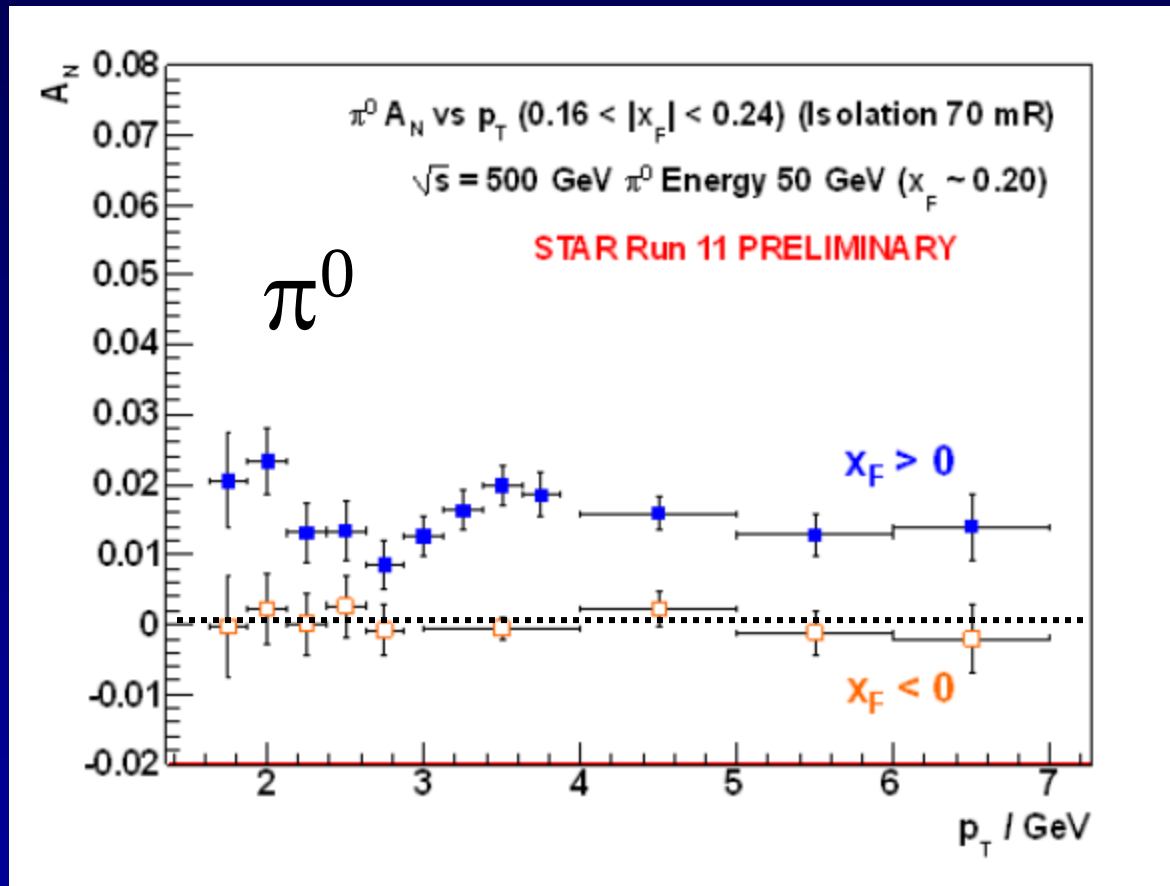
$\sqrt{s}=62.4$ GeV



right



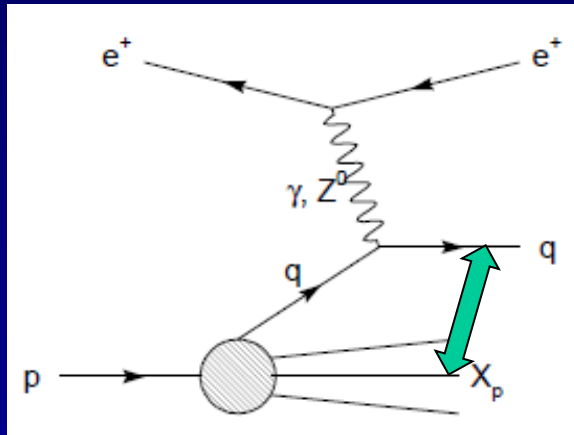
Effects persist up to transverse momenta of 7(!) GeV/c at $\sqrt{s}=500$ GeV



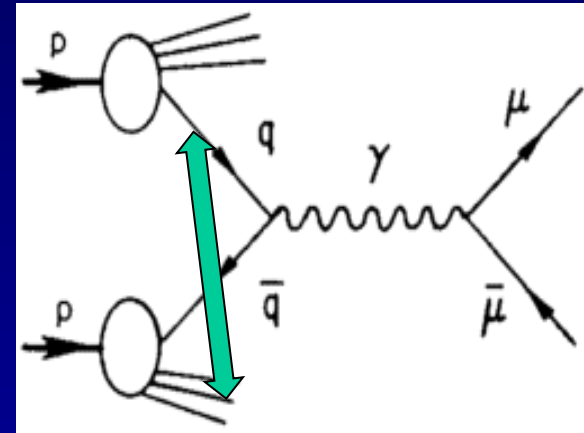
- Can try to interpret these non-perturbative effects within the framework of perturbative QCD.
- Haven't yet disentangled all the possible contributing effects to the (messy) process of p+p to pions

Modified universality of T-odd *transverse-momentum-dependent distributions:* *Color in action!*

**Deep-inelastic lepton-nucleon
scattering:
Attractive final-state interactions**



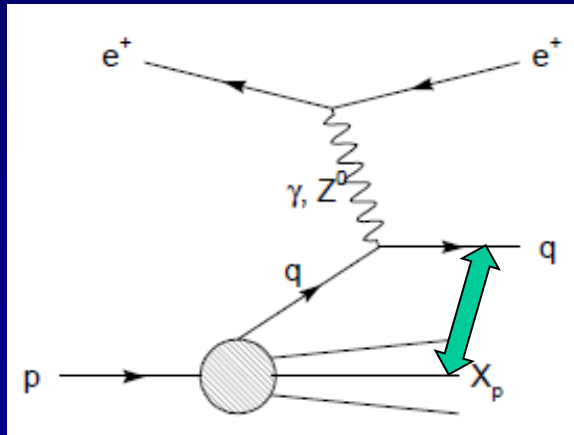
**Quark-antiquark annihilation to
leptons:
Repulsive initial-state interactions**



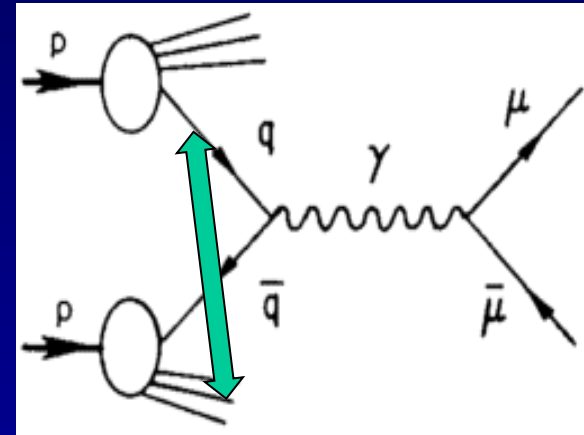
As a result, get *opposite sign* for the Sivers transverse-momentum-dependent pdf when measure in semi-inclusive DIS versus Drell-Yan: *process-dependent* pdf! (Collins 2002)

Modified universality of T-odd *transverse-momentum-dependent distributions:* *Color in action!*

**Deep-inelastic lepton-nucleon
scattering:
Attractive final-state interactions**



**Quark-antiquark annihilation to
leptons:
Repulsive initial-state interactions**

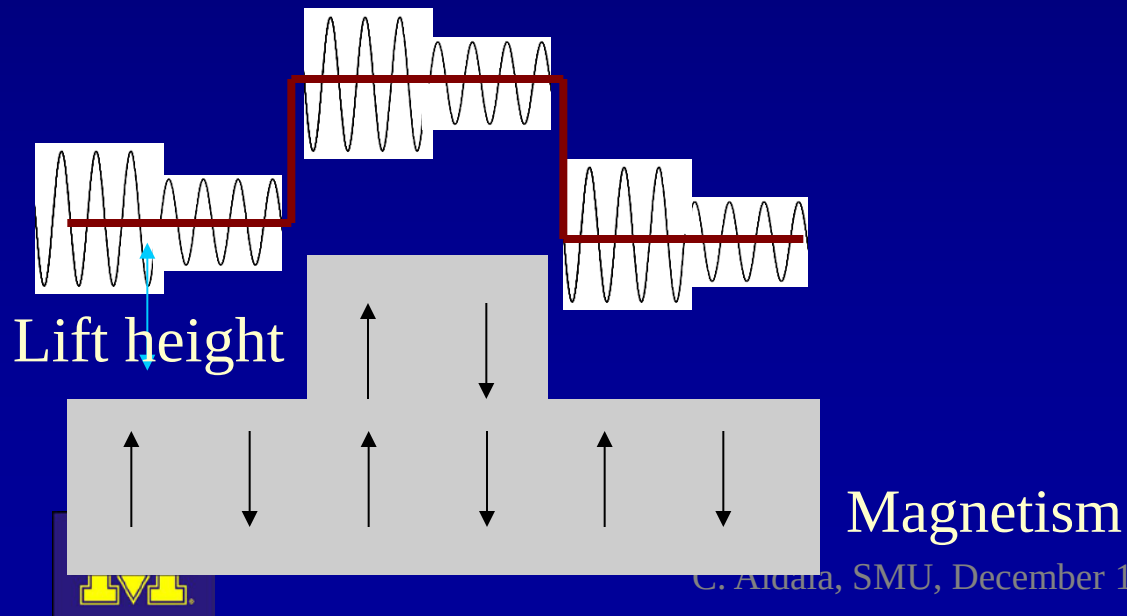
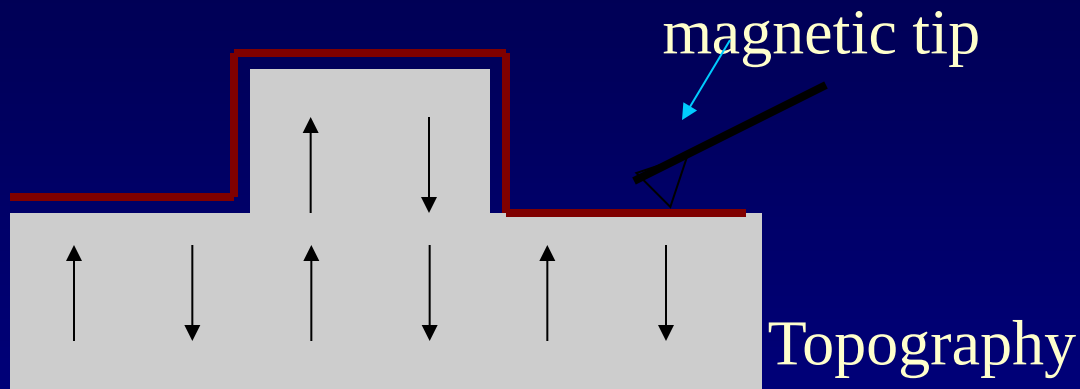


*Still waiting for a polarized quark-antiquark annihilation
measurement to compare to existing lepton-nucleon scattering
measurements . . .*

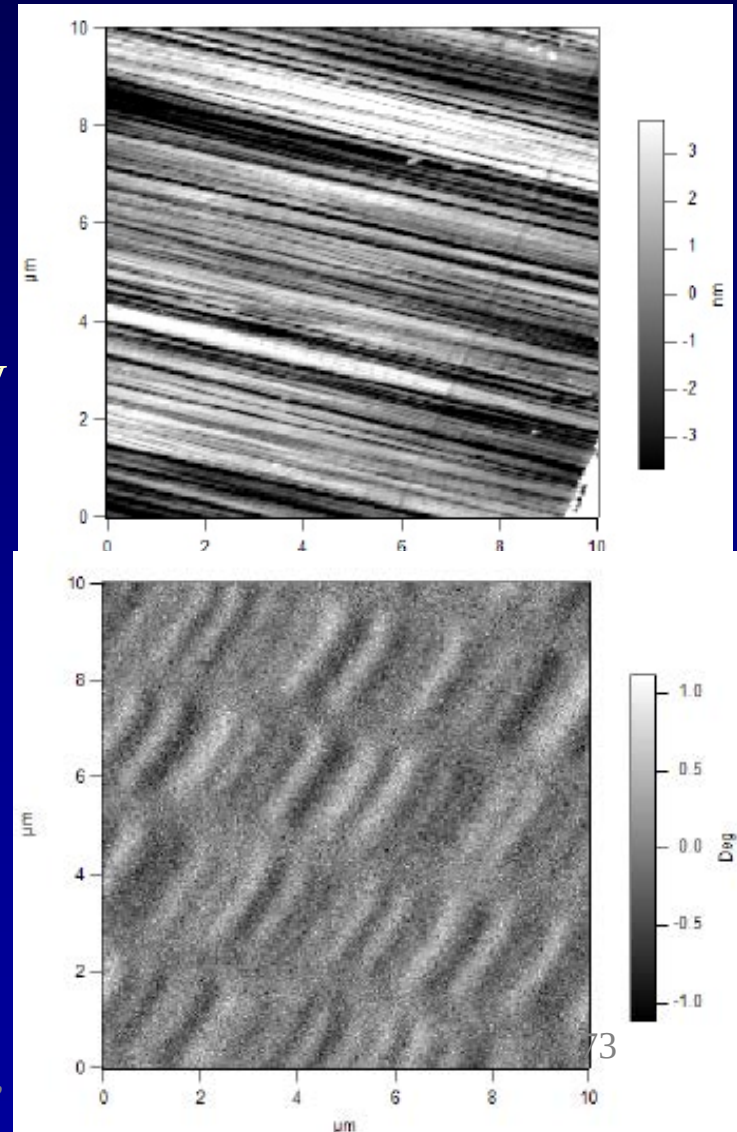
What things “look” like depends on how you “look”!

