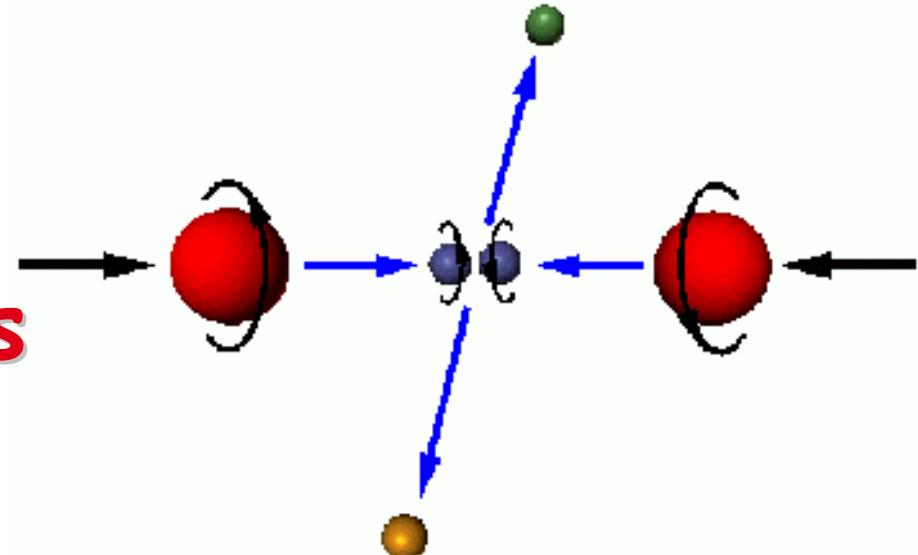


# PHENIX Spin Program: recent results and prospects



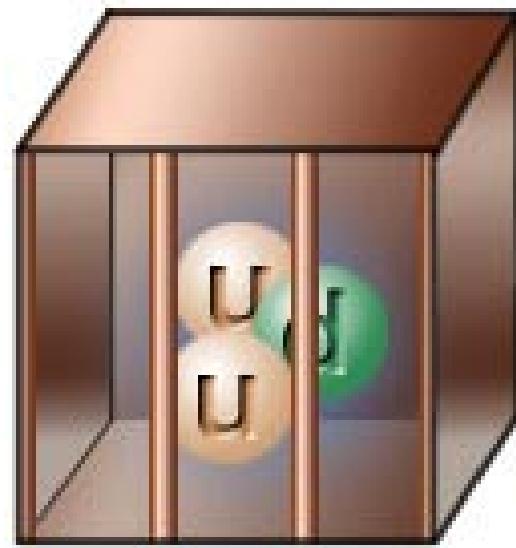
*Kenneth N. Barish*

**SPIN-Praha-2003**  
**12-19 July, 2003**

# Questions RHIC hopes to address



- » What makes up the spin of the proton?  
⇒ polarized proton collisions
- » Why are quarks confined inside protons?  
⇒ heavy-ion collisions
- » What makes up most of the mass around us?  
⇒ recreate “simple” vacuum



# Outline

## Introduction to PHENIX and RHIC PHENIX Spin Physics

- » Sensitivity to gluon contribution to proton spin ( $\Delta G$ )
  - Leading hadrons, prompt  $\gamma$  production, heavy flavor production
- » Quark and anti-quark helicity distributions
- » Transversity

## Introduction to heavy ion physics Experimental Status

- » Heavy ion physics
  - "hard probes" in Au+Au, p+p, and d+Au collisions
- » Spin physics
  - p+p spin-averaged measurements
  - Establishment of polarized protons
  - Status of asymmetry measurements

## Future Prospects



Brazil	University of São Paulo, São Paulo
China	Academia Sinica, Taipei, Taiwan China Institute of Atomic Energy, Beijing Peking University, Beijing
France	LPC, University de Clermont-Ferrand, Clermont-Ferrand Dapnia, CEA Saclay, Gif-sur-Yvette IPN-Orsay, Universite Paris Sud, CNRS-IN2P3, Orsay LLR, Ecole Polytechnique, CNRS-IN2P3, Palaiseau SUBATECH, Ecole des Mines at Nantes, Nantes
Germany	University of Münster, Münster
Hungary	Central Research Institute for Physics (KFKI), Budapest Debrecen University, Debrecen Eötvös Loránd University (ELTE), Budapest
India	Banaras Hindu University, Banaras Bhabha Atomic Research Centre, Bombay
Israel	Weizmann Institute, Rehovot
Japan	Center for Nuclear Study, University of Tokyo, Tokyo Hiroshima University, Higashi-Hiroshima KEK, Institute for High Energy Physics, Tsukuba Kyoto University, Kyoto Nagasaki Institute of Applied Science, Nagasaki RIKEN, Institute for Physical and Chemical Research, Wako RIKEN-BNL Research Center, Upton, NY University of Tokyo, Bunkyo-ku, Tokyo Tokyo Institute of Technology, Tokyo University of Tsukuba, Tsukuba Waseda University, Tokyo
S. Korea	Cyclotron Application Laboratory, KAERI, Seoul Kangnung National University, Kangnung Korea University, Seoul Myong Ji University, Yongin City System Electronics Laboratory, Seoul Nat. University, Seoul Yonsei University, Seoul
Russia	Institute of High Energy Physics, Protovino Joint Institute for Nuclear Research, Dubna Kurchatov Institute, Moscow PNPI, St. Petersburg Nuclear Physics Institute, St. Petersburg St. Petersburg State Technical University, St. Petersburg
Sweden	Lund University, Lund



**12 Countries; 57 Institutions; 460 Participants**

USA Abilene Christian University, Abilene, TX  
Brookhaven National Laboratory, Upton, NY  
University of California - Riverside, Riverside, CA  
University of Colorado, Boulder, CO  
Columbia University, Nevis Laboratories, Irvington, NY  
Florida State University, Tallahassee, FL  
Georgia State University, Atlanta, GA  
University of Illinois Urbana Champaign, IL  
Iowa State University and Ames Laboratory, Ames, IA  
Los Alamos National Laboratory, Los Alamos, NM  
Lawrence Livermore National Laboratory, Livermore, CA  
University of New Mexico, Albuquerque, NM  
New Mexico State University, Las Cruces, NM  
Dept. of Chemistry, Stony Brook Univ., Stony Brook, NY  
Dept. Phys. and Astronomy, Stony Brook Univ., Stony Brook, NY  
Oak Ridge National Laboratory, Oak Ridge, TN  
University of Tennessee, Knoxville, TN  
Vanderbilt University, Nashville, TN

# The PHENIX Detector

## Philosophy:

- ✓ High rate capability & granularity
- ✓ Good mass resolution and particle ID
- Sacrifice acceptance

### Central Arm Tracking

Drift Chamber, Pad Chambers, Time Expansion Chamber

### Muon Arm Tracking

Muon Tracker

### Calorimetry

PbGl and PbSc

### Particle Id

Muon Identifier, RICH, TOF, TEC

### Luminosity Counters/Vertex Detectors

BBC, ZDC/SMD, Local Polarimeter, forward hadron calorimeters, NTC, MVD

### DAQ

High bandwidth

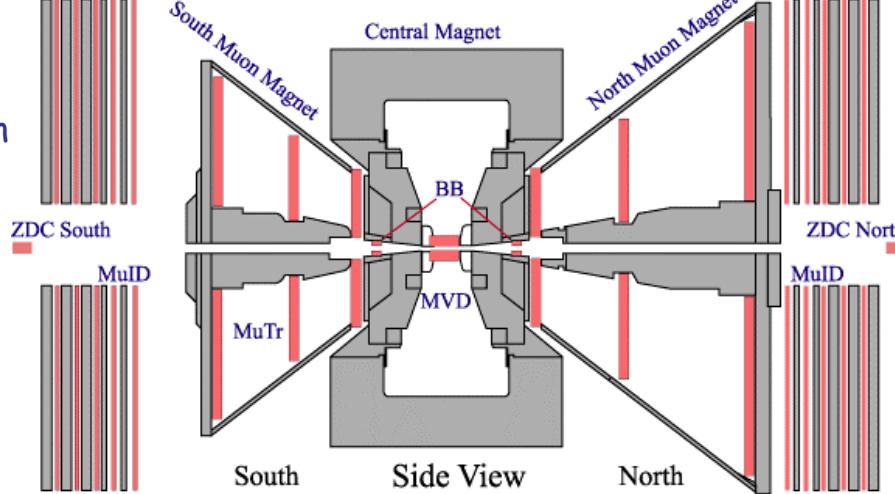
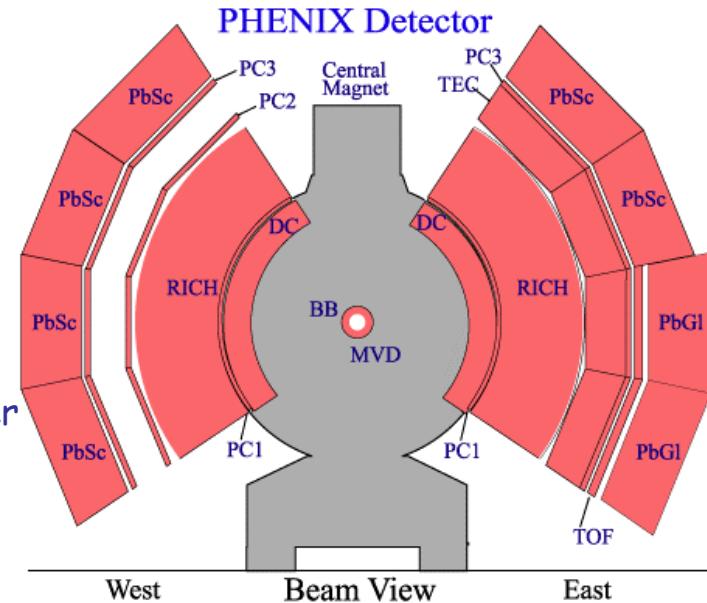
### Trigger

Level 2

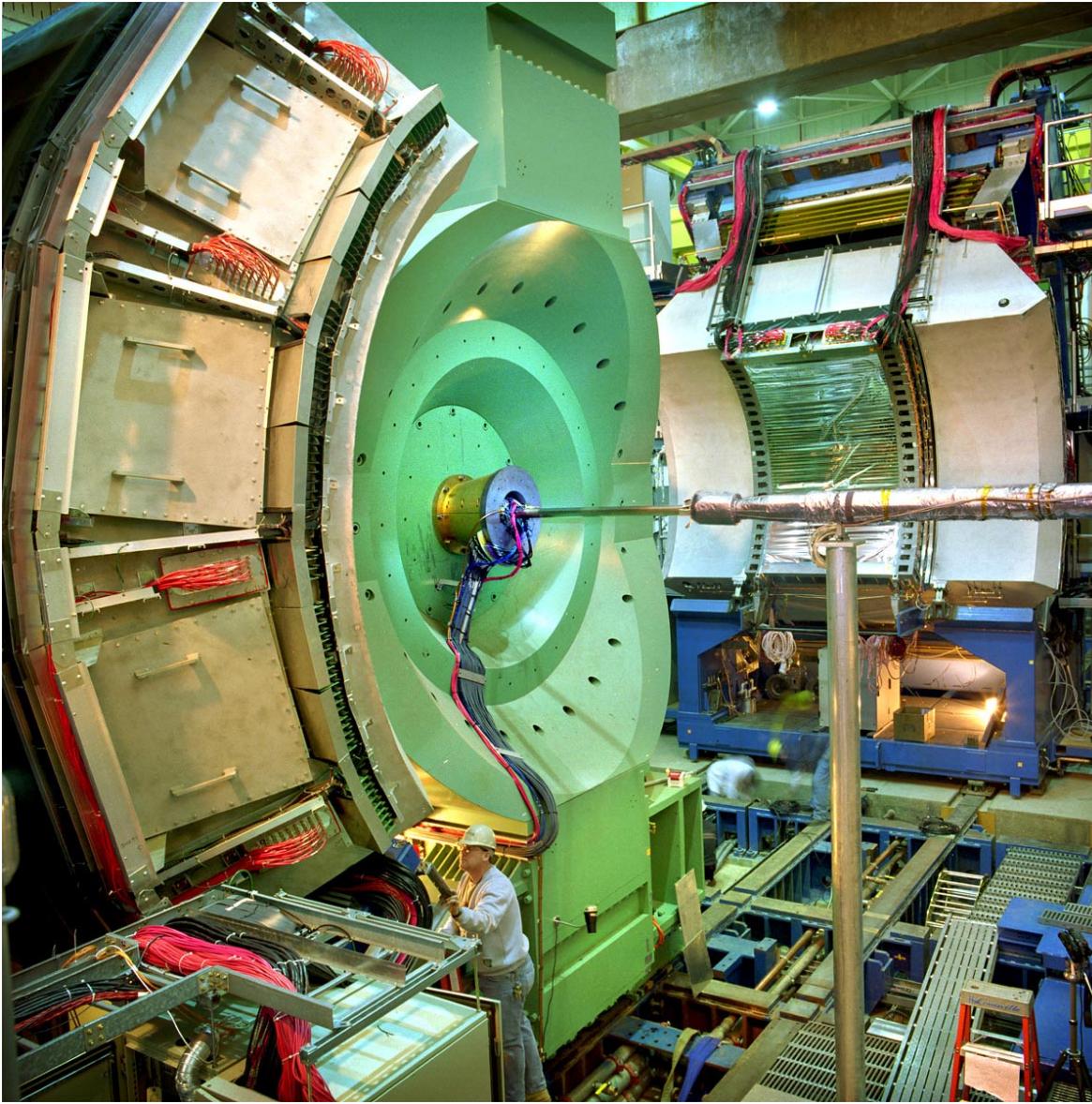
Level 1 (GL1P, muId, EMC/RICH)

### Online

Calibration and production

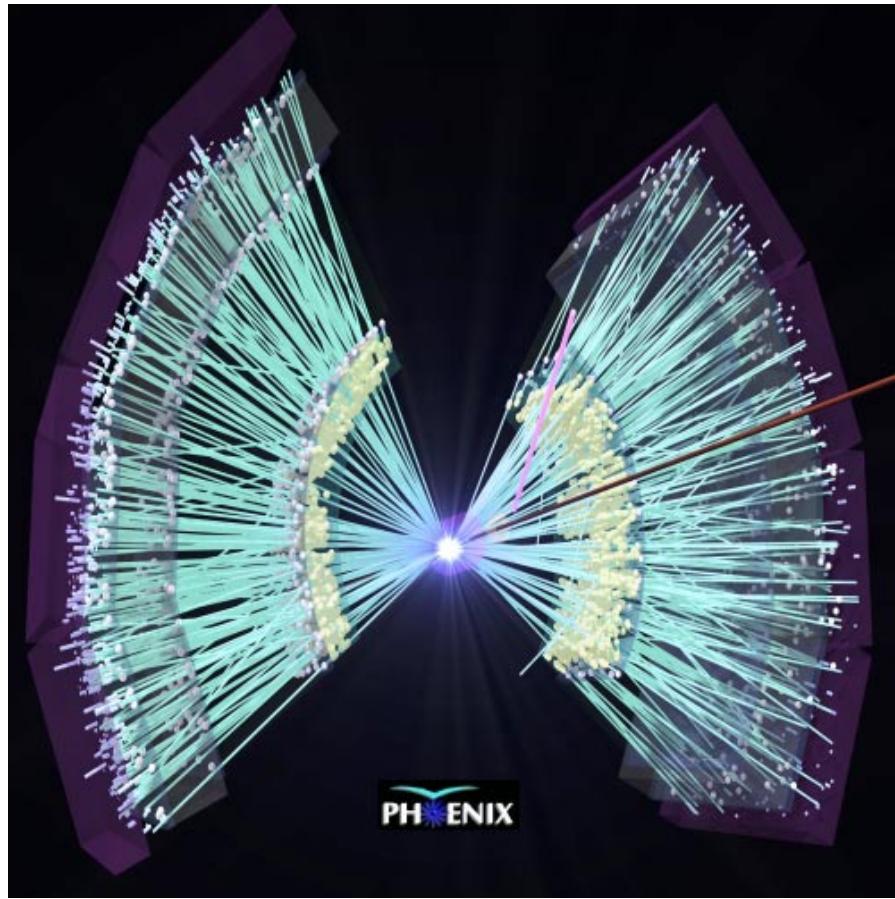


# The PHENIX detector: central arms

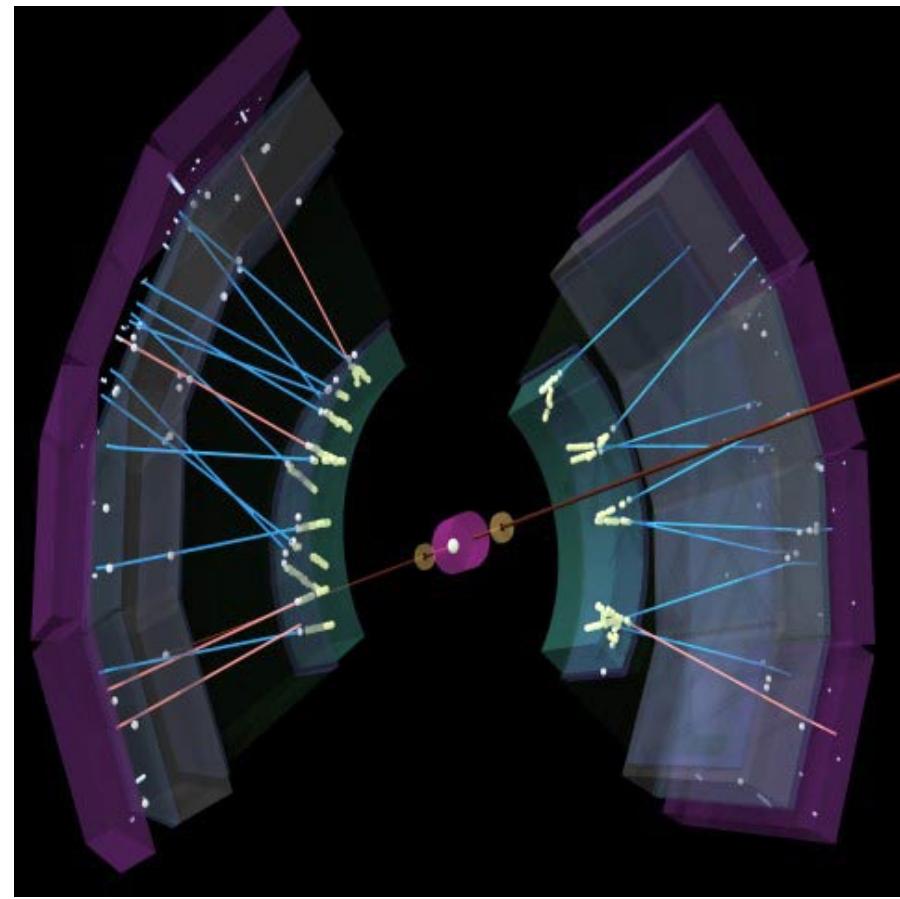


# Two collisions in the central arms

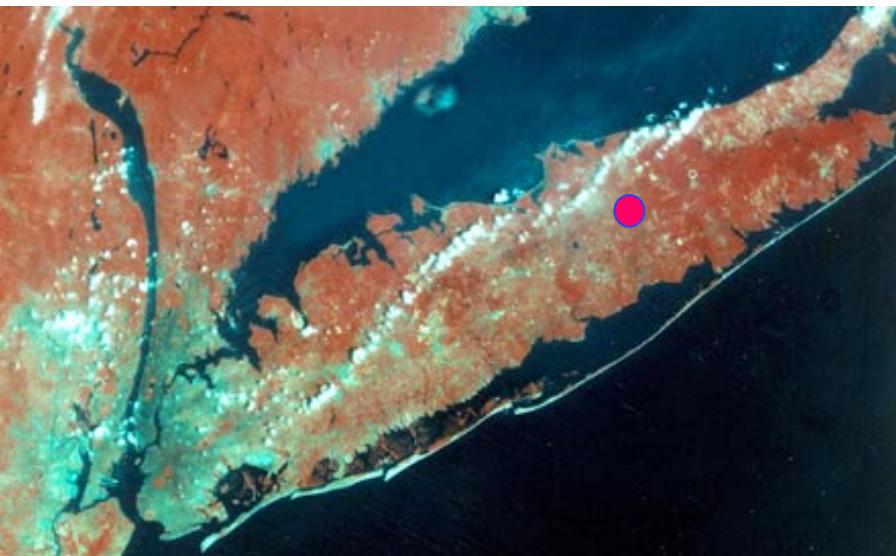
2001/2002 Au-Au



2002/2003 d-Au



# Relativistic Heavy Ion Collider



## Design Parameters:

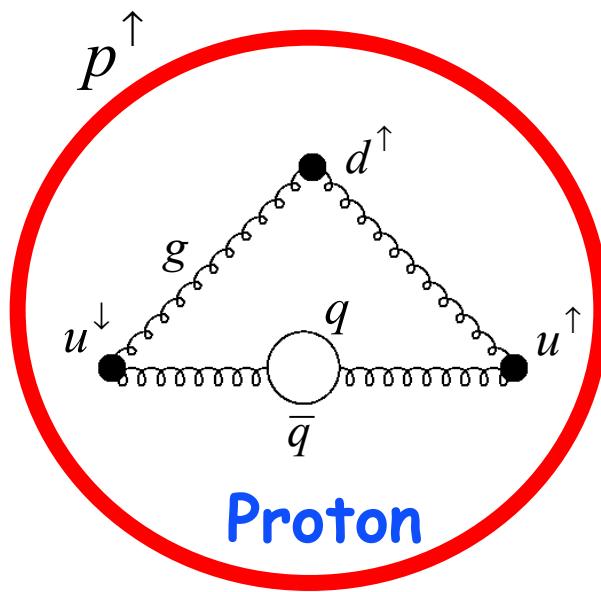
<u>Performance</u>	<u>Au + Au</u>	<u>p+p</u>
$\sqrt{s}_{nn}$	200 GeV	500 GeV
$L [cm^{-2} s^{-1}]$	$2 \times 10^{26}$	$2 \times 10^{32}$
Cross-section	7 barns	60 mbarn
Interaction rates	14 kHz	12 MHz

## RHIC Capabilities

- ✓ Au + Au collisions at 200 GeV/u
- ✓ p + p collisions up to 500 GeV
- ✓ spin polarized protons (70%)
- ✓ lots of combinations in species and energy in between

# What makes up the spin of the proton?

- » Successful description of baryon  $\mu_N$  in quark model
  - Quark carries all of proton spin
- » Surprising data from polarized lepton-nucleon scattering
  - $\Delta\Sigma = \Delta u + \Delta d + \Delta s + \Delta \bar{u} + \Delta \bar{d} + \Delta \bar{s} \approx 0.2 \rightarrow \text{"spin crisis"}$
- » Some possible resolutions for "spin crisis":
  - Gluons carry majority of proton spin
  - Anti-quark polarized



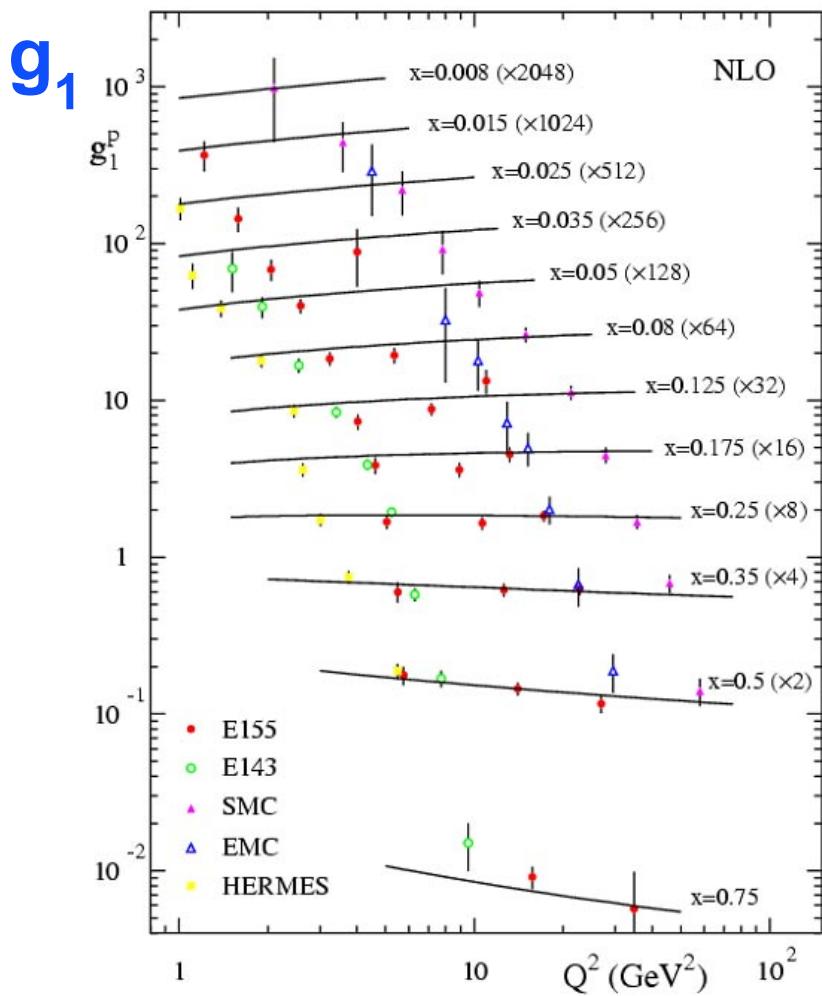
Spin

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L_z$$

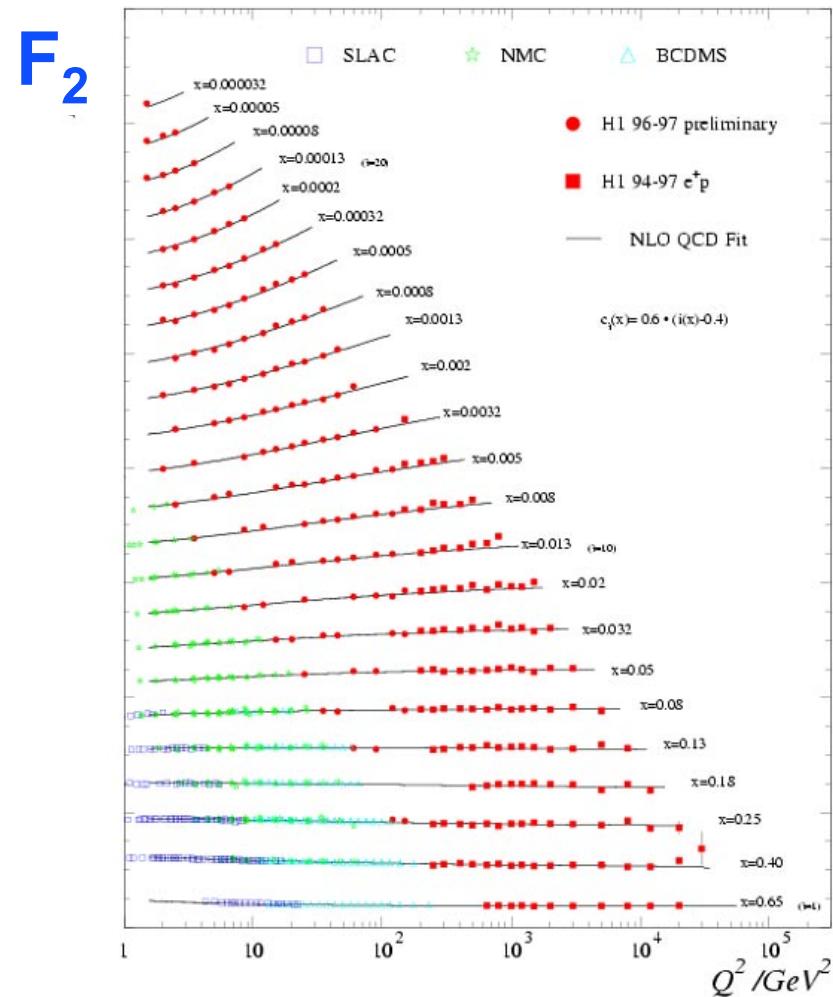
Quark Spin      Gluon Spin  
Orbital Angular Momentum

# Experimental data on proton structure

polarized



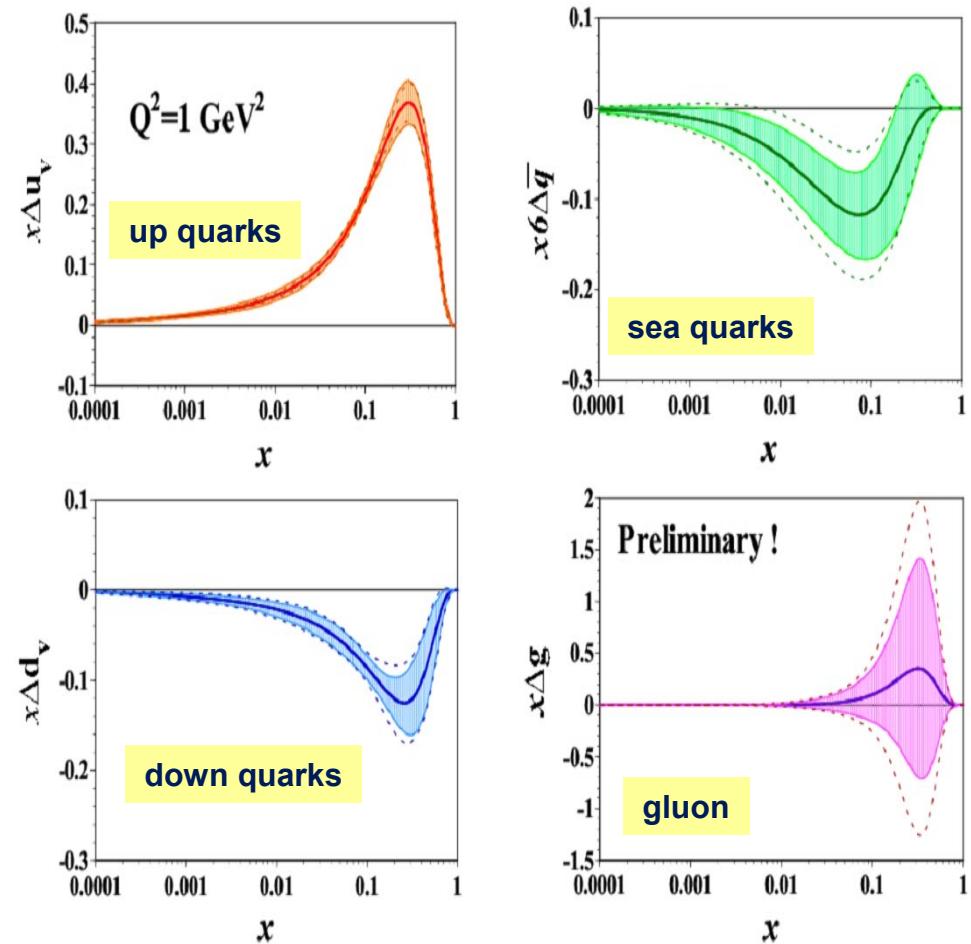
unpolarized



Gluon PDF's extracted through scaling violations

# Polarized quark and gluon distributions

M. Hirai et al (AAC collab)



$$\Delta\Sigma = \int_0^1 \Delta\Sigma(x, Q^2) dx \text{ is constrained}$$

$$\Delta G = \int_0^1 \Delta g(x, Q^2) dx \text{ is largely unknown}$$

# Proton Spin Structure at PHENIX

## Gluon Polarization

$$\Delta G$$

$\pi^+$  Production  $A_{LL}(gg, gq \rightarrow \pi^+ + X)$

Prompt Photon  $A_{LL}(gq \rightarrow \gamma + X)$

Heavy Flavors  $A_{LL}(gg \rightarrow c\bar{c}, b\bar{b} + X)$

## Flavor decomposition

$$\frac{\Delta u}{u}, \frac{\Delta \bar{u}}{\bar{u}}, \frac{\Delta d}{d}, \frac{\Delta \bar{d}}{\bar{d}}$$

## W Production

$$A_L(u + \bar{d} \rightarrow W^+ \rightarrow \ell^+ + \nu_\ell)$$

$$A_L(\bar{u} + d \rightarrow W^- \rightarrow \ell^- + \bar{\nu}_\ell)$$

## Transverse Spin

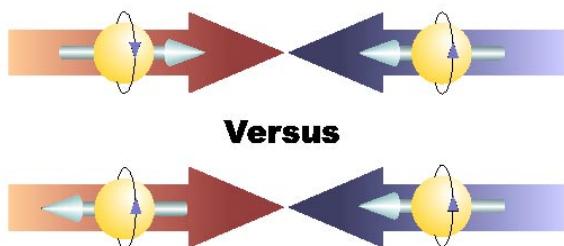
### Transversity $\delta q$ :

$\pi^+, \pi^-$  Interference fragmentation:

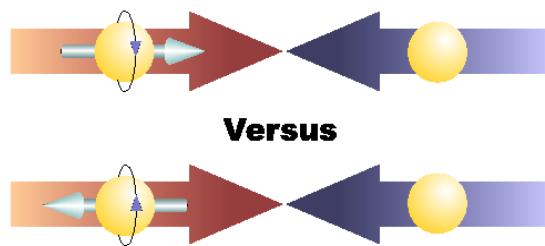
$$A_T(p_\perp p \rightarrow (\pi^+, \pi^-) + X)$$

Drell Yan  $A_{TT}$

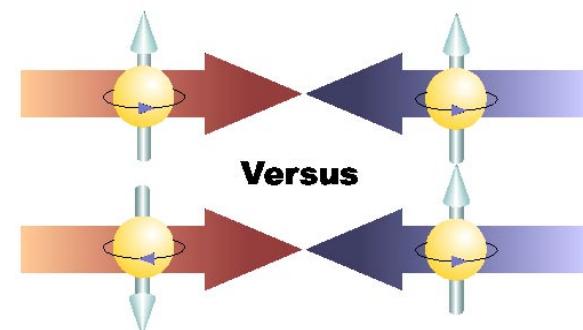
### Single Asymmetries $A_N$



Versus



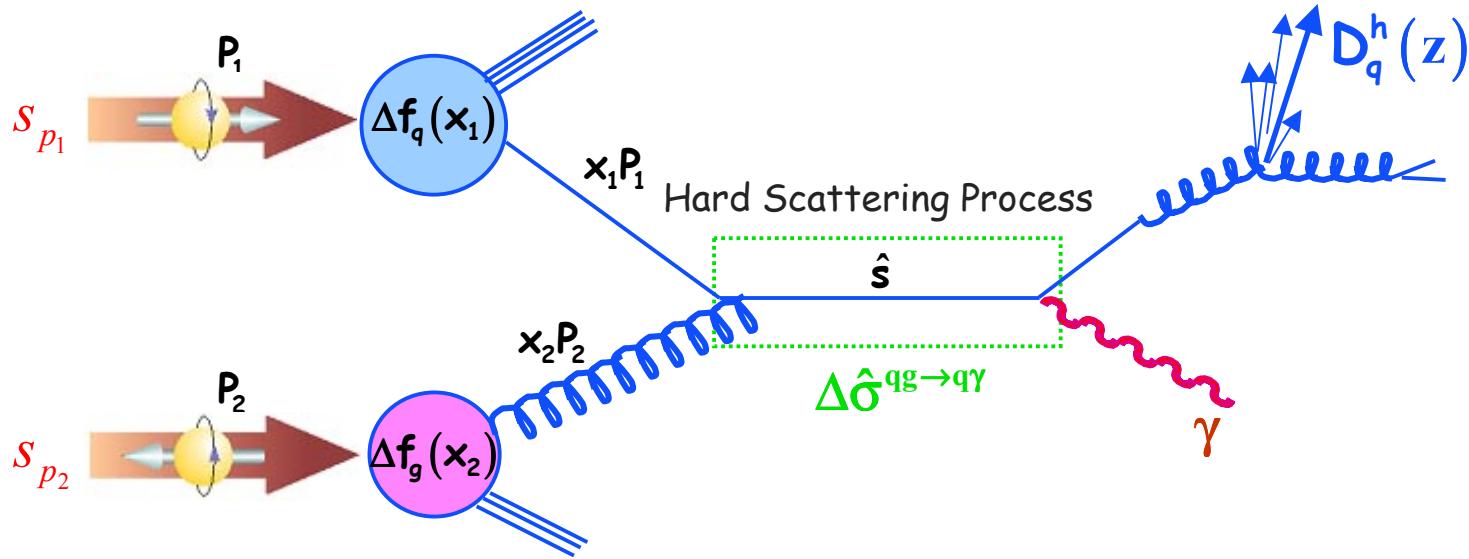
Versus



Versus

# $\Delta G$ with a Polarized Proton Collider

# Scattering processes in polarized p+p

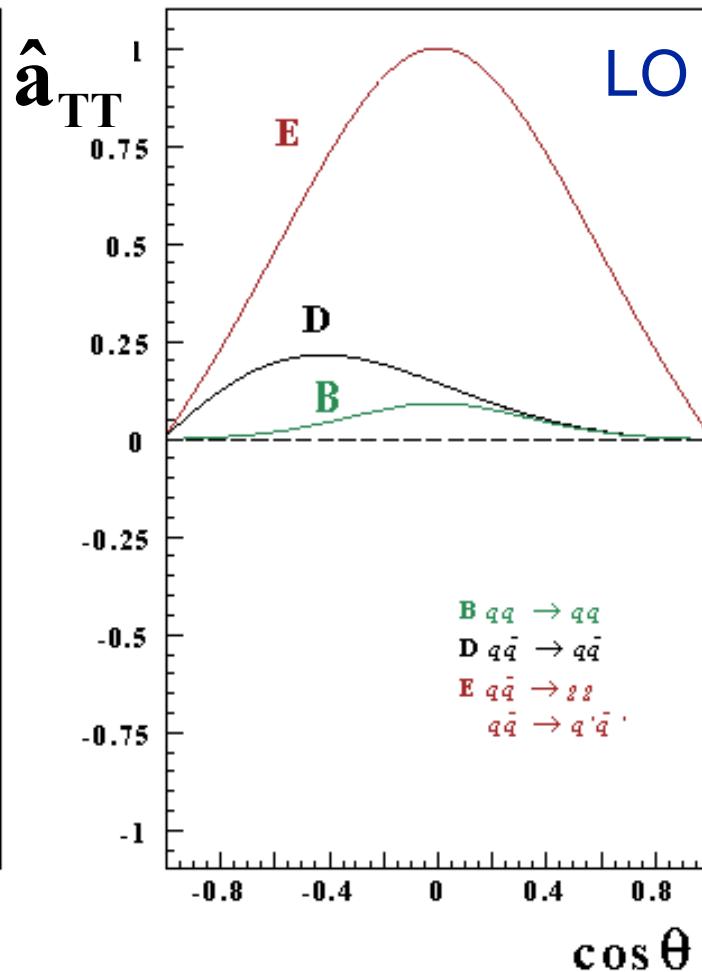
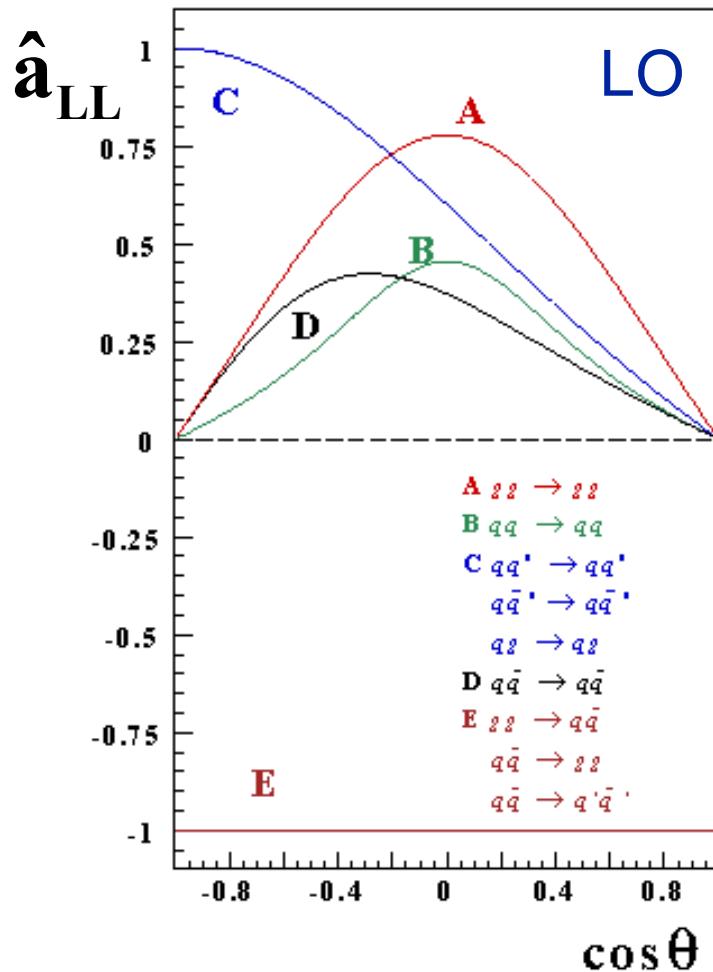


$$\Delta\sigma(p p \rightarrow \gamma X) = \Delta f_q(x_1) \otimes \Delta f_g(x_2) \otimes \Delta\hat{\sigma}^{qg \rightarrow q\gamma}(\hat{s})$$

$$A_{LL} = \frac{\sigma^{\uparrow\uparrow} - \sigma^{\uparrow\downarrow}}{\sigma^{\uparrow\uparrow} + \sigma^{\uparrow\downarrow}} \equiv \frac{\Delta\sigma}{\sigma} = \hat{a}_{LL}(qg \rightarrow q\gamma) \otimes \frac{\Delta g(x_1)}{g(x_1)} \otimes \frac{\Delta q(x_2)}{q(x_2)}$$

$\Delta\hat{\sigma}/\hat{\sigma}$

# LO pQCD partonic level asymmetries

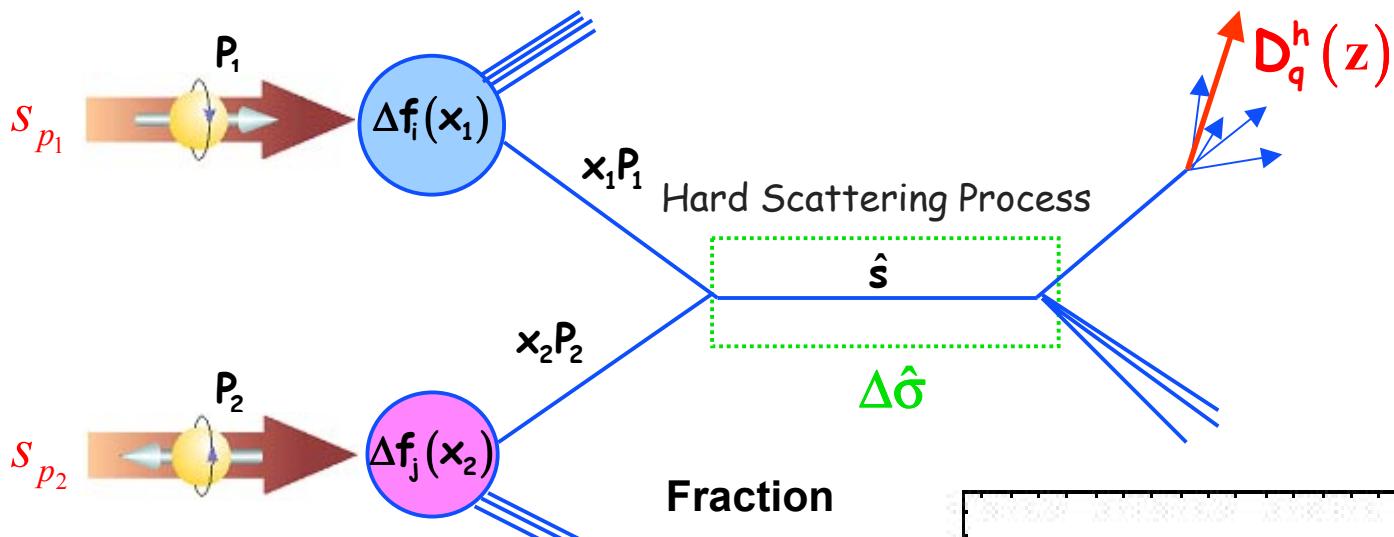


NLO corrections are now known for all relevant reactions

# $\Delta G$ IN PHENIX (1)

## Leading Hadrons

# Leading hadrons as jet tags

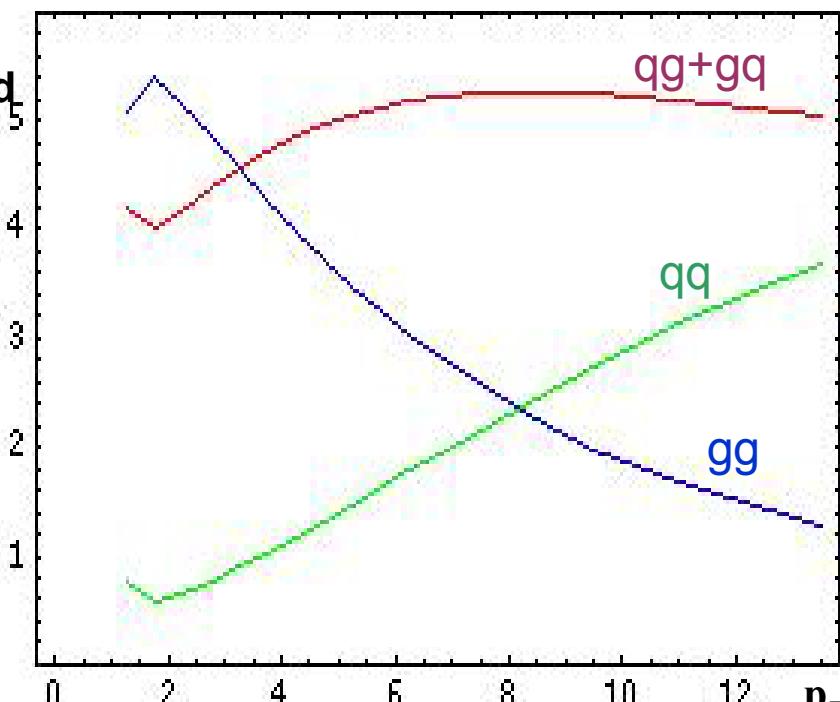


$$gg \rightarrow gg \quad \propto \frac{\Delta G}{G} \frac{\Delta G}{G}$$

$$gq \rightarrow gq \quad \propto \frac{\Delta q}{q} \frac{\Delta G}{G}$$

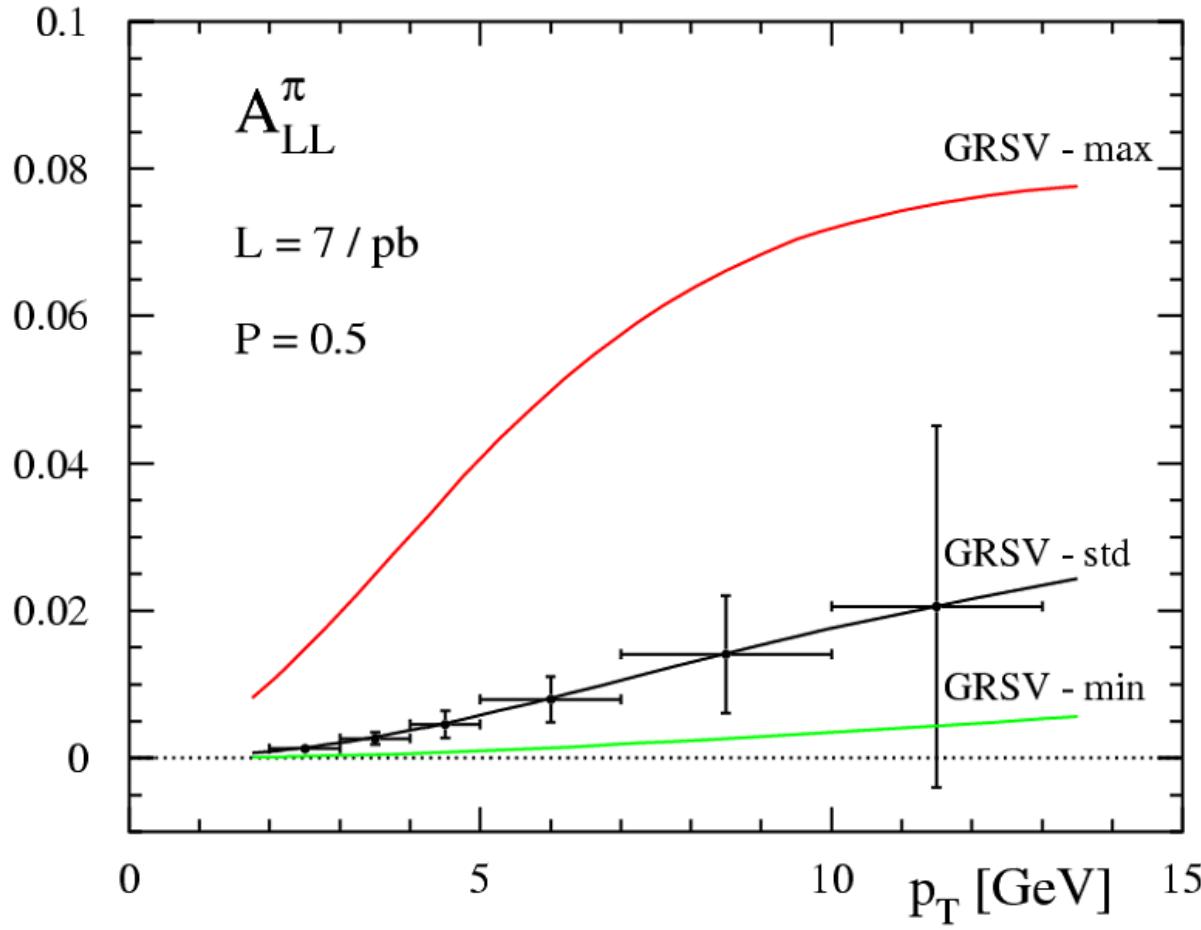
$$qq \rightarrow qq \quad \propto \frac{\Delta q}{q} \frac{\Delta q}{q}$$

Fraction  
 $\pi^0$ 's produced



# $\pi^0$ Production and $\Delta G$

$\pi^0$  can be used to determine  $\Delta G$  with limited  $\mathcal{L}$  &  $\mathcal{P}$

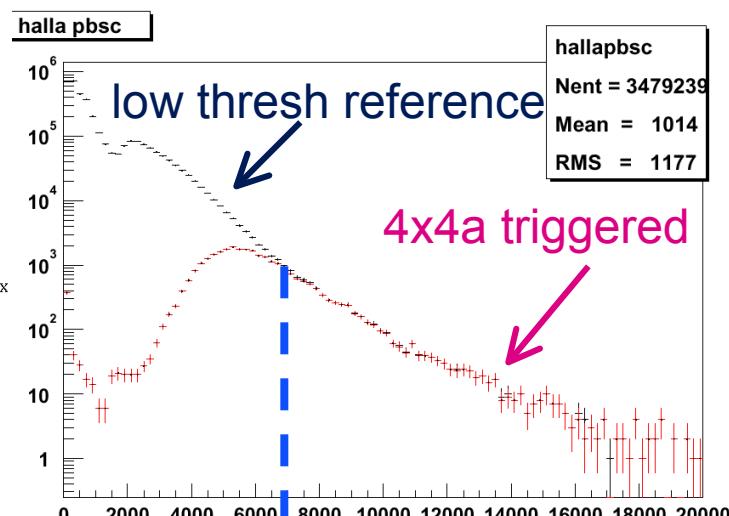


Jager, Schafer, Stratmann, Vogelsang

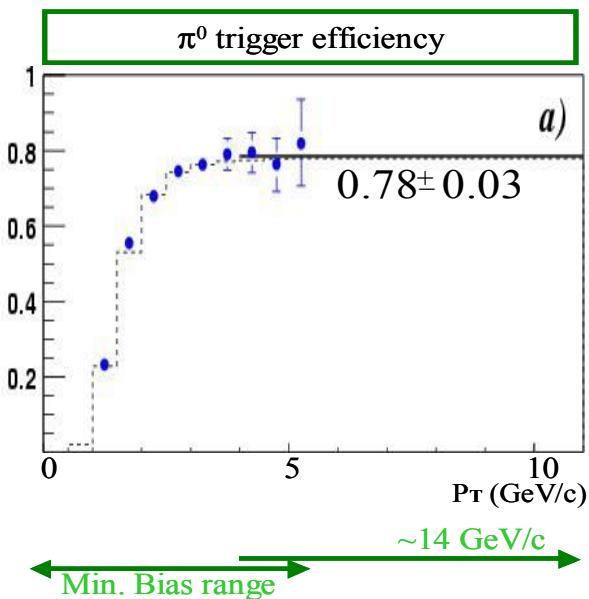
# Central arm $\gamma$ and $\pi^0$ trigger

- » Trigger with a rejection power of  $> 100$  at Level-1 needed in p+p
  - Reduce the 12MHz interaction rate to 1 KHz
- » EMCal part has two sums to collect photon showers
  - 2x2 towers non-overlapping sum (low threshold  $\sim 0.8$  GeV)
    - Used in conjunction with RICH to form an electron trigger
  - 4x4 towers overlapping sum (higher thresholds at 2 and 3 GeV)
    - Used for  $\gamma$  trigger

4x4a in 2002-2003 run.



2x2 in 2001-2002 run.

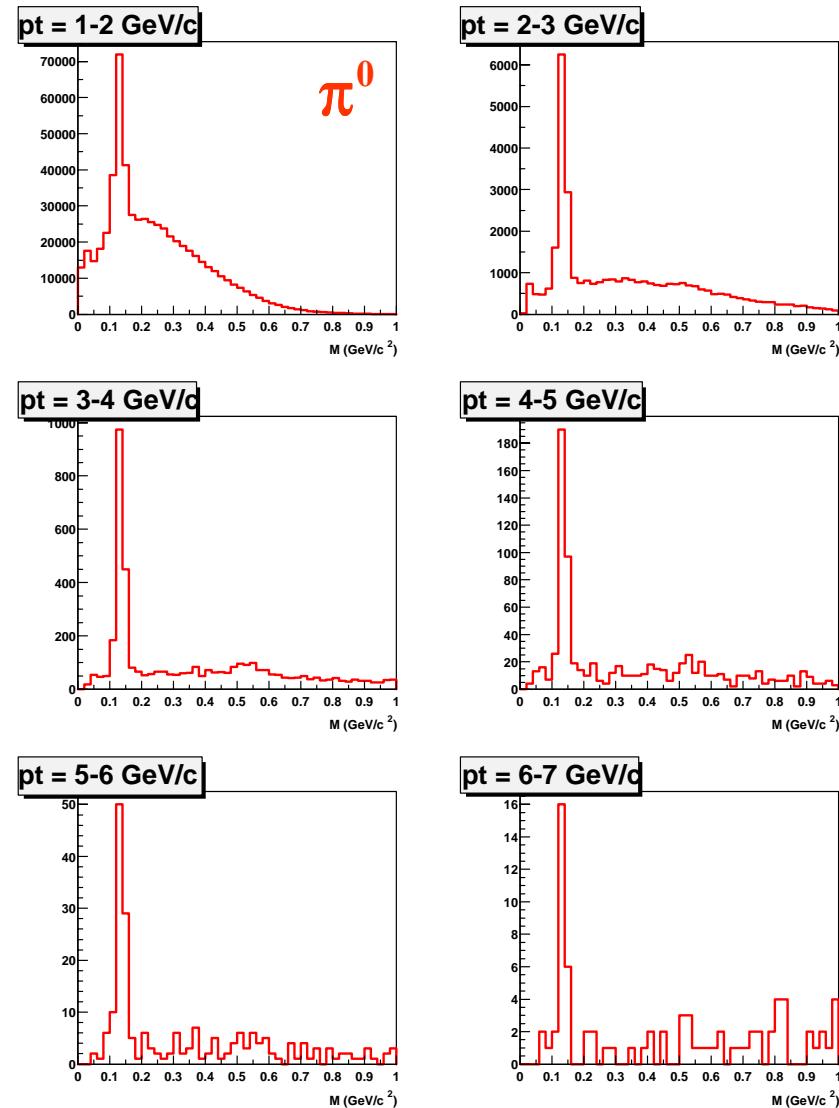


# Neutral pions in PHENIX

PHENIX has a fine grained,  
high resolution EMCal

- $\Delta\phi\Delta\eta \approx 0.01 \times 0.01$  in  $|\eta| \leq 0.35$
- $\pi^0\gamma$  separation up to  $30 \text{ GeV}/c$

$\pi^0$ 's were reconstructed  
on-line during this past  
run.

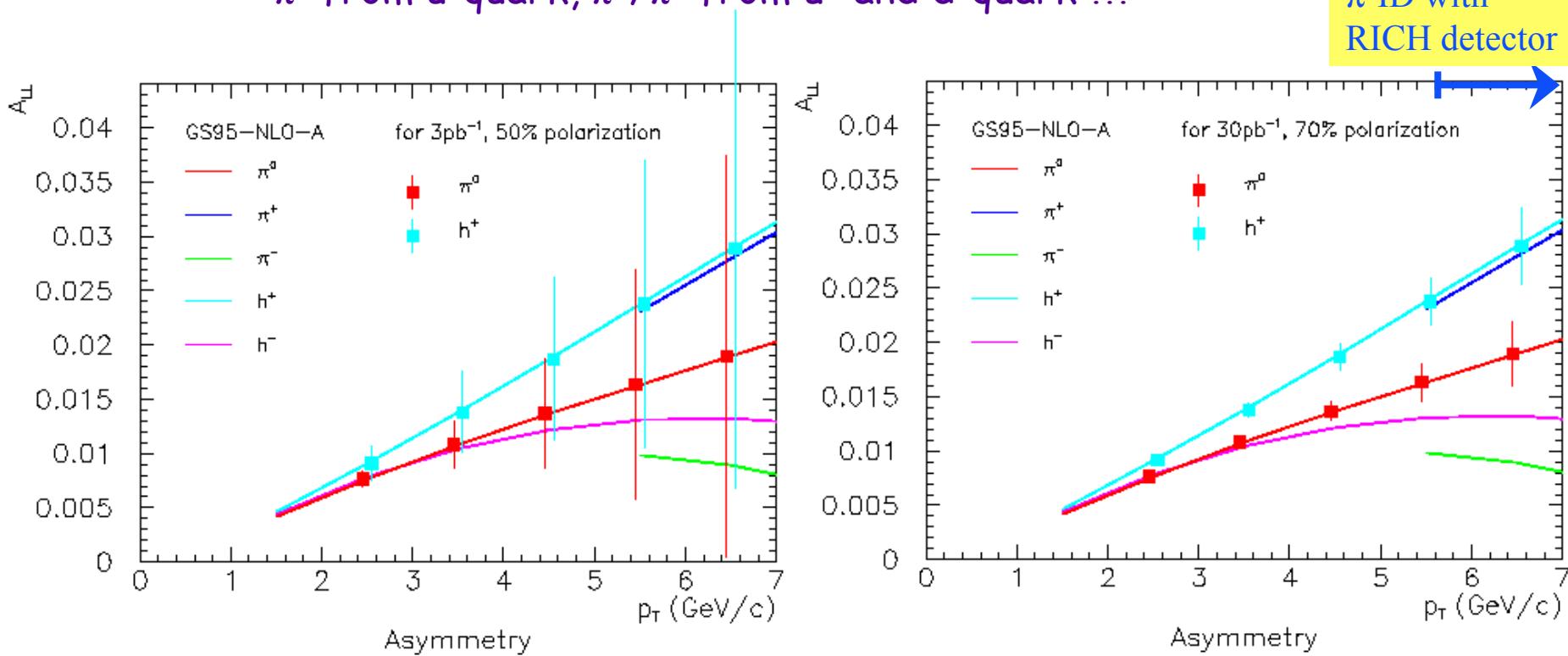


# Gluon polarization measurement ( $\pi$ , h)

## $\pi^0$ and charged hadrons

- » alternative to jet measurement in the small acceptance
  - all channels are combined for the gluon polarization analysis
- » quark polarization – flavor decomposition
  - $\pi^+$  from u-quark,  $\pi^0/\pi^-$  from u- and d-quark ...

$\pi$ -ID with  
RICH detector



# $\Delta G$ IN PHENIX (2)

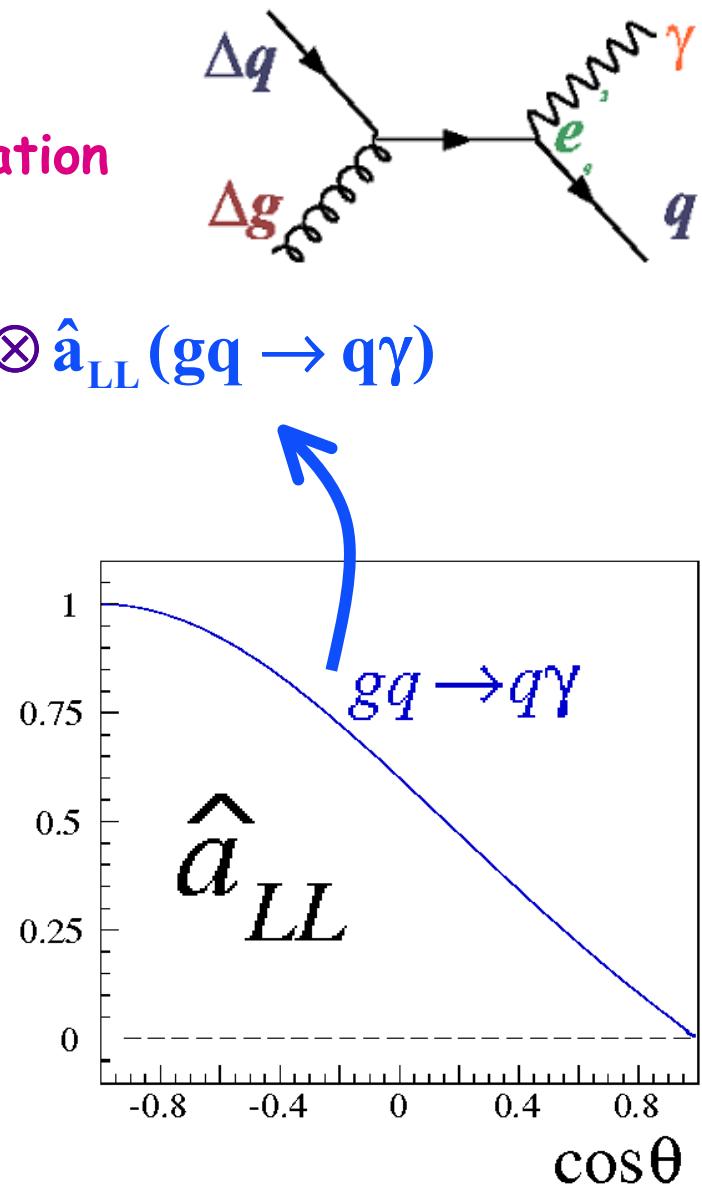
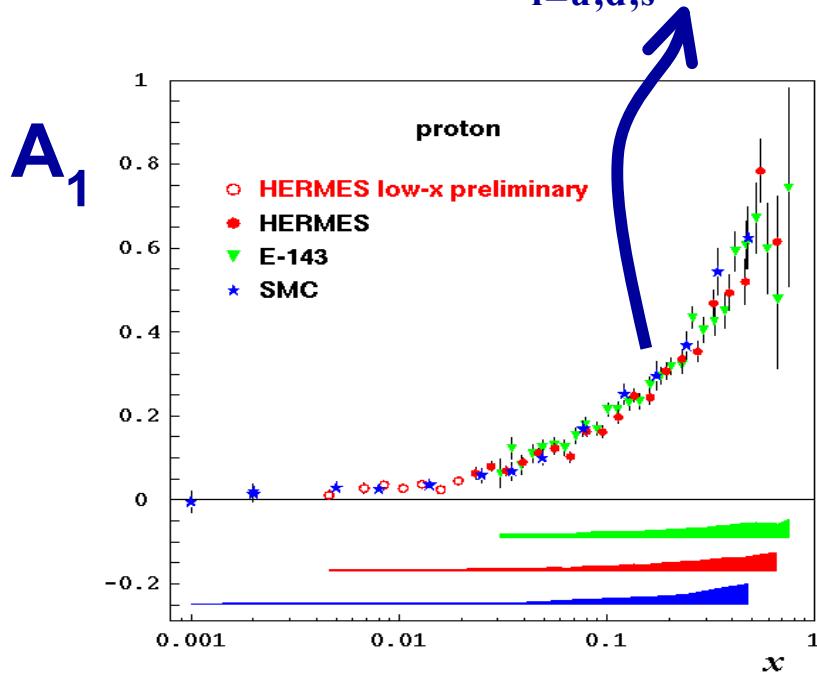
## Prompt $\gamma$ Production

# Prompt photon production

## Gluon Compton Dominates

- » At LO no fragmentation function
- » Small contamination from annihilation

$$A_{LL} = \frac{\Delta g(x_1)}{g(x_1)} \otimes \frac{\sum_{i=u,d,s} e_i^2 \Delta f_i(x_2)}{\sum_{i=u,d,s} e_i^2 f_i(x_2)} \otimes \hat{a}_{LL}(gq \rightarrow q\gamma)$$