Slide courtesy of K. Aidala

Magnetic Force Microscopy



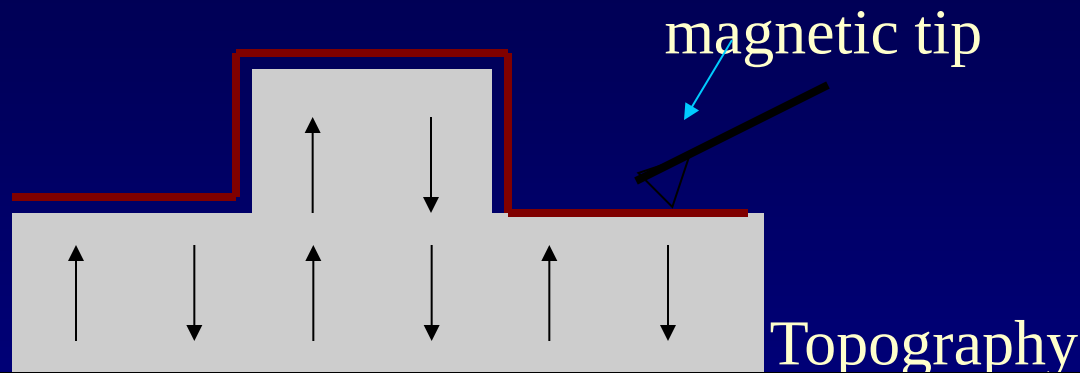
Computer Hard Drive



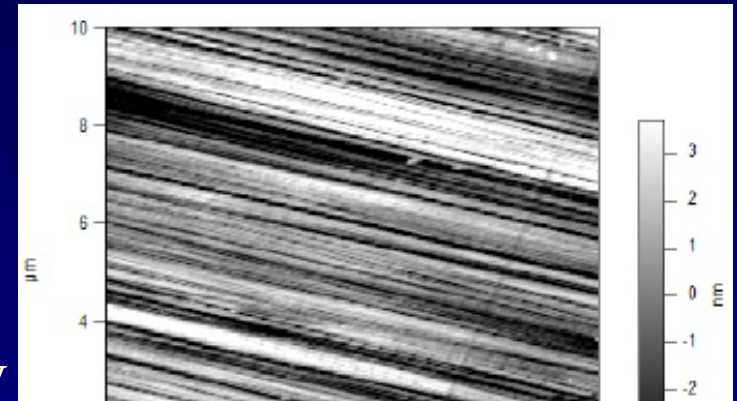
What things “look” like depends on how you “look”!

Slide courtesy of K. Aidala

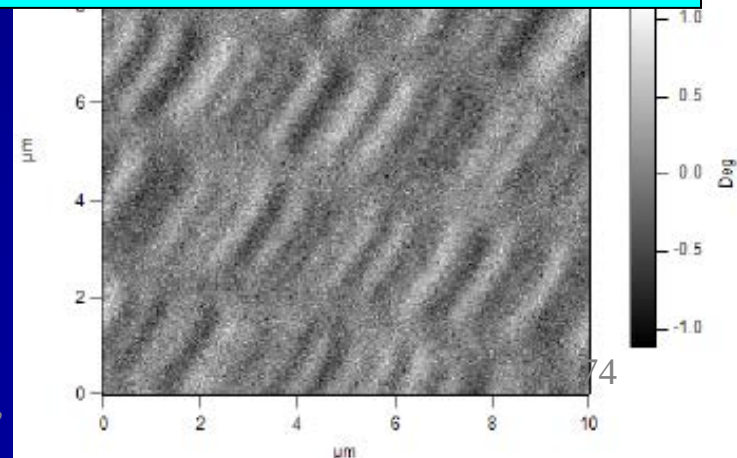
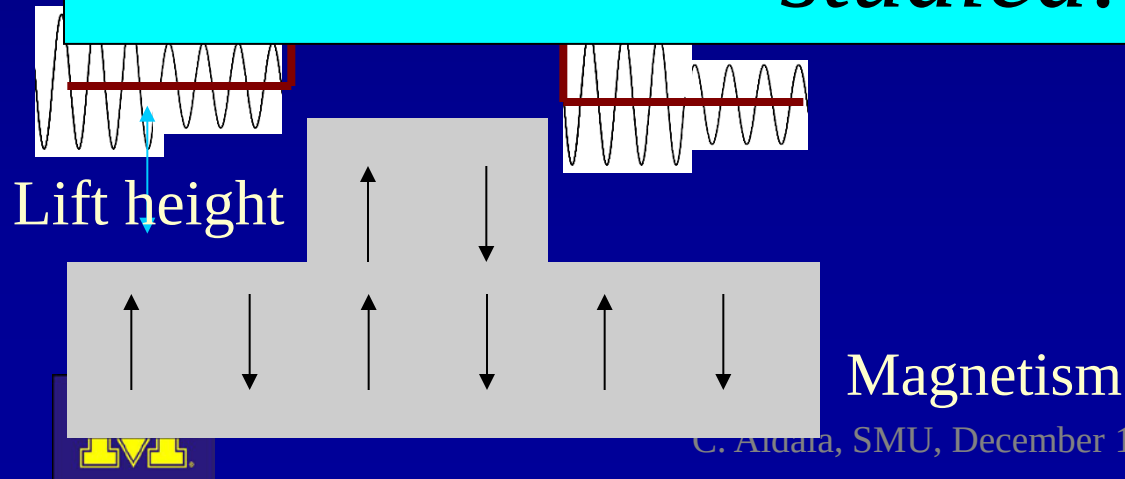
Magnetic Force Microscopy



Computer Hard Drive



Probe interacts with system being studied!



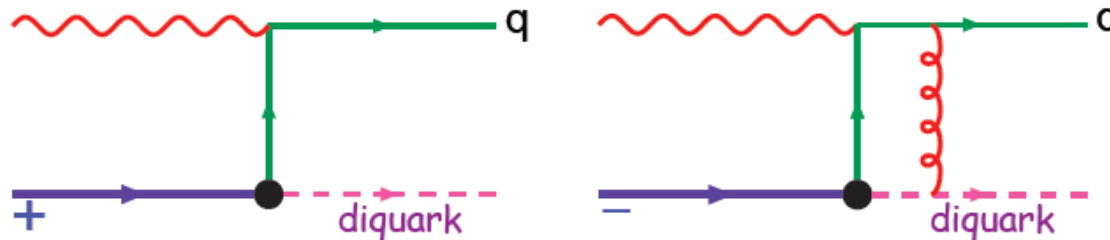
Modified universality requires full QCD: Gauge-invariant quantum field theory

We have ignored here the subtleties needed to make this a gauge invariant definition: an appropriate path ordered exponential of the gluon field is needed [18].

Collins, 1993

gauge links have physical consequences;
quark models for non vanishing Siverts function,

SIDIS final state interactions



Brodsky, Hwang, Schmidt, PL B530 (2002) 99 - Collins, PL B536 (2002) 43

An earlier proof that the Siverts asymmetry vanishes because of time-reversal invariance is invalidated by the path-ordered exponential of the gluon field in the operator definition of parton densities. Instead, the time-reversal argument shows that the Siverts asymmetry is reversed in sign in hadron-induced hard processes (e.g., Drell-Yan), thereby violating naive universality of parton densities. Previous phenomenology with time-reversal-odd parton densities is therefore validated.

$$[f_{1T}^{q\perp}]_{\text{SIDIS}} = -[f_{1T}^{q\perp}]_{\text{DY}}$$

From M.
Anselmino,
Transversity
2014

Physical consequences of a gauge-invariant quantum theory: Aharonov-Bohm (1959)

Wikipedia:

“The Aharonov–Bohm effect is important conceptually because it bears on three issues apparent in the recasting of (Maxwell's) classical electromagnetic theory as a gauge theory, which before the advent of quantum mechanics could be argued to be a mathematical reformulation with no physical consequences. The Aharonov–Bohm thought experiments and their experimental realization imply that the issues were not just philosophical.

The three issues are:

- whether potentials are "physical" or just a convenient tool for calculating force fields;
- whether action principles are fundamental;
- the principle of locality.”



Physical consequences of a gauge-invariant quantum theory: Aharonov-Bohm (1959)

Physics Today, September 2009 :

The Aharonov–Bohm effects: Variations on a subtle theme,
by Herman Batelaan and Akira Tonomura.

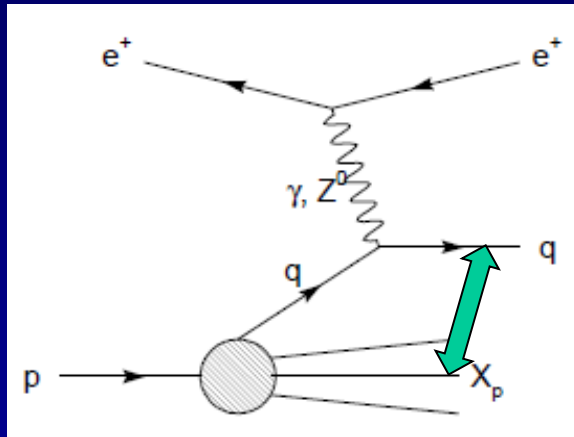
“Aharonov stresses that the arguments that led to the prediction of the various electromagnetic AB effects apply equally well to any other gauge-invariant quantum theory. In the standard model of particle physics, the strong and weak nuclear interactions are also described by gauge-invariant theories. So one may expect that particle-physics experimenters will be looking for new AB effects in new domains.”



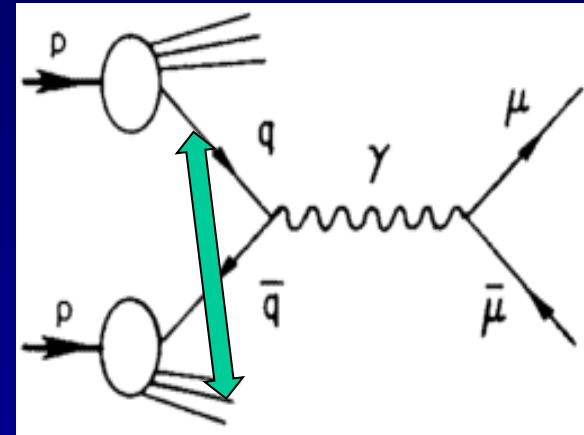
See e.g. Pijlman,
hep-ph/0604226
or Sivers,
arXiv:1109.2521

Physical consequences of a gauge-invariant quantum theory: Aharonov-Bohm effect in QCD!!

**Deep-inelastic lepton-nucleon
scattering:
Attractive final-state interactions**



**Quark-antiquark annihilation to
leptons:
Repulsive initial-state interactions**



As a result, get *opposite sign* for the Sivers transverse-momentum-dependent pdf when measure in semi-inclusive DIS versus Drell-Yan: *process-dependent* pdf! (Collins 2002)

See e.g. Pijlman,
hep-ph/0604226
or Sivers,
arXiv:1109.2521

Physical consequences of a gauge-invariant quantum theory: Aharonov-Bohm effect in QCD!!