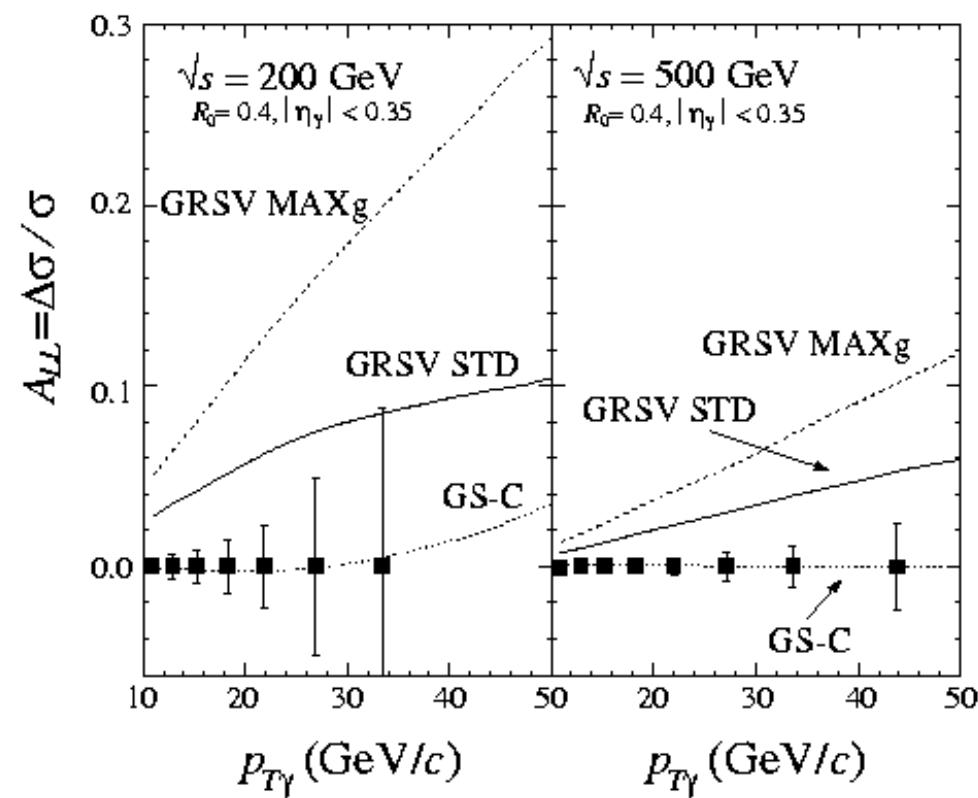


# Prompt photon measurement

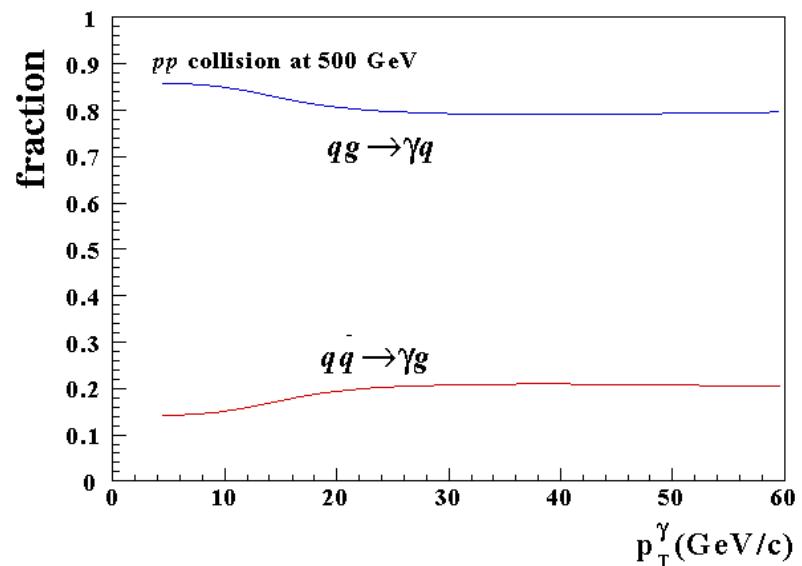
## Prompt photon

» clear interpretation

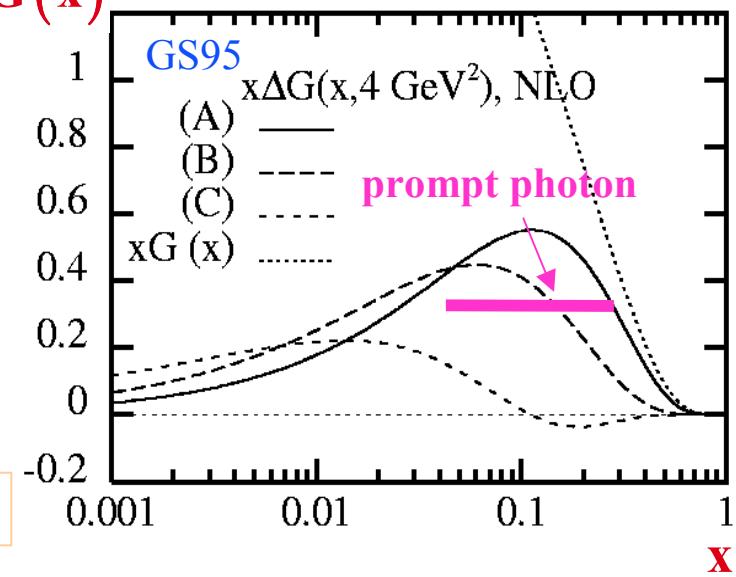
- gluon Compton process dominant



statistics with full design luminosity and polarization

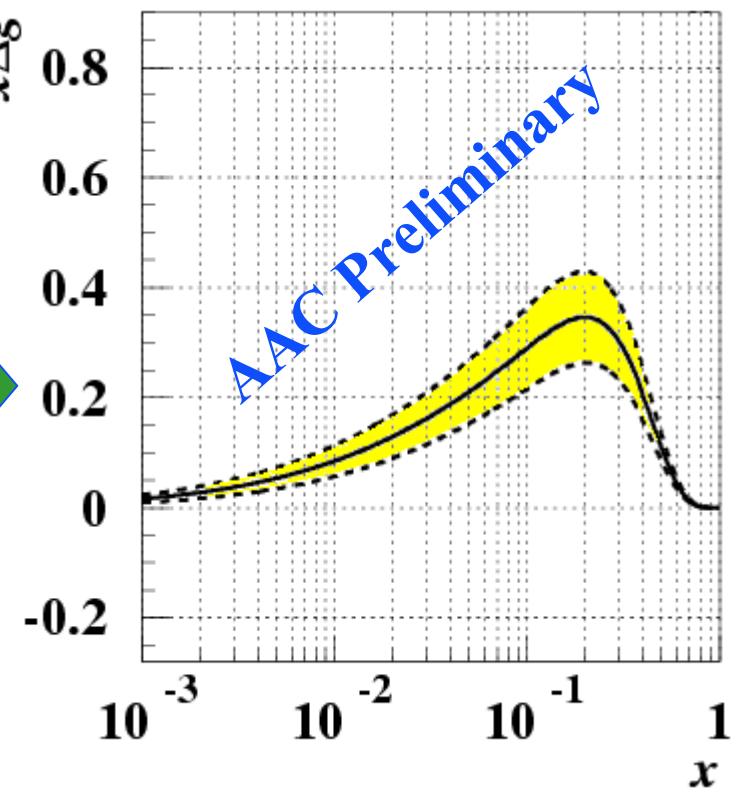
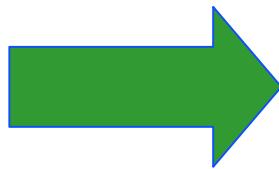
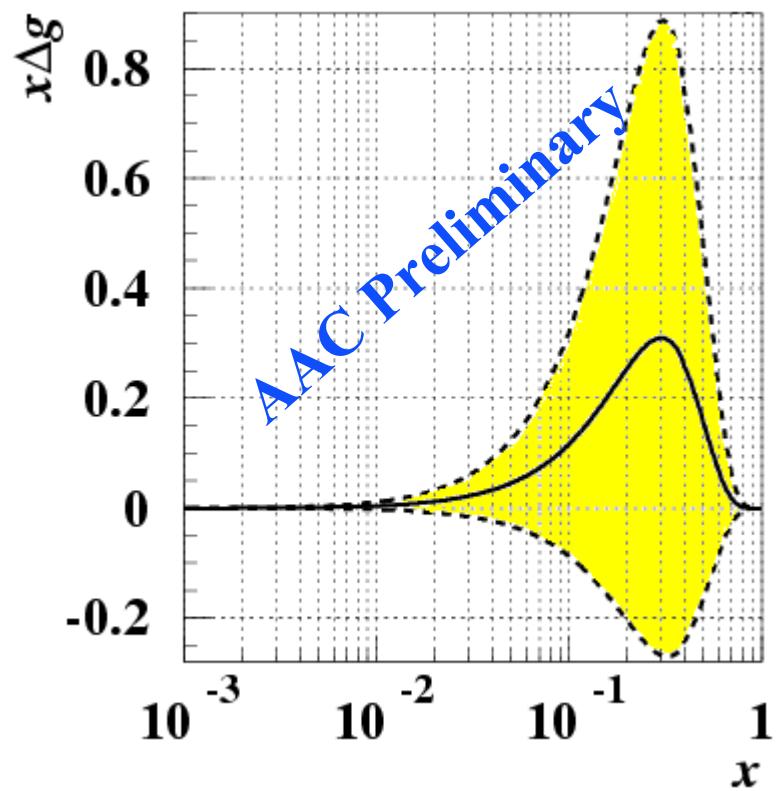


$\Delta G(x)$



# Prompt $\gamma$ measurement: impact on $\Delta G$

If the projected PHENIX Prompt Photon Data are included in a Global QCD Analysis:



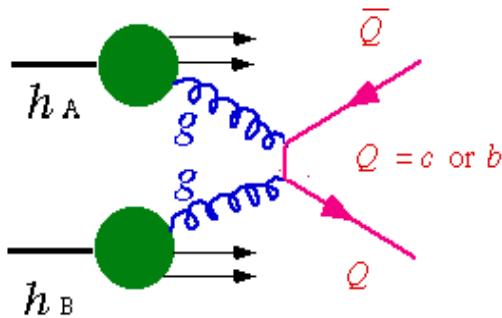
M. Hirai, H.Kobayashi, M. Miyama *et al.*

# $\Delta G$ IN PHENIX (3)

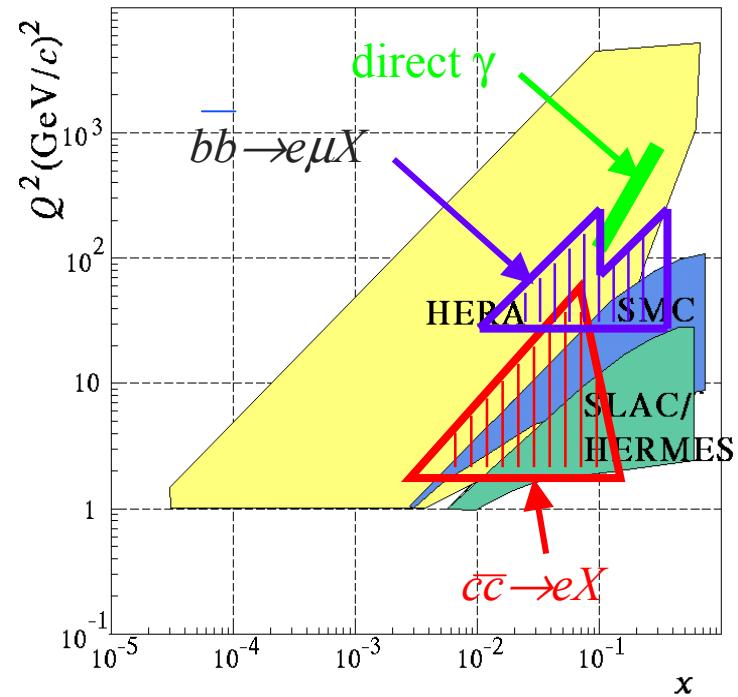
## Heavy Flavor Production

# Open heavy flavors in PHENIX

## Open heavy flavor production



$$A_{LL} \propto \frac{\Delta G(x_A)}{G(x_A)} \otimes \frac{\Delta G(x_B)}{G(x_B)} \otimes \hat{a}_{LL}^{gg \rightarrow Q\bar{Q}}$$



## Decay channels:

- »  $e^+e^-$ ,  $\mu^+\mu^-$ ,  $e\mu$ ,  $e$ ,  $\mu$ ,  $eD$ ,  $\mu D$

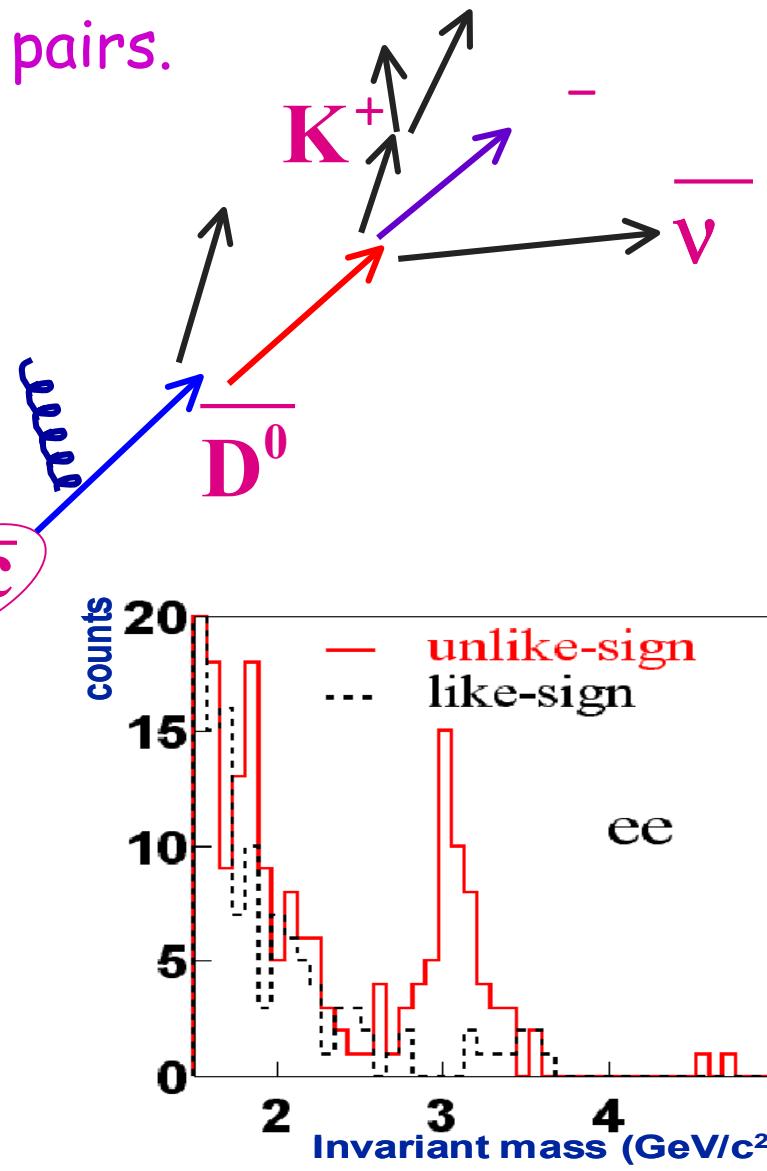
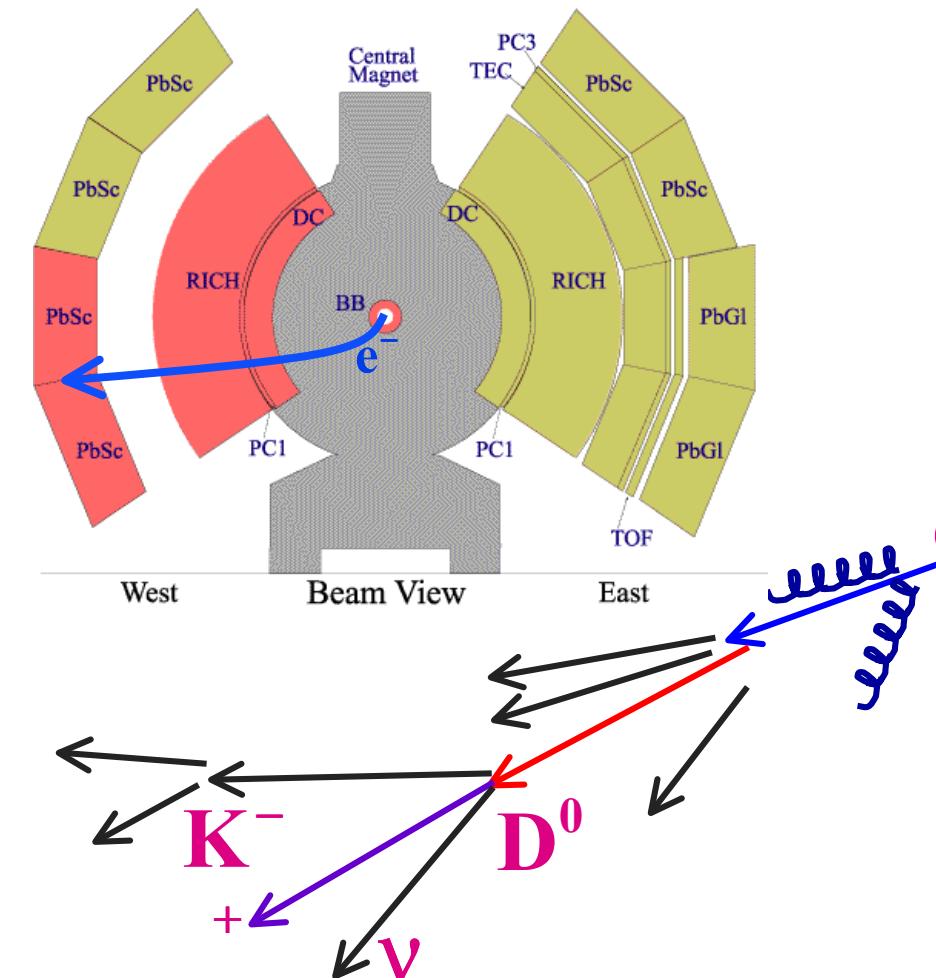
H. Sato

## Provides more independent $\Delta G$ measurements in PHENIX

- » Helps control experimental and theoretical systematic errors
- » Different channels cover different kinematic regions

# Electrons in PHENIX

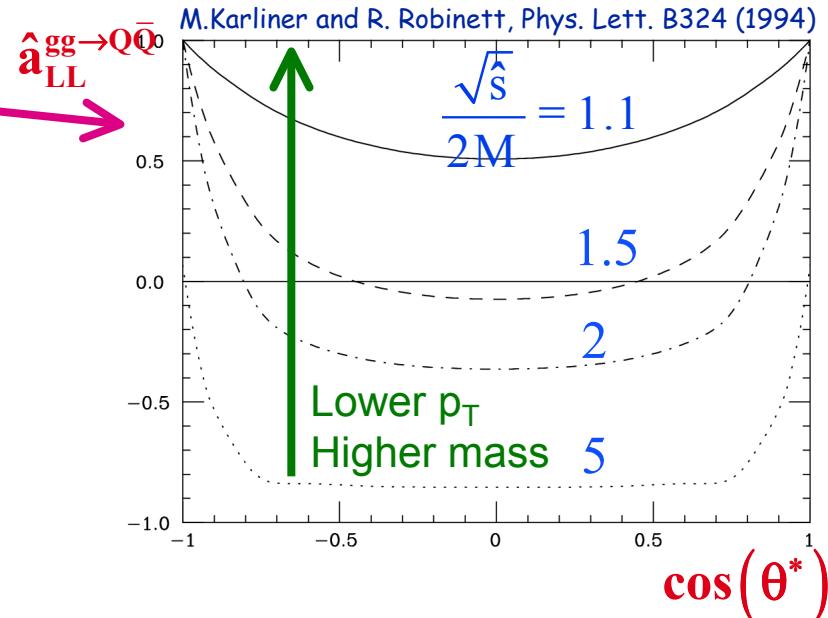
- ✓ We can measure open charm and bottom contributions through single leptons and lepton pairs.



# $A_{LL}$ for heavy quark production

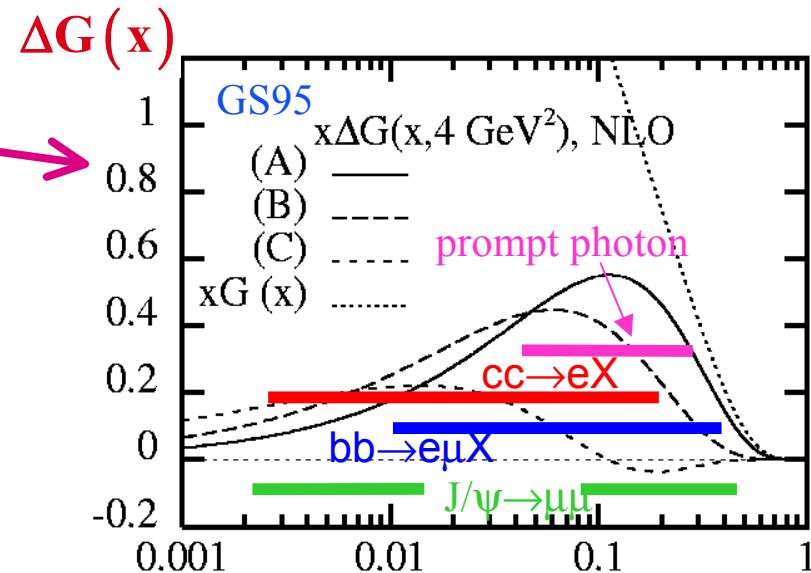
## ✓ Analyzing Power

- » Use LO analyzing power calculation
- » Charm and bottom will differ because of mass dependence
  - Changes sign for large mass and low transverse momentum
- » NLO calculations are now available
  - I.Bojak & M. Stratmann, hep-ph/0112276



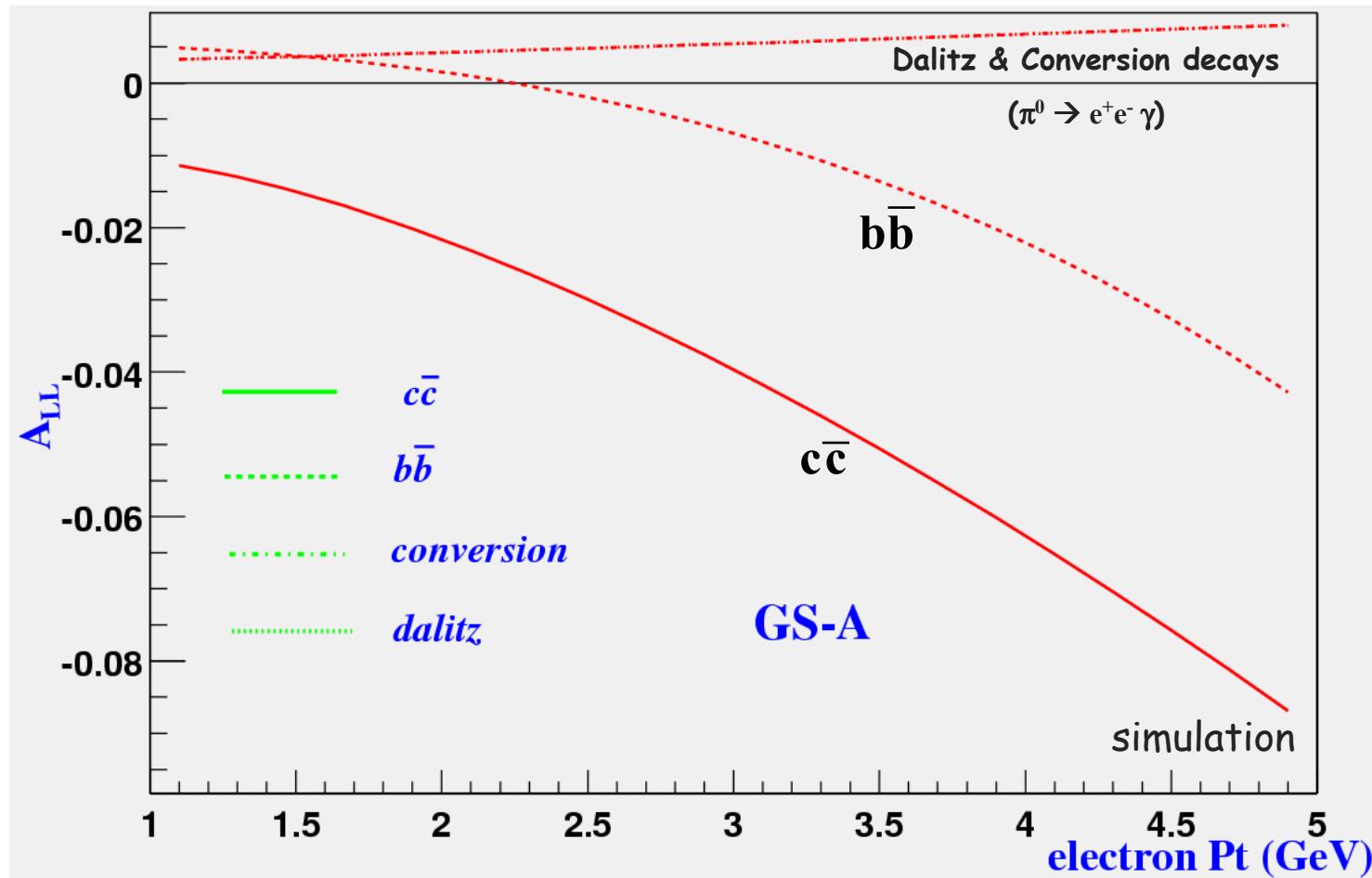
## ✓ Gluon Polarization

- » Use simple parameterized functions from Gehrmann & Stirling
  - Phys.Rev.D52 6100 (1996)
- »  $x$  range for charm and bottom production different because of decay kinematics



# $A_{LL}$ from background & signal electrons

An electron  $A_{LL}$  measurement will include contributions from charm, bottom, photon conversions, & Dalitz decays.

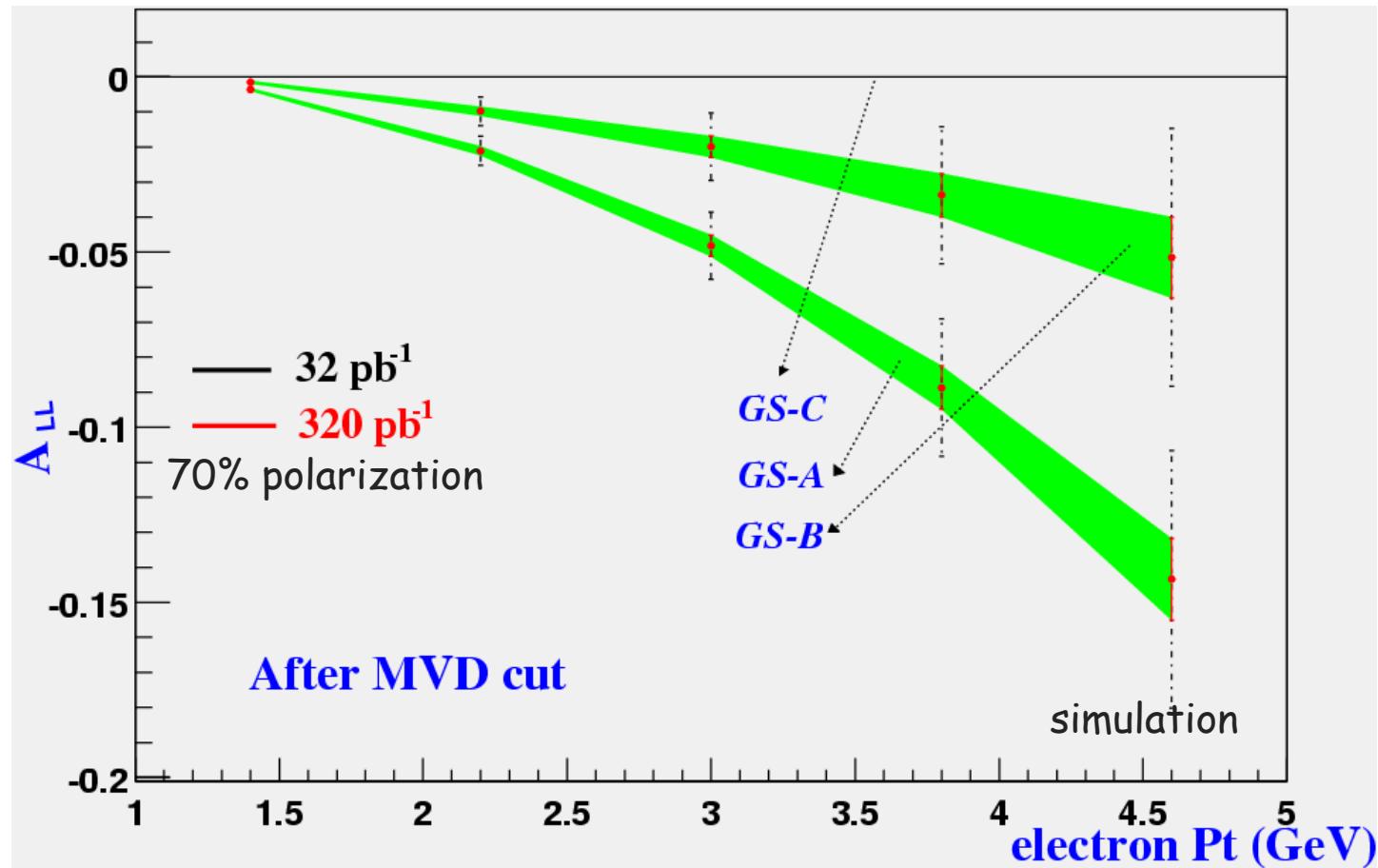


W. Xie

K. Barish

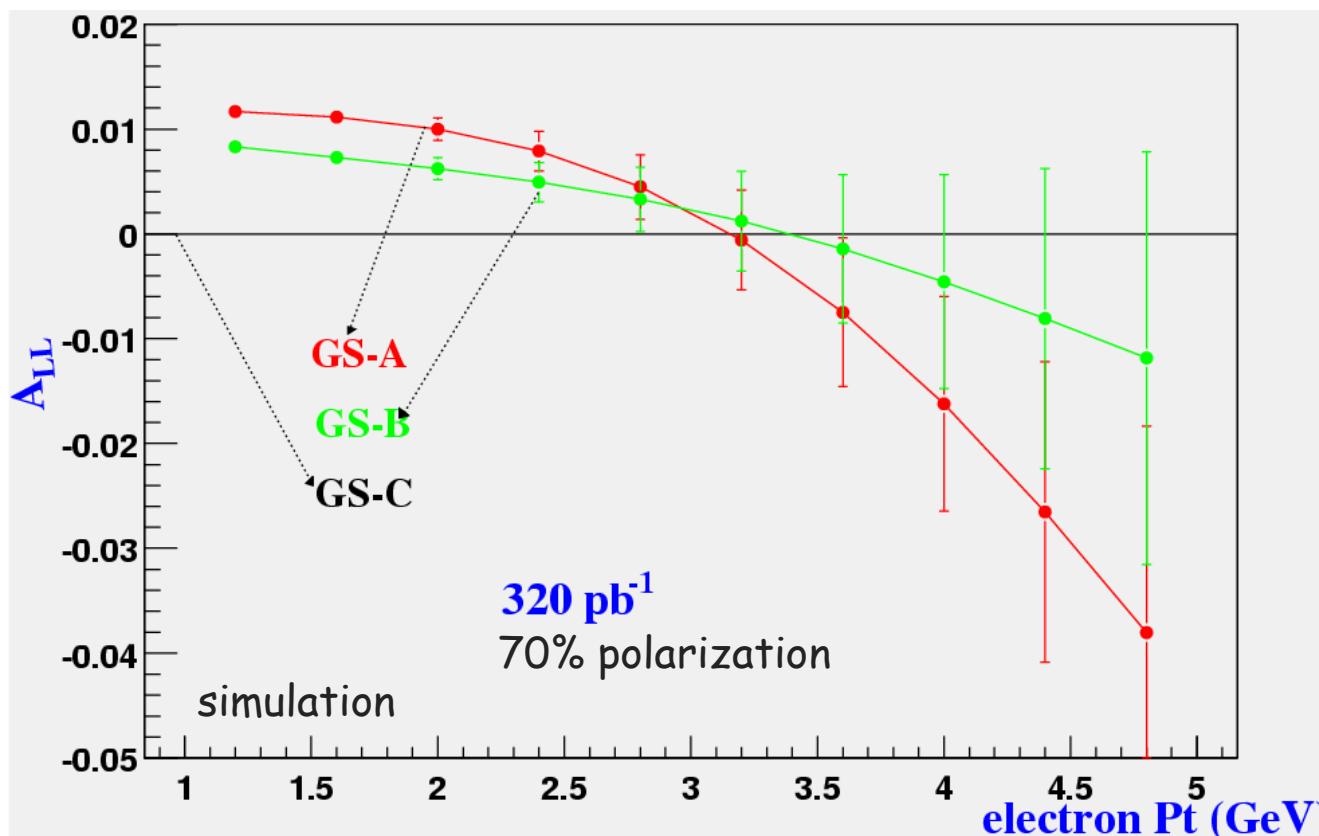
# $A_{LL}$ in PHENIX using single electrons

- ✓ Events have been tagged online by an electron with  $p_T > 1\text{ GeV}$  in the central arm
- ✓ An offline MVD (inner tracker) cut to reject Dalitz and conversion electrons has been applied



# $A_{LL}$ of identified conversions & Dalitz

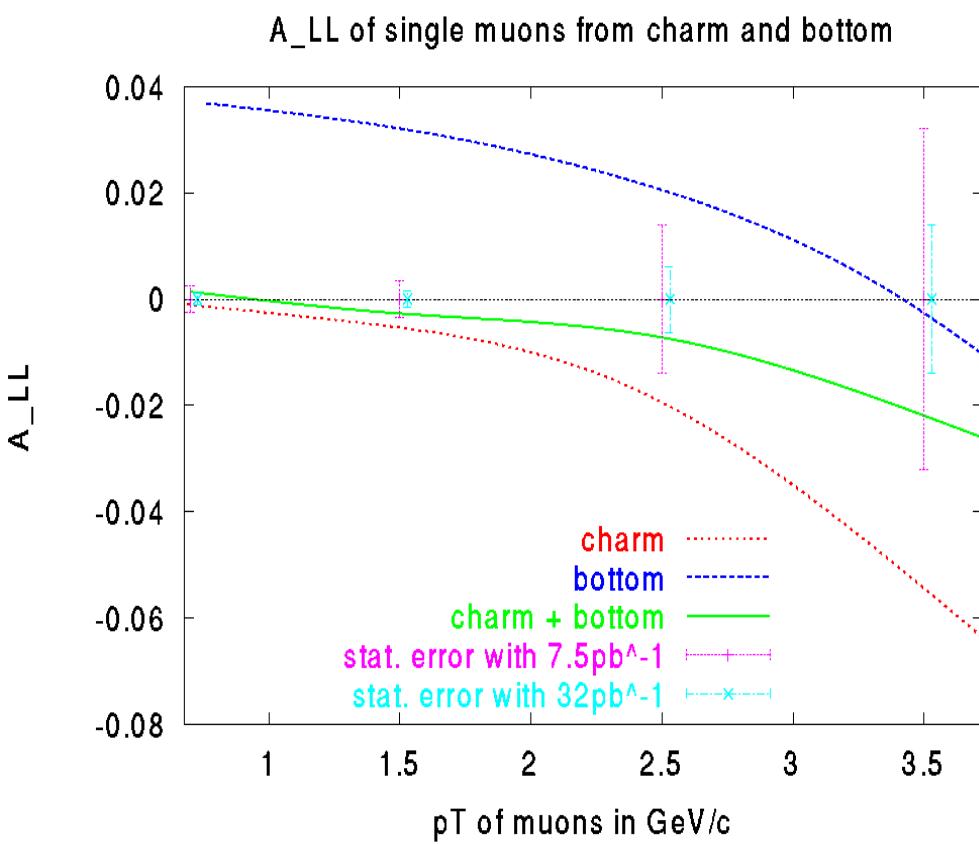
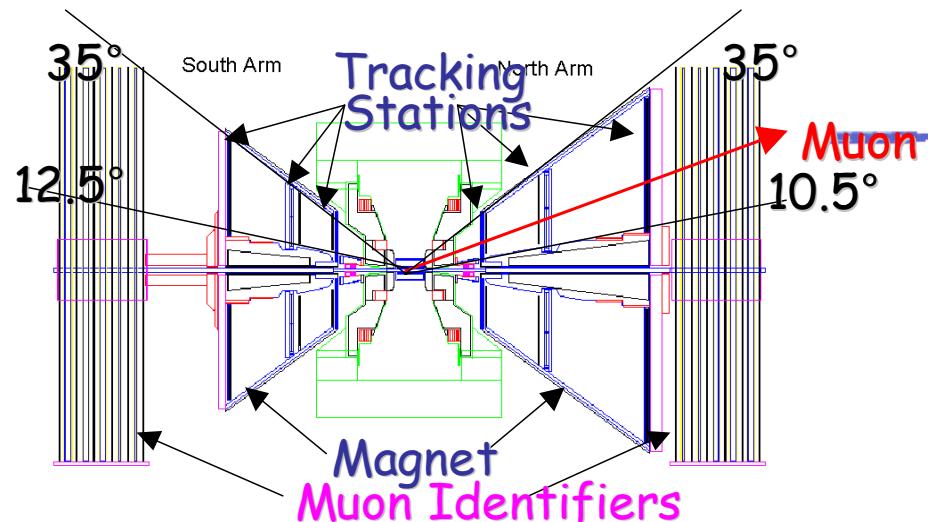
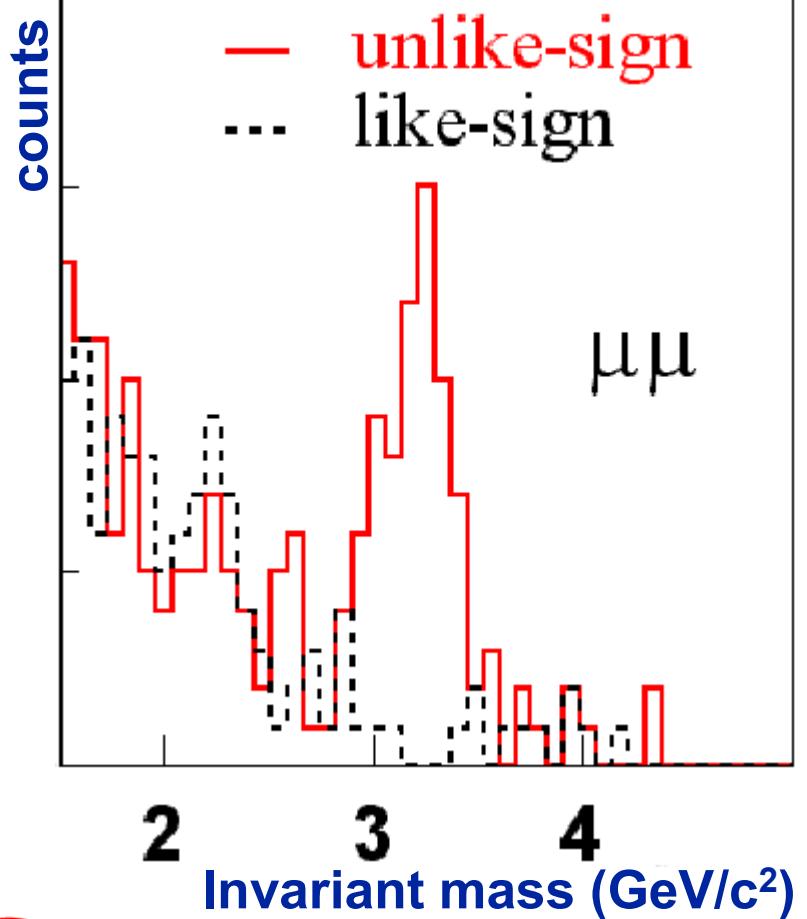
- ✓ The MVD cuts can be inverted to produce a sample of events which contain electrons from conversions and Dalitz decays (from QCD jet events with  $\pi^0$ 's).
- ✓ The asymmetry at low transverse momentum has flipped sign, giving us a handle on false asymmetries caused by acceptance effects.
- ✓ The asymmetry can also be used in conjunction with the direct  $\pi^0$  measurement in a global analysis that will give us a handle on our systematic errors



W. Xie

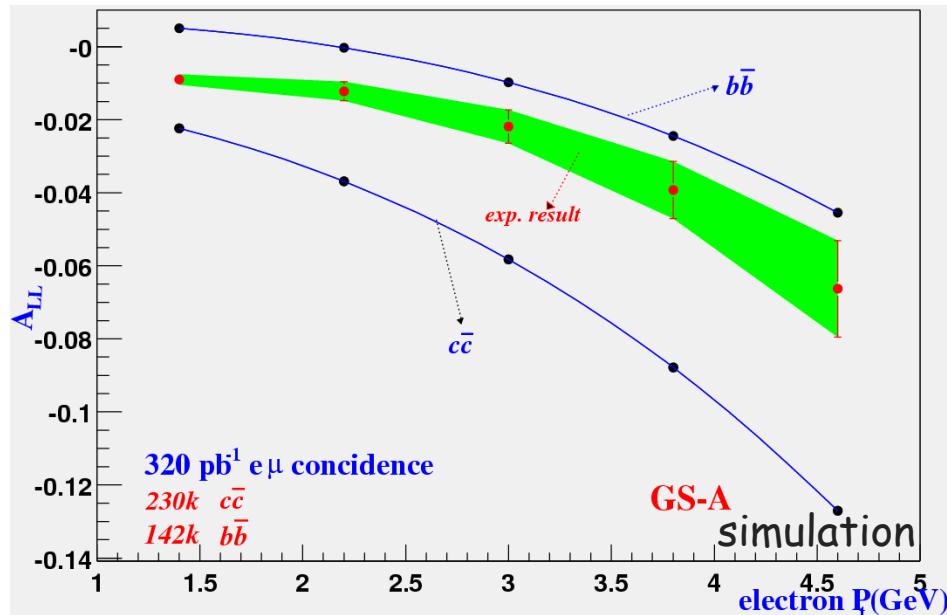
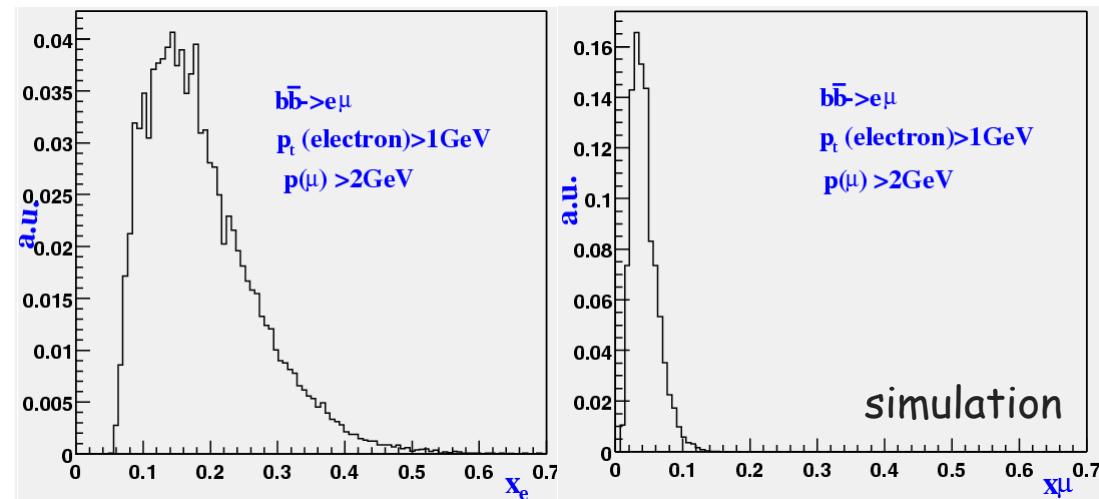
K. Barish

# $\mu$ 's in PHENIX



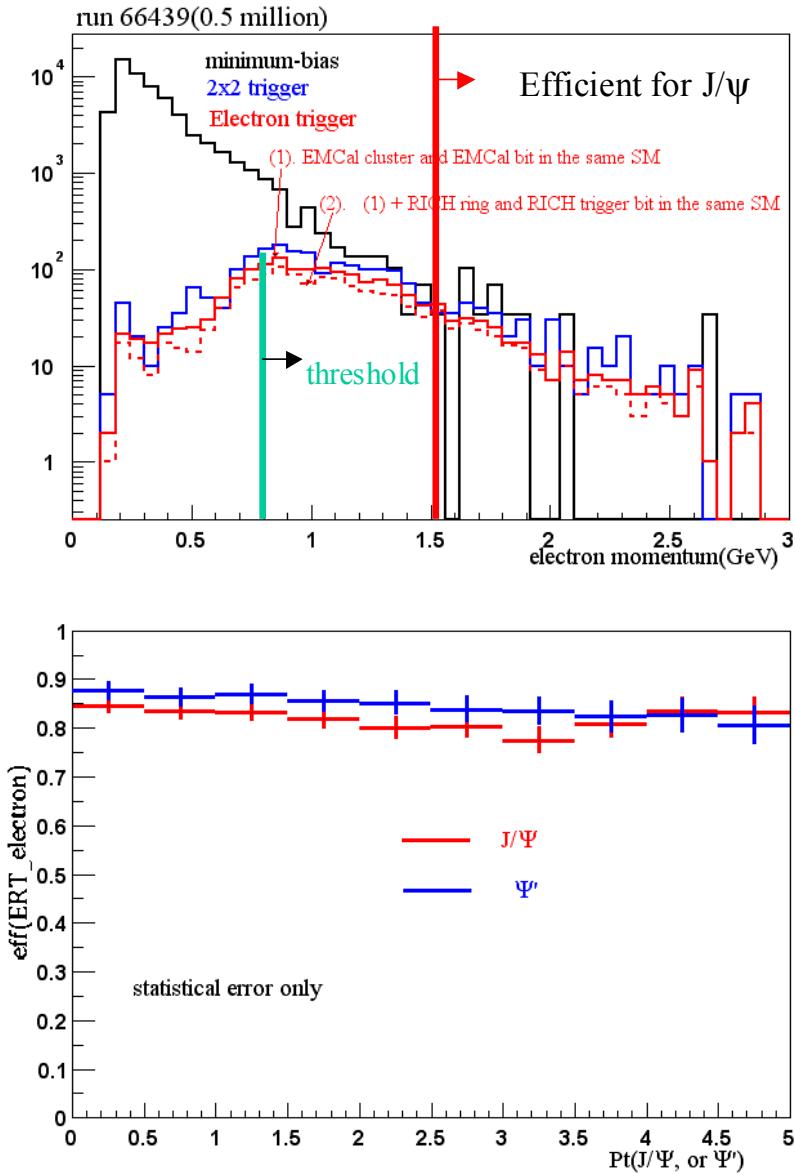
# Tagged $\mu$ -e coincidences

- ✓ We can require a muon detected in one of the forward muon arms in coincidence with an electron in the central arm
  - This requirement removes the background from conversions and Dalitz decays and it enhances the bottom yield in the event sample



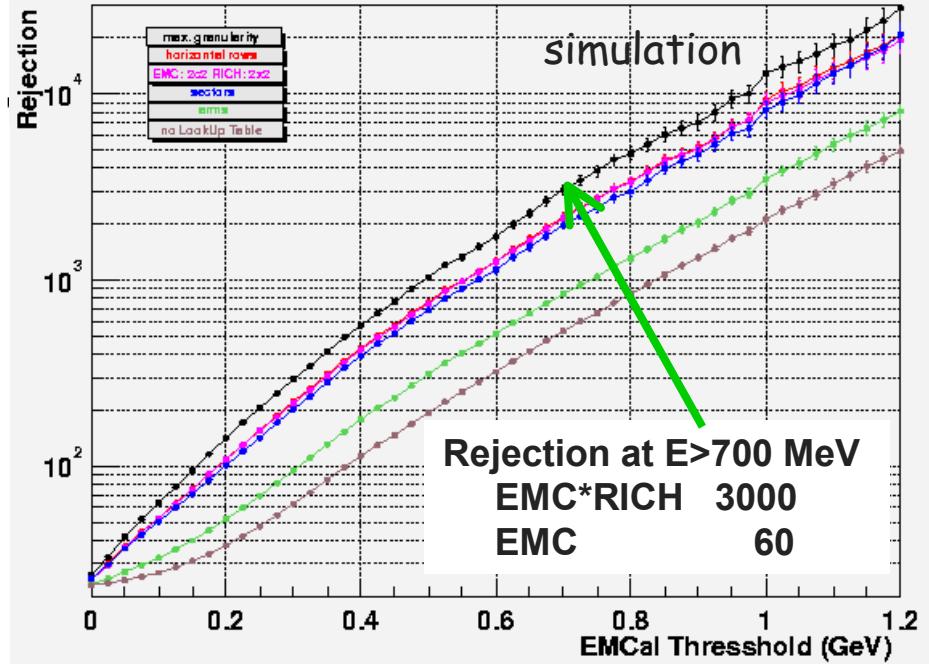
- ✓ In the  $\mu$ -e channel the kinematic range reaches down to  $x_g \sim 0.02$ .

# Electron Trigger



EMCal/RICH electron trigger  
in 2002-2003 d+Au and p+p  
runs!

EMCal/Rich Trigger rejection:  
Different Granularities



D. Galanakis and W. Xie

K. Barish

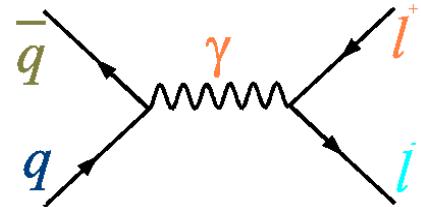
# **Antiquark helicity distributions in PHENIX**

# Anti-quark helicity distribution

## Drell-Yan production of lepton pairs

- » Maximal parton level asymmetry:  $a_{LL} = -1$
- » Possible severe background from semi-leptonic decays of open charm productions

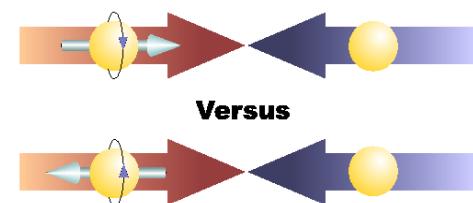
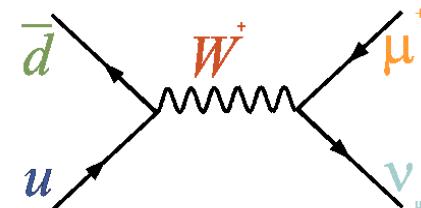
$$A_{LL}^{q\bar{q} \rightarrow -} = \frac{\Delta q(x_A)}{q(x_A)} \otimes \frac{\Delta \bar{q}(x_B)}{\bar{q}(x_B)} \otimes \hat{a}_{LL}^{q\bar{q} \rightarrow -}$$



## W production

- » Produced in parity violating  $V-A$  process
  - Chirality / helicity of quarks defined
- » Couples to weak charge
  - Flavor almost fixed: flavor analysis possible
  - Flavor ID reduces uncertainty in current pol-PDF models.
- » PHENIX-Muon Arms

$$A_L^{W^+ \rightarrow \mu^+ \nu_\mu} = \frac{\Delta u(x_a) \bar{d}(x_b) - \Delta \bar{d}(x_a) u(x_b)}{u(x_a) \bar{d}(x_b) + \bar{d}(x_a) u(x_b)}$$



K. Barish

# W kinematics and background

$x$  can be determined directly if

W has no  $p_T$

W mass is  $\delta$ -function

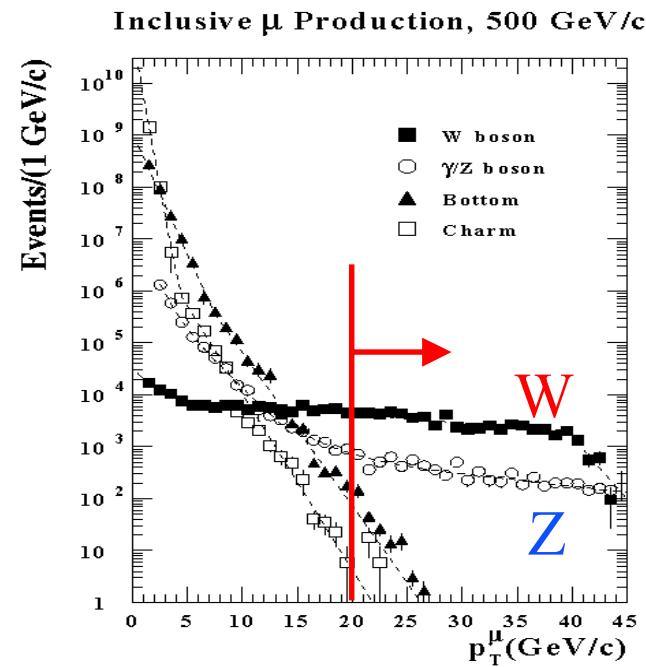
With realistic  $p_T$  and  $M_W$   
the resolution is  $\Delta x/x = 9\%$



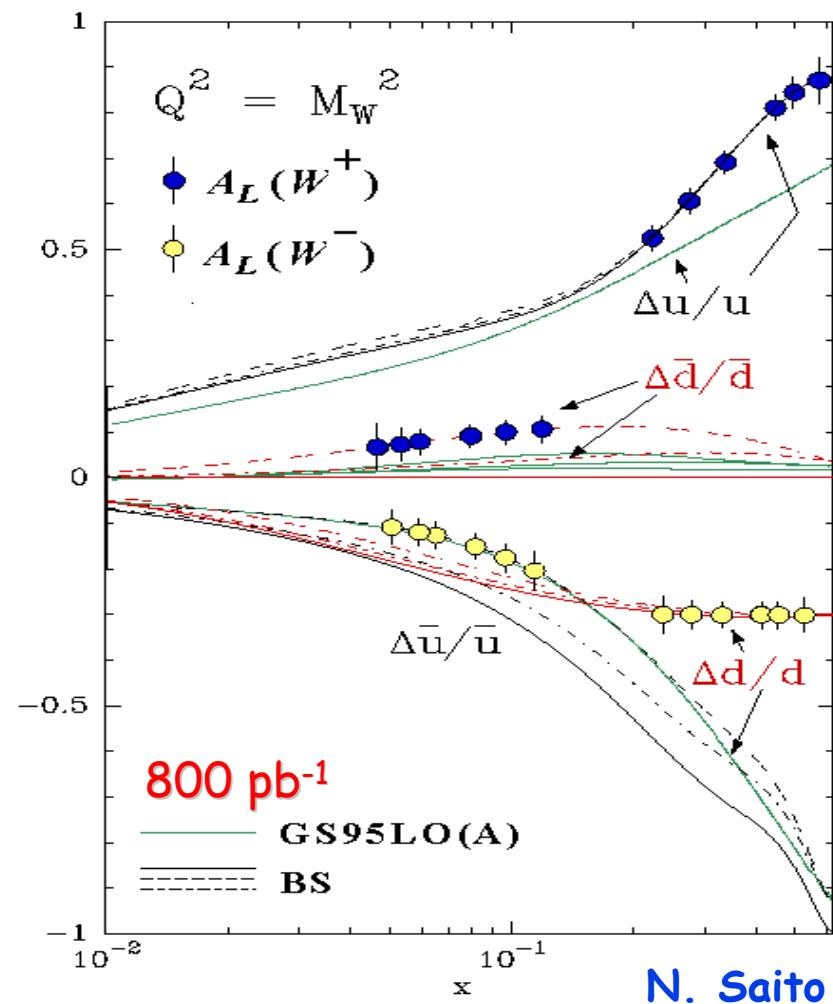
$$\left[ \begin{array}{l} x_1 = \frac{M_W}{\sqrt{s}} e^{y_W}; x_2 = \frac{M_W}{\sqrt{s}} e^{-y_W} \\ y_\mu = y_\mu^* + y_w; y_\mu^* = \frac{1}{2} \ln \left( \frac{1+\cos\theta}{1-\cos\theta} \right) \\ \cos\theta = \pm \sqrt{1 - 4 p_{T\mu}^2 / M_W^2} \end{array} \right]$$



For  $p_T > 20$  GeV  
W dominates  
with a smaller  
contribution  
from  $Z^0$



# Sensitivity goal: flavor decomposition



$A_L(W^+) \rightarrow \Delta u/u(x_1) @ x_1 \gg x_2$

$A_L(W^+) \rightarrow -\Delta d/d(x_1) @ x_2 \gg x_1$

Note: there is a more recent treatment by Nadolsky and Yuan where they use resummation and NLO techniques.

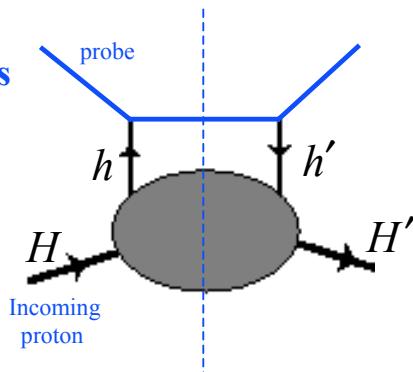
Note:  $W^+$  and  $W^-$  have a different acceptance  
In PHENIX.

# **Transversity**

# Transversity distributions

## Hard Scattering Amplitudes

$H \equiv$  proton helicity  
 $h \equiv$  quark helicity



$H \quad h \quad H' \quad h'$

$$\frac{1}{2} \quad \frac{1}{2} \quad \rightarrow \quad \frac{1}{2} \quad \frac{1}{2}$$

$$\frac{1}{2} \quad -\frac{1}{2} \quad \rightarrow \quad \frac{1}{2} \quad -\frac{1}{2}$$

$\Rightarrow q(x, Q^2), F_{1,2}(x, Q^2)$  (helicity av.)

$\Delta q(x, Q^2), g_1(x, Q^2)$  (helicity diff.)

$$\frac{1}{2} \quad -\frac{1}{2} \quad \rightarrow \quad -\frac{1}{2} \quad \frac{1}{2}$$

$\Rightarrow \delta q(x, Q^2)$  (helicity flip!)

## On Transversity:

Transversity distributions  $\delta q(x, Q^2)$  remain last unmeasured leading twist distributions

For non-relativistic quarks:  $\delta q(x, Q^2) = \Delta q(x, Q^2)$

→ Differences provides information on relativistic nature of quarks inside the proton

Soffer's bound:  $|2\delta q_i(x, Q^2)| \leq q_i(x, Q^2) + \Delta q_i(x, Q^2)$

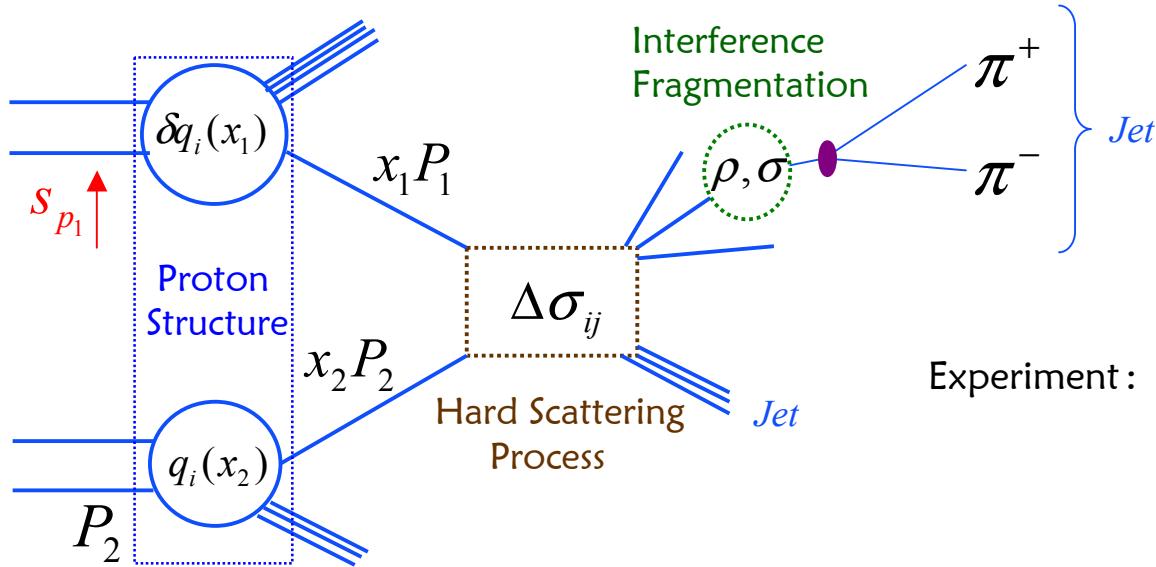
→ Not small! Possibly  $|\delta q_i| \approx |\Delta q_i|$  !

$\delta q(x, Q^2)$  does not mix with gluons under evolution

First lattice QCD result:  $\delta u + \delta d + \delta s = 0.56 \pm 0.09$

S. Aoki, M. Doui, T. Hatsuda and Y. Kuramashi Phys.Rev. D56 (1997)433  
 More recently: S. Capitani et.al. Nucl. Phys. B (Proc. Suppl.) 79 (1999) 548

# Transversity via final state interactions



Jian Tang , Thesis MIT, June 1999  
 R. Jaffe, X.Jin, J. Tang Phys. Rev. D57 (1999)5920  
 X. Ji, Phys. Rev. D49 (1994)114  
 J. Collins, S. Heppelmann, G. Ladinsky, Nucl.Phys. B420 (1994)565

$$\text{Experiment : } A_\perp = \frac{1}{P_{beam}} \frac{N^\rightarrow - N^\leftarrow}{N^\rightarrow + N^\leftarrow}$$

Currently unknown:  
 b-Factories, LEP

measured parton dis.

$$\frac{d^7\sigma_H(pp^\uparrow \rightarrow \pi^+\pi^-X)}{dx_1 dx_2 dt dz dm^2 d\cos\theta d\phi} \propto \delta q(x_1) \cdot q(x_2) \times \frac{d^3\sigma(q_1 q_2 \rightarrow q_3 q_4)}{dx_1 dx_2 dt} \times \frac{d^2\mathcal{M}}{dz dm^2 d\cos\theta d\phi}$$

Model Calculations

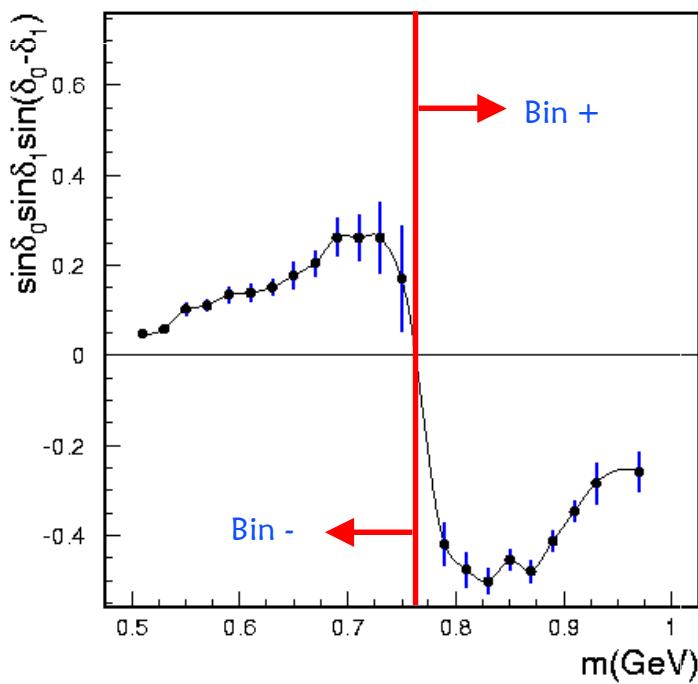
# Interference fragmentation

$$\frac{d^2 \mathcal{M}}{dz dm^2} \propto \sin \delta_0 e^{i\delta_0} (\kappa \cdot \hat{q}_I(z) + \lambda \cdot \delta \hat{q}_I(z)) \sin \delta_1 e^{-i\delta_1} + \dots$$

s-wave  
Strong interaction  $\pi, \pi$  phase shifts

Where:  $\kappa = I \otimes \bar{\eta}_0$ ,  $\lambda = \sigma_+ \otimes \bar{\eta}_- + \sigma_- \otimes \bar{\eta}_+$

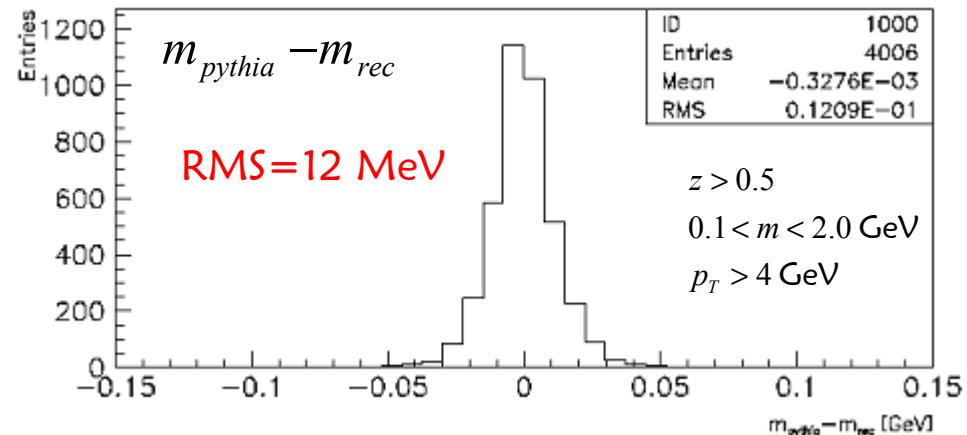
$\hat{q}_I(z), \delta \hat{q}_I(z)$ : spin average and difference fragmentation functions



P. Estabrooks and A.D. Martin, Nucl. Phys. B79 (1974) 301

Non-vanishing “support”  
only in the  $\rho$  mass region!

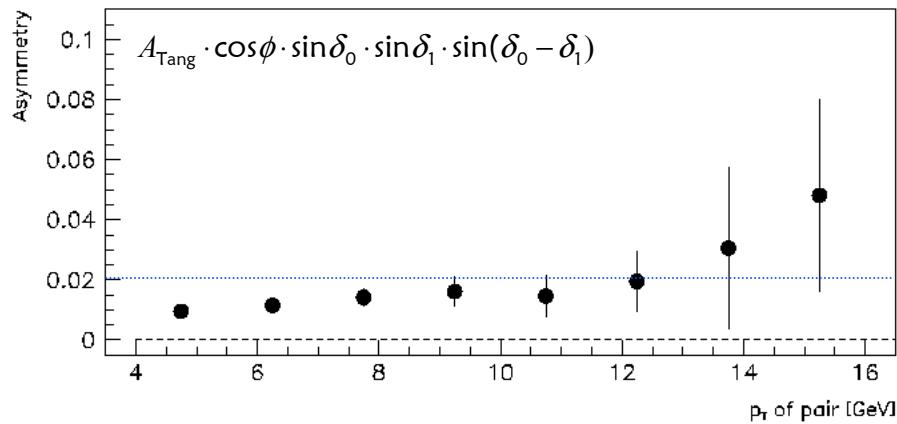
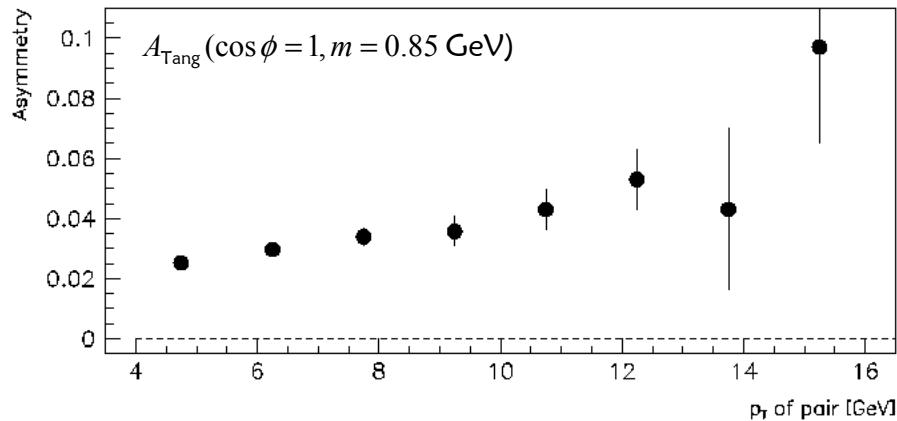
- Sufficient mass Resolution?
- Great for systematics!



# Projected asymmetry

(For 1 week of running)

$A_T(p_\perp \rightarrow \pi^+\pi^- + X)$  vs  $p_T^{\text{pair}}$



$$\int L dt = 32 pb^{-1}$$

$$E_{\pi_1, \pi_2} > 4 \text{ GeV}$$

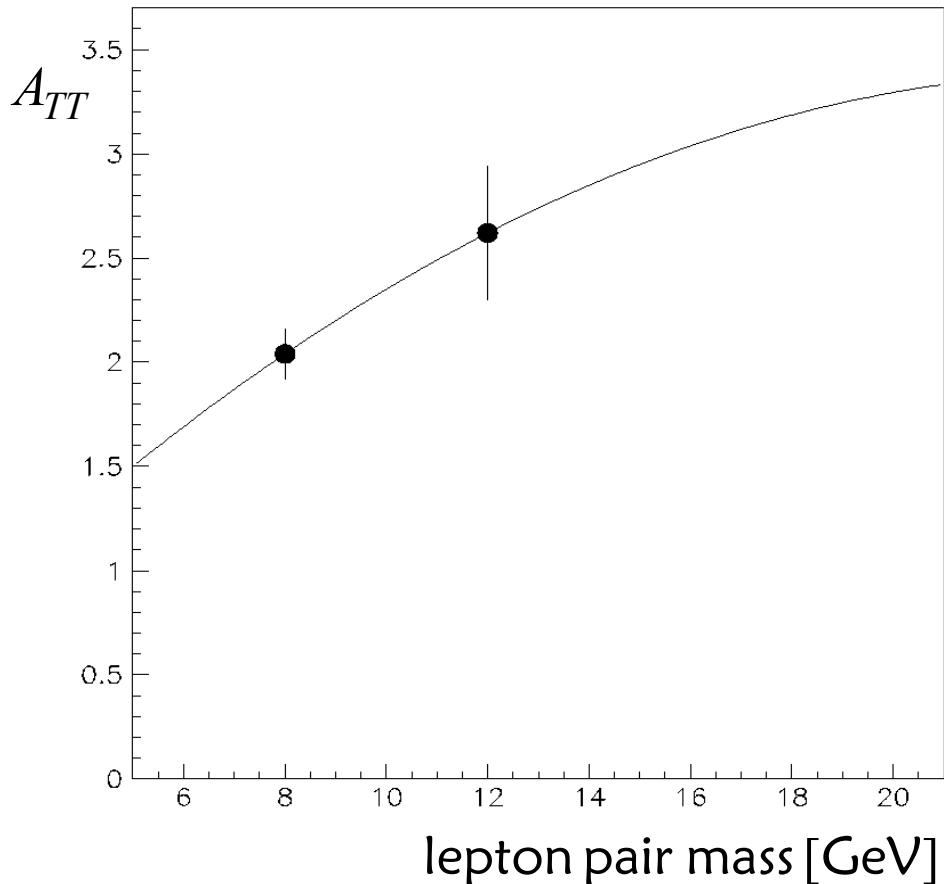
$$\sqrt{p_{1T}^2 + p_{2T}^2} > 4 \text{ GeV}$$

$$800 \text{ MeV} < m < 950 \text{ MeV}$$

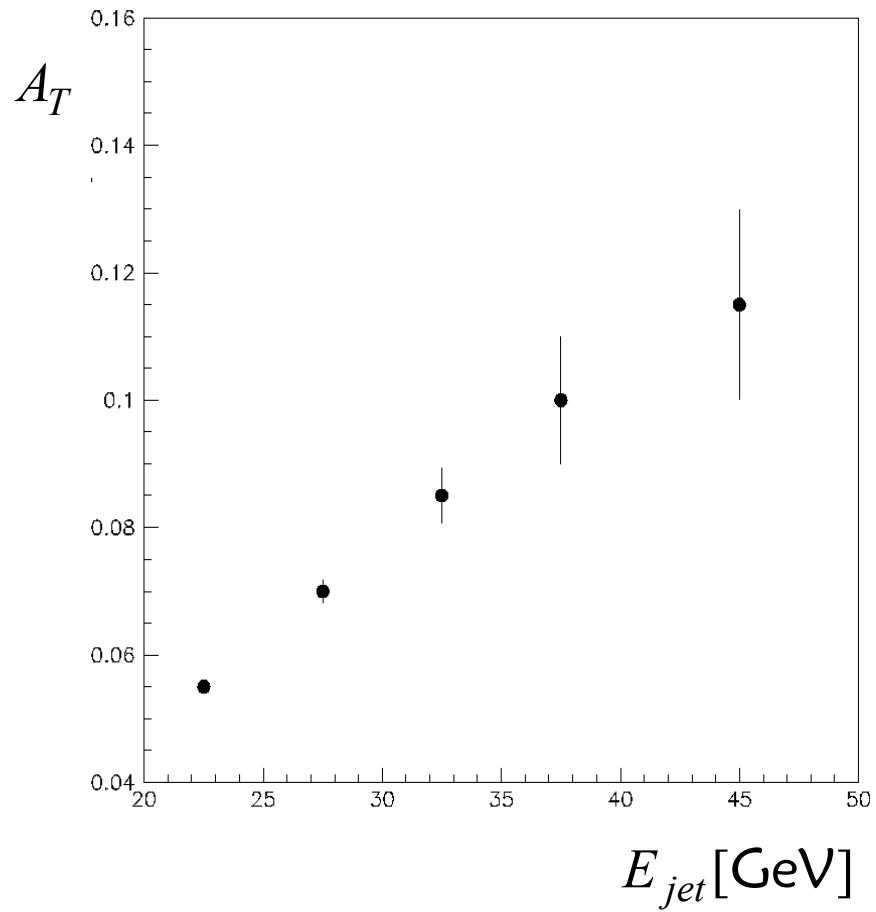
→ Small asymmetry below 5% but good rate!