**Deep-inelastic lepton-nucleon
scattering:
Attractive final-state interactions**

**Quark-antiquark annihilation to
leptons:
Repulsive initial-state interactions**

*Simplicity of these two processes:
Abelian vs. non-Abelian nature of the gauge
group doesn't play a major qualitative role.*

*BUT: In QCD expect additional, new effects
due to specific non-Abelian nature of the
gauge group*

As
mo

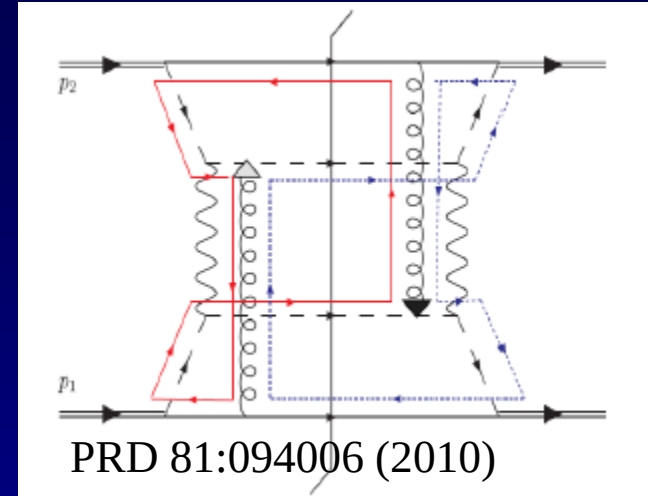
ive

DIS versus Drell-Yan: *process-dependent* pdf! (Collins 2002)



QCD Aharonov-Bohm effect: Color entanglement

- 2010: Rogers and Mulders predict *color entanglement* in processes involving $p+p$ production of hadrons if quark transverse momentum taken into account
- Quarks become correlated *across* the two protons
- Consequence of QCD specifically as a ***non-Abelian*** gauge theory!

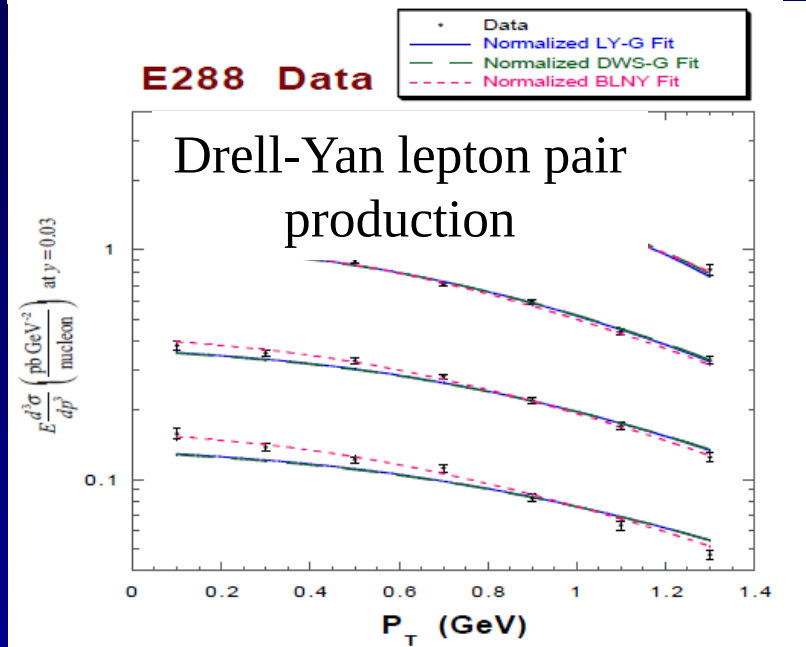


$$p + p \rightarrow h_1 + h_2 + X$$

Color flow can't be described as flow in the two gluons separately. Requires simultaneous presence of both.

Testing the Aharonov-Bohm effect in QCD as a non-Abelian gauge theory

Landry, Brock, Nadolsky, Yuan, 2002

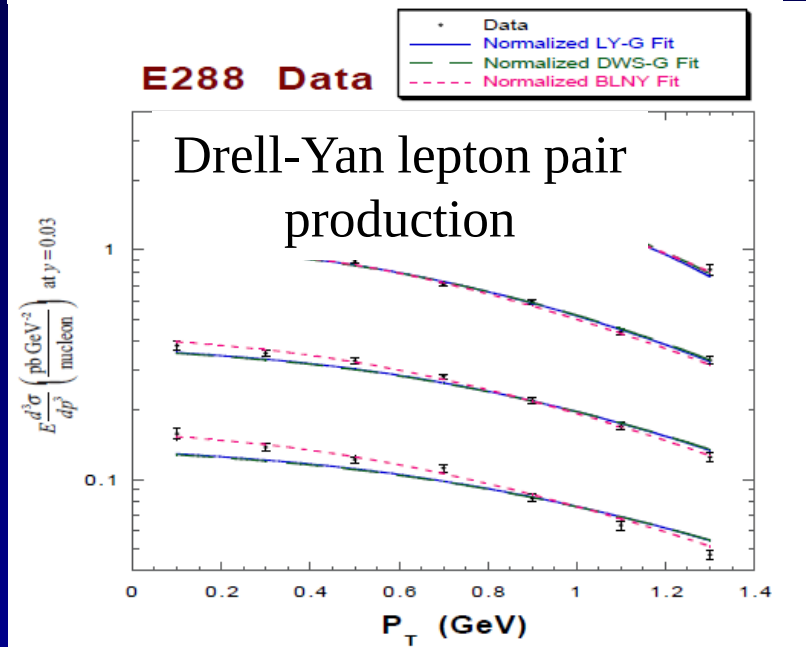


$p+A \rightarrow \mu^+ + \mu^- + X$
for different
invariant masses:
No color
entanglement
expected

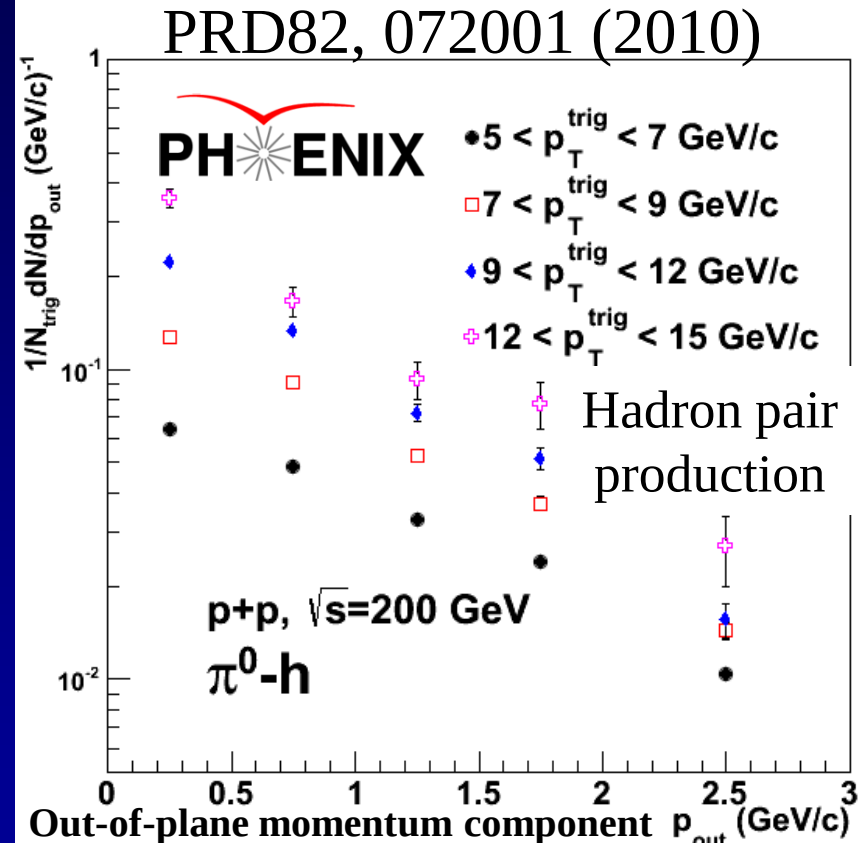
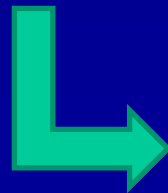
Get predictions from fits to data where
no entanglement expected

Testing the Aharonov-Bohm effect in QCD as a non-Abelian gauge theory

Landry, Brock, Nadolsky, Yuan, 2002



Get predictions from fits to data where no entanglement expected



Make predictions for processes where entanglement is expected; look for deviation

Summary and outlook

- We still have a ways to go from the quarks and gluons of QCD to full descriptions of the protons and nuclei of the world around us!
- After an initial “discovery and development” period lasting ~ 30 years, we’re now taking early steps into an exciting new era of *quantitative QCD*!
- Work related to the intrinsic transverse momentum of quarks within the proton has opened up a whole new research area focused on spin-momentum correlations and parton dynamics in QCD, and brought to light fundamental predictions of process-dependent pdfs and color entanglement across QCD bound states

Summary and outlook

- We still have a ways to go from the quarks and gluons

There's a large and diverse community of people—at RHIC and complementary facilities—driven to continue coaxing the secrets out of one of the most fundamental building blocks of the world around us.

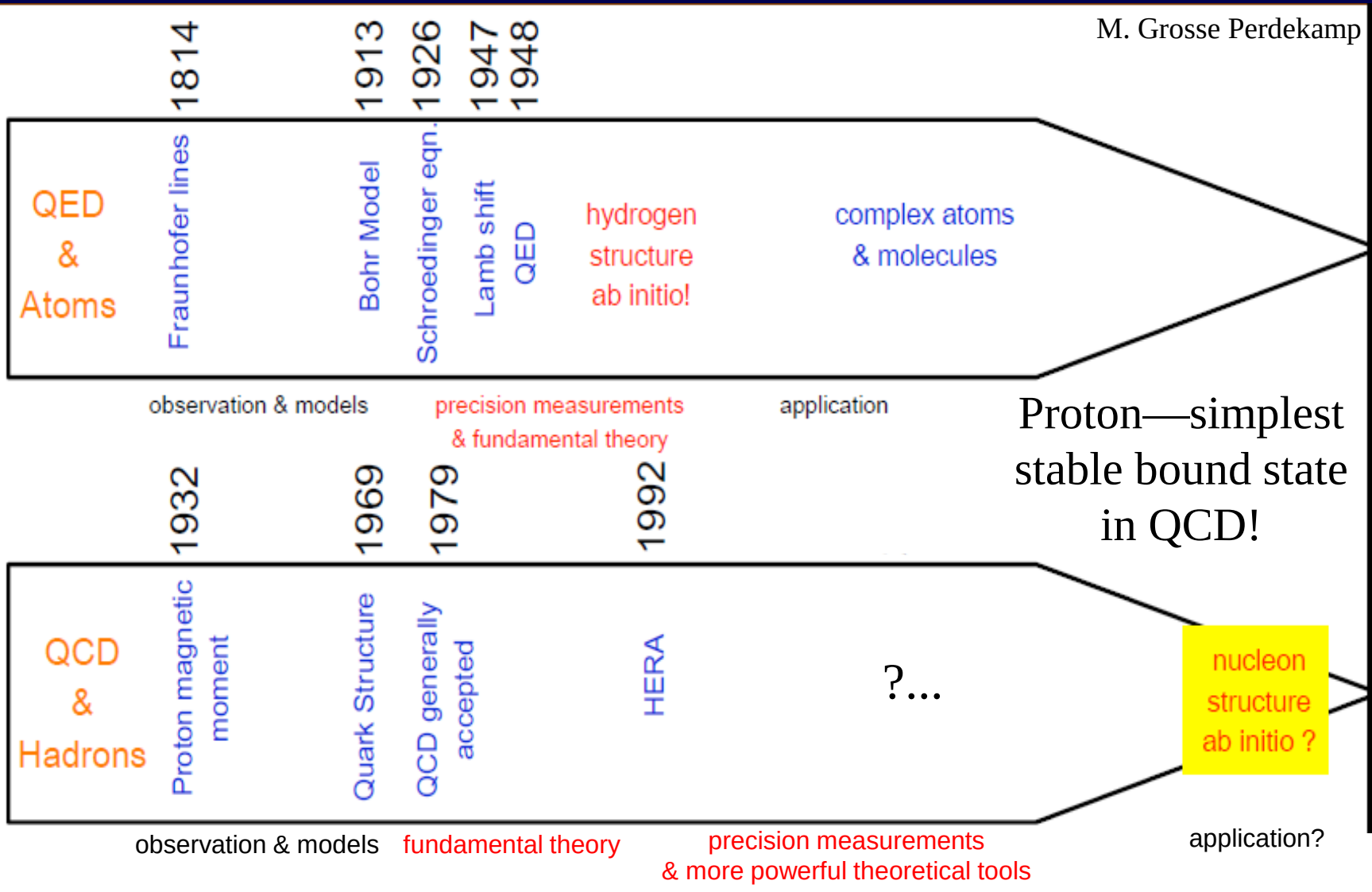
quarks within the proton has opened up a whole new research area focused on spin-momentum correlations and parton dynamics in QCD, and brought to light fundamental predictions of process-dependent pdfs and color entanglement across QCD bound states