# RHIC luminosity upgrade

Drell Yan: Martin et al.



Interference FF in direct photon production

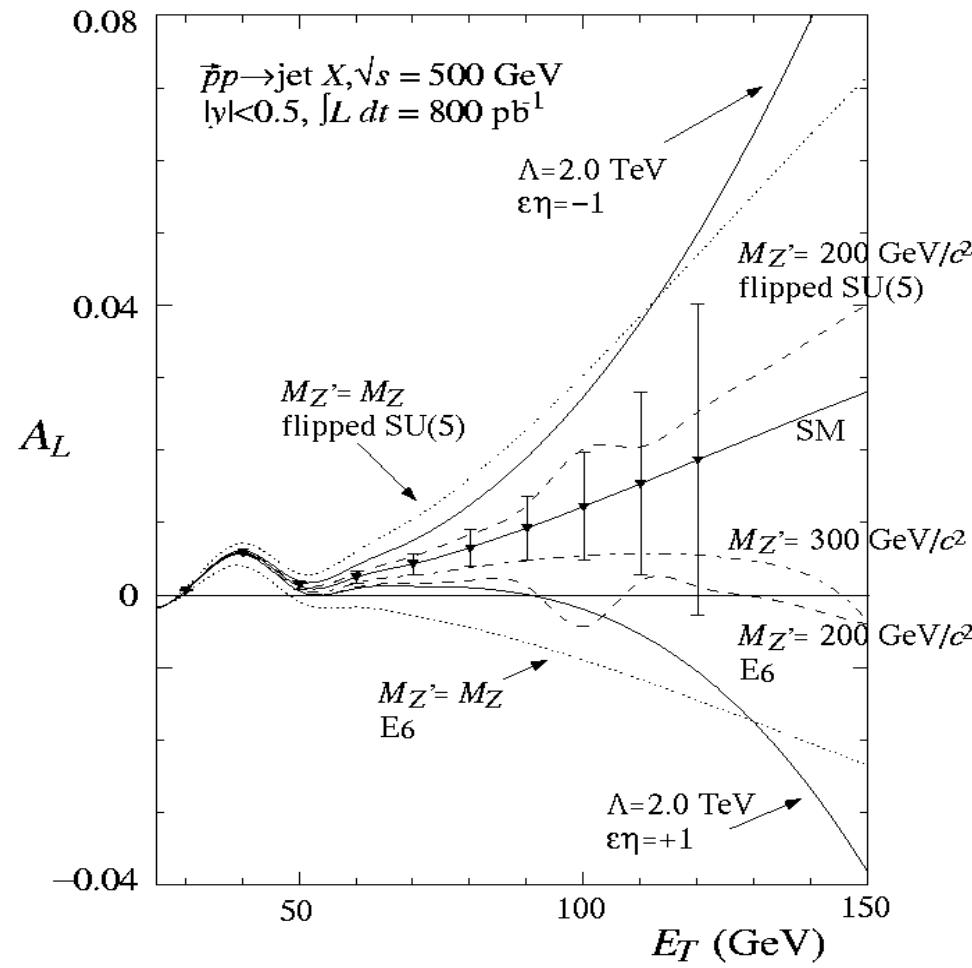
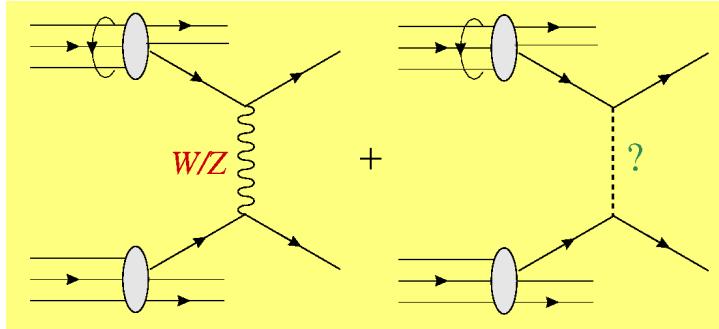


# **Beyond the standard model**

# Searches for new physics

## Anomalous parity violation in jet production

- » Contact Interaction (Scale  $\Lambda$ )
  - RHIC Spin Reach  $\Lambda \sim 3.3$  TeV
- » New gauge boson  $Z'$



# **Introduction to heavy ion physics**

# Heavy ions physics and QCD

- Assume QCD is the correct theory of strong interactions. Great success:

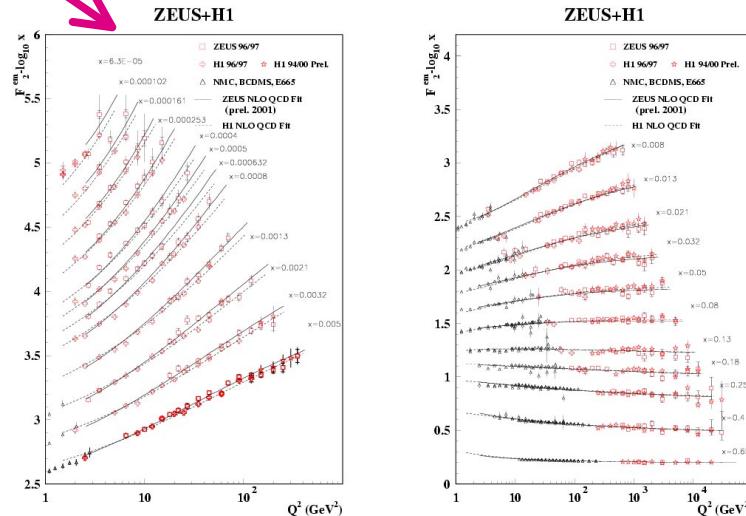
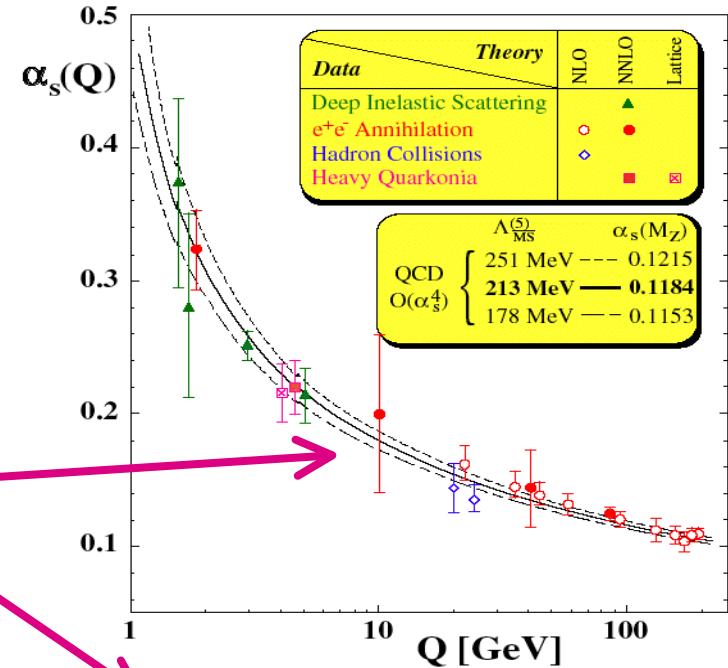
  - »  $\alpha_s$  scaling
  - » Evolution of structure functions

- But only special cases can currently be calculated, e.g.

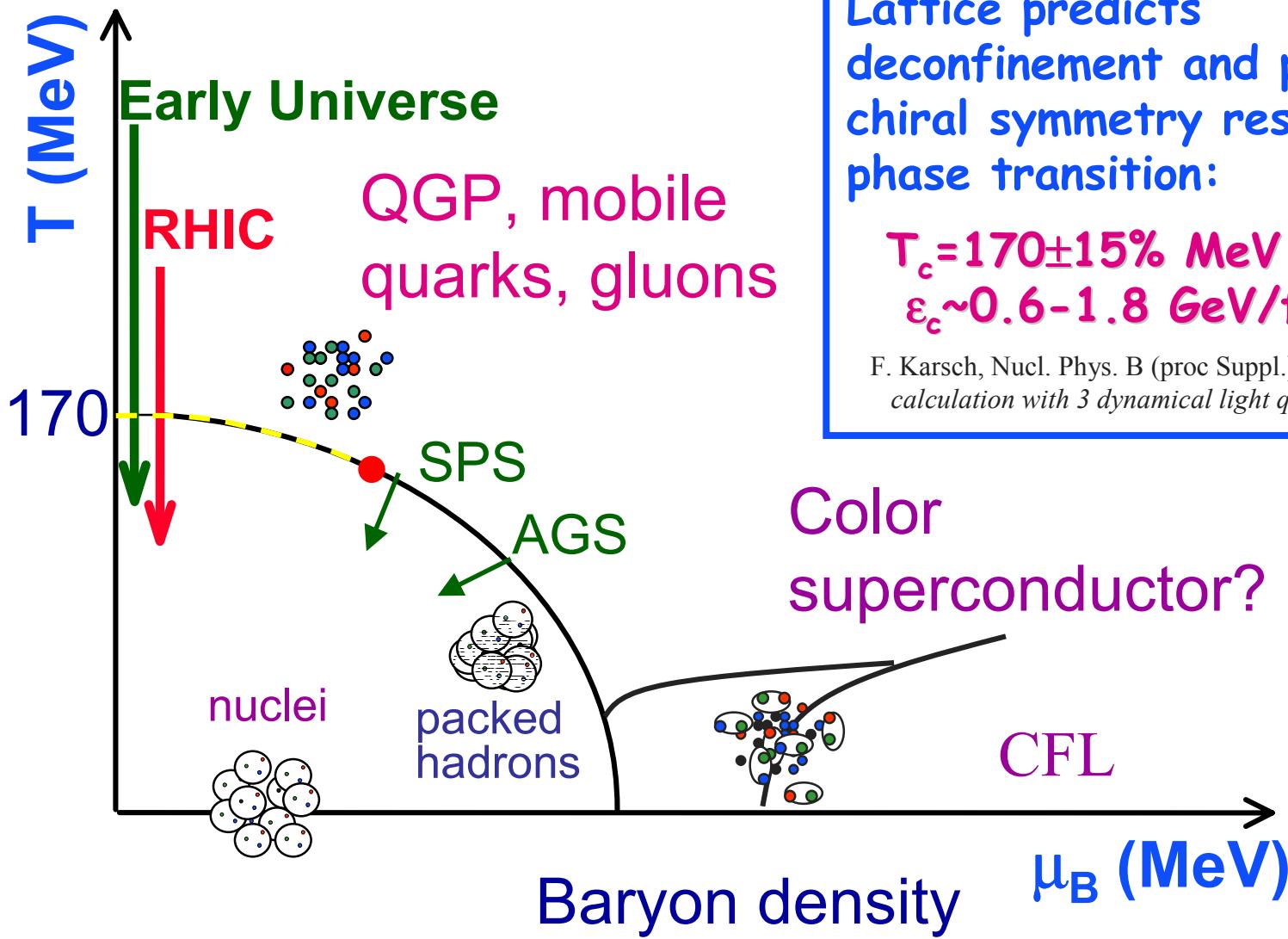
  - » High  $Q^2$  where  $\alpha_s \ll 1$  (pQCD)
  - » High T, Low  $\mu_B$  (lattice)
  - » High  $\mu_B$ , Low T (color superconductor)
  - » High gluon density (CGS)

- Experiment is needed to help guide theory in the difficult-to-calculate regions.

  - » Is there a new set of theoretical tools that need to be developed??



# Phases of nuclear matter



# Deconfinement

## QCD potential:

### in vacuum

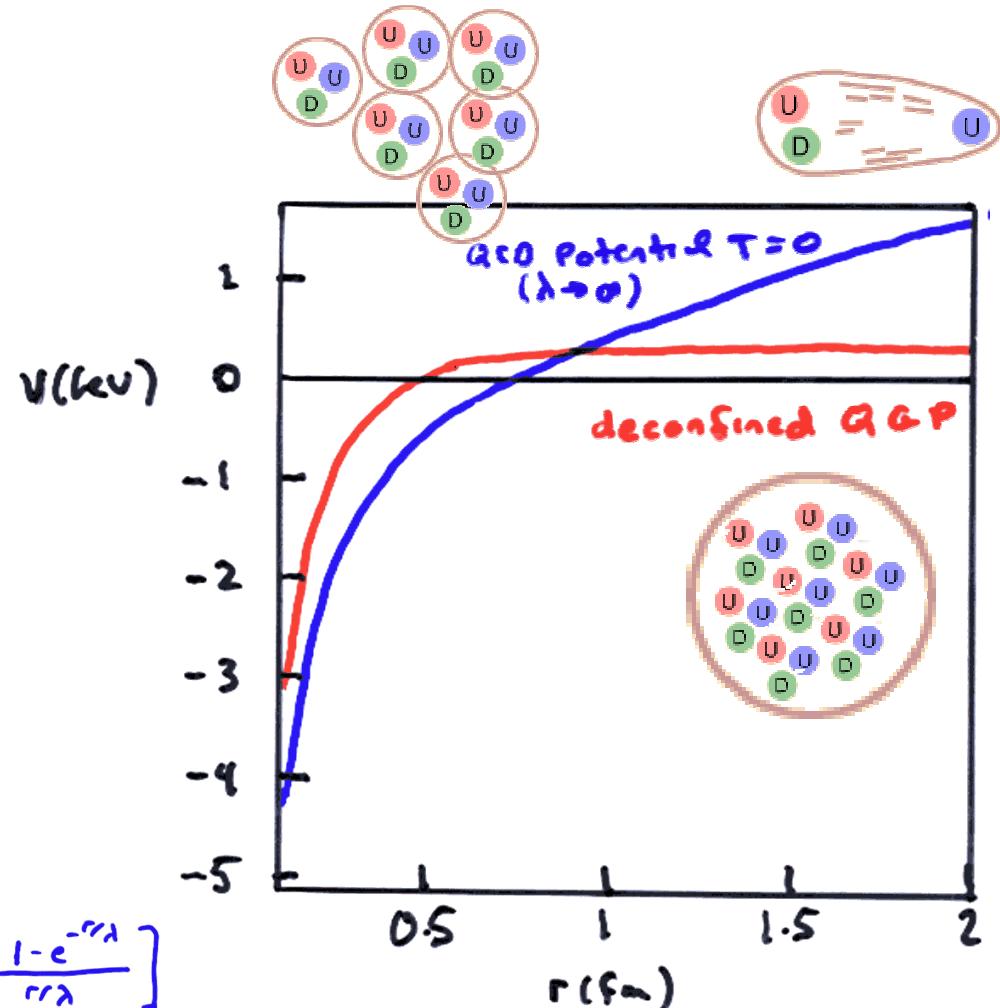
- » linear increase with distance from color charge
- » strong attractive force
- » confinement of quarks to hadrons baryons ( $qqq$ ) and mesons ( $q\bar{q}$ )

### in dense and hot matter

- » screening of color charges
- » potential vanishes for large distance scales
- » deconfinement of quarks!

$$V(r) = -\frac{\alpha}{r} + br \rightarrow -\frac{\alpha}{r} e^{-r/\lambda} + br \left[ \frac{1 - e^{-r/\lambda}}{r\lambda} \right]$$

confinement  
"Coulomb" potential



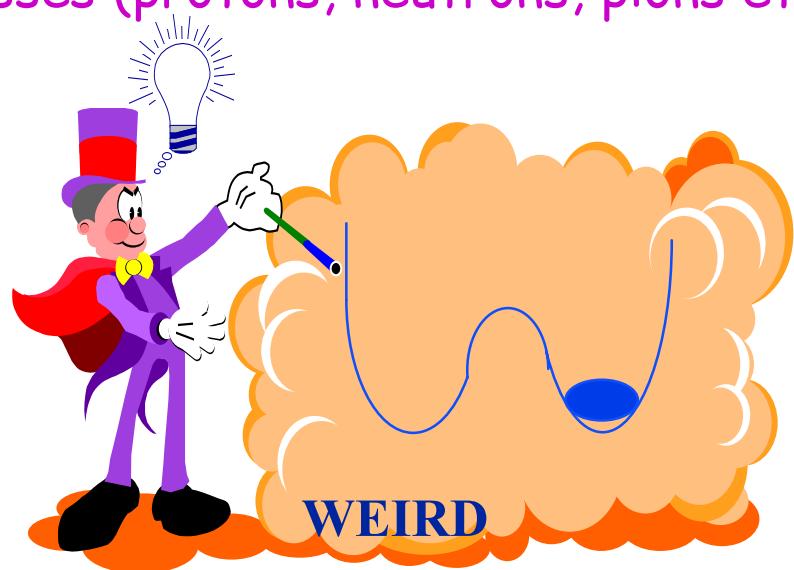
# Early universe ➔ Different Vacuum?

In the early universe this Vacuum was very different than it is now.

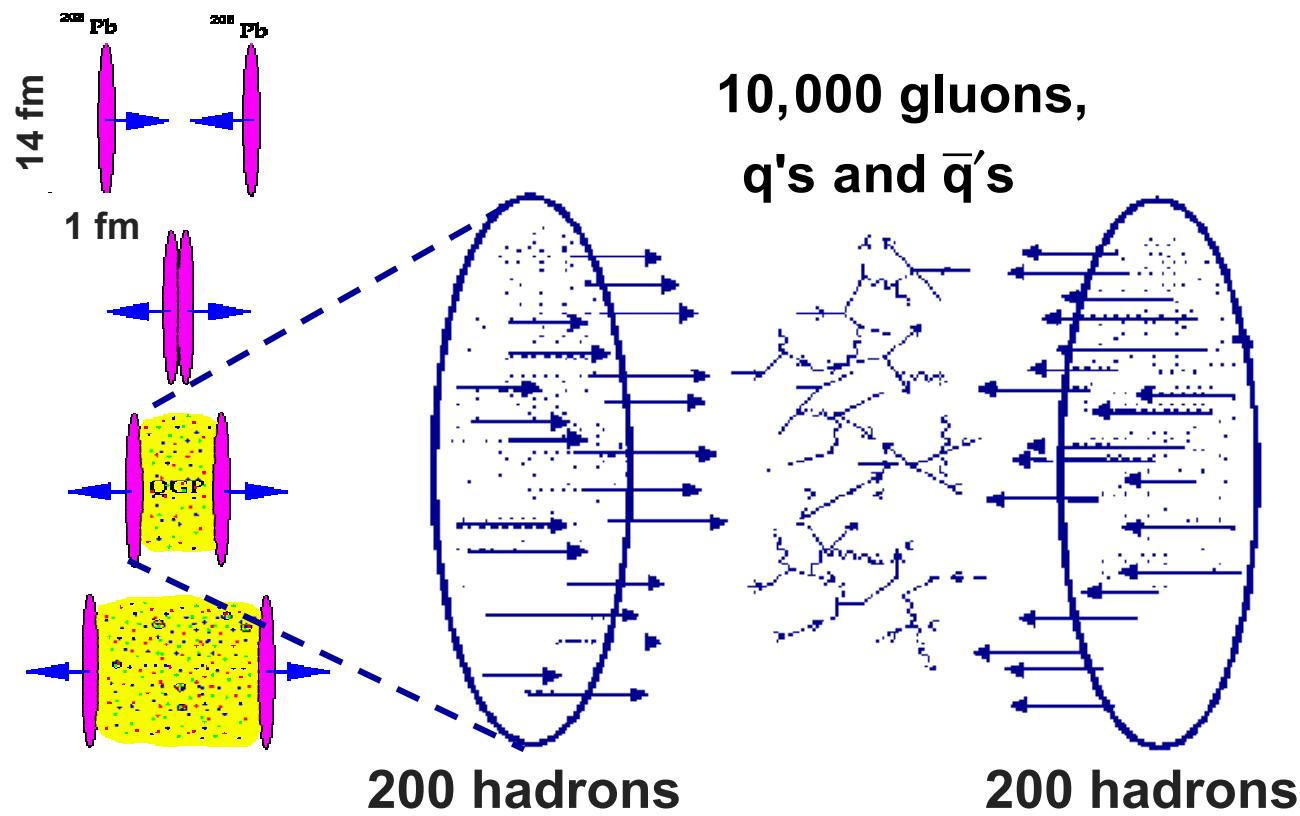
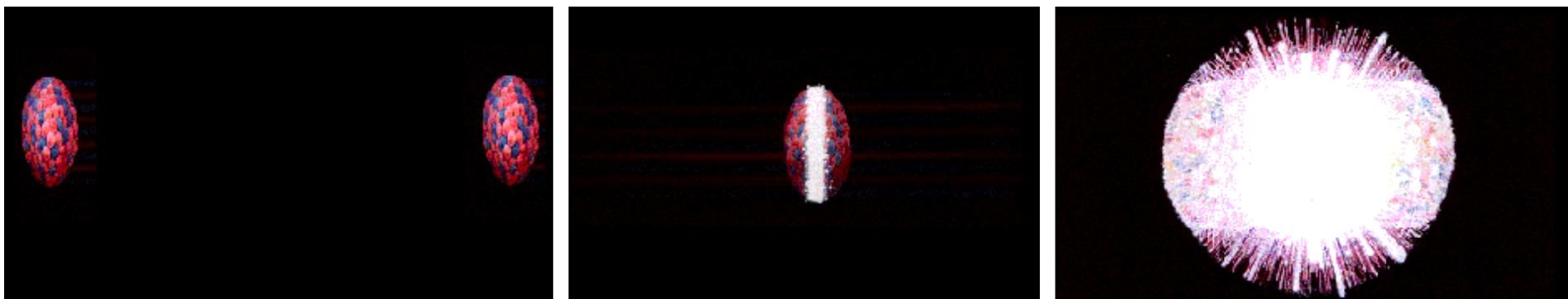
- » Particles (hadrons) had different (~zero) masses!

Present theories (well tested ones!) indicate that the vacuum is NOT empty but is filled with a quark condensate "goo"

- » This is a very weird idea - "wilder than many crackpot theories, and more imaginative than most science fiction"-F. Wilczek
- » Explains why particles (quarks) stick together -"confinement"
- » is the origin of hadronic particle masses (protons, neutrons, pions etc)



# How can we create in the lab?

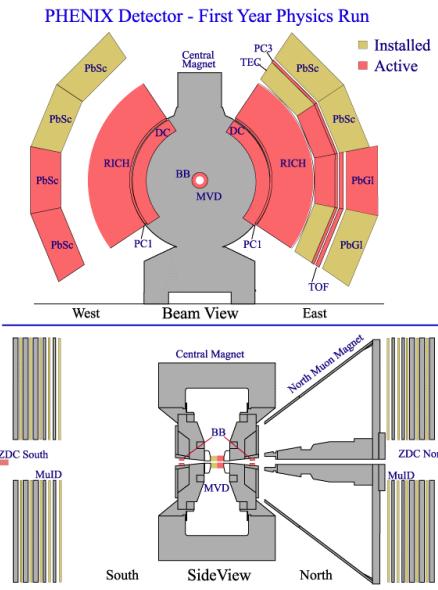


# **PHENIX experimental status**

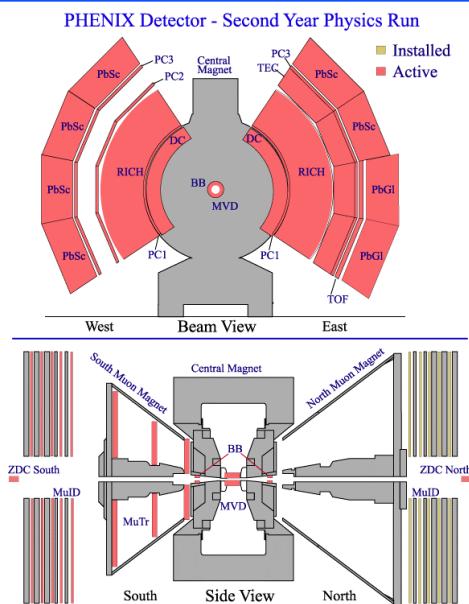
# PHENIX Run History

Run	Year	Species	$s^{1/2}$ [GeV]	$\int Ldt$	$N_{tot}$	P
01	2000	Au-Au	130	1.0	mb <sup>-1</sup>	10M
02	2001/2002	Au-Au	200	24	mb <sup>-1</sup>	170M
		p-p	200	0.15	pb <sup>-1</sup>	3.7G    ~15%
03	2002/2003	d-Au	200	2.74	nb <sup>-1</sup>	5.5G
		p-p	200	0.35	pb <sup>-1</sup>	6.6G    ~27%

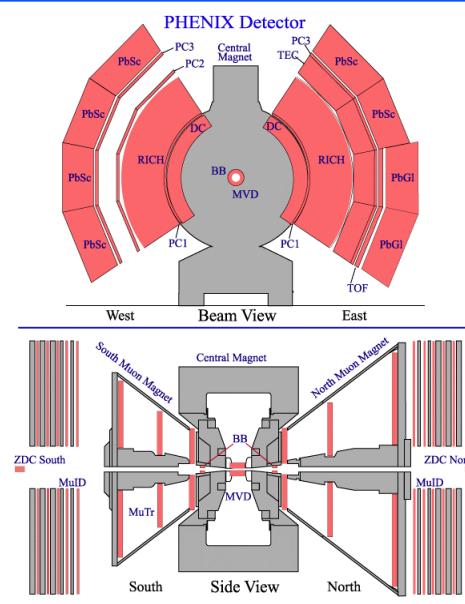
2000



2001/2002



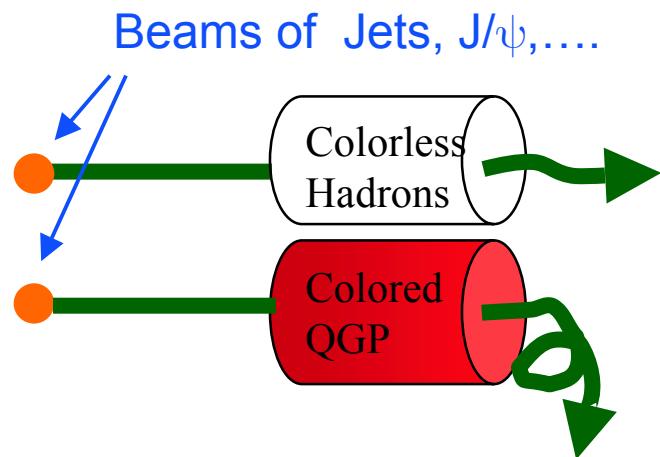
2002/2003



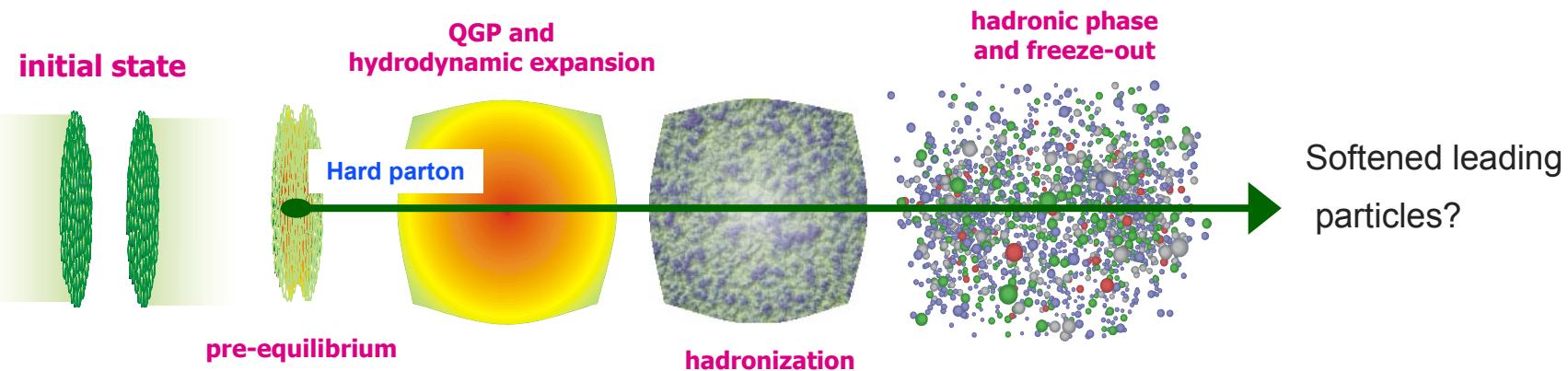
# **Primary Heavy Ion results**

# How can we probe deconfined matter?

We expect quarks and quarkonium states to respond differently to a plasma compared to ordinary nuclear matter



All probes must be auto-generated

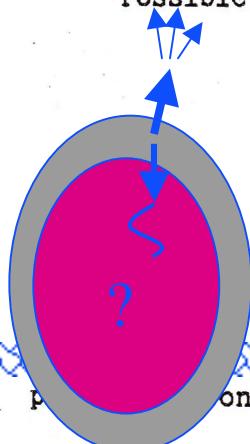


# Can we look directly at jets?

20 YEARS AGO

FERMILAB-Pub-82/59-THY  
August, 1982

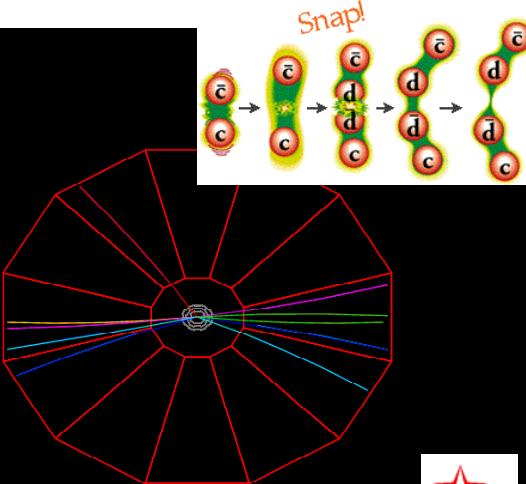
Energy Loss of Energetic Partons in Quark-Gluon Plasma:  
Possible Extinction of High  $p_T$  Jets in Hadron-Hadron Collisions.



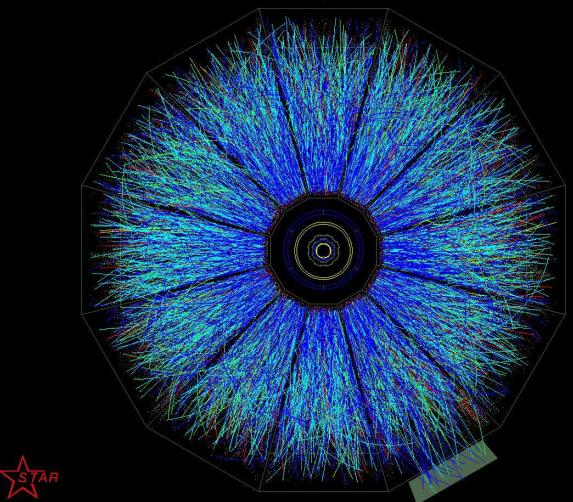
J. D. BJORKEN

Fermi National Accelerator Laboratory  
P.O. Box 500, Batavia, Illinois 60510

secondary high- $p_T$  quark or gluon might lose tens of GeV of its initial transverse momentum while plowing through quark-gluon plasma produced in its local environment. High energy hadron jet experiments should be analysed as function of associated multiplicity to search for this effect. An interesting signature may be events in which the hard collision occurs near the edge of the overlap region, with one jet escaping without absorption and the other fully absorbed.