Additional Material



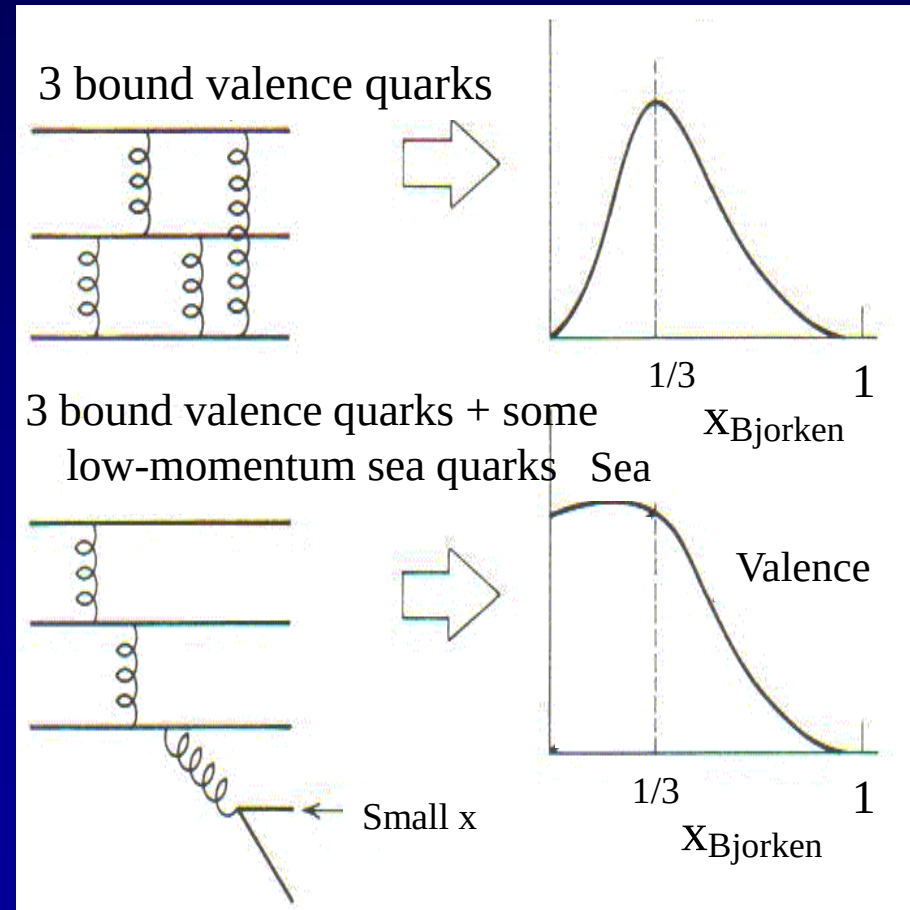
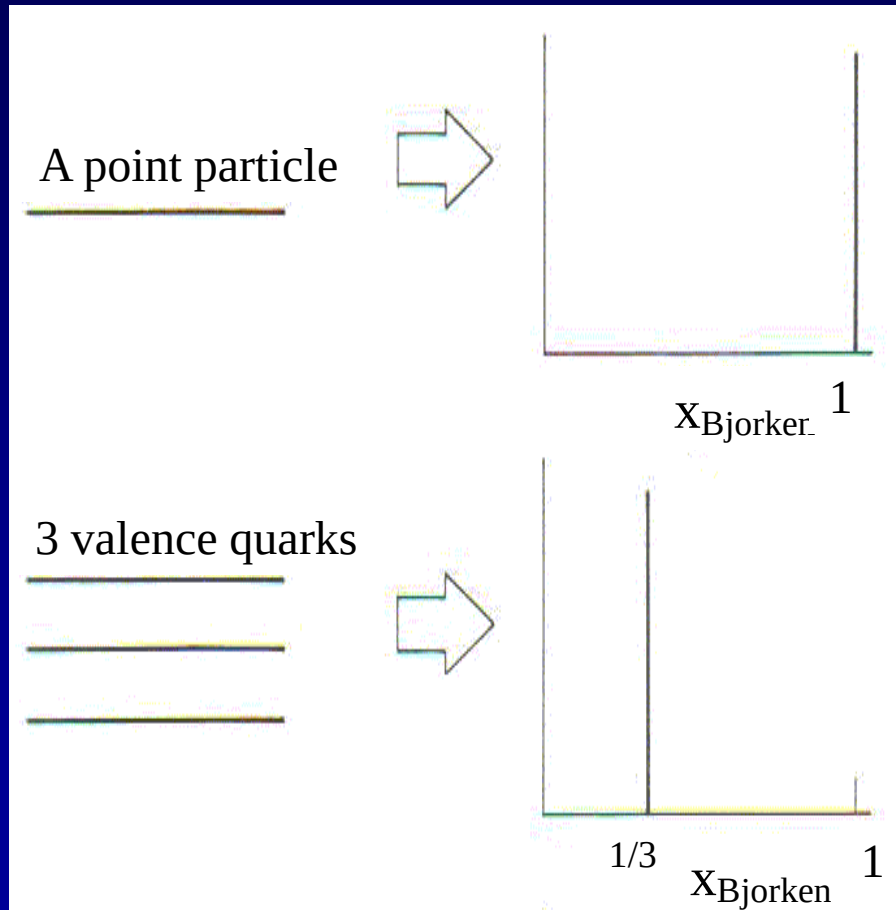
The proton as a QCD “laboratory”

M. Grosse Perdekamp

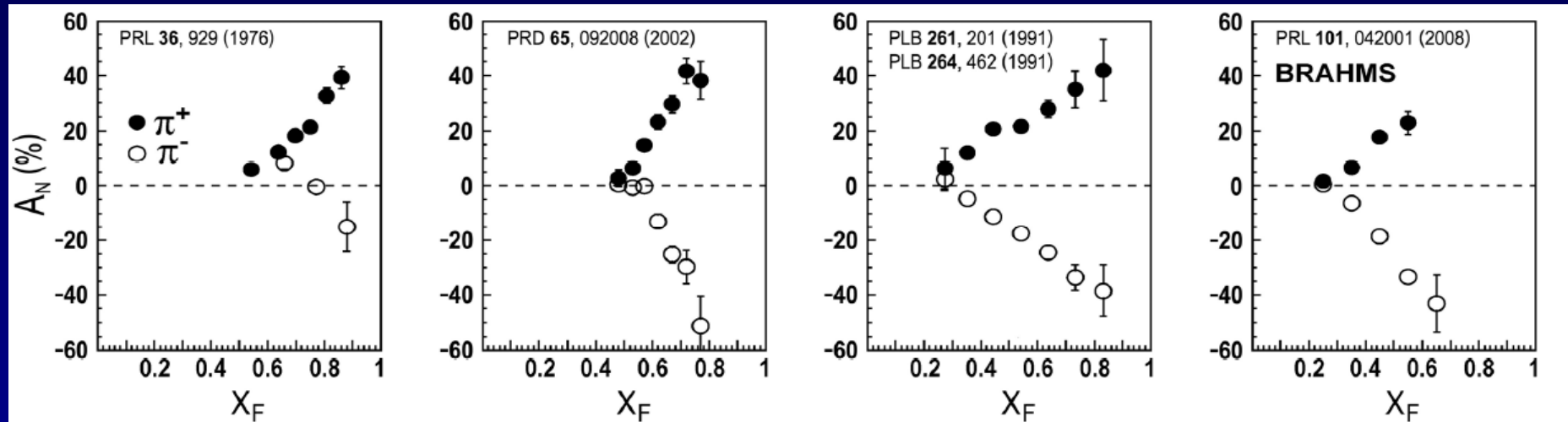


Parton distribution functions inside a nucleon: The language we've developed (so far!)

What momentum fraction would the scattering particle carry
if the proton were made of ...

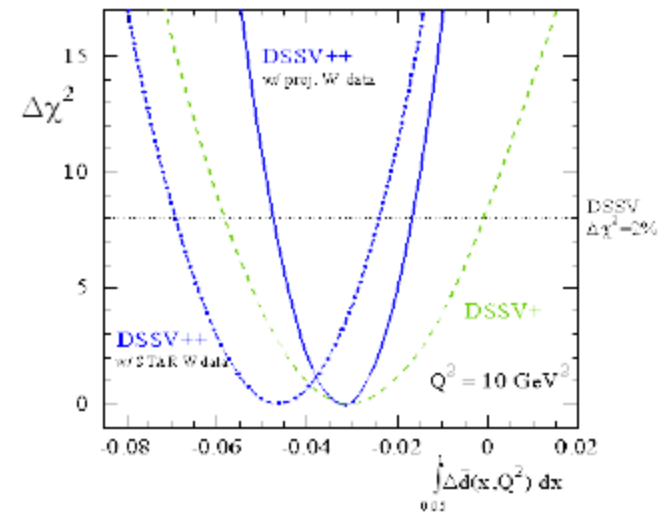
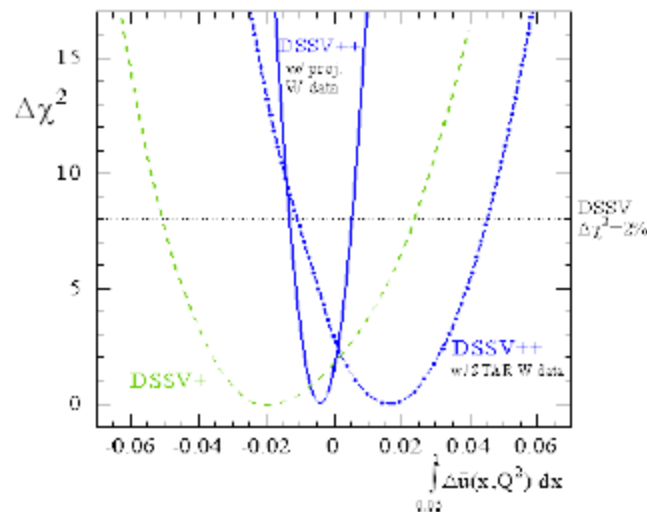
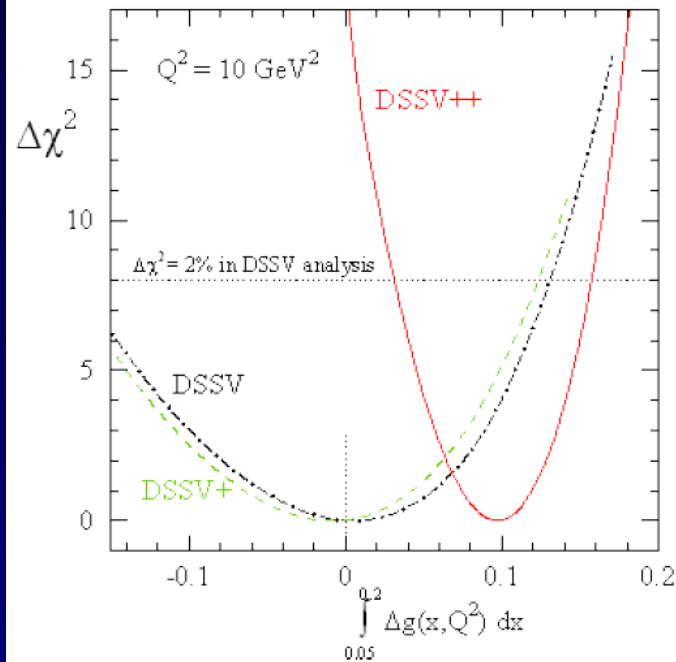


Consequences of QCD as a non-Abelian gauge theory: New predictions emerging

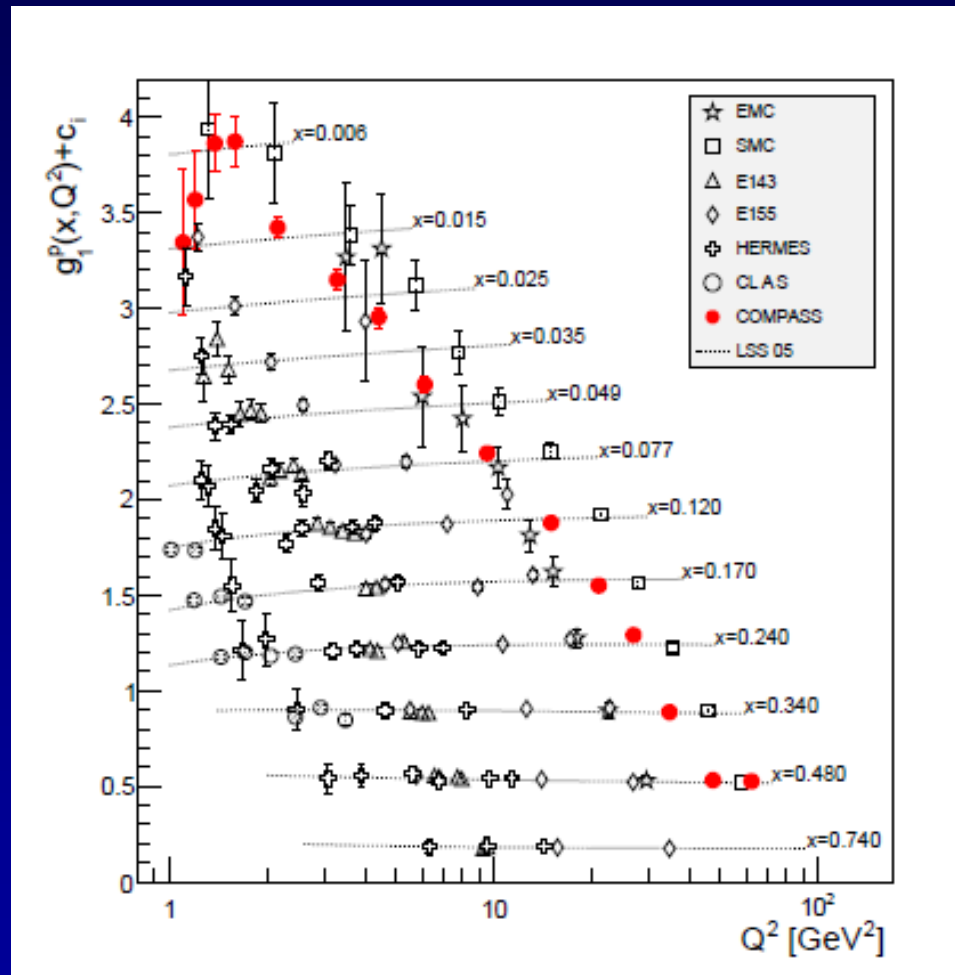


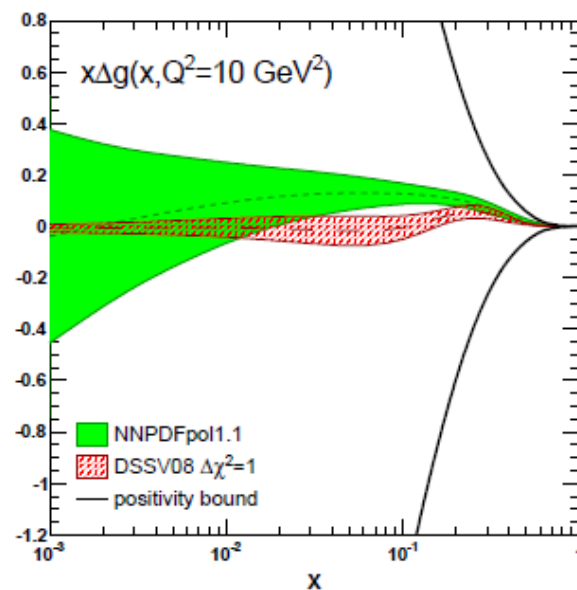
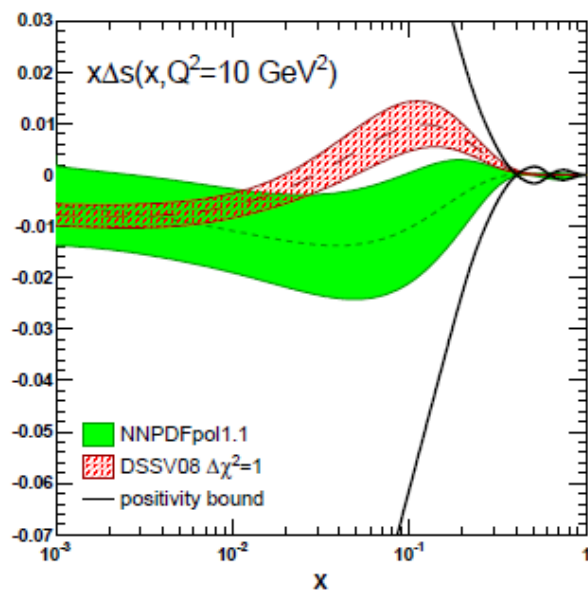
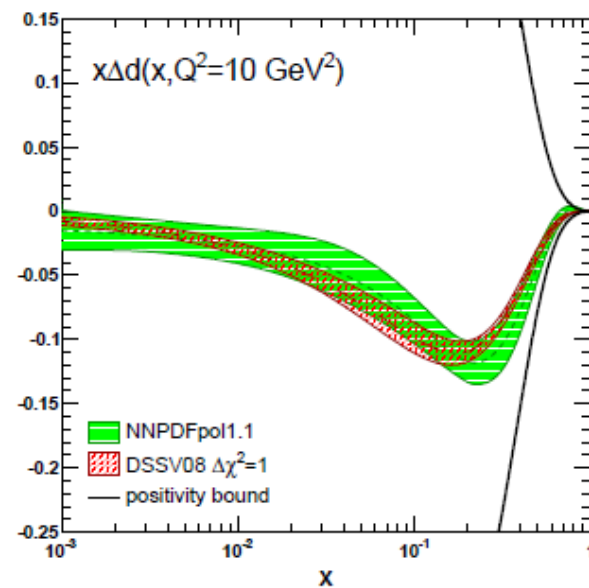
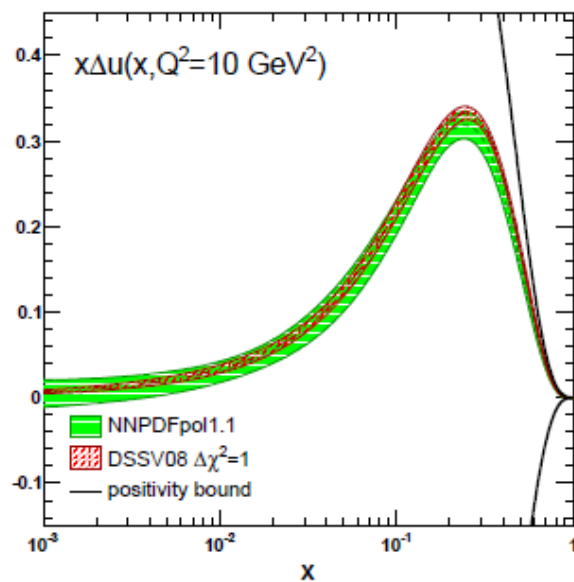
on the right track.

Improvements in knowledge of gluon and sea quark spin contributions from RHIC data



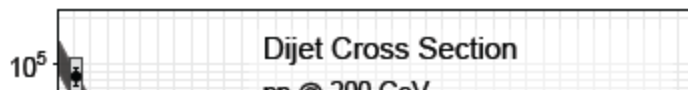
World data on polarized proton structure function





Dijet Cross Section and Double Helicity Asymmetry

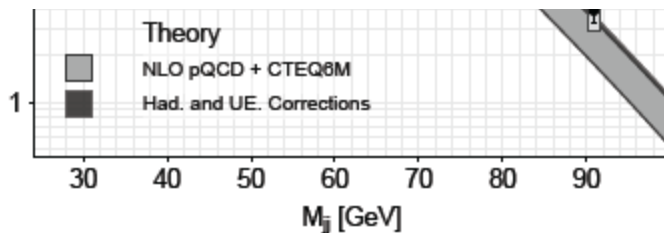
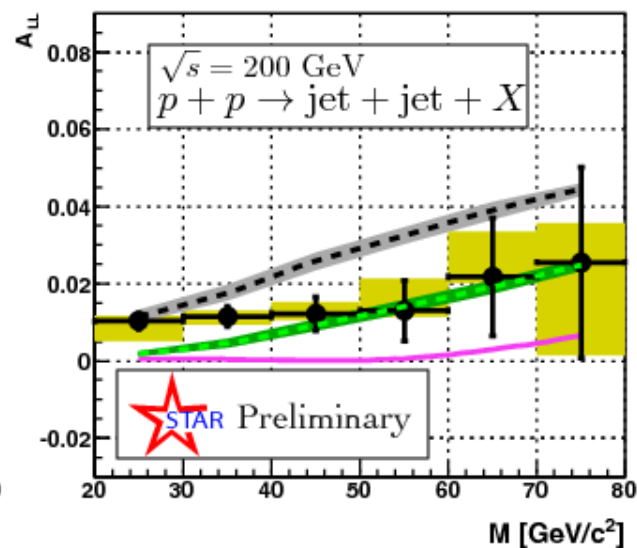
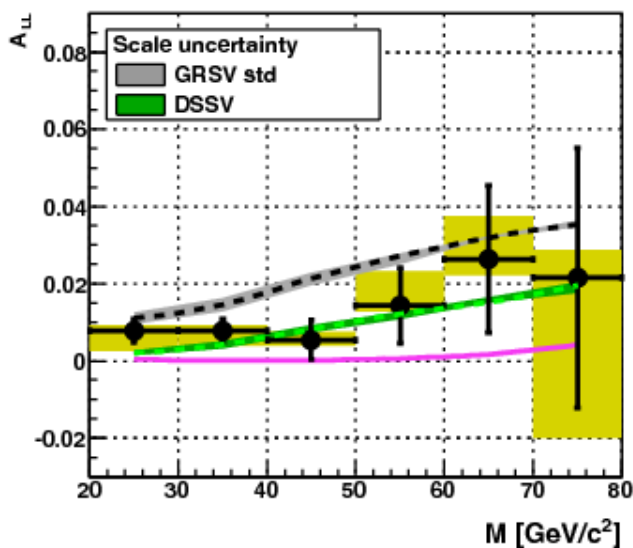
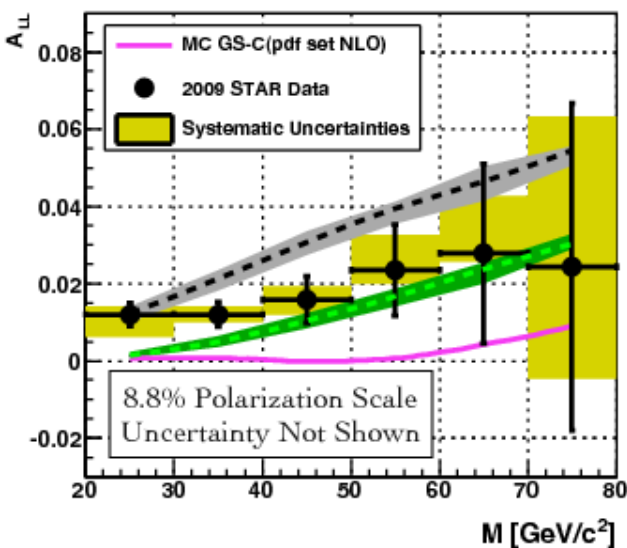
- Dijets as a function of



East - East and West - West Barrel

East Barrel - West Barrel

Full Acceptance



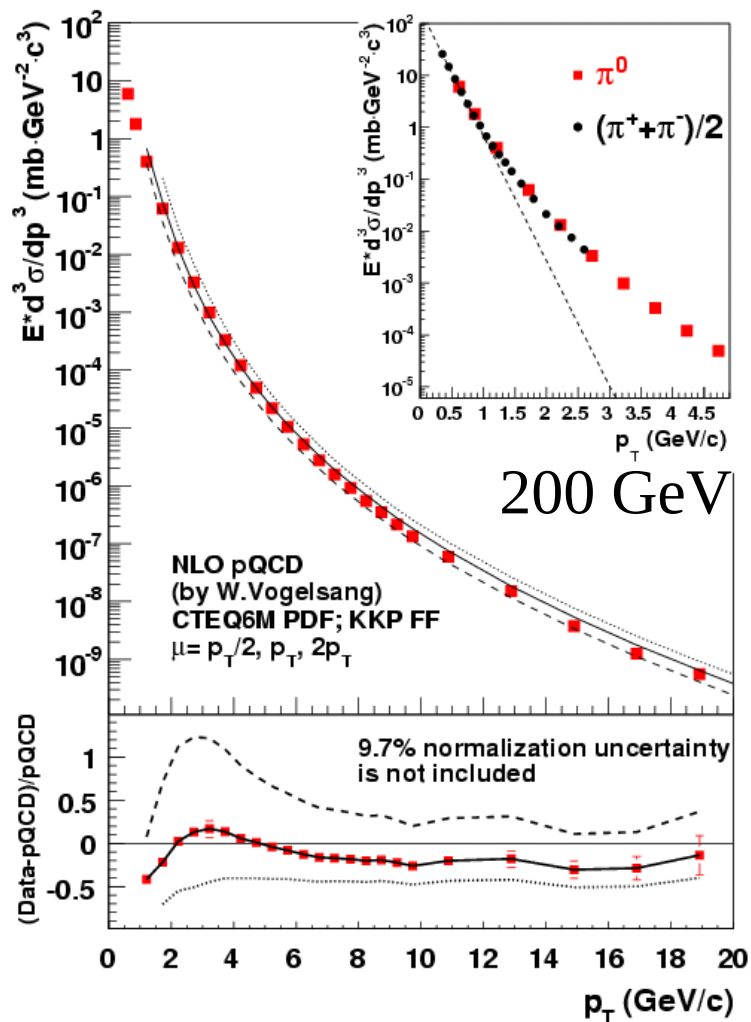
Fragmentation Functions (FF's): Improving Our Input for Inclusive Hadronic Probes

- FF's not directly calculable from theory—need to be measured and fitted experimentally
- The better we know the FF's, the tighter constraints we can put on the polarized parton distribution functions!
- Traditionally from e^+e^- data—clean system!
- Framework now developed to extract FF's using all available data from deep-inelastic scattering and hadronic collisions as well as e^+e^-
 - de Florian, Sassot, Stratmann: PRD75:114010 (2007) and arXiv:0707.1506

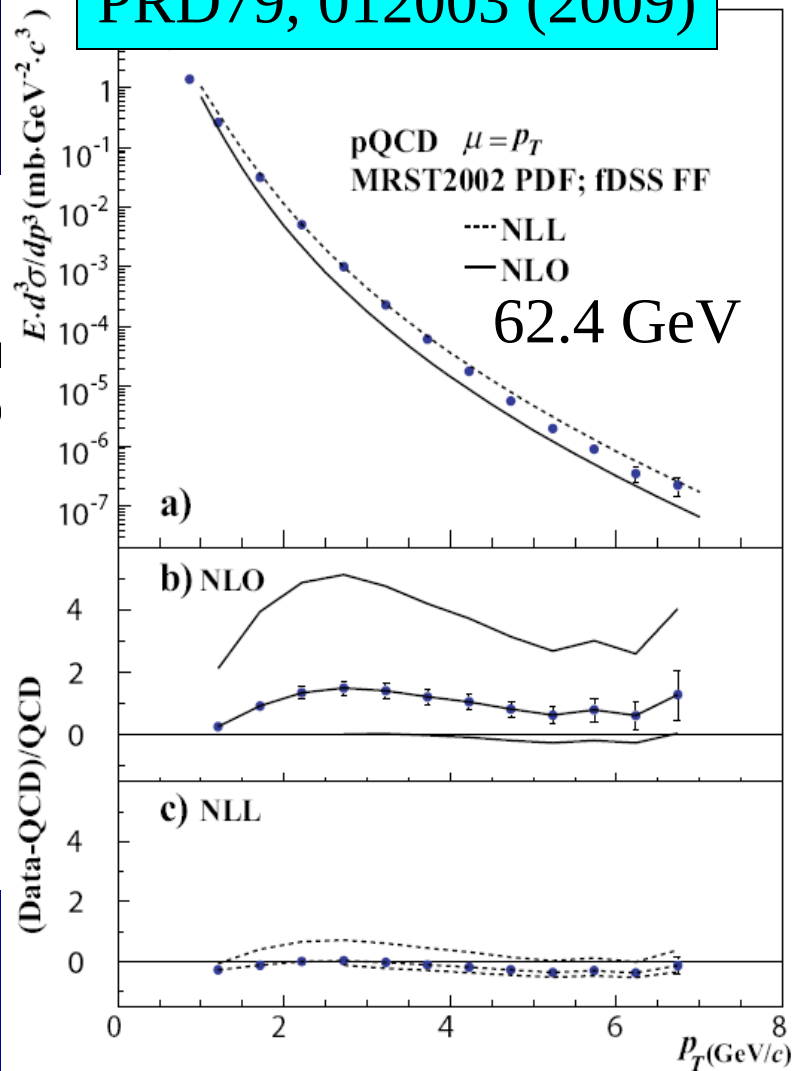


Extending x Coverage

PRD79, 012003 (2009)



higher
4 GeV

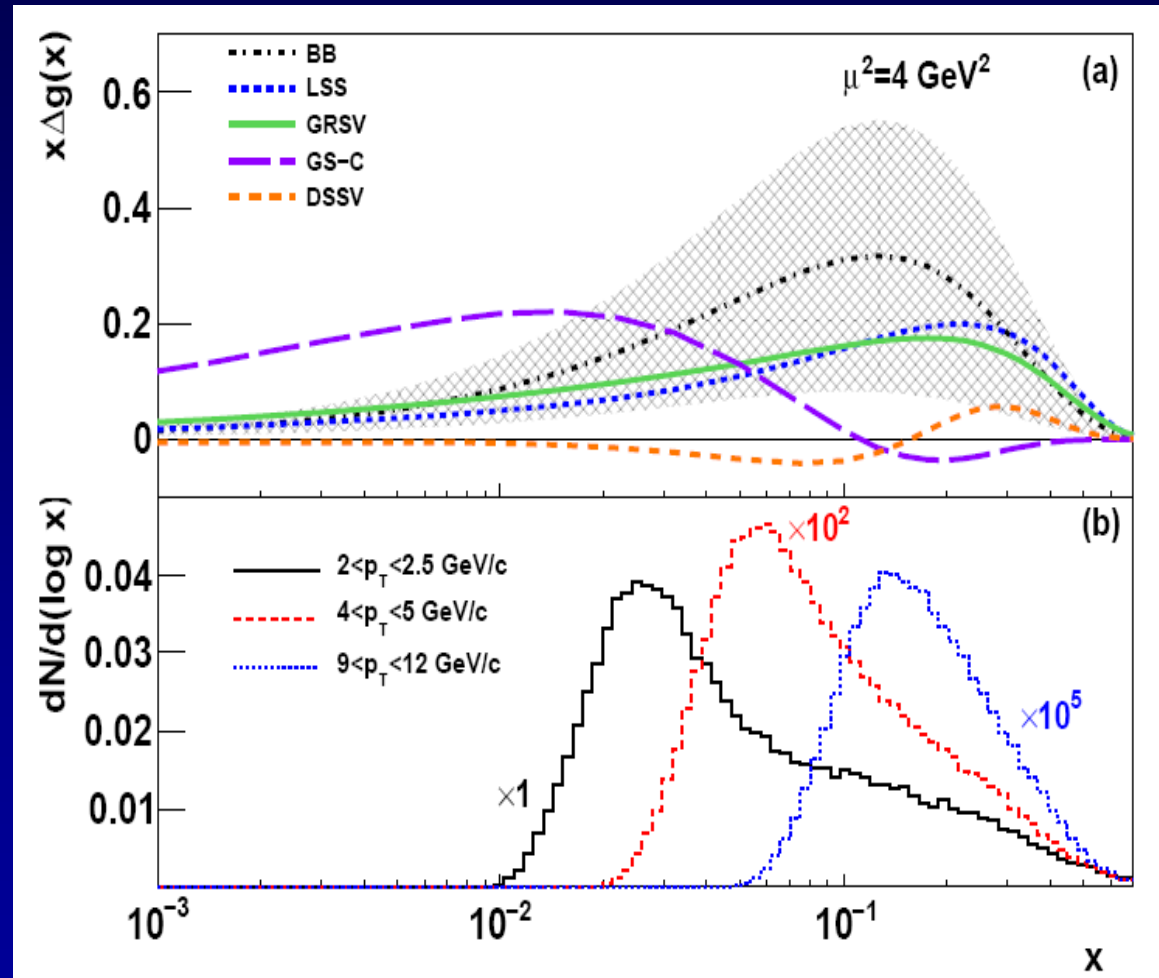


Sampling the Integral of ΔG :

$\pi^0 p_T$ vs. x_{gluon}

Inclusive asymmetry measurements in p+p collisions sample from wide bins in x —sensitive to (truncated) integral of ΔG , not to functional form vs. x

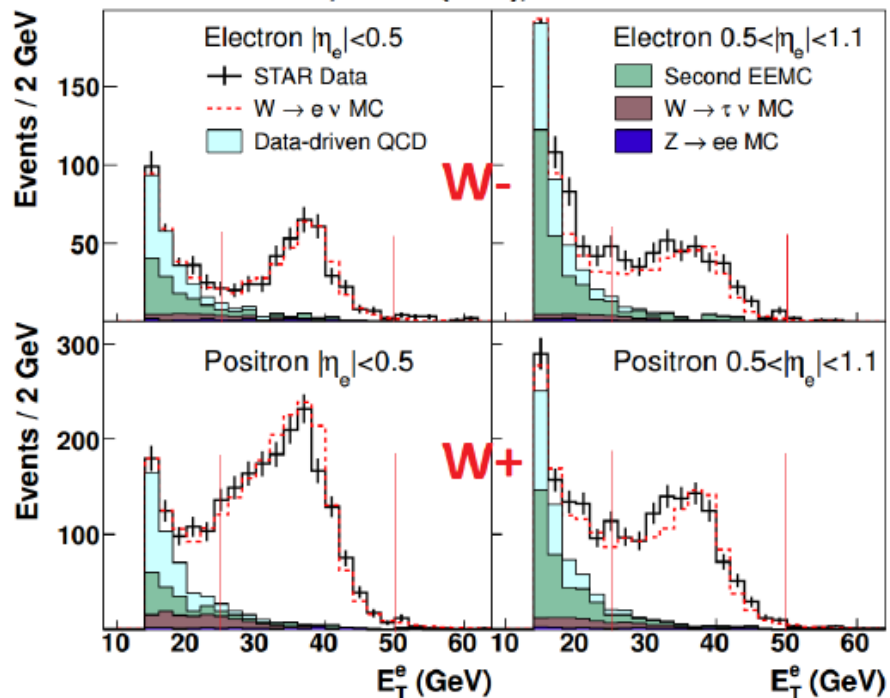
Based on simulation using NLO pQCD as input



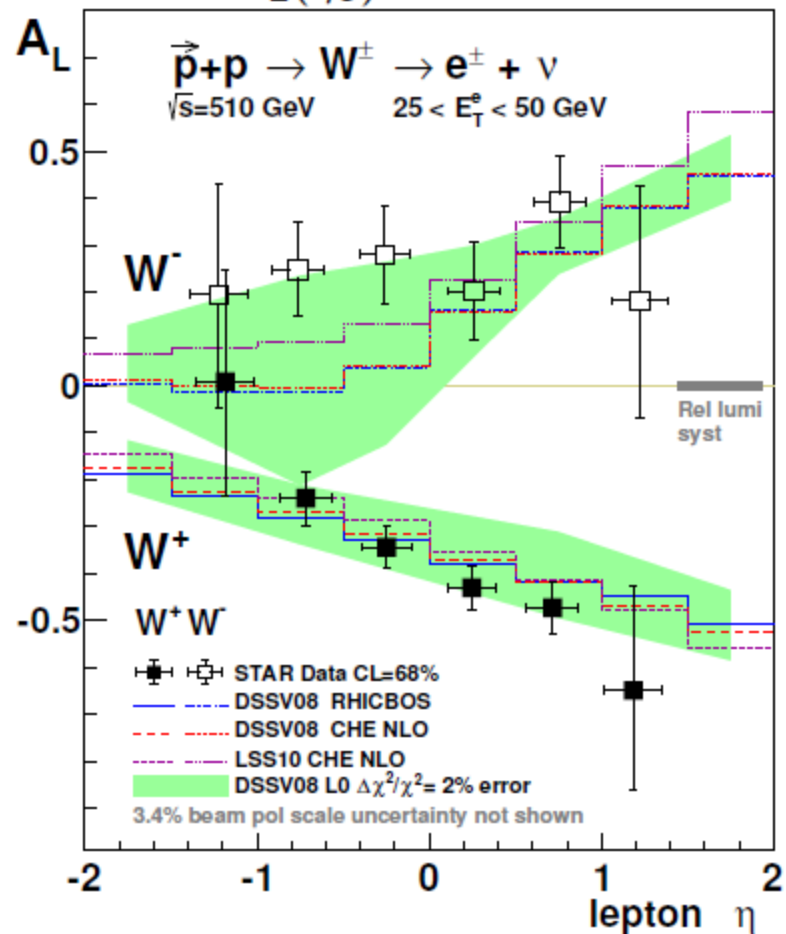
PRL 103, 012003 (2009)