Au+Au event at 200 GeV



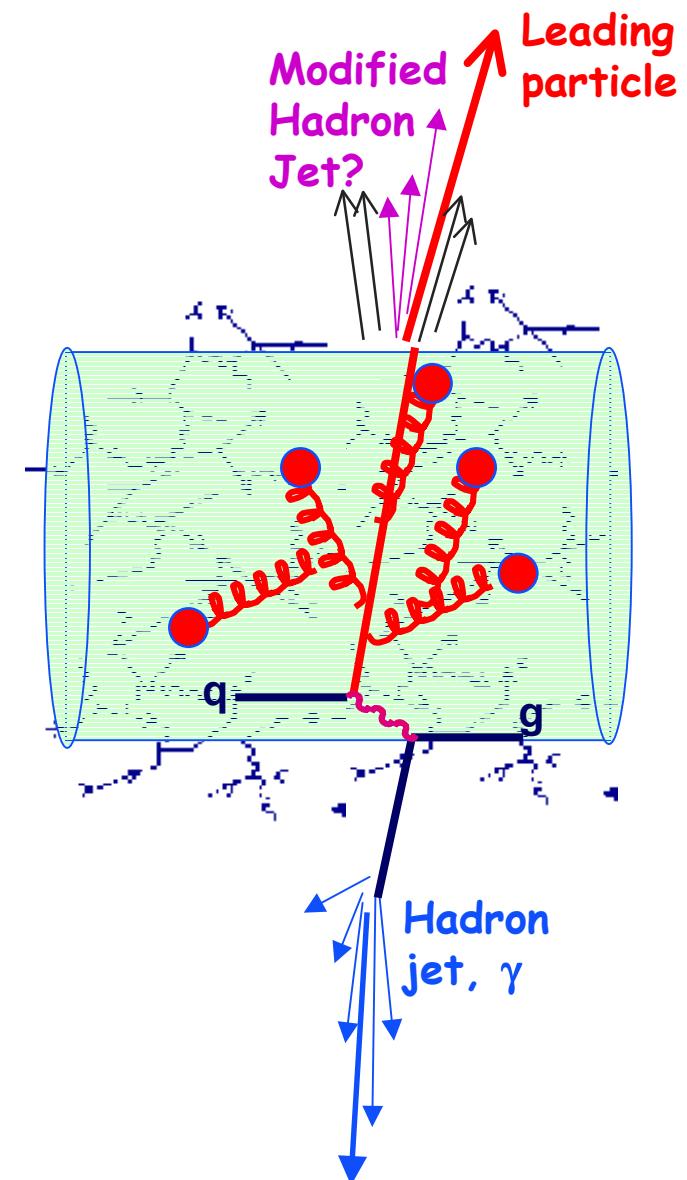
# Leading particles as a probe

## Advantage

- ✓ Can avoid soft background in a jet cone by letting  $R \rightarrow 0$
- ✓ Best to measure using a fine grained calorimeter
  - Can identify neutral pions in PHENIX calorimeter  $\delta\phi = \delta\eta = .01$
  - Fractional energy resolution improves with increasing energy
  - Relatively easy to trigger on

## Disadvantage

- Parent parton energy uncertain
  - Will dilute determination of the properties of the created state of matter

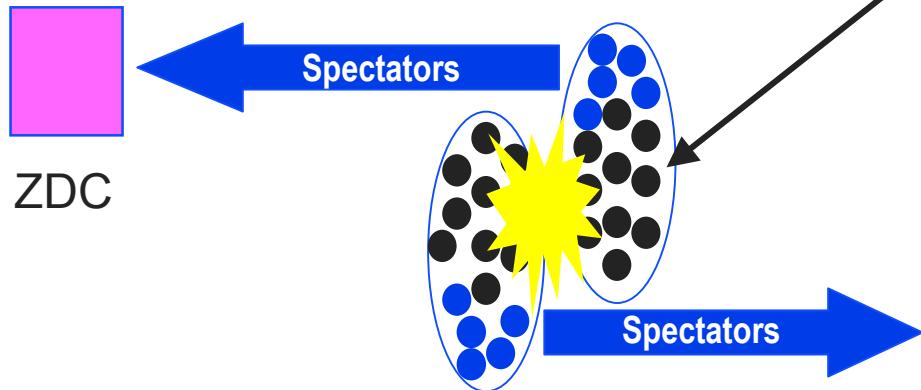


# Collision Characterization

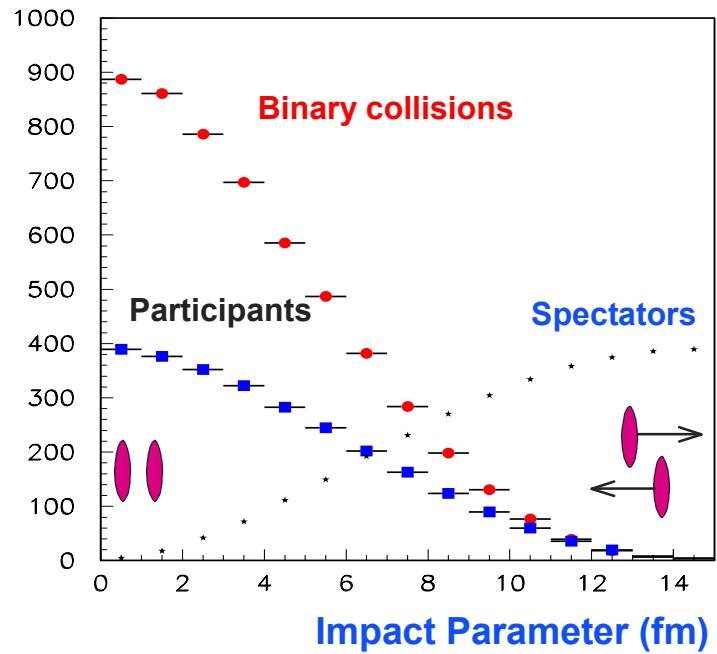
In Run 1 & 2, we only collided one nuclear species (Gold).

However, we can vary the collision size by selecting different impact parameter events

Different number of participating nucleons

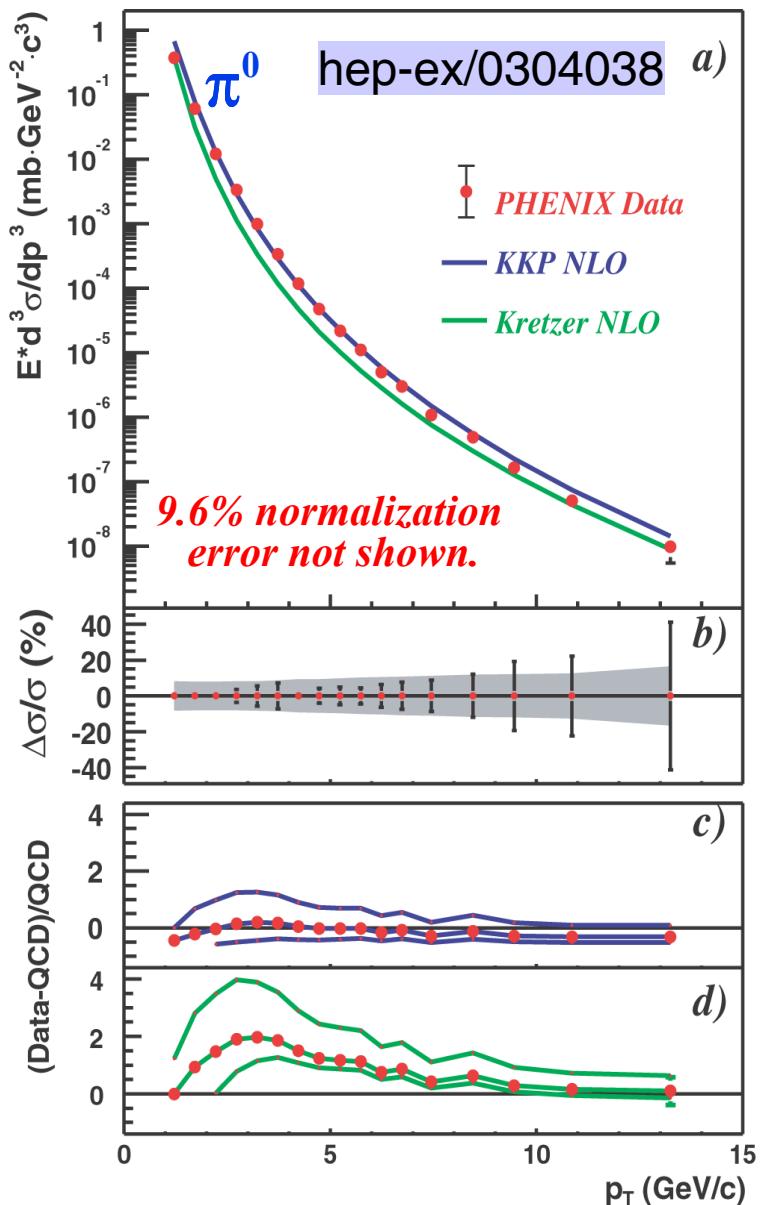


$$\text{Participants} = 2 \times 197 - \text{Spectators}$$



# PHENIX $\pi^0$ spectrum in p+p collisions

- ✓ What should we compare these results with?
  - Start with baseline that Au+Au is incoherent superposition of N+N collisions
  - Scale p+p collisions with number of binary collisions
- ✓ p+p comparison data was the largest systematic error for run 1 results
  - No data at 130 GeV
- ✓ In run 2 PHENIX measured the neutral pion spectrum in p+p at 200 GeV.
- ✓ Results consistent with pQCD NLO calculation



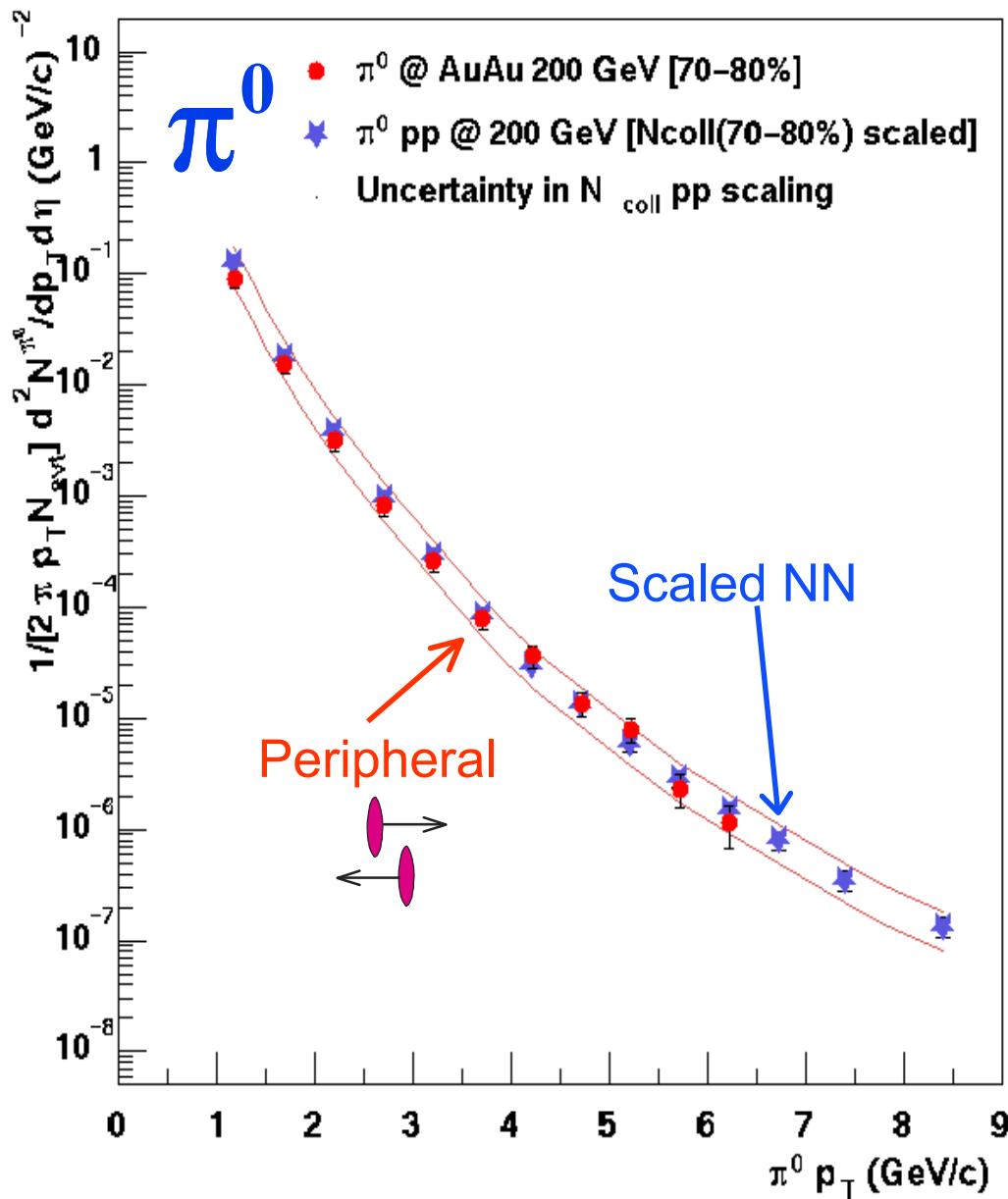
# $\pi^0$ spectra in Au+Au at 200GeV

## Peripheral Collisions

- ✓ PHENIX (Run-2) data on  $\pi^0$  production in peripheral (70-80%) collisions
- ✓ Consistent with N+N, as measured by PHENIX, scaled by number of binary collisions

Scale p-p data by the number of binary collision in central peripheral collisions.

$$N_{\text{collisions}}^{70-80\%} = 12.4 \pm 4.2$$



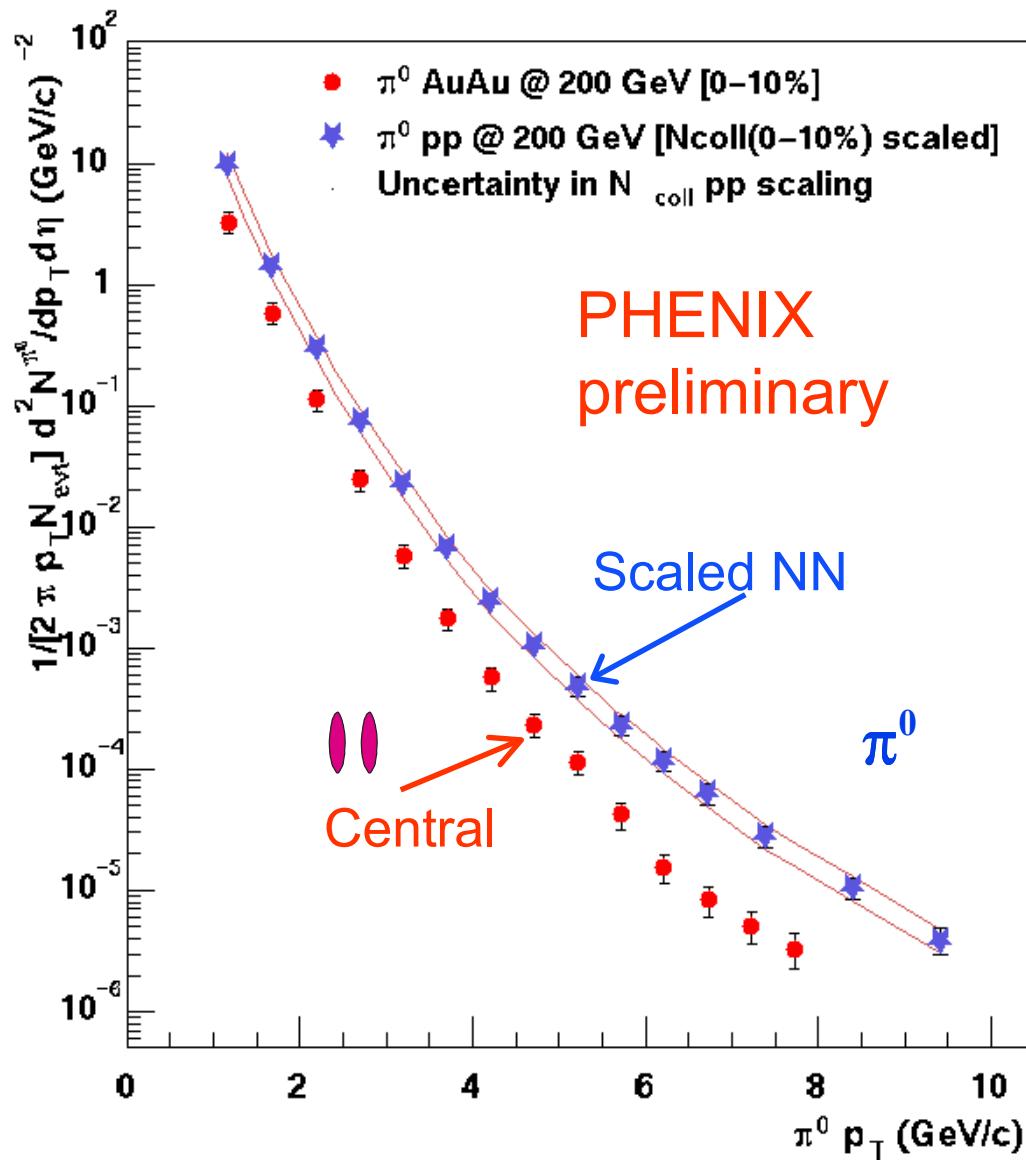
# $\pi^0$ spectra in Au+Au at 200GeV

## Central Collisions

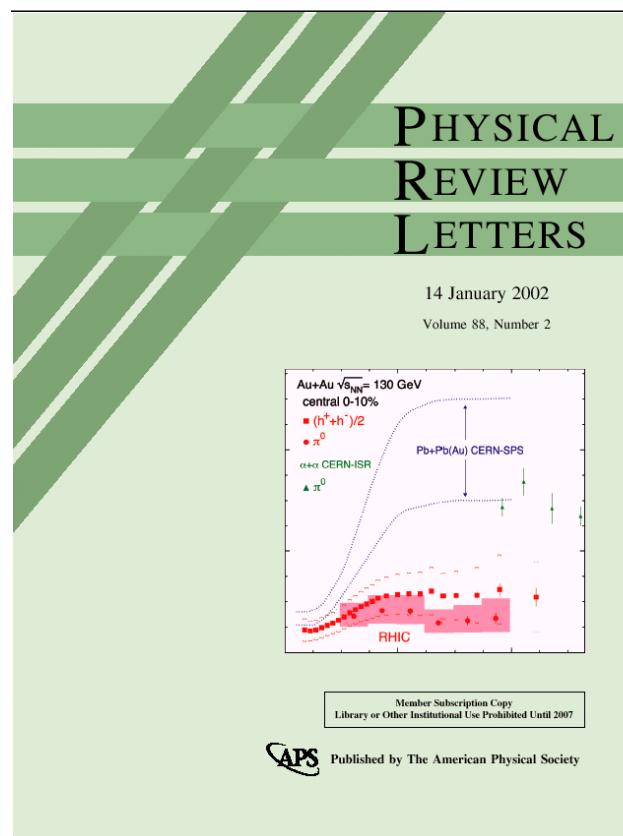
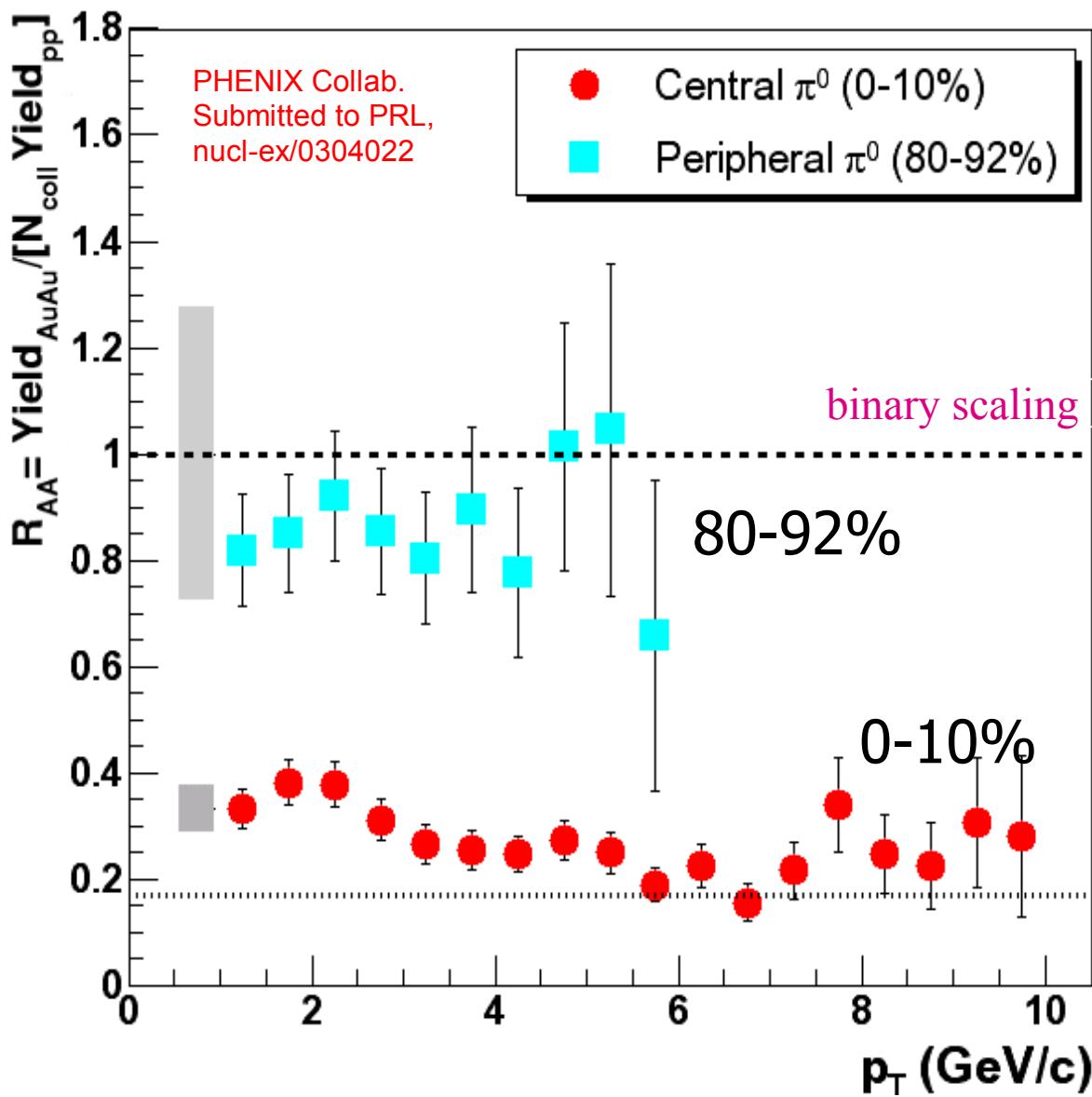
- ✓ PHENIX (Run-2) data on  $\pi^0$  production in central (0-10%) collisions
- ✓ Clearly lower than the scaled N+N collisions
  - Factor 6 at  $p_T = 6-8 \text{ GeV}/c$ .
- ✓ At least qualitatively this is what we expect from energy loss in a dense medium.

Scale p-p data by the number of binary collision in central and peripheral collisions.

$$N_{\text{collisions}}^{0-10\%} = 955.4 \pm 93.6$$

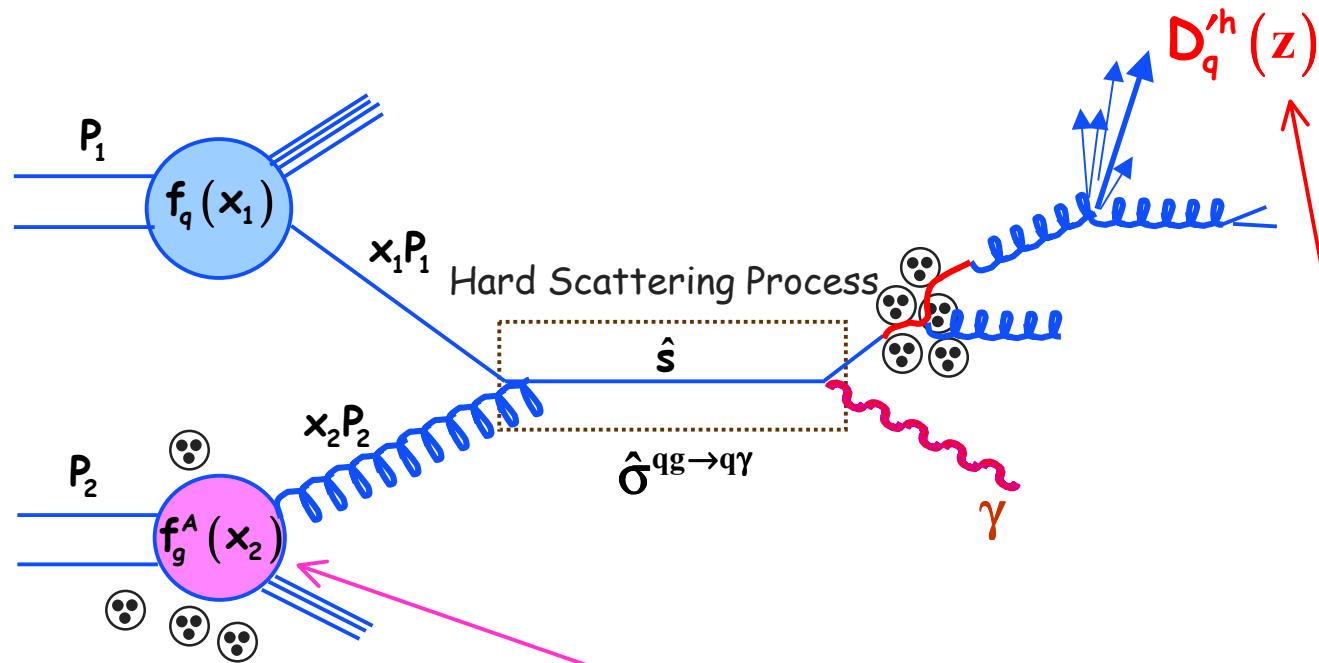


# $\pi^0$ yield in Au+Au vs. p+p collisions



Discovery of high  
 $p_T$  suppression

# Hard scattering processes in p+A

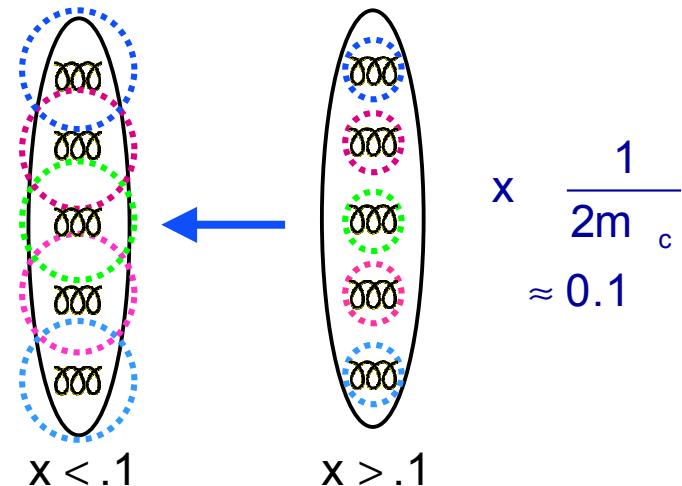


$$\sigma(pA \rightarrow hX) = f_q(x_1) \otimes f_g^A(x_2) \otimes \hat{\sigma}^{qg \rightarrow q\gamma}(\hat{s}) \otimes D_q^h(z)$$

The structure functions and fragmentation function can be modified due to nuclear effects such as: "Cronin", "shadowing", saturation, and re-scattering.

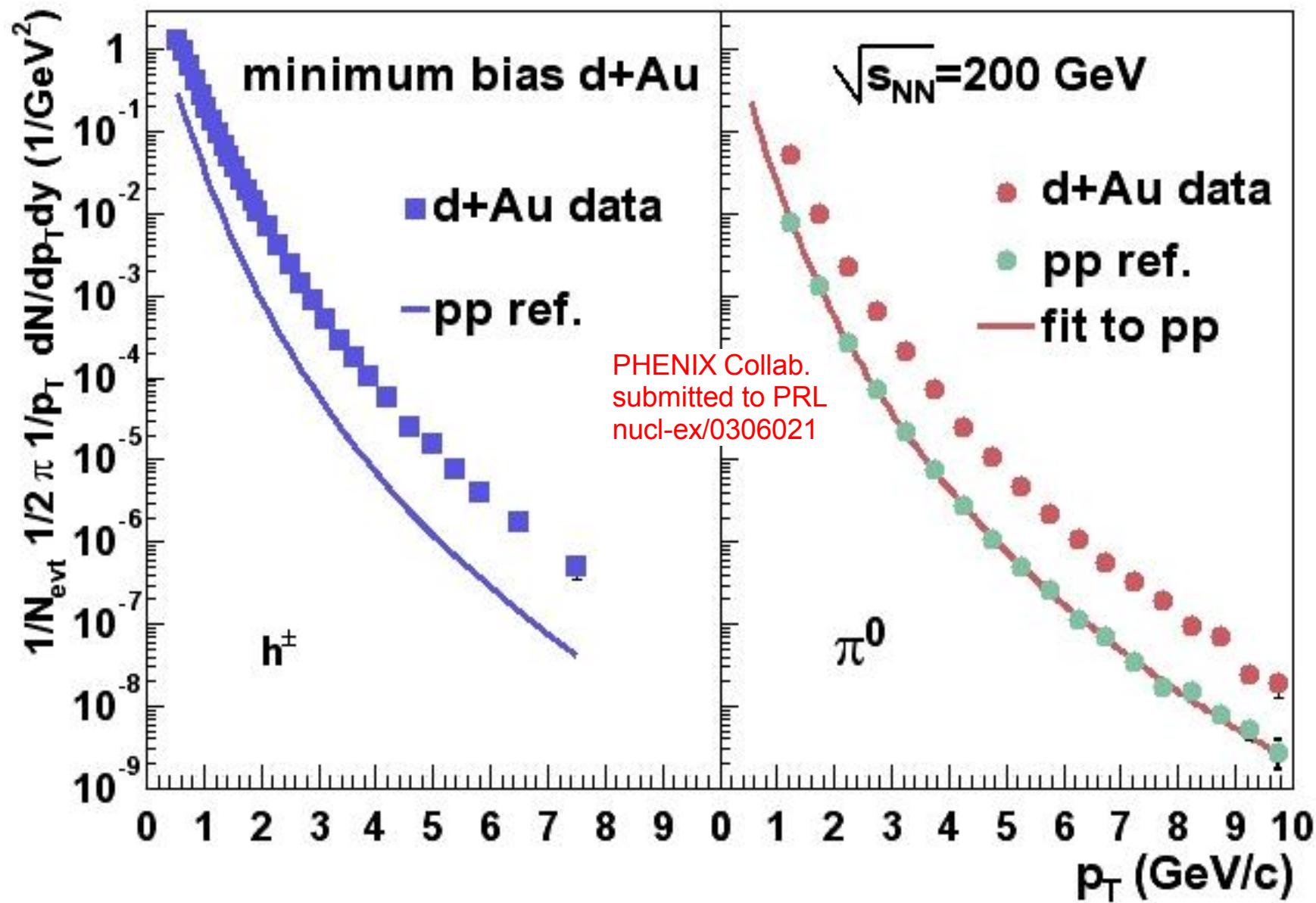
# Nuclear Shadowing of quarks and gluons

- ✓ Nucleon structure functions are known to be modified in nuclei.
- ✓ Can be modeled as a recombination effect due to high gluon # density at low  $x$  (in frame where nucleon is moving fast)

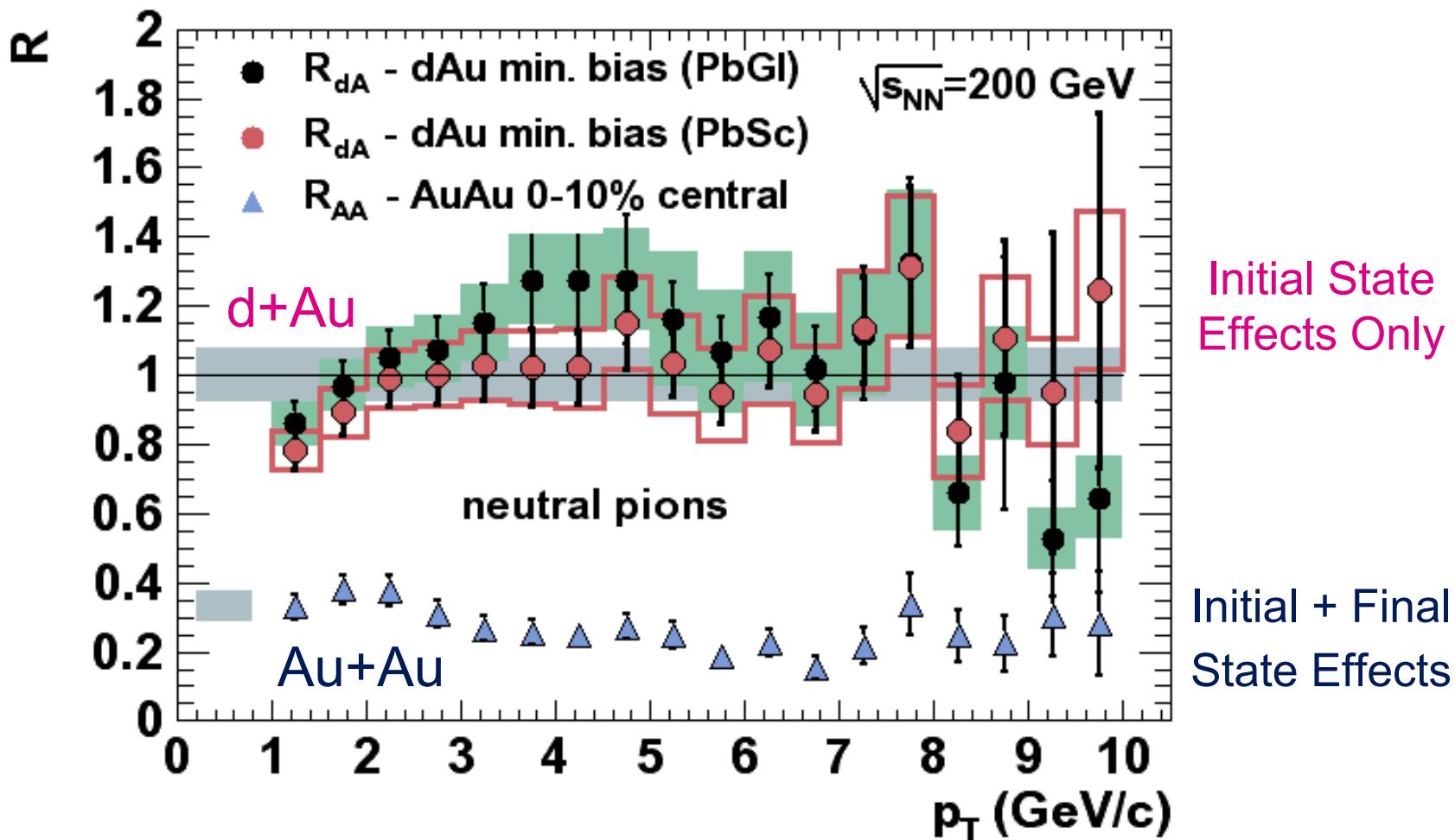


- ✓ Quark shadowing is measured and is expected to be a small ( $\sim 10\%$ ) effect at RHIC energies.
- ✓ Gluon shadowing is not measured, but will clearly play a role at RHIC. It is not expected to be a large effect in the central region.
  - “super-shadowing” from a saturated state could produce a larger effect
- ✓ Run 3 included a long d+A run to address these issues.