PRL 113, 072301(2014), arXiv: 1404.6880



$W A_L(\eta_e)$ 2011+2012



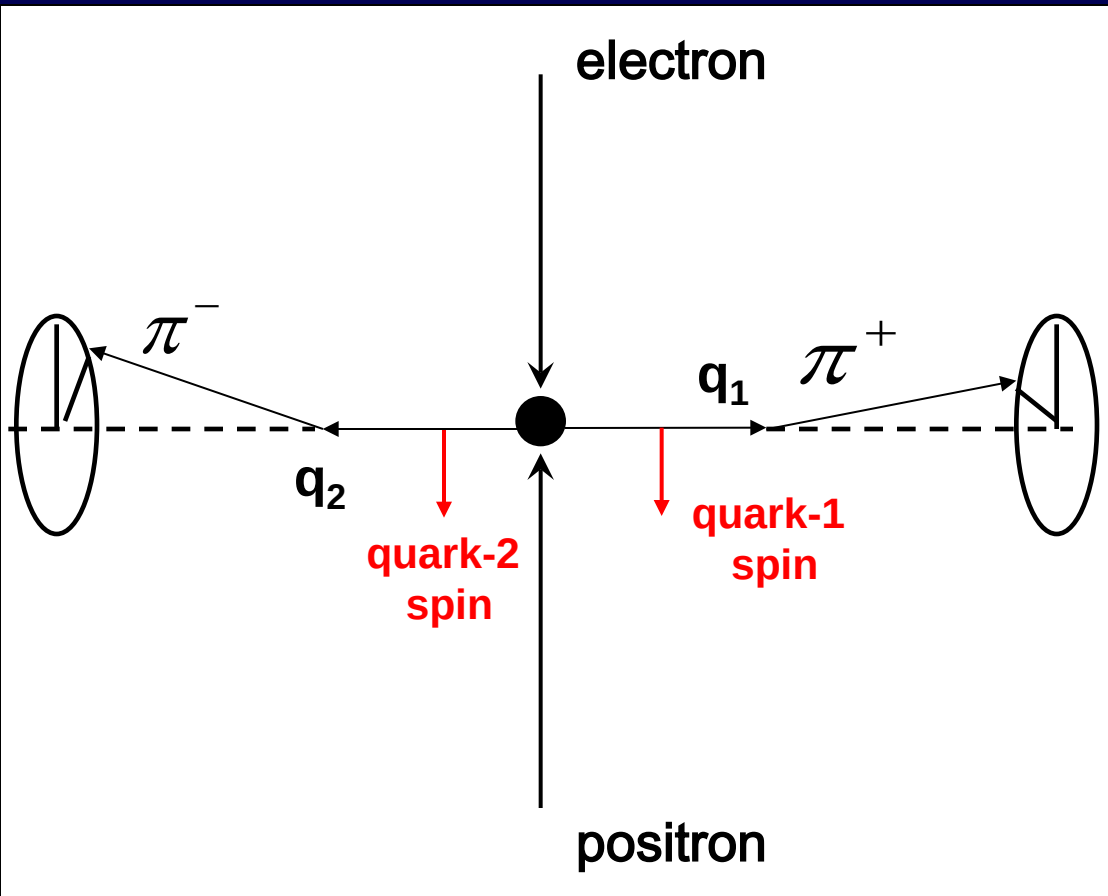
PRL 113, 072301 (2014)



Longitudinal (Helicity) vs. Transverse Spin Structure

- Transverse spin structure of the proton cannot be deduced from longitudinal (helicity) structure
 - Spatial rotations and Lorentz boosts don't commute!
 - Only the same in the non-relativistic limit
- Transverse structure linked to intrinsic parton transverse momentum (k_T) and orbital angular momentum!

The Collins Effect Must be Present In e^+e^- Annihilation into Quarks!



Collins effect in e^+e^- Quark fragmentation will lead to effects in di-hadron correlation measurements!

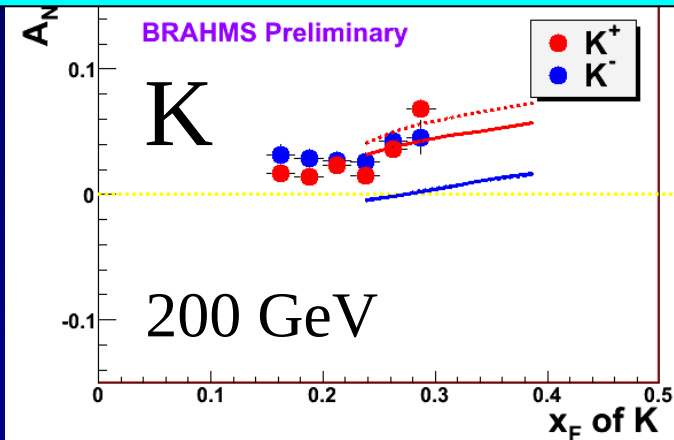


K

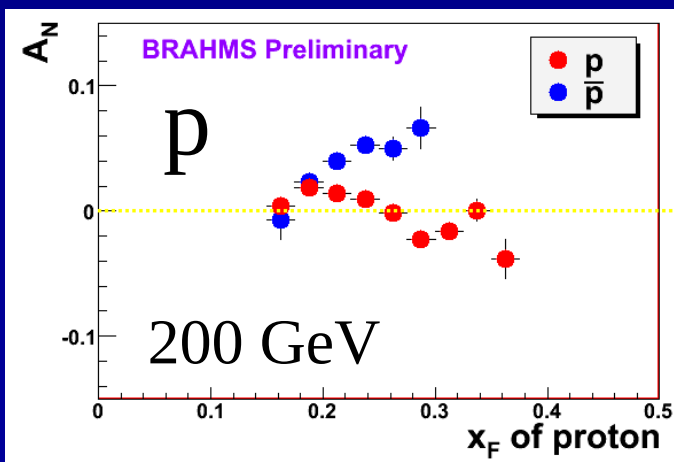
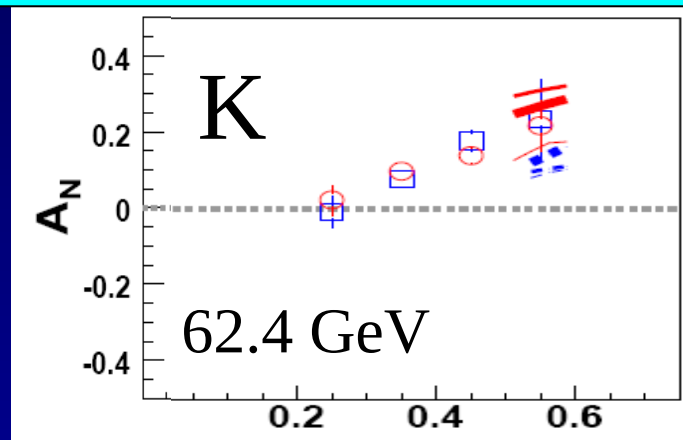


Pattern of pion species asymmetries in the forward direction
 $\rightarrow$ valence quark effect.

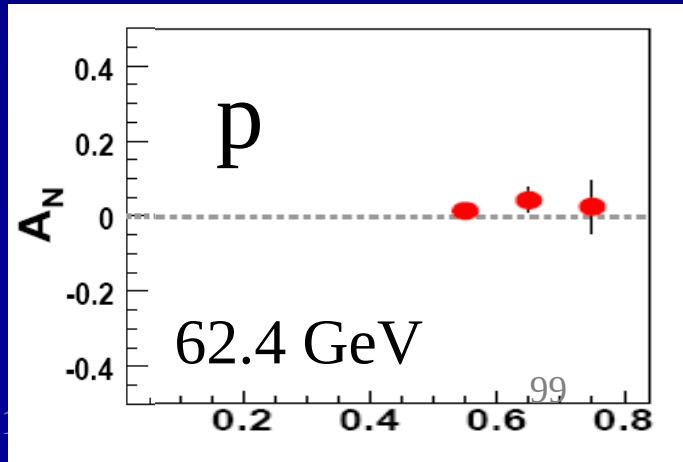
But this conclusion confounded by kaon and antiproton asymmetries!



K- asymmetries underpredicted



Large antiproton asymmetry??
 Unfortunately no 62.4 GeV measurement



Another Surprise: Transverse Single-Spin Asymmetry in Eta Meson Production

$$p^\uparrow + p \rightarrow \eta + X \quad \sqrt{s} = 200 \text{ GeV}$$

$\eta \rightarrow$

Further evidence against a valence quark effect!
Lots of territory still to explore to understand spin-momentum correlations in QCD!

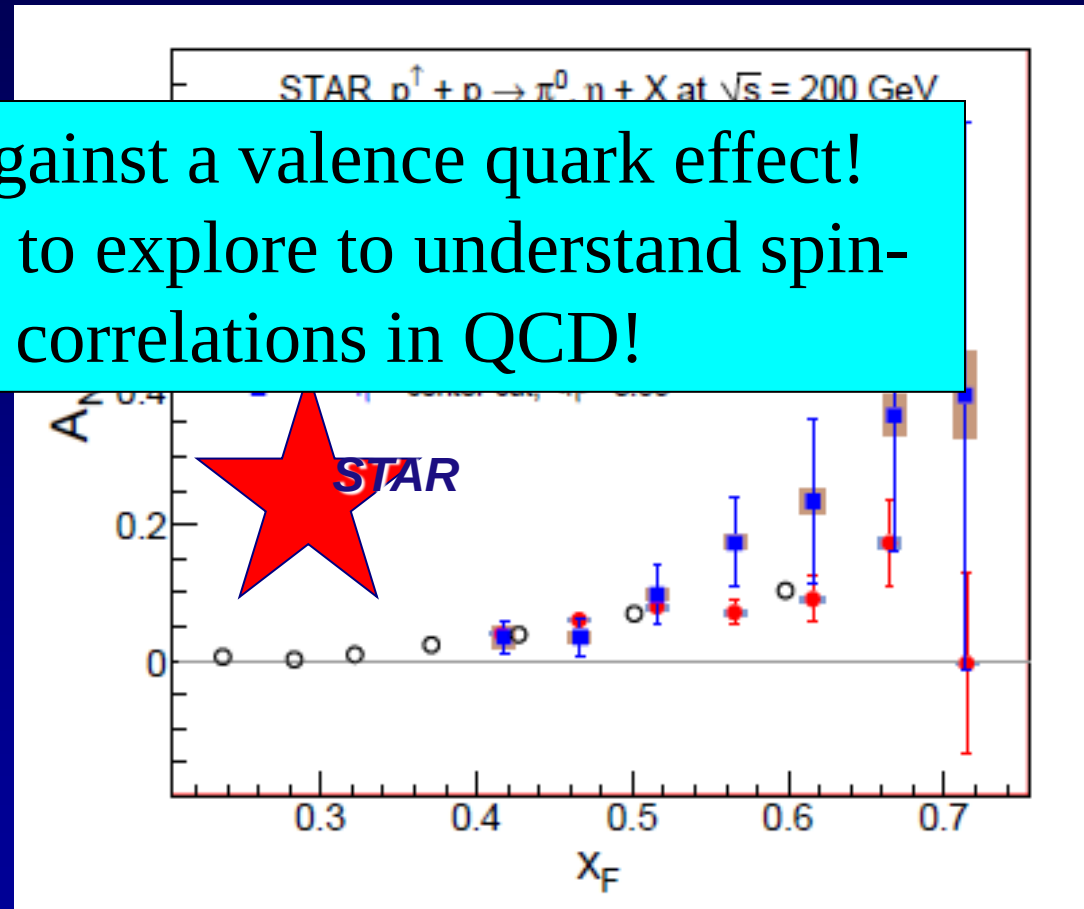
$$0.55 < X_F < 0.75$$

$$\langle A_N \rangle_\eta = 0.361 \pm 0.064$$

$$\langle A_N \rangle_\pi = 0.078 \pm 0.018$$

$$\pi^0 \equiv \frac{u\bar{u} - d\bar{d}}{\sqrt{2}}$$

$$\eta \equiv \frac{u\bar{u} + d\bar{d} - 2s\bar{s}}{\sqrt{6}}$$

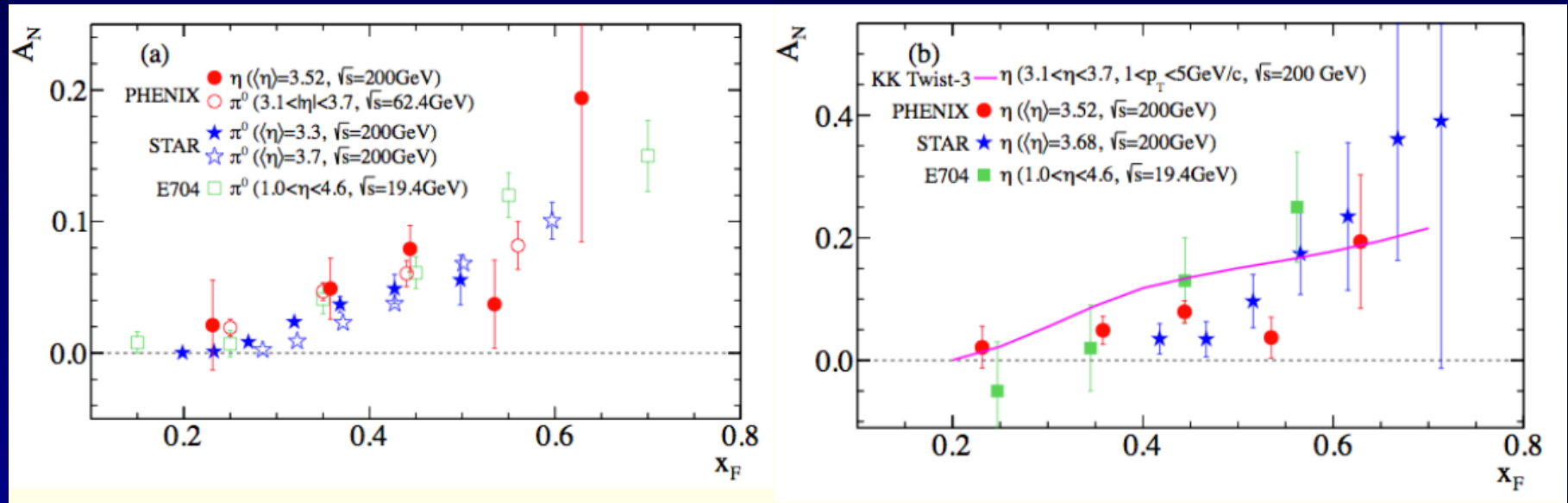


PRD 86:051101 (2012)



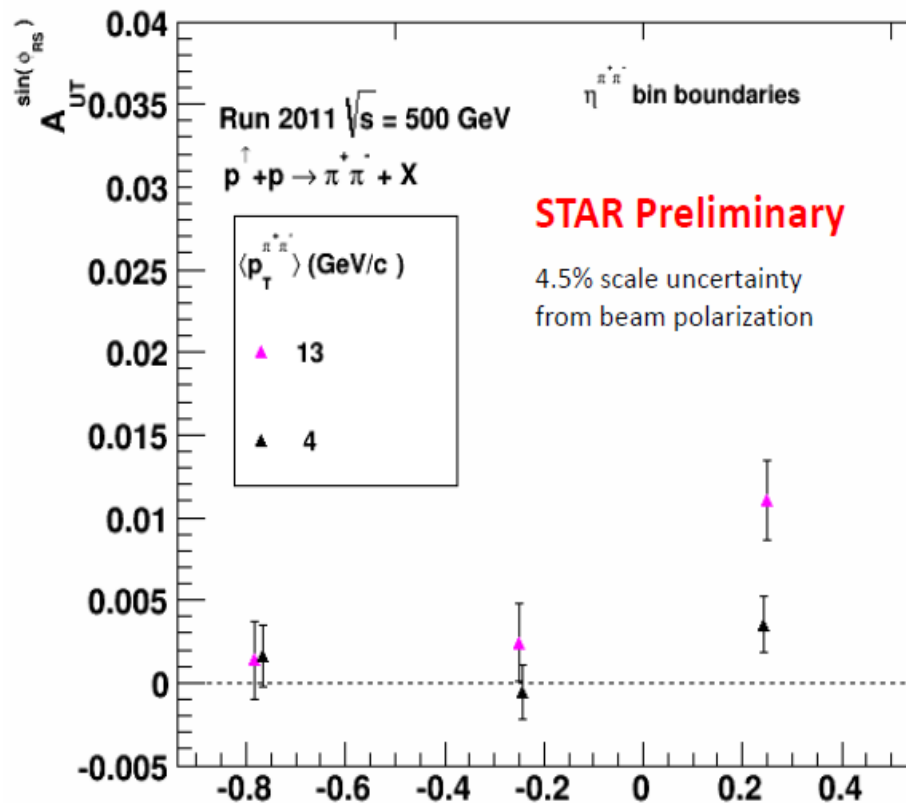
New η A_N from PHENIX

PRD90, 072008 (2014)

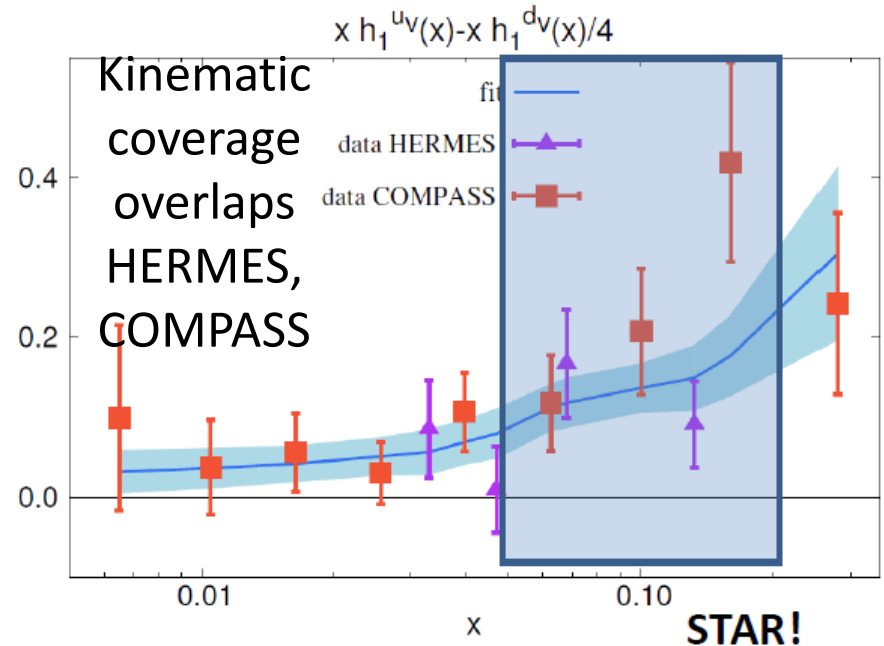


- Large η A_N observed by STAR and PHENIX (and E704), similar in magnitude to π^0
- Expect to measure forward *direct photons* from 2015 data for p+p, p+A with transverse proton polarization—minimal sensitivity to final-state effects
- PHENIX MPC-EX forward preshower upgrade

Transverse single-spin asymmetry in dihadron production from STAR

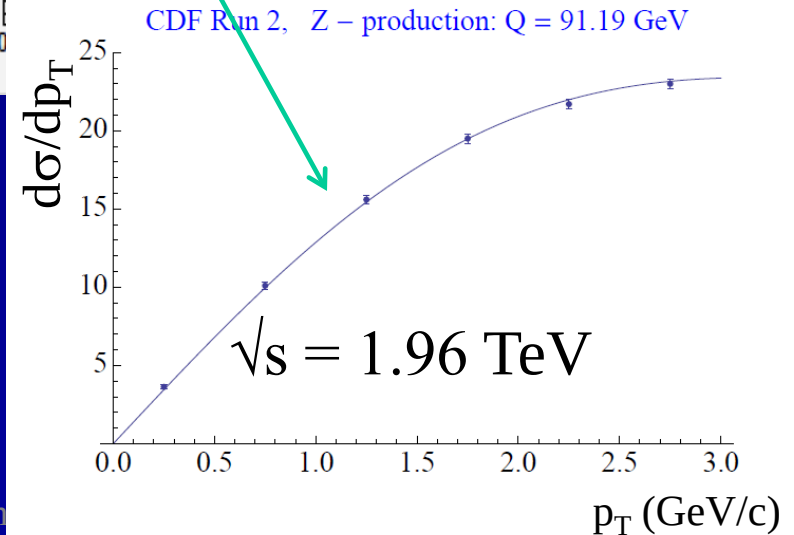
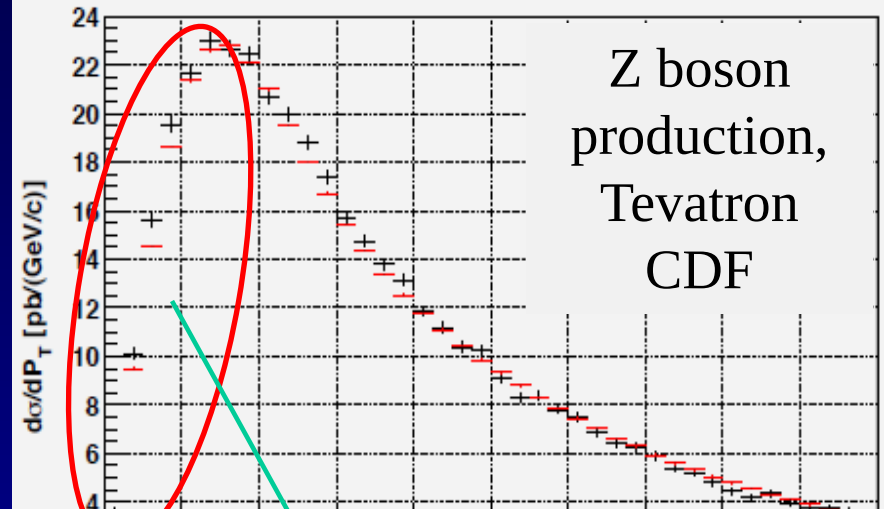
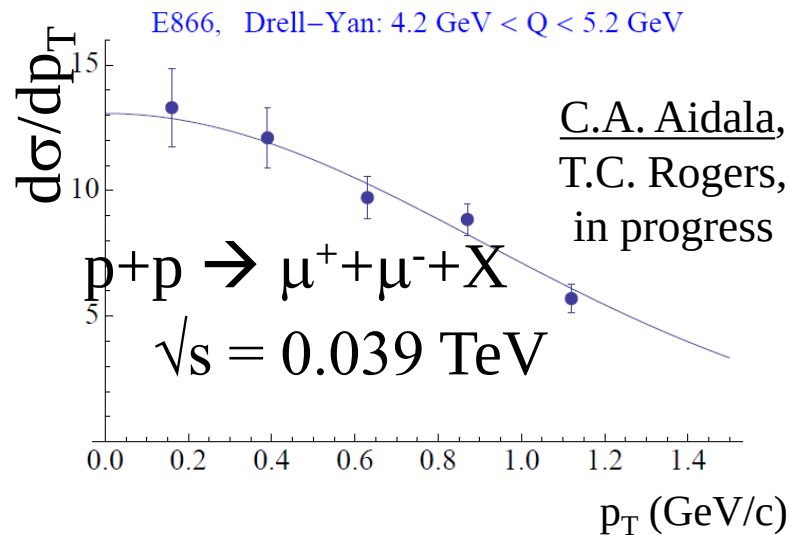


- Nonzero result observed in 200 GeV
- Collinear process—should be cleanly



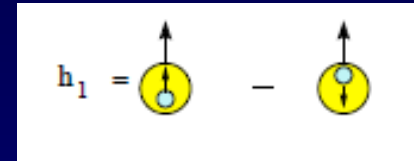
Testing the Aharonov-Bohm effect in QCD as a non-Abelian gauge theory

- Don't know what to expect from color-entangled quarks → Look for contradiction with predictions for the case of *no* color entanglement
- But first need to parameterize (unpolarized) transverse-momentum-dependent parton distribution functions from world data—never looked at before!



Spin-momentum correlations and the proton as a QCD “laboratory”

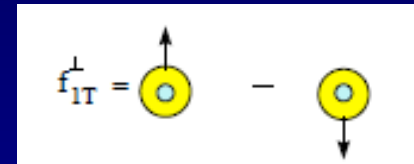
“Transversity” pdf:



Correlates *proton transverse spin* and *quark transverse spin*

S_p - S_q coupling

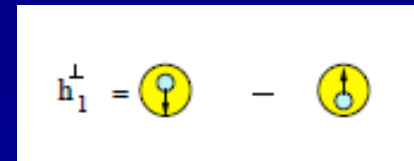
“Sivers” pdf:



Correlates *proton transverse spin* and *quark transverse momentum*

S_p - L_q coupling

“Boer-Mulders” pdf:



Correlates *quark transverse spin* and *quark transverse momentum*

S_q - L_q coupling