# d+Au Spectra



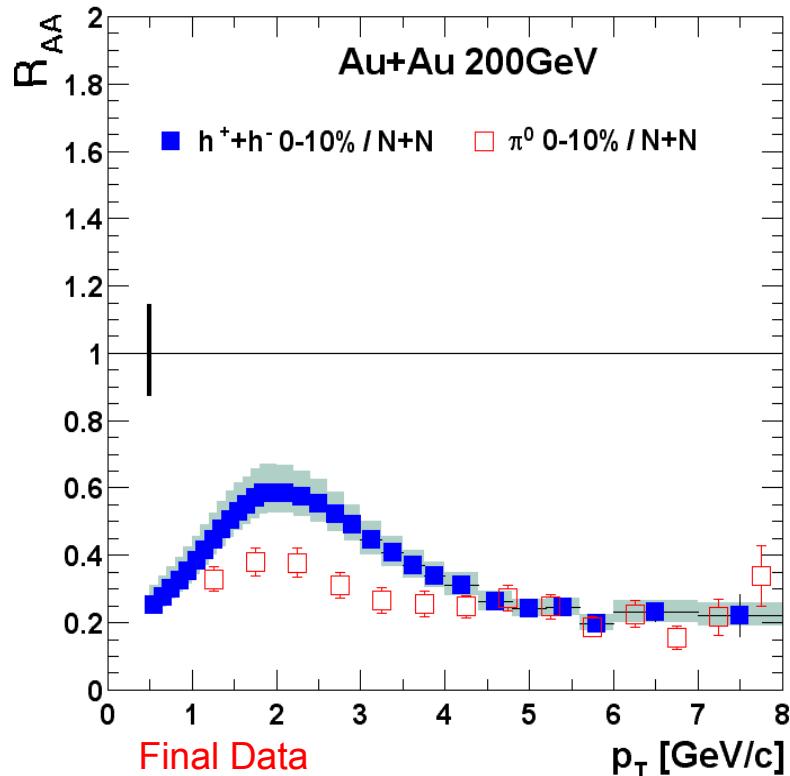
# $R_{AA}$ vs. $R_{dA}$ for Identified $\pi^0$



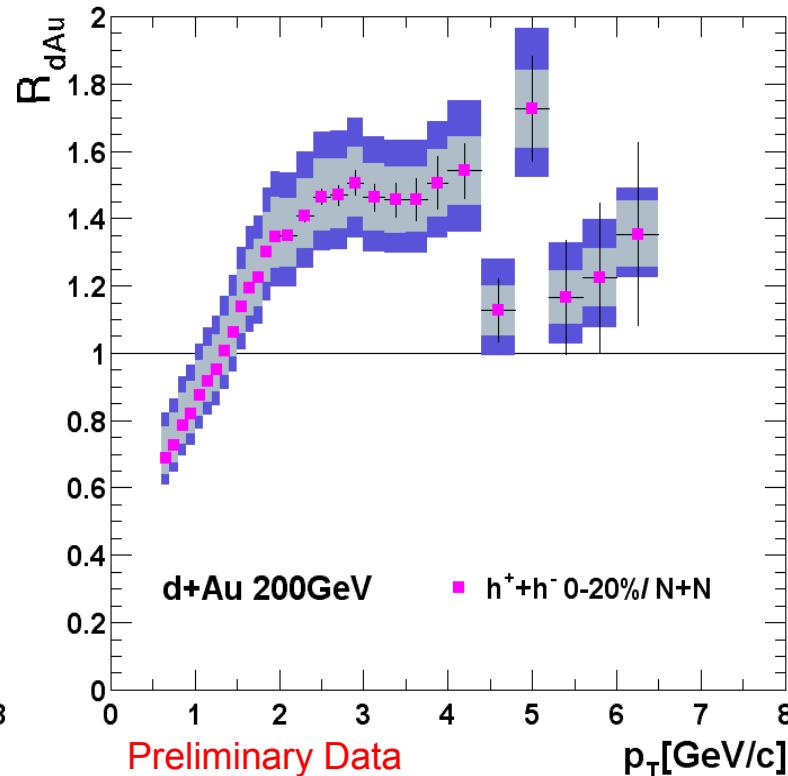
d-Au results rule out CGC as the explanation for Jet Suppression at Central Rapidity and high  $p_T$

# Centrality Dependence

Au + Au Experiment



d + Au Control Experiment



“PHENIX Preliminary” results, consistent with PHOBOS data in submitted paper

Dramatically different and opposite centrality evolution of Au+Au experiment from d+Au control.

Jet Suppression is clearly a final state effect.

# **First results from polarized proton running**

# 2001-2002 p+p run

## Luminosity

- » integrated luminosity  $0.15 \text{ pb}^{-1}$
- »  $L = 1.5 \times 10^{30} \text{ cm}^{-1}\text{sec}^{-1}$  at max

## Polarization – transverse

- »  $\langle P_{\text{yellow}} \rangle = 17\%$ ,  $\langle P_{\text{blue}} \rangle = 14\%$

## Cross section measurement

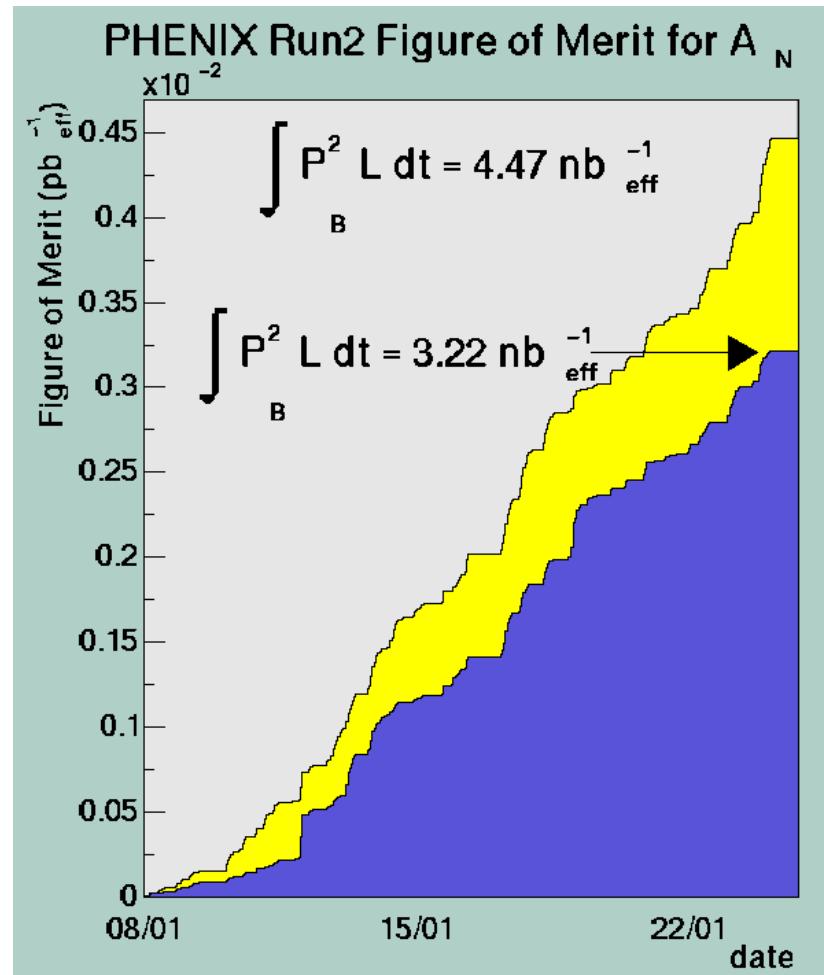
- »  $\pi^0, J/\psi, \dots$

## $A_N$ measurement (analysis ongoing ...)

- » central arm (mid-rapidity,  $x_F \sim 0$ )
  - $\pi^0$ , charged hadrons,  $J/\psi, \dots$
- » muon arm ( $1.2 < \eta < 2.4$ )
  - single- $\mu$ ,  $J/\psi, \dots$

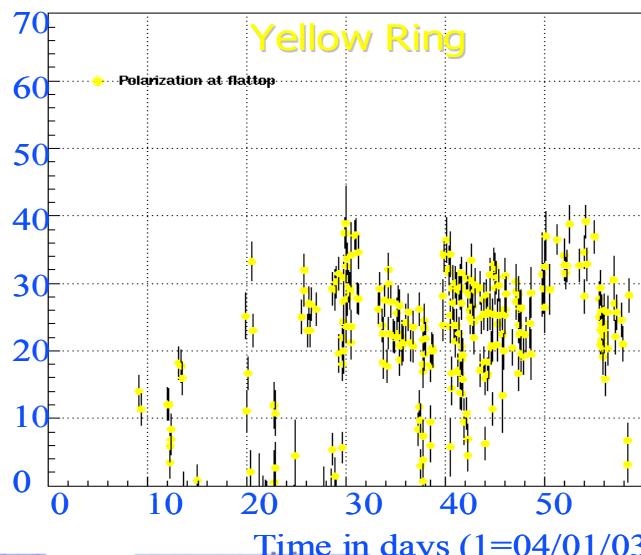
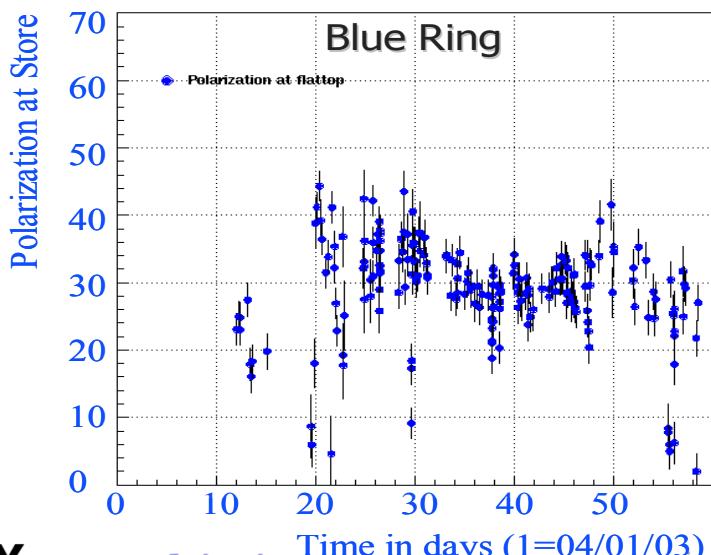
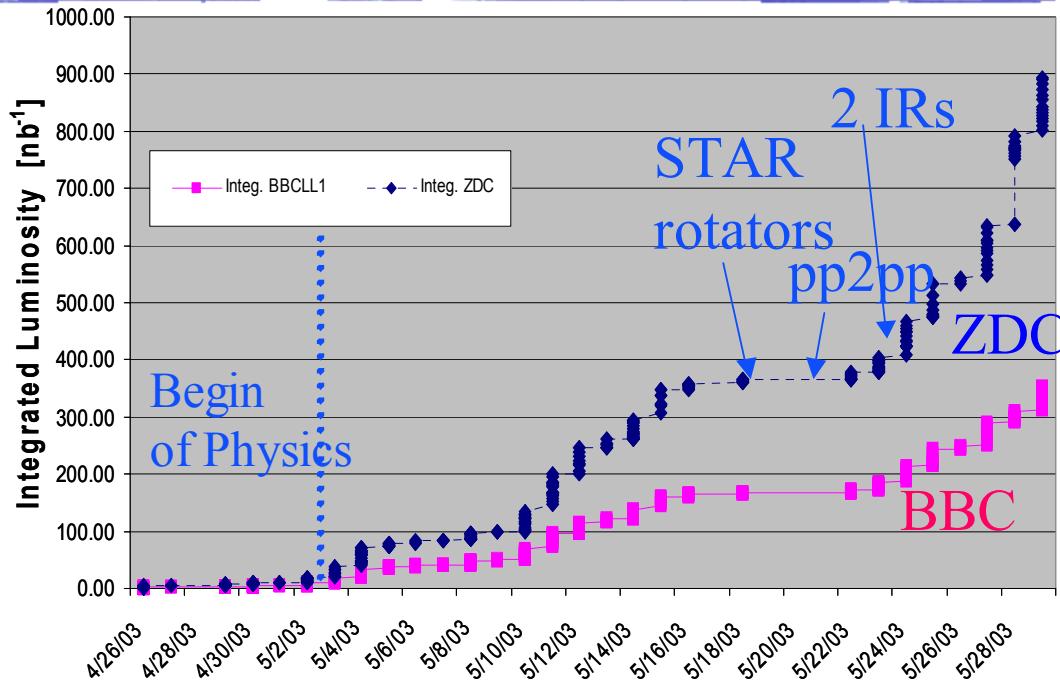
## Systematic studies

- » relative luminosity study
- » local polarimeter development at IP12
  - $A_N$  measurement of neutron, photon,  $\pi^0$  at very forward-rapidity

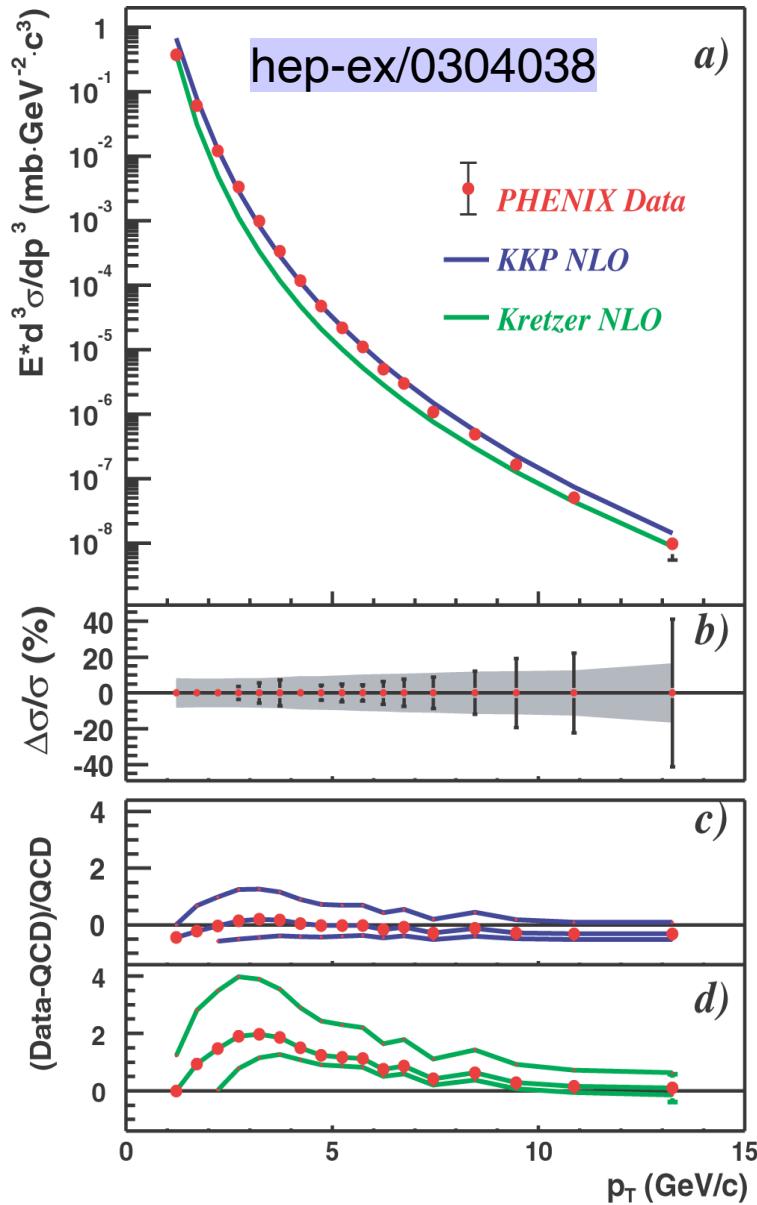


# 2002-2003 p+p run

- » Integrated luminosity  
 $350\text{nb}^{-1}$  from  $6.6 \times 10^9$   
 BBCLL1 triggers
- » Average polarization  
 ~27%
- » Figure of merit  
 $\int P_Y^2 P_B^2 L dt = 1.8 \text{ nb}^{-1}$



# $\pi^0$ -Production in p+p at $\sqrt{s} = 200$ GeV



## Corrected $\pi^0$ spectrum

### » Trigger-Counter: BBC

- sees ~50% of the inelastic p+p events
- EmCal measures ~75% of the total number of  $\pi^0$  in inelastic events

### » BBC trigger bias corrected for

## Physics:

### » NLO pQCD consistent with data within scale ( $\mu_N = \mu_F = p_T/2, p_T, 2p_T$ ) dependence.

- pdf : CTEQ5M
- fragmentation functions:
  - [c] Kniehl-Kramer-Potter (KKP)
  - [d] Kretzer
- Spectrum constrains D(gluon  $\rightarrow \pi$ ) fragmentation function

F. Aversa *et al.*, NPB327, 105 (1989)

B.A. Kniehl *et al.*, NPB597, 337 (2001)

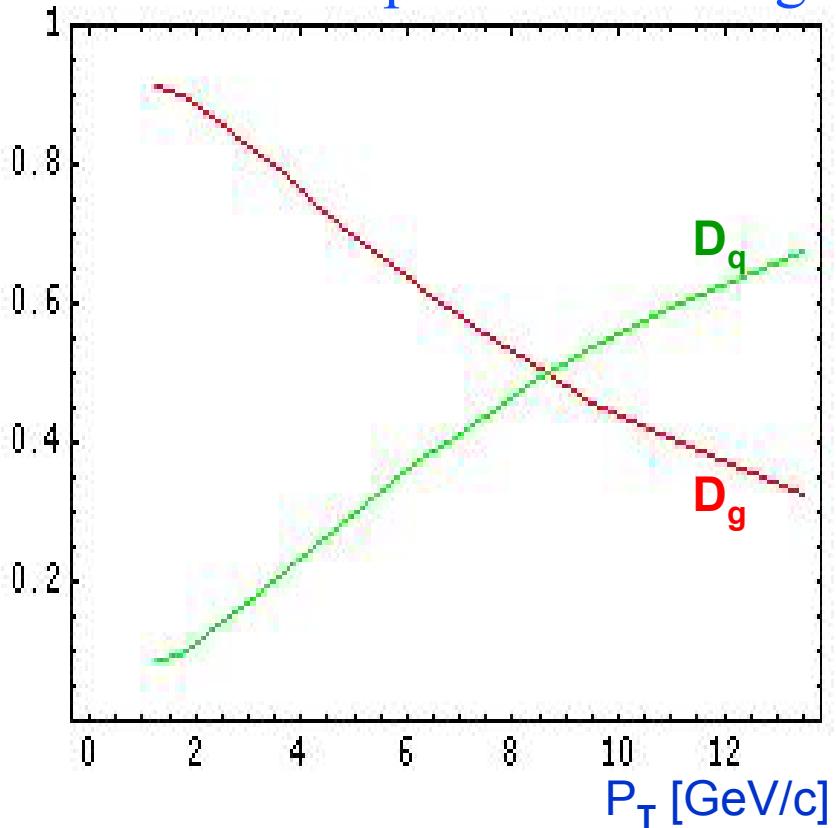
S. Kretzer, PRD62, 054001 (2000)

### » Important confirmation of theoretical foundations for spin program.

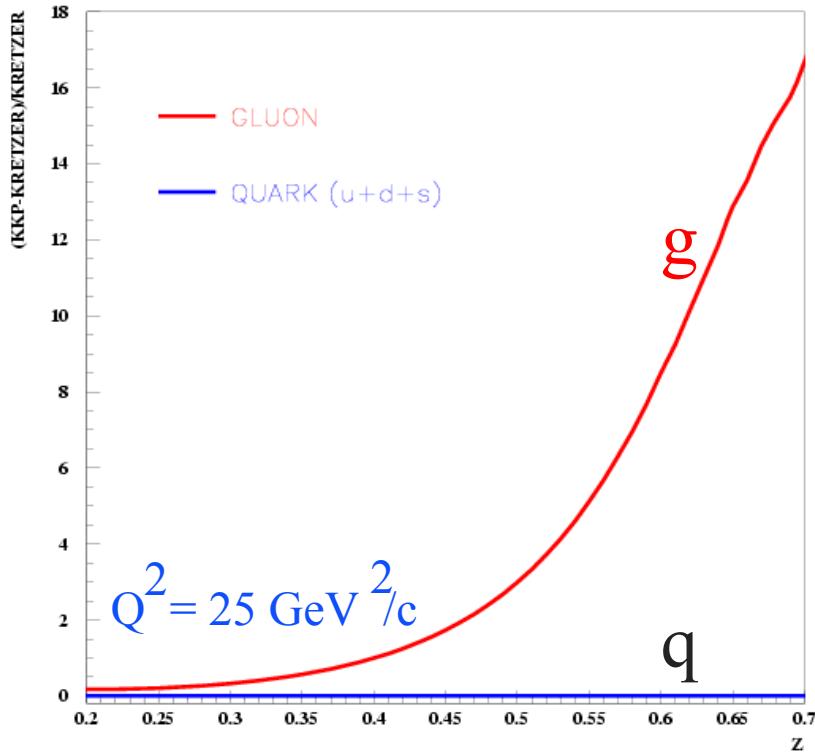
### » Result needed as reference for interpretation of Au+Au-Spectra

# Why Kretzer & KKP Differ?

Relative Importance of Frag.



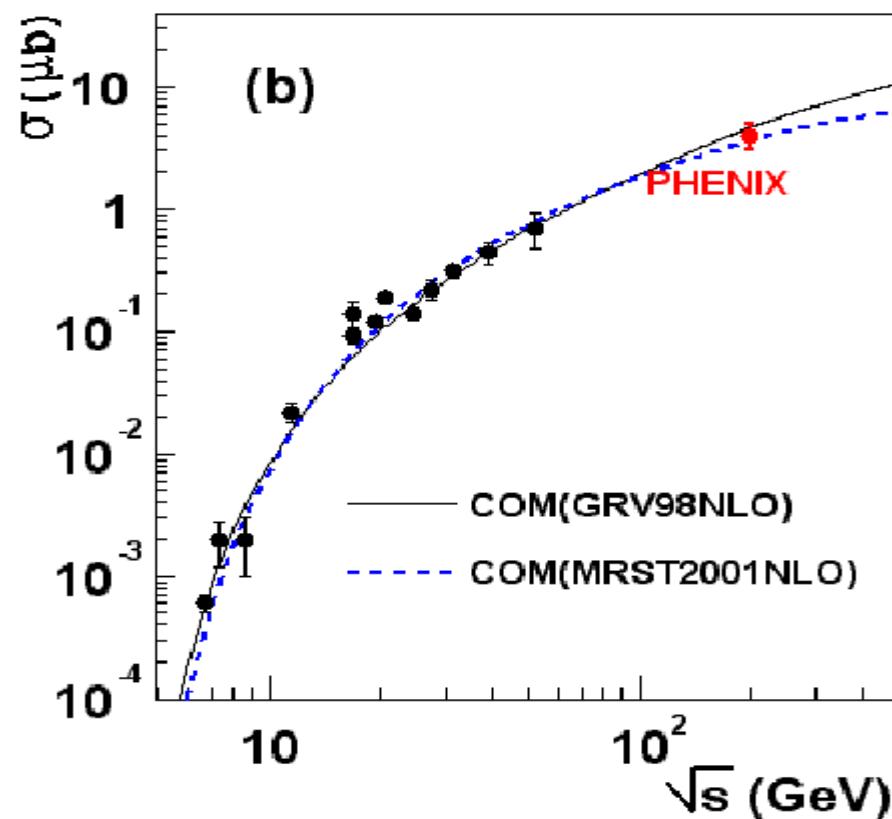
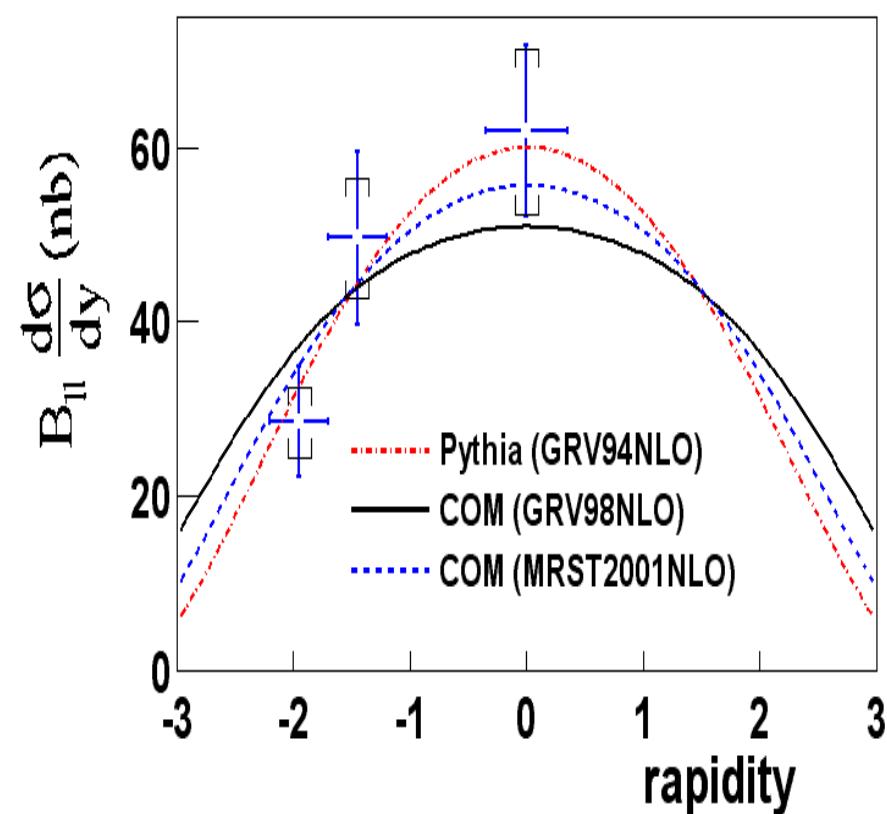
(KKP-Kretzer)/Kretzer -vs- z



S. Kretzer, W. Vogelsang, private comm.

... $D_{g\rightarrow\pi}$  likely gives rise to low  $p_T$  difference

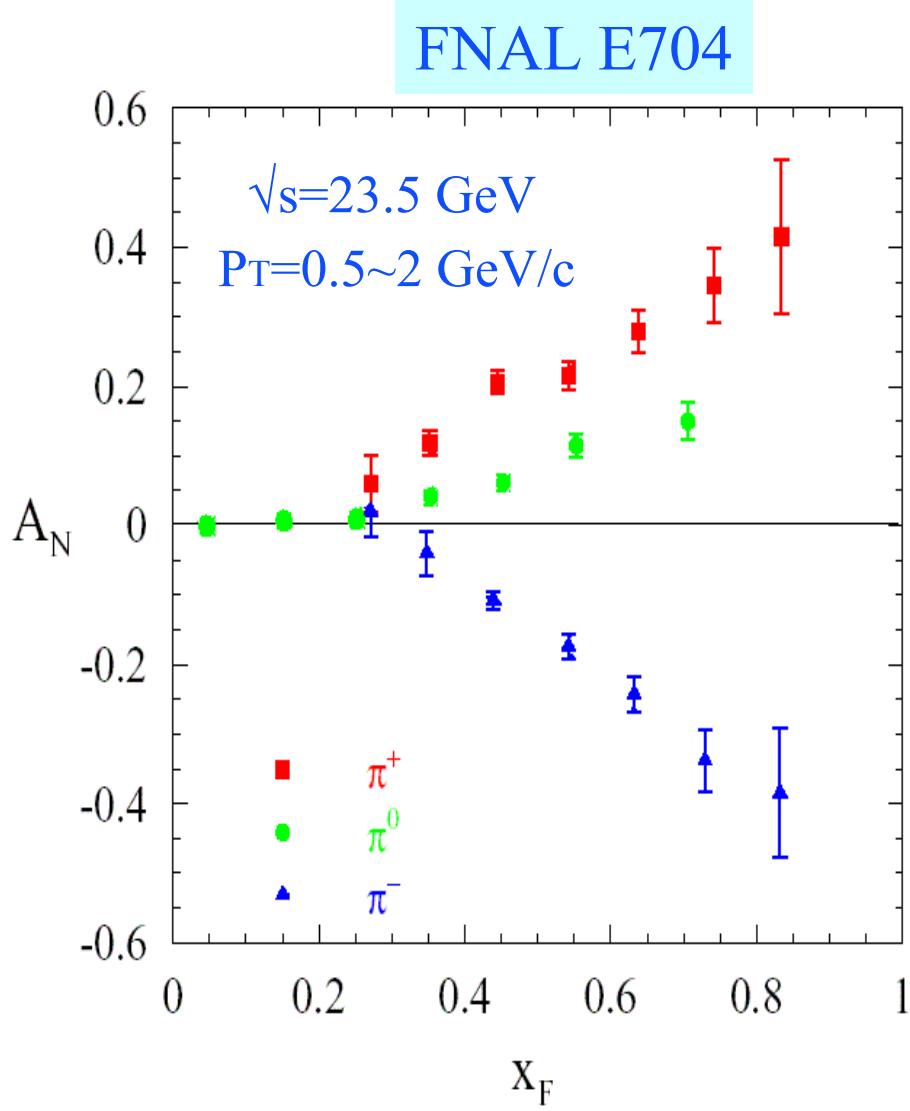
# J/ $\psi$ production in p+p at $\sqrt{s} = 200$ GeV



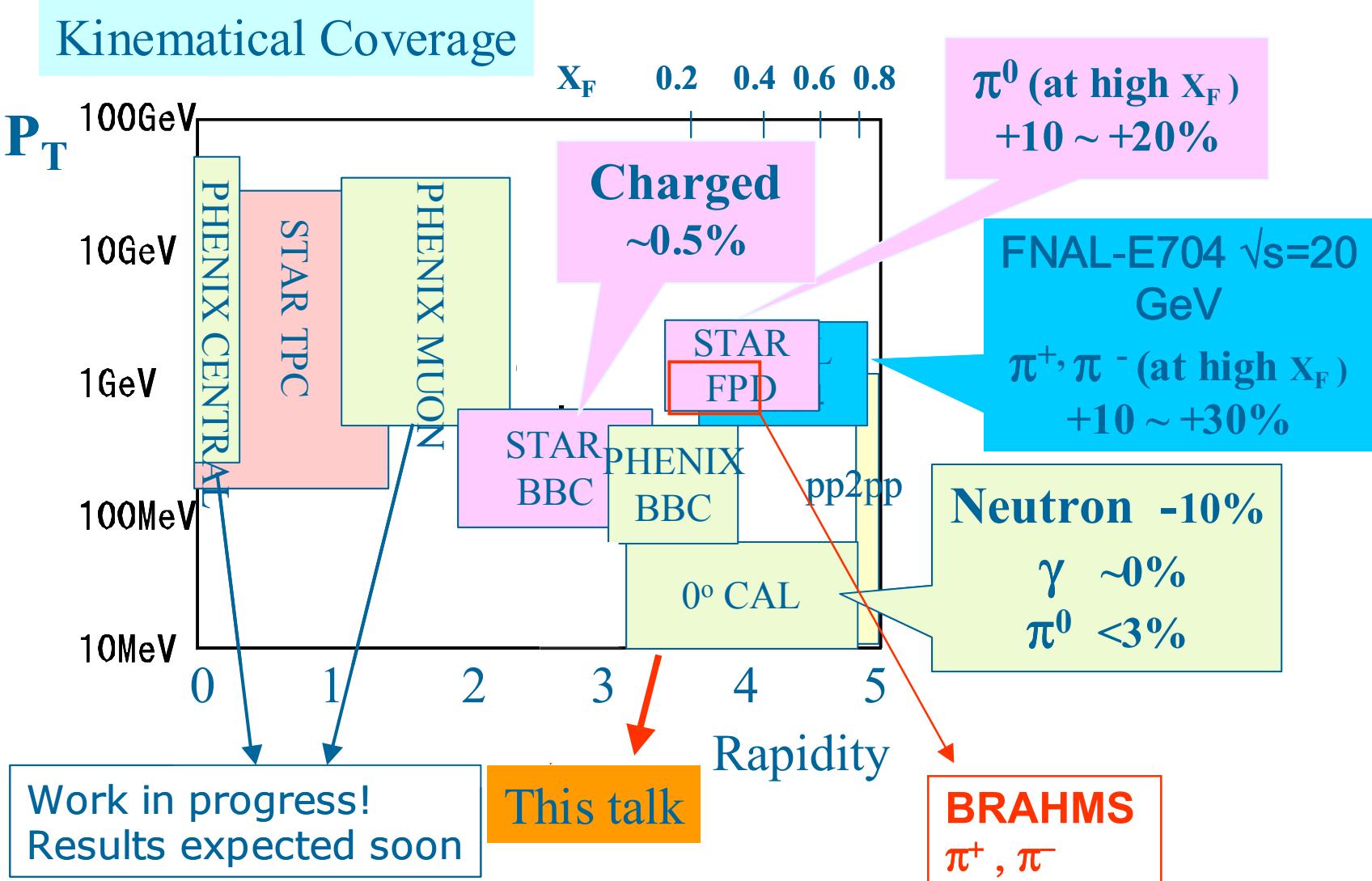
# Single-spin asymmetries ( $A_N$ )

$$A_N = \frac{1}{P} \cdot \frac{\sigma^{\uparrow} - \sigma^{\downarrow}}{\sigma^{\uparrow} + \sigma^{\downarrow}}$$

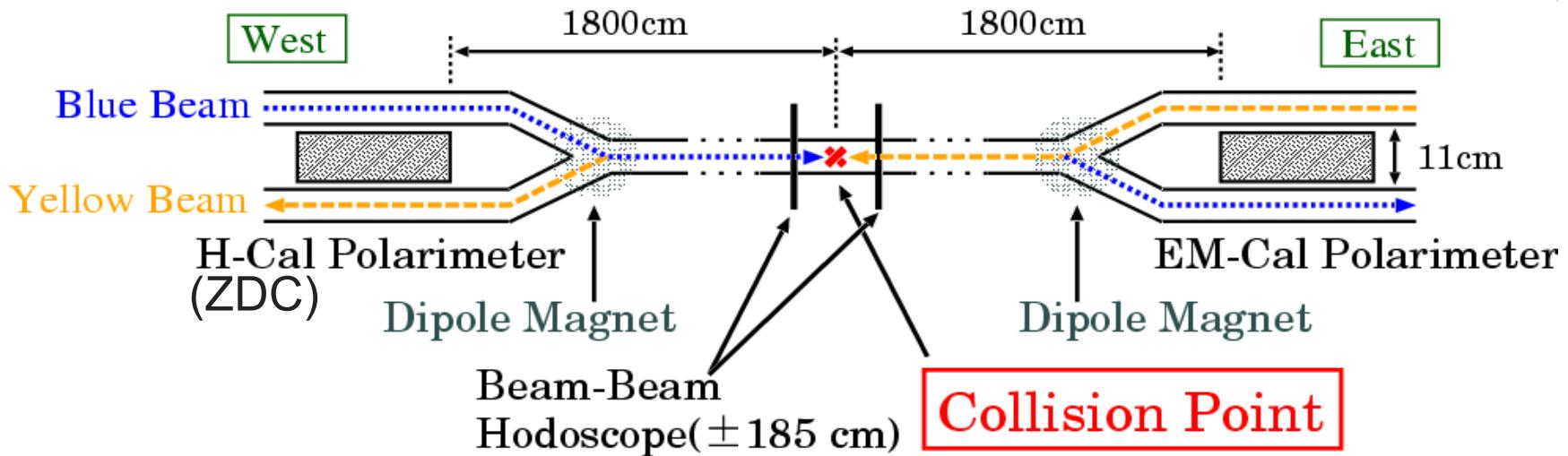
- » Motivated by large  $A_N$  measured by the FNAL-E704 experiment at high- $x_F$  at  $\sqrt{s} = 19.4$  GeV
- » Origin not well understood theoretically
- » Application for high-energy polarized proton polarimetry



# $A_N$ from RHIC $\sqrt{s}=200$ GeV



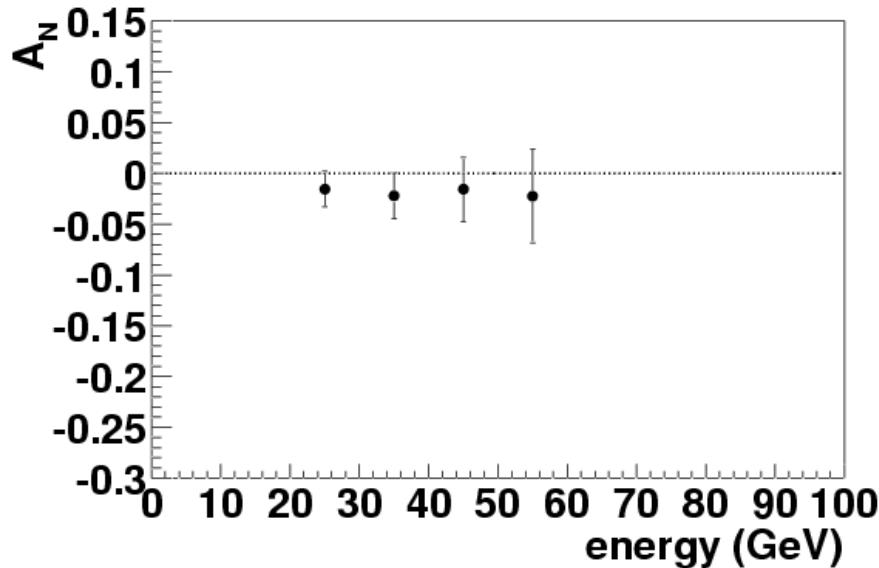
# Very-Forward $\gamma$ and n



- » Introduced as a polarized proton polarimeter at interaction region ("Local" polarimeter) to confirm spin dynamics in the RHIC ring
- » Measure very-forward ( $|\eta| > 6.5$ ,  $x_F > 0.2$ ) photon and neutrons with low  $p_T$  ( $p_T < 0.3$  GeV/c)
- » In Run-2, used ZDC in IP-12 region. In Run-3, used upgraded ZDC in IP-8 (PHENIX)

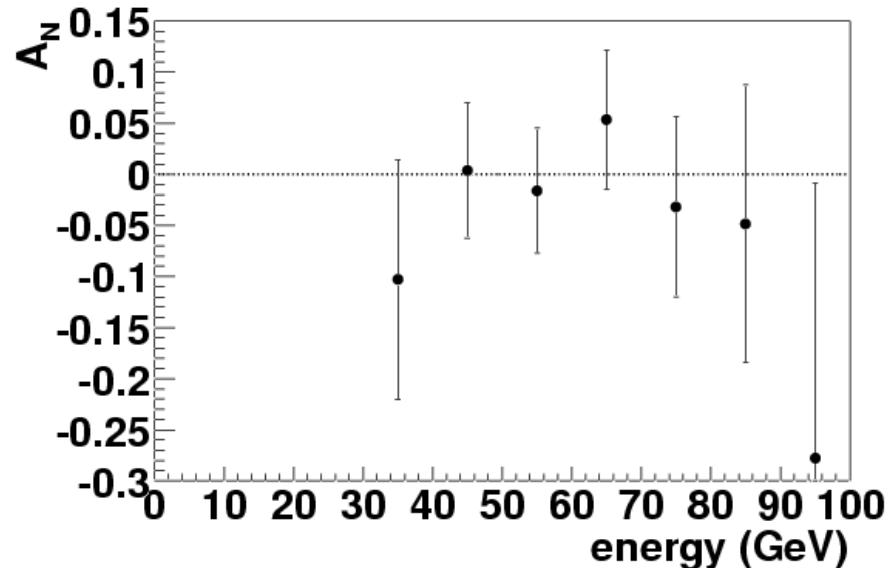
# Very forward $\gamma$ and $\pi^0$ asymmetry

Photon asymmetry (EM-Cal)



$$A_N = -0.018 \pm 0.012 \pm 0.023$$

$\pi^0$  asymmetry (EM-Cal)



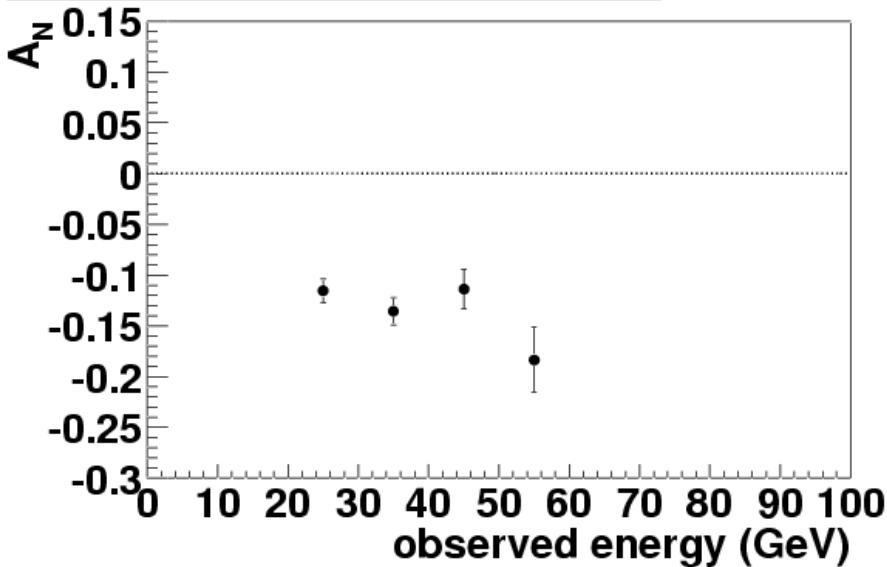
$$A_N = -0.010 \pm 0.032 \pm 0.002$$

Y. Fukao

$A_N$  is consistent with 0

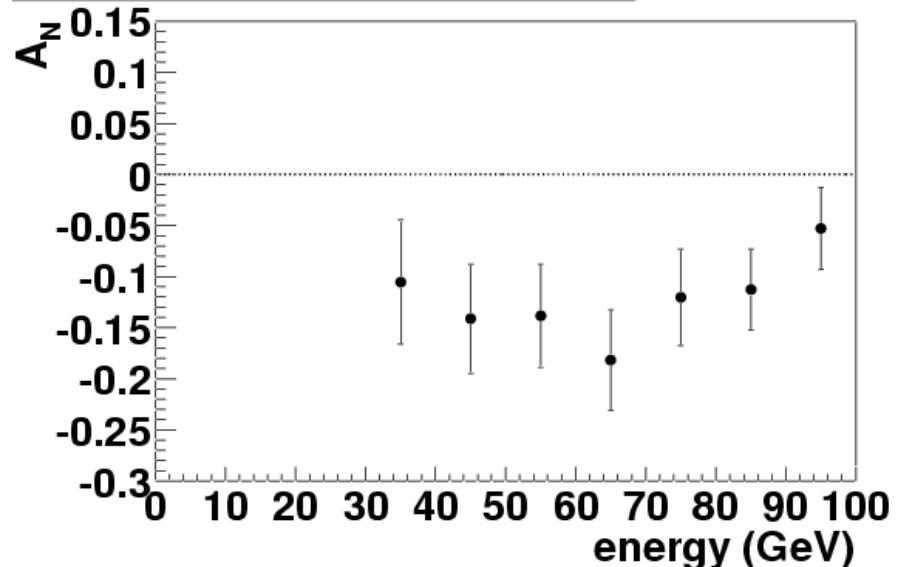
# Neutron asymmetry

Neutron asymmetry (EM-Cal)



$$A_N = -0.126 \pm 0.008 \pm 0.041$$

Neutron asymmetry (H-Cal)

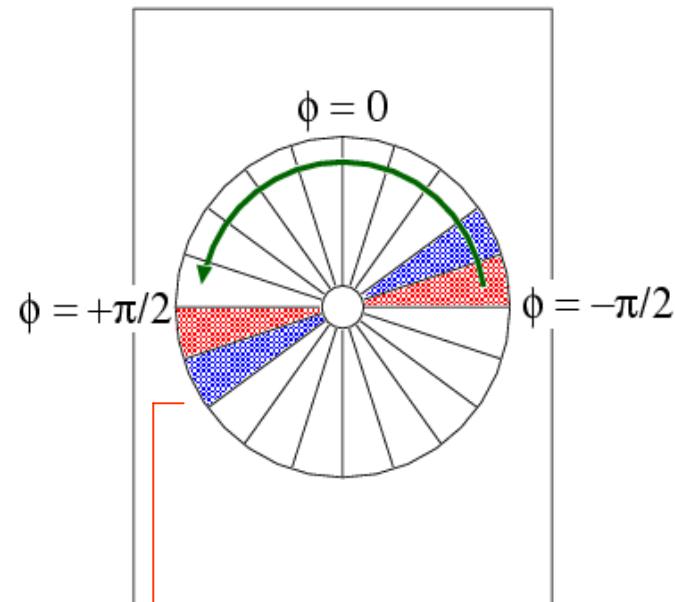
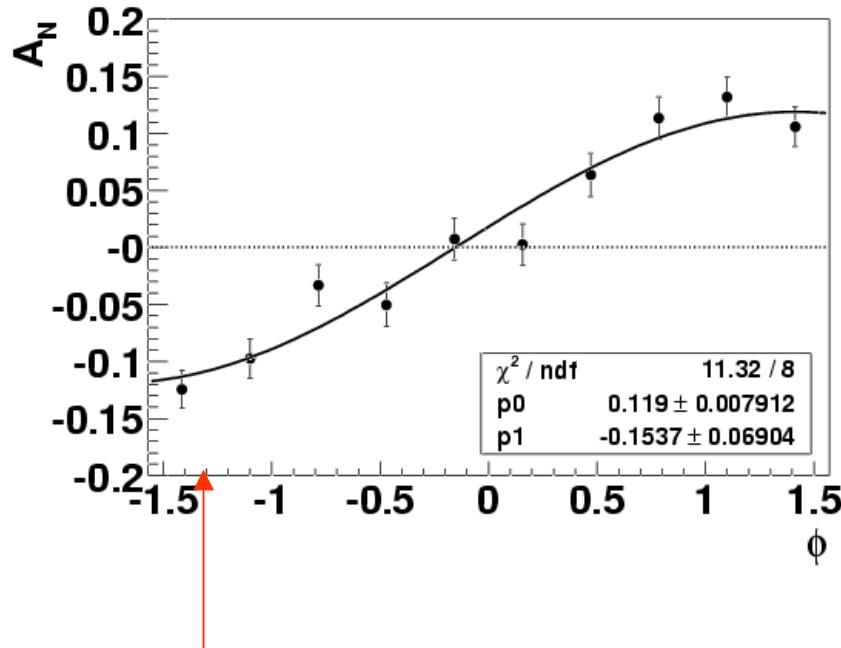


$$A_N = -0.116 \pm 0.018 \pm 0.020$$

- » Unexpectedly large asymmetry found
- » EMCAL & ZDC results are consistent

# Neutron asymmetry phi dependence

Neutron asymmetry,  $\phi$  dependence (EM-Cal)



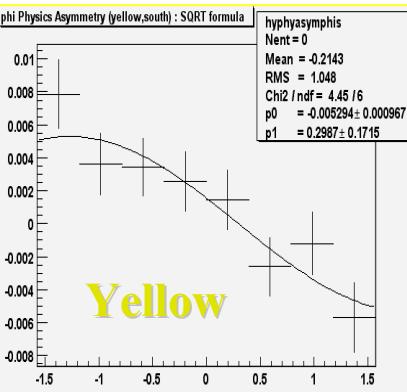
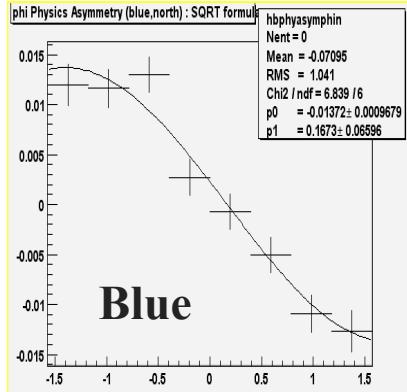
Very clear azimuthal asymmetry

Large asymmetry gives good figure of merit for local (PHENIX) polarimetry.

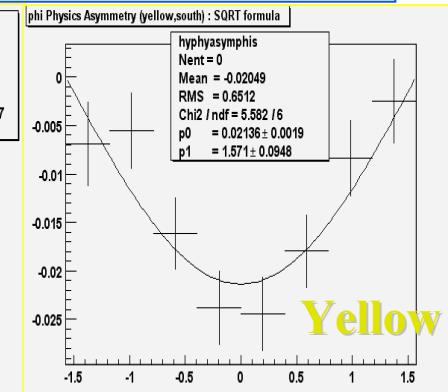
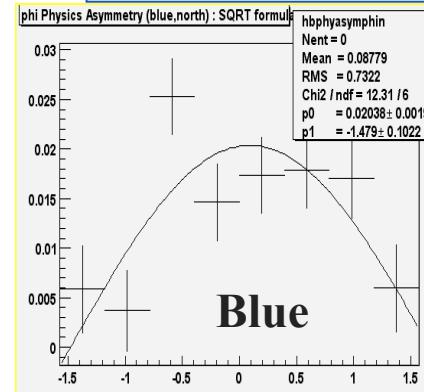
# Local Polarimeter at PHENIX

Run-03

Spin Rotators OFF

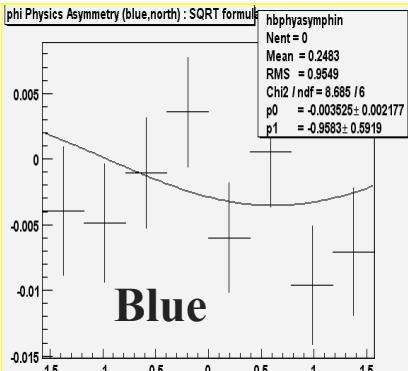


Spin Rotators ON, Current Reversed

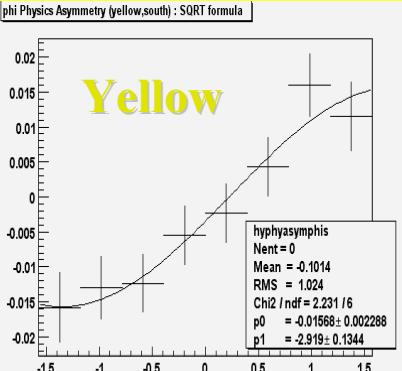


Spin Rotators ON, Almost...

$$|P|=30\%, P_T=0\% \rightarrow P_L=30\%$$



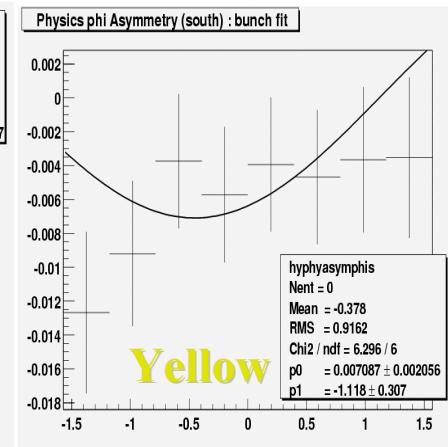
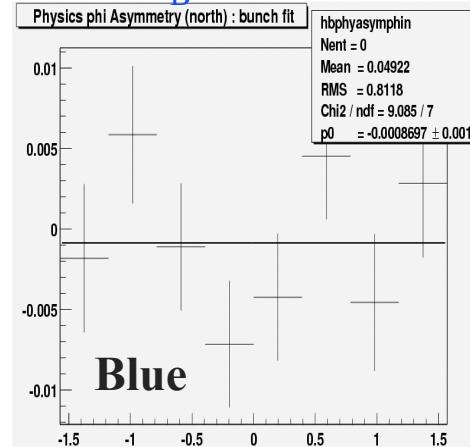
$$|P|=37\%, P_T=24\% \rightarrow P_L=28\%$$



Spin Rotators ON, Correct!

$$P_B=35.5\%$$

$$PB=37\%$$



Upgraded ZDC with two component X-Y shower max detector

# Relative luminosity (2001-2002 run)

$$A_{LL} = \frac{\sigma_{++} - \sigma_{+-}}{\sigma_{++} + \sigma_{+-}}$$
$$= \frac{1}{P_1 P_2} \cdot \frac{N_{++} - R \cdot N_{+-}}{N_{++} + R \cdot N_{+-}}$$

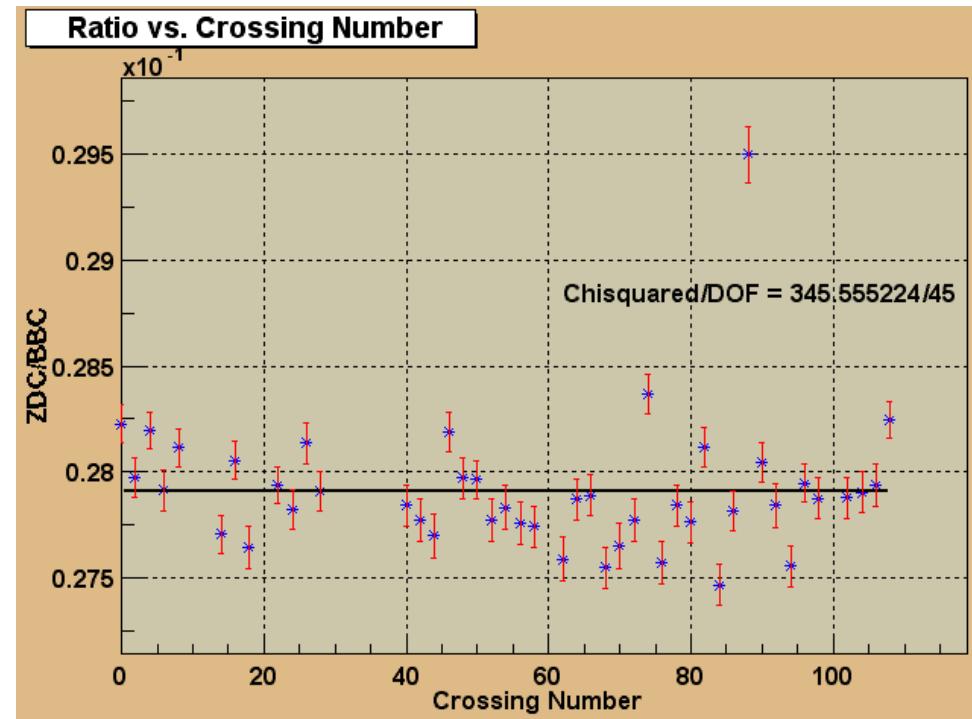
$$R = \frac{L_{++}}{L_{+-}}$$

## Relative luminosity measurement

- »  $\delta A_{LL} < 0.3\%$  measurement requires  $\delta R/R < 0.1\%$  measurement

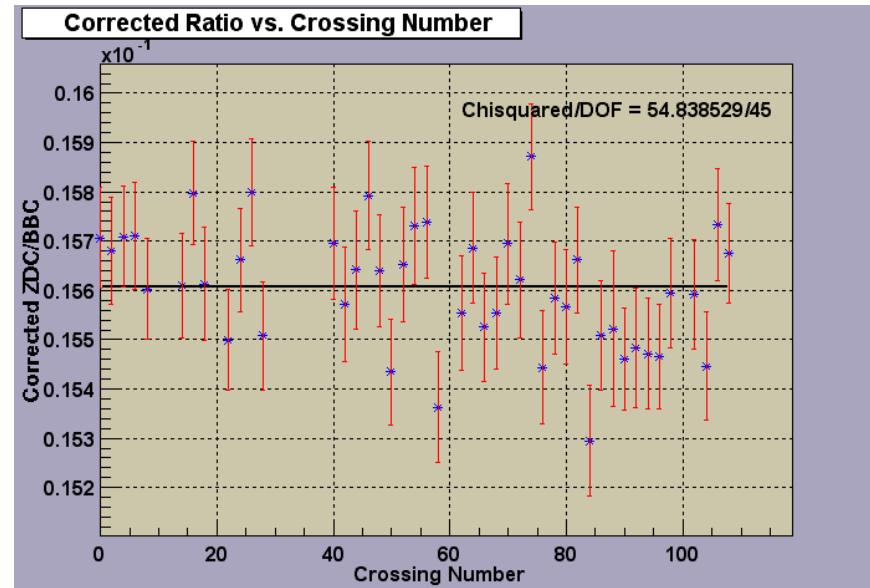
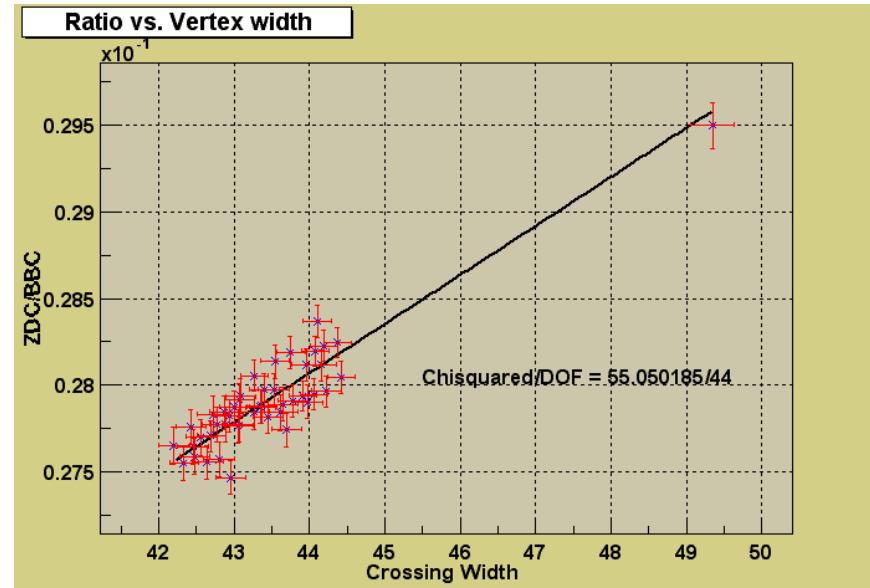
## Crossing-sorted scalers

- » 4 scalers  $\times$  120 crossings
  - Min.Bias = BBC  $\oplus$  NTC,  
BBC, NTC, ZDC

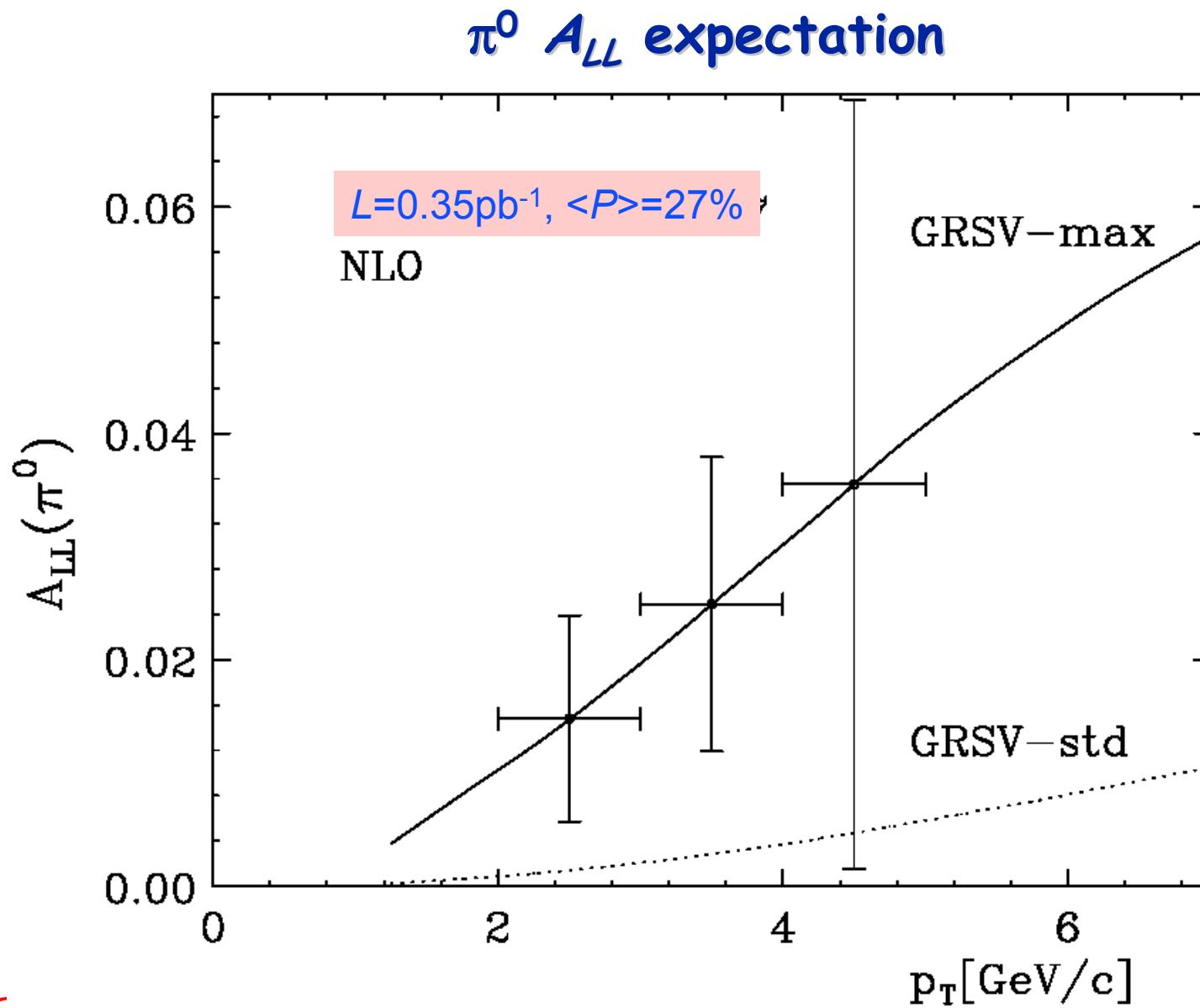


# Limit on relative luminosity measurement

- » Correct for (measured) vertex width
  - Ratio of counts in the two detectors is consistent with constant up to our level of statistics
- » This means that if we apply correction for this the precision on R goes from:  
 $0.11\%$  →  $0.06\%$   
(syst. limited) (stat. limited)



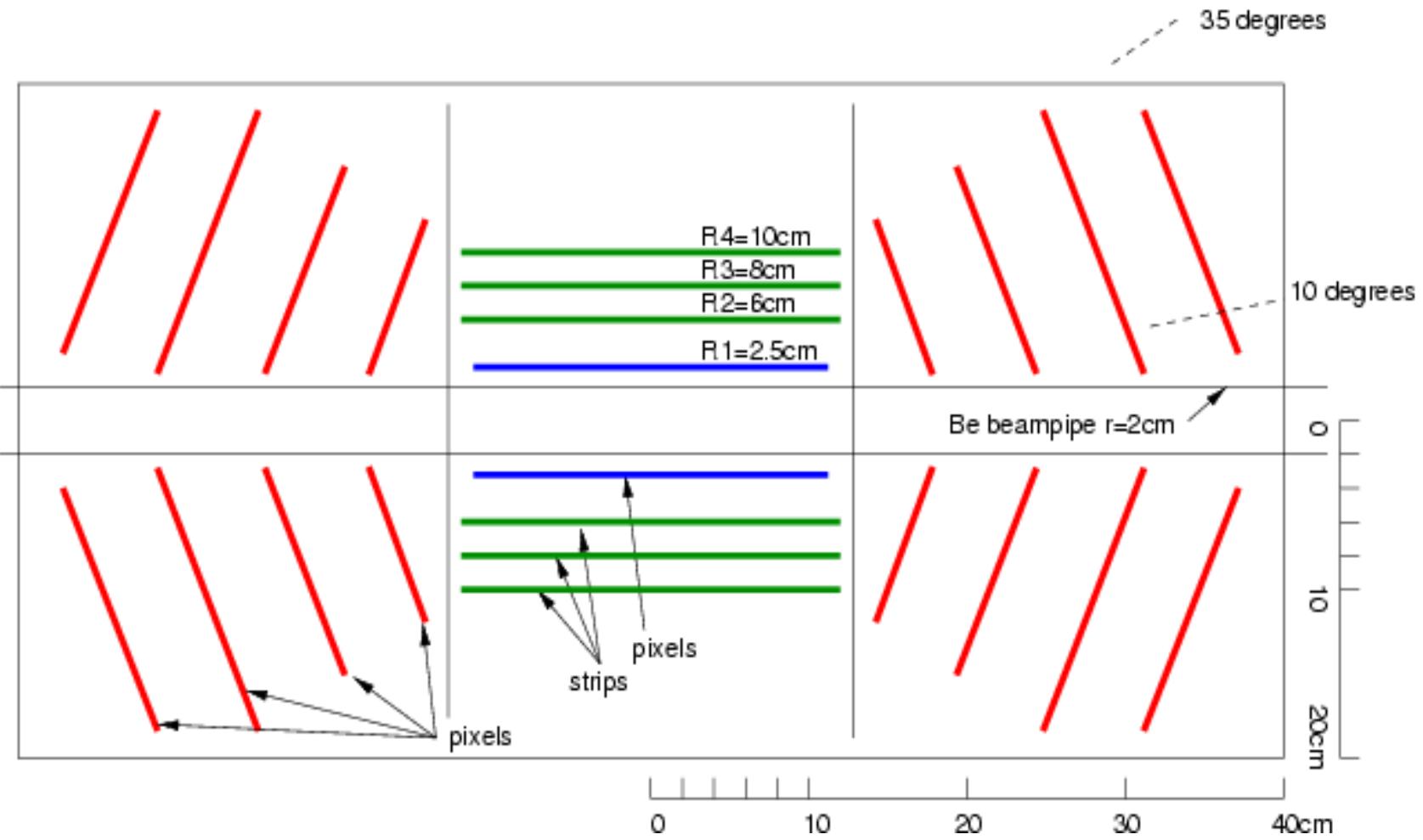
# Projected $A_{LL}$ sensitivity (2002-2003)



# **Future Prospects**

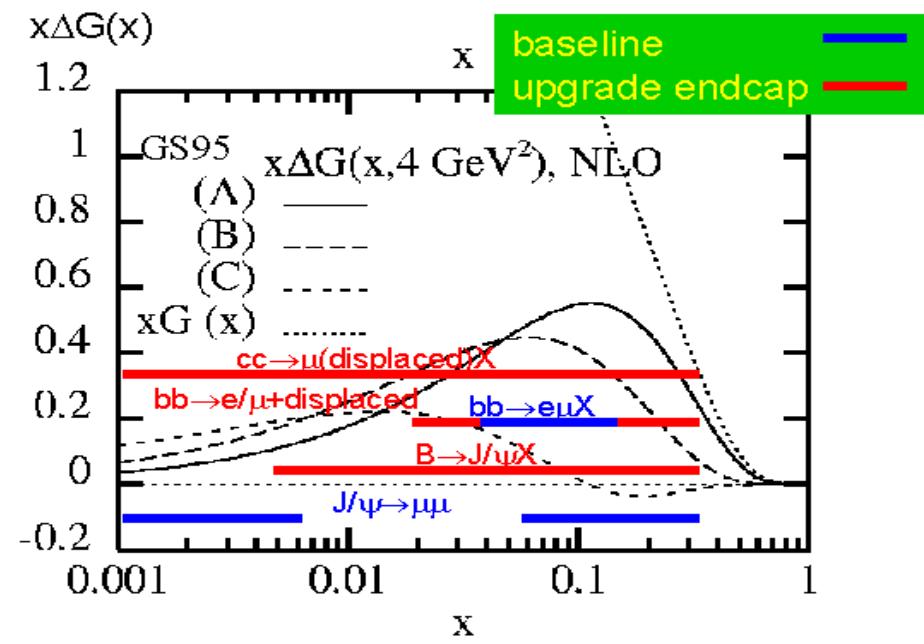
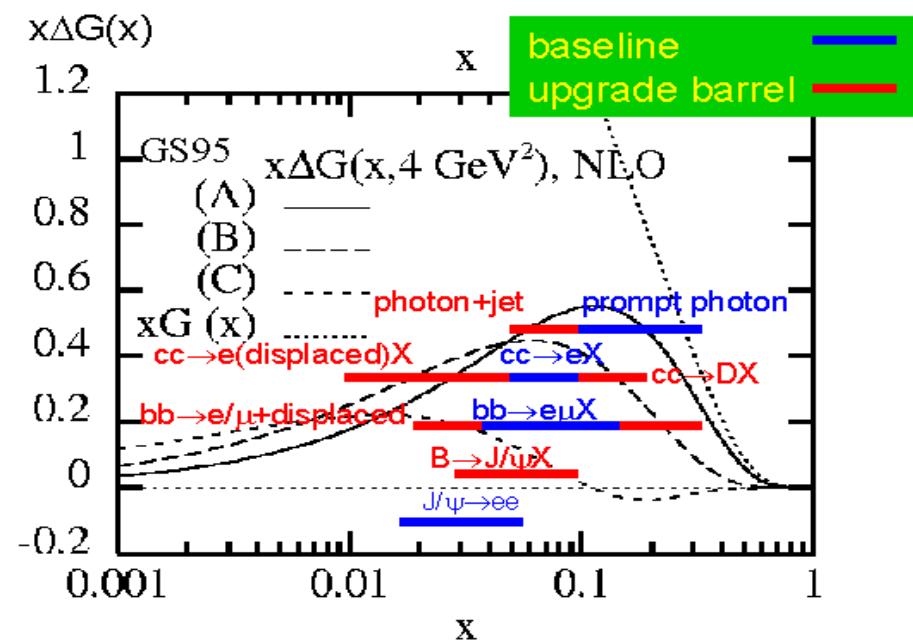
# Plans for PHENIX upgrade

Detection of heavy flavors (charm,bottom)  
→ Silicon strip/pixel detectors



# Spin Physics with Vertex Upgrade

Jet-axis for photon+jet-axis → constraint on  $x$   
 $c \rightarrow e, \mu$  displaced vertex low- $x$  S/B,  $D \rightarrow K\pi$  high- $x$   
 $b \rightarrow$ displaced  $J/\psi$  low/high- $x$ ,  $b \rightarrow e$ , displaced  
vertex high - $x$



# Summary

- » PHENIX is well suited to the study of spin physics with a wide variety of probes.
  - ≈  $\Delta G$  with prompt  $\gamma$ , heavy flavor via electrons, light hadrons
  - » Anti-quark helicity distribution via W decay
  - » Transversity
  - » Physics beyond the standard model
- » Run-02 gave us a baseline for transverse spin asymmetry and cross-sections.
- » In Run-03, we commissioned with longitudinal polarized protons (successful spin rotators) and took data for our first  $A_{LL}$  measurements using  $\pi^0$ .
- » We have studied our relative luminosity systematics and can make an  $A_{LL}$  measurement that is statistics limited.
- » We have an upgrade plan that will give us the triggers and vertex information that we need for precise future measurements of  $\Delta G$ ,  $\Delta q$  and new physics at higher luminosity and energy